CHM 165,265 / BIMM 162 / BGGN 262 Spring 2013

Lecture Slides

Jan 22, 2013

p-Flasher Question

Depth of focus in the electron microscope is such that the final focused image appears the same (except for magnification and rotation changes) over a distance of meters.

> A. True B. False

p-Flasher Question

Because the depth of focus in a TEM is so large (meters), the electron microscopist does not have to be too concerned about the precise focus setting of the objective lens.

> A. True B. False

CHM 165,265 / BIMM 162 / BGGN 262 Spring 2013 Announcements for Jan 22, 2013

Reading assignment for Thursday: Lecture notes pp.104-end of § I.E

'Virtual homework': always check web site for new updates

Answer keys to 'virtual' homework problems will be posted on the white board outside NSB 4-105 (usually within 5-7 days AFTER the problems are first available on the course web site)

It is of course HIGHLY RECOMMENDED that you try to answer the questions BEFORE checking the white board !!!

Recitation session: Friday 5:00-6:00 pm in York 4080A

TEM facility tour: NEXT WEEK Jan 28,29 (check web site)

CHM 165,265 / BIMM 162 / BGGN 262 Winter 2013 3D Electron Microscopy of Macromolecules

TEM Facility Tour

Where: 1510 Bonner Hall basement

When: Mon Jan 28 and Tue Jan 29th

Check class web site for details on dates, times, and directions to facility

Attendance is optional but 5 pts extra credit towards final grade will be awarded



FEI Technai Sphera (200keV; LaB₆; LN₂)

To reserve and guarantee a time slot, email **nholson@ucsd.edu First come, first served.**

Class Web Page: Jan 18, 2013

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> CHEM 165,265 / BIMM 162 / BGGN 262 - 3D Electron Microscopy of Macromolecules Winter Quarter 2013



(Only four participants allowed per session - first come-first served) (Keep an eye on this page. Closed sessions will be in red strikeout text)

Directions to the EM Facility

Syllabus (PDF)

Book list (PDF)

Reference list (PDF)

The Bottom Line (PDF) -- Key concepts from daily lectures through January 17, 2013

Virtual Homework - Practice Questions for Section I (PDF) Updated January 17, 2013 (Password protected)

Lecture Notes

- Sec. IA. Principles of the transmission electron microscope (9.2 MB)
- Sec. IB. Design of the transmission electron microscope (3.5 MB)
- Sec. IC. Contrast and image formation (692 KB)
- Sec. ID. Alignment/adjustment of the microscope (963 KB)
- Sec. IE. Operation of the transmission electron microscope (10.1 MB)

Powerpoint® presentations from lecture (PDFs)

- Introduction to the course January 8, 2013 (48 MB)
- Lecture #1 January 8, 2013 (15.5 MB)
- Lecture #2 January 10, 2013 (4.3 MB)
- Lecture #3 January 15, 2013 (20.8 MB)
- Lecture #4 January 17, 2013 (9.9 MB)

Class Web Page: Jan 16, 2013



CHEM 165,265 / BIMM 162 / BGGN 262 - 3D Electron Microscopy of Macromolecules Winter Quarter 2013



Class Tour of the Electron Microscopy Facility Bonner Hall 1501

Sign-up times: Monday, January 28 -- 1 pm to 2 pm Monday, January 28 -- 2 pm to 3 pm Monday, January 28 -- 3 pm to 4 pm Tuesday, January 29 -- 10 am to 11 am Tuesday, January 29 -- 11 am to noon Tuesday, January 29 -- 1 pm to 2 pm Tuesday, January 29 -- 2 pm to 3 pm Tuesday, January 29 -- 3 pm to 4 pm

To reserve a demo session write: <u>Norm Olson</u> (Only four participants allowed per session - first come-first served) (Keep an eye on this page. Closed sessions will be in red strikeout text)

Directions to the EM Facility

I.B DESIGN OF THE TEM

KEY CONCEPTS FROM LECTURE #4

- **Depth of focus (***D***_i):** distance along the optical axis where the **IMAGE** appears essentially the same.
- **Depth of field (***D***_o):** thickness in the **OBJECT** that appears to be essentially at the same level of focus at a **fixed image plane**.

