

CHM 165,265 / BIMM 162 / BGGN 262

Spring 2013

# Lecture Slides

Jan 10, 2013

## Announcements for Jan 10, 2013

Reading assignment for next Tuesday: **Lecture notes pp.39-64**

‘Virtual homework’: **On class web site by tomorrow**

Please fill out and turn in your class roster sheet if you haven't already done so

### Reminders:

Keep your *p-Flasher* sheets readily available during class

Powerpoint lectures posted on Web site will include additional ('hidden') slides not shown during class

# Sample Question

Rearrange the following list of dimensions according to increasing size:

0.15 $\mu\text{m}$	1 $\text{\AA}$
0.3 mm	0.5 nm
0.5 nm	7.5 $\text{\AA}$
1 cm	1 nm
1 $\mu\text{m}$	25 $\text{\AA}$
1 nm	0.15 $\mu\text{m}$
1 mm	400 nm
1 $\text{\AA}$	1 $\mu\text{m}$
7.5 $\text{\AA}$	30 $\mu\text{m}$
10 m	65 $\mu\text{m}$
25 $\text{\AA}$	0.3 mm
30 $\mu\text{m}$	1 mm
65 $\mu\text{m}$	1 cm
400 nm	500 mm
500 mm	10 m







Note: m = meter; cm = centimeter; mm = millimeter;  $\mu\text{m}$  = micron; nm = nanometer;  $\text{\AA}$  = Angstrom

# Class Web Page: Jan 9, 2013



 **Dr. Timothy S. Baker**  
UNIVERSITY OF CALIFORNIA, SAN DIEGO

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## CHEM 165,265 / BIMM 162 / BGN 262 - 3D Electron Microscopy of Macromolecules Winter Quarter 2013

-  [Syllabus \(PDF\)](#)
-  [Book list \(PDF\)](#)
-  [Reference list \(PDF\)](#)
-  [The Bottom Line \(PDF\)](#) -- Key concepts from daily lectures through January 8, 2013

### Lecture Notes

-  • [Sec. IA. Principles of the transmission electron microscope](#) (9.2 MB)
-  • [Sec. IB. Design of the transmission electron microscope](#) (3.5 MB)

### Powerpoint® presentations from lecture (PDFs)

-  • [Introduction to the course](#) January 8, 2013 (48 MB)
-  • [Lecture #1](#) January 8, 2013 (15.5 MB)

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Date Modified: January 9, 2013

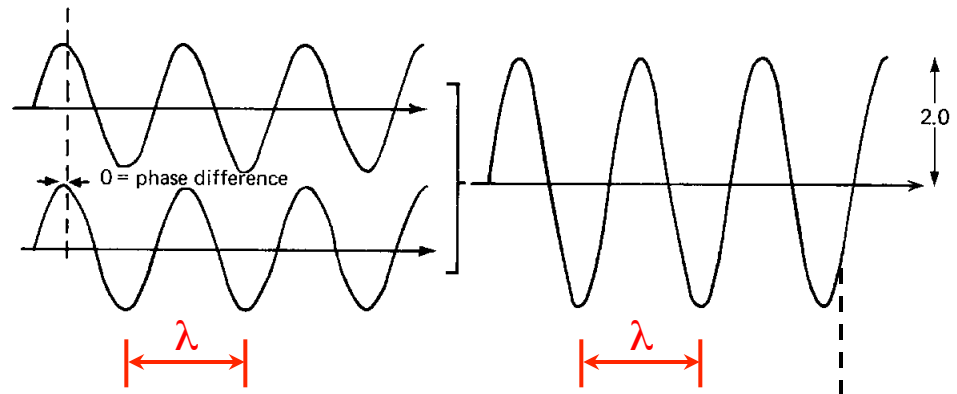
# I.A PRINCIPLES OF TRANSMISSION EM

## KEY CONCEPTS FROM LECTURE #1

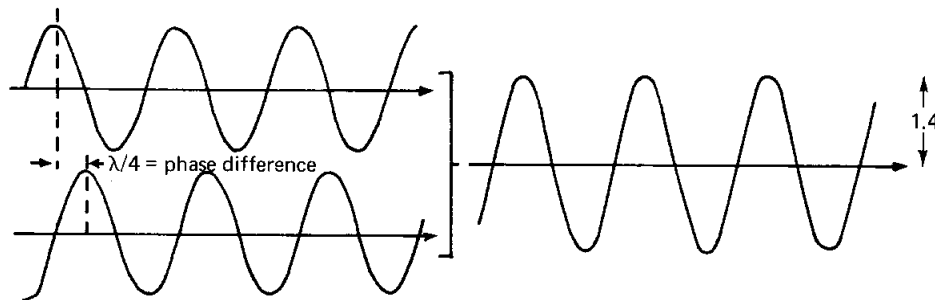
- **Arrangement and function** of components in LMs and TEMs are **similar**
- Photons **and** electrons exhibit properties of **particles AND waves**
- Any **moving** particle has a **wavelength** associated with it (DeBroglie)
- In TEM, electrons travel **very fast** and have **very short** wavelengths
- **Diffraction** occurs when radiation encounters and is bent by 'obstacles'
- **Interference** occurs when diffracted and undiffracted waves combine
- **Ideal** lens: images each **object point** as a **point** in the image plane
- **Real** lens: images each **object point** as an **Airy disk** in the image plane