Consequence of large relative depth of field in the TEM: Images are (to a first approximation) <u>PROJECTIONS</u> of the entire contents of a specimen.

I.C CONTRAST AND IMAGE FORMATION MORE CONCEPTS FROM LECTURE #4

- Resolution in electron images of biological specimens normally limited by contrast [and radiation damage, but we'll get to that later...], NOT lack of resolving power of the TEM
- Biological specimens have low inherent contrast
- Electron beam scatters from specimen atoms
- Primary types of electron/specimen scatter: Elastic, Inelastic, None

Elastic scatter: No energy loss (no change in velocity or λ)

Inelastic scatter: ~10-20 eV **energy loss** (velocity $\downarrow \lambda \uparrow$)

 $\theta_e = \frac{e}{Vr_e}$

 $\theta_n = \frac{Ze}{Vr}$

No scatter: **No energy loss** (no change in velocity or λ)

I.C CONTRAST AND IMAGE FORMATION MORE CONCEPTS FROM LECTURE #4

- Aperture/scattering contrast: loss of amplitude (*i.e.* electrons)
 from the imaging beam (particle nature of electrons)
- Interference/phase contrast: originates from shifts in relative phases of portions of imaging beam that combine and contribute to the image (wave nature of electrons)

I.C CONTRAST AND IMAGE FORMATION

SCATTERING (APERTURE/AMPLITUDE) CONTRAST

Primary source of electron contrast for most biological specimens prepared for TEM using "conventional" methods (*i.e.* those stained or shadowed with heavy atoms to increase mass thickness)

INTERFERENCE (PHASE) CONTRAST

Arises primarily from two factors:

Defocusing the objective lens Spherical aberration in the objective lens

Importance of interference contrast increases as the **limit of resolution** in the TEM is approached, and for **small / thin** structures

Dominant source of contrast for very small or thin objects of low atomic number

I.C.2.a Scattering (Aperture) Contrast Io Specimen plane **Objective lens** OA Image plane $| < |_0$ **I**0

<u>Aperture</u> contrast controlled to some extent by:

- Choice of accelerating voltage (contrast \uparrow as V \downarrow)

 $\theta_n = \frac{Ze}{Vr_n}$ $\theta =$ Vr

- Size of objective aperture (contrast \uparrow as aperture size \downarrow)

I.C.2.a Scattering (Aperture) Contrast Specimen plane **Objective lens** OA Image plane I << I₀ **I**0

<u>Aperture</u> contrast controlled to some extent by:

- Choice of accelerating voltage (contrast \uparrow as V \downarrow)

 $\theta_n = \frac{Ze}{Vr_n}$ $\theta =$ Vr

- Size of objective aperture (contrast \uparrow as aperture size \downarrow)



<u>Aperture</u> contrast controlled to some extent by:

 Choice of accelerating voltage (contrast ↑ as V ↓)

 $\theta_n = \frac{Ze}{Vr_n}$ $\theta_e = \frac{e}{Vr_e}$

 Size of objective aperture (contrast ↑ as aperture size ↓)

Warning:

- Lower V leads to higher chromatic aberration and loss of resolution (esp. for thicker specimens)

Objective Aperture Affects Scattering Contrast

OA placed at **back focal plane** of objective lens



Objective Aperture Affects Scattering Contrast

As aperture size is **reduced**, more scattered electrons are stopped and **scattering/aperture contrast improves**

HOWEVER

Very small objective apertures (< 20 μm) can **limit resolution** owing to **diffraction** effects

(RECALL: object points are imaged as Airy disks)

Objective Aperture Affects Scattering Contrast

Practical problems with small objective apertures:

- **Difficult to keep centered exactly** on the optical axis at the back focal plane of the objective lens
- Susceptible to the effects of contamination (produces lens asymmetry and astigmatism, thereby reducing resolution)

Generated when diffracted and undiffracted waves interfere, and results in intensity variations in the electron image

Also recall:

Electrons scattered through large angles are stopped by the OA and never reach the image plane (origin of aperture contrast)

IDEALLY, ALL other scattered electrons are focused by a lens at corresponding image points, and arrive there in phase

But what <u>really</u> happens at various image planes?

HINT: Think "Fresnel"





From Young., Fig. 3-24, p.95

Defocus or **phase contrast** appears as **Fresnel fringes** in the specimen (including the support film)

Fringes are especially noticeable wherever there are **abrupt changes in mass thickness** (keyhole is a very extreme example)

Interference contrast:

Arises from phase differences, and therefore interference between scattered and unscattered electron WAVES in different parts of the image

Defocusing of objective lens:

- Causes path lengths of scattered rays to change more than for the unscattered rays
- Enhances phase contrast due to changes in interference

A few facts:

Fresnel fringes **seem to disappear** at "exact" ("near" or "true") focus because phase differences are at a minimum

Background granularity seen in images of EM support films is simply caused by phase contrast

- Coarseness of granularity varies with level of defocus

A few facts:

Fresnel fringes **seem to disappear** at "exact" ("near" or "true") focus because phase differences are at a minimum

Background granularity seen in images of EM support films is simply caused by phase contrast

- Coarseness of granularity varies with level of defocus
- Granularity must be considered when interpreting high resolution details in images of specimens that sit on top of a support film
- NOTE: Interference contrast is more important than aperture contrast in images of thin or small objects and when working to achieve the highest resolution limit

Practical Tip

Defocus (**under** focus) the objective lens **slightly** to enhance interference contrast in the electron image

Image resolution is not <u>significantly</u> reduced if amount of underfocus is not excessive



I.C.2.b Interference (Phase) Contrast BOTTOM LINE:



Image phase contrast varies as function of objective lens defocus



From Meek, 2nd ed., Fig. 5.3, p.100

I.C CONTRAST AND IMAGE FORMATION

I.C.3 Contrast Transfer Function



Unfortunately, as you all ought to be painfully aware by now, TEM images do **NOT** give a **completely faithful, direct** rendering of the specimen density distribution (*i.e.* structure) I.C.3 Contrast Transfer Function



Unfortunately, as you all ought to be painfully aware by now, TEM images do **NOT** give a **completely faithful, direct** rendering of the specimen density distribution (*i.e.* structure)

 Relationship between image and specimen is described by the contrast transfer function (CTF), which is influenced by:

> Specific TEM used Conditions of imaging Specimen

 Microscope CTF arises from the objective lens focal setting AND from the spherical aberration in the lens

I.C.3 Contrast Transfer Function



Dependence of CTF on resolution, wavelength, defocus, and spherical aberration is given by:

$$CTF(v) = -\left\{ \left(1 - F_{amp}^{2}\right)^{\frac{1}{2}} \bullet \sin(\chi(v)) + F_{amp} \bullet \cos(\chi(v)) \right\}$$

where
$$\chi(\nu) = \pi \lambda \nu^2 (\Delta f - 0.5 C_s \lambda^2 \nu^2)$$

v = spatial frequency (in Å⁻¹)

 F_{amp} = fraction of amplitude contrast

- λ = electron wavelength (in Å), where $λ = 12.3/\sqrt{V + 0.00000978 \cdot V^2}$ (= 0.037, 0.025, and 0.020Å for 100, 200, and 300 keV electrons, respectively)
- V = voltage (in volts)
- Δf = underfocus (in Å)
- C_s = spherical aberration coefficient of objective lens of microscope (in Å)

That certainly makes it all look simple and straightforward...



I.C.3 Contrast Transfer Function



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That certainly makes it all look simple and straightforward...





I.C.3 Phase Contrast Transfer Function

Plot of phase contrast as a function of structure size. Objective lens in focus at top and progressively further underfocus in lower panels

Virtual TEM

What does the scope CTF do to images?



"Real Image"



Object ("Perfect Image")



-2.0 µm underfocus





-1.5 μm underfocus





-1.0 μm underfocus





-0.5 μm underfocus




-0.25 μm underfocus





-0.1 µm underfocus





-0.0 μm near focus





+0.1 μm overfocus





+0.25 µm overfocus





+0.5 μm overfocus





+1.0 μm overfocus





+1.5 μm overfocus





+2.0 μm overfocus







-2.0 μm underfocus

-0.1 μm underfocus







+0.1 μm overfocus +2.0 μm overfocus



Virtual TEM

What does the CTF function look like at different defocus settings?























I.C CONTRAST AND IMAGE FORMATION

I.C.5 Other Methods for Enhancing Contrast

1. Directly **increase "inherent" specimen contrast** using various preparation procedures (*i.e.* staining, shadowing, etc.)

Discussed in **§II**

2. Record images with longer exposure times

Discussed in §I.E

§ I: The Microscope

I.A Principles of TEM
I.B Design of the TEM
I.C Contrast and Image Formation
I.D Alignment/Adjustment of the TEM (pp.81-89 of lecture notes)



TOPICS

 Principles of TEM Electrons, lenses and optics
 Design of TEM Components top to bottom
 Contrast and image formation Electron scattering from object
 Optimizing TEM performance Alignment assures 'best' images
 Operation of TEM

"What do all these buttons do?"

- Other modes of TEM Many ways to 'observe' specimens
- Specimen preparation for TEM Getting specimen ready
- Radiation damage Less is better
- 3D reconstruction

Specimen 3D structure from 2D images

§ I: The Microscope

I.D Alignment/Adjustment of the TEM

I.D.1 Introduction

I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.1 Introduction

What's the Bottom Line?

Misalignment of TEM causes:

Loss of resolving power

Inconveniences in operation

- Center of field of view moves when magnification is changed
- Beam illumination becomes uneven or moves off-center as C2 is changed
- Image moves across screen while focusing
- Loss of illumination can occur if switch from one mode of operation to another (*e.g.* from normal imaging to electron diffraction mode and back)
- Etc. etc. etc.

Goal of Alignment Procedure

Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure



Goal of Alignment Procedure


Goal of Alignment Procedure

Arrange all optical elements of microscope to be **coaxial**



Goal of Alignment Procedure

Arrange all optical elements of microscope to be coaxial



Imagine (*i.e.* I can't think of a good way to illustrate this) what the final image formed by the last (*i.e.* magenta) lens would look like if one or more lenses were misaligned!

Goal of Alignment Procedure

Arrange all optical elements of microscope to be **coaxial**



Imagine (*i.e.* I can't think of a good way to illustrate this) what the final image formed by the last (*i.e.* magenta) lens would look like if one or more lenses were misaligned!

Lens Misalignment







I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.1 Introduction

Optic Axis

Defined by two points: objective lens center and viewing screen center



I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.1 Introduction

Optic Axis

Defined by two points: objective lens center and viewing screen center

- Axis of symmetry of every lens must exactly coincide with optic axis
- Alignment performed with respect to electron gun, condenser, and imaging lenses

RESULT: optical center of image coincides with center of viewing screen

§ I: The Microscope

I.D Alignment/Adjustment of the TEM

I.D.1 Introduction I.D.2 Alignment of TEM Components

I.D.2 Alignment of TEM Components

Bottom Line:

The devil is ALWAYS in the details!

- Concentrate on understanding the *basic* principles as presented in your notes
- Detailed TEM column alignment procedures differ for each microscope



Simulation of Aligned Condenser System



Simulation #1 of Misaligned Condenser System (Tilt and translation off)



(Tilt aligned; Translation off)

I.D.2 Alignment of TEM Components I.D.2.b Condenser System

Astigmatism in the 2nd condenser lens leads to elongated, asymmetrical spot shape on either side of focus



From Agar, Fig. 4.6, p.129



















I.D.2 Alignment of TEM Components I.D.2.c Imaging System

Alignment procedure:

Make axes of all <u>imaging</u> lenses **coincide** with instrument mechanical (optical) axis

When all <u>imaging</u> lenses are in line:

Object point **on** the objective lens axis will be imaged at the viewing screen center **WHATEVER** the magnification setting I.D.2 Alignment of TEM Components I.D.2.c Imaging System

Voltage Center

Effects of misalignment and high voltage ripple:



Ideal, aligned image (no high voltage fluctuations)



Aligned, but with voltage fluctuations



Misalignment and voltage fluctuations

*

I.D.2 Alignment of TEM Components I.D.2.c Imaging System Current Center

Fluctuations in lens currents also give rise to superimposed images of different magnifications and hence blurring

Typical alignment scheme uses **current** variations (much easier to vary than voltage)

I.D.2 Alignment of TEM Components I.D.2.c Imaging System Current Center



Normal image: No lens current instabilities



Image rotation caused by varying ("wobbling") the objective lens current. Rotation center marked by arrow identifies the optic axis of the lens

§ I: The Microscope

I.D Alignment/Adjustment of the TEM

I.D.1 Introduction I.D.2 Alignment of TEM Components I.D.3 Disturbances to Microscope Performance (pp.86-89 of lecture notes)

I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.3 Disturbances to Microscope Performance

- a. Contamination
- b. Image drift and mechanical instabilities
- c. Electrical and magnetic instabilities
- d. Image astigmatism
- e. Focal drift

I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.3 Disturbances to Microscope Performance

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Contamination of TEM column, apertures, and specimen lead to:

- Astigmatism
- Drift
- Decreased contrast

Deposition of a uniform layer of contamination on specimen reduces its contrast

Contamination layer **obscures fine details** in specimen structures

Latex Spheres (0.088 µm diameter)



< 1 min.

~25 min.

~50 min.

Latex Spheres (0.088 µm diameter)



< 1 min.

From Maunsbach, Fig. 10-19A, p.267

Latex Spheres (0.088 µm diameter)



~25 min.

From Maunsbach, Fig. 10-19B, p.267

Latex Spheres (0.088 µm diameter)



~50 min.

From Maunsbach, Fig. 10-19C, p.267

Effect of contamination on a thin carbon film



Two contaminated areas, each irradiated for 3 min.

From Meek, 1st Ed., Fig. 6.6, p.150
I.D.3 Disturbances to Microscope Performance I.D.3.a Contamination

Two simple solutions:

1. Keep your grimy hands off parts exposed to vacuum!!!

2. Use an **anticontaminator**



From www.gatan.com/holders/index.html

Cooled surface placed close to the specimen preferentially condenses potential contaminants (*i.e.* residual gases) on its surface and protects the specimen

Most anticontaminators are cooled with **liquid nitrogen**

I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.3 Disturbances to Microscope Performance

- a. Contamination
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I.D.3 Disturbances to Microscope Performance I.D.3.b Image Drift and Mechanical Instabilities

Important consideration:

Exposure times of ~ 1-2 seconds or more required for recording electron images on photographic film

Consequence:

Specimen movements of a few Å/sec can limit resolution

Movements caused by instabilities in:

- Specimen holder
- Stage assembly
- Specimen

I.D.3 Disturbances to Microscope Performance I.D.3.b Image Drift and Mechanical Instabilities Thermal Drift of Specimen

Measuring Drift Rate

- Record **double exposure** of any "simple" object
- Shows magnitude of specimen drift between exposures



I.D.3 Disturbances to Microscope Performance I.D.3.b Image Drift and Mechanical Instabilities Thermal Drift of Specimen

Measuring Drift Rate

- Record double exposure of any "simple" object
- Shows magnitude of specimen drift between exposures
- Also allows measurement of TEM contamination rate (deposit of contamination layer shows up as a reduction in hole diameter)



I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.3 Disturbances to Microscope Performance

a. Contamination

- b. Image drift and mechanical instabilities
- C. Electrical and magnetic instabilities
 TEM shielding from stray, external fields; TEM location

 d. Image astigmatism
- e. Focal drift

I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.3 Disturbances to Microscope Performance

- a. Contamination
- b. Image drift and mechanical instabilities
- c. Electrical and magnetic instabilities
- d. Image astigmatism
- e. Focal drift

I.D.3 Disturbances to Microscope Performance

I.D.3.d Image Astigmatism

Image astigmatism can, and often does limit the resolution achieved in TEM images.

Experienced microscopists can minimize astigmatism and therefore reduce the possibility that resolution will be limited by this.

I.D.3.d <u>Image</u> Astigmatism Correcting Astigmatism (Holey Film Method)

Image of small hole in carbon support film

- a. Astigmatic image with asymmetric Fresnel fringe
- b. Astigmatism corrector switched on, full strength, arbitrary direction
- c. Corrector oriented to oppose the astigmatism seen in (a)
- d. Corrector strength reduced to stigmate the image (uniform fringe)



From Agar, Fig. 4.12, p.139

I.D.3.d <u>Image</u> Astigmatism Correcting Astigmatism (Holey Film Method)

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From Agar, Fig. 4.12, p.139

I.D.3 Disturbances to Microscope Performance I.D.3.d Image Astigmatism Correcting Astigmatism (Holey Film Method)



Stigmated Image

Astigmatic Image From Agar, Fig. 4.12, p.139

I.D.3 Disturbances to Microscope Performance I.D.3.d Image Astigmatism Correcting Astigmatism (Holey Film Method)



Stigmated Image

Stigmated Image From Agar, Fig. 4.12, p.139 I.D.3 Disturbances to Microscope Performance I.D.3.d Image Astigmatism Correcting Astigmatism

Minimize phase contrast method (for "experts")

See pp.87-88 of lecture notes

I.D ALIGNMENT/ADJUSTMENT OF THE TEM

I.D.3 Disturbances to Microscope Performance

- a. Contamination
- b. Image drift and mechanical instabilities
- c. Electrical and magnetic instabilities
- d. Image astigmatism
- **e.** Focal drift (Small changes in <u>focus</u> occur <u>while</u> observing the image)

Likely cause: micro-discharges in the electron gun

§ I: The Microscope

I.A Principles of TEM
I.B Design of the TEM
I.C Contrast and Image Formation
I.D Alignment/Adjustment of the TEM
I.E Operation of the TEM
(pp.89-119 of lecture notes)



TOPICS

- Principles of TEM Electrons, lenses and optics
 Design of TEM Components top to bottom
 Contrast and image formation Electron scattering from object
 Optimizing TEM performance Alignment assures 'best' images
 Operation of TEM "What do all these buttons do?"
 Other modes of TEM Many ways to 'observe' specimens
 - Specimen preparation for TEM Getting specimen ready
 - Radiation damage Less is better
 - 3D reconstruction

Specimen 3D structure from 2D images

I.E OPERATION OF THE TEM

★ Choice of accelerating voltage Choice of apertures Specimen stage/holder Choice of magnification Focusing Magnification calibration **Resolution tests** Image intensifiers/TV displays Microscope maintenance Photography (analog and digital)



§ I: The Microscope

I.E Operation of the TEM

I.E.1 Choice of Accelerating Voltage

I.E OPERATION OF THE TEM

I.E.1 Choice of Accelerating Voltage

Most **routine** biological microscopy: **100-120kV**

Most high resolution biological microscopy: 200-300kV

Voltage affects many things.....

I.E.1 Choice of Accelerating Voltage What happens as V is increased?

- 1. <u>Specimen penetration</u> increases: thicker specimens can be studied
- 2. <u>Amplitude contrast</u> decreases (fewer elastically and inelastically scattered electrons stopped by objective aperture)
- **3.** <u>Resolution limit</u> due to <u>diffraction effects</u> improves (electron λ decreases)

Abbe equation (diffraction limit): $d = \frac{0.612\lambda}{n \cdot \sin \alpha}$

- Inelastic scattering decreases: thus chromatic aberration effects decrease (get better resolution) AND radiation damage decreases (longer specimen lifetime)
- 5. Efficiency of most photographic emulsions decreases
- 6. <u>Quality of image</u> capture by CCD decreases

I.E.1 Choice of Accelerating Voltage What happens as V is increased?

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- 5. Efficiency of most photographic emulsions decreases
- 6. Quality of image capture by CCD decreases
- 7. Electron gun more sensitive to vacuum quality high voltage stability decreases
- 8. Electron gun brightness increases
- 9. Efficiency of screen phosphor increases

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