## I.A.3.c Interference / Diffraction / Coherence

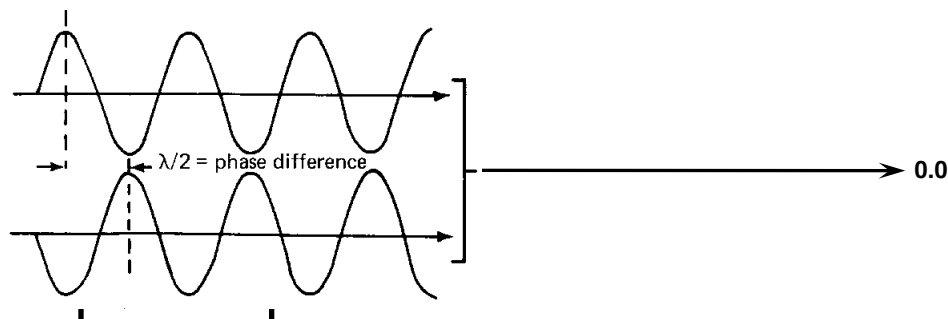
### Effects of waves interfering (combining) with each other



Total **constructive** interference  
“In phase”



Partial **destructive** interference

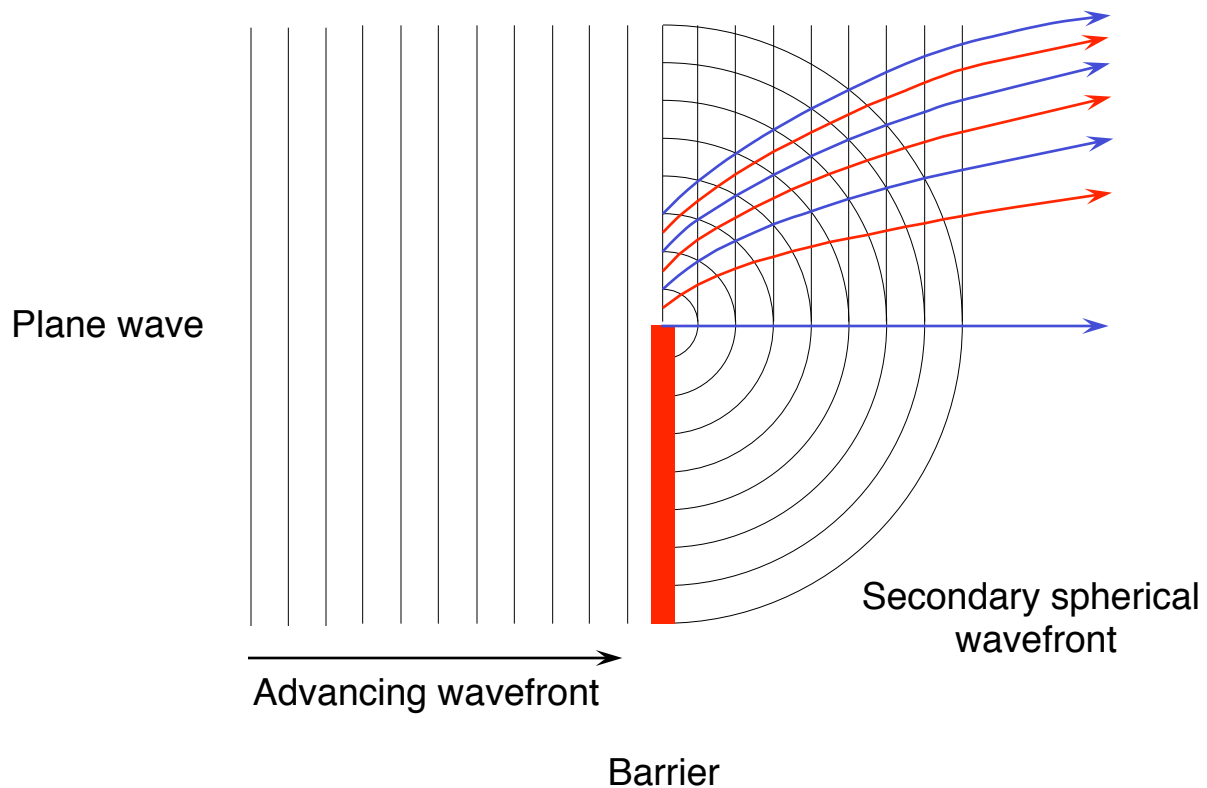


Total **destructive** interference  
“Out of phase”

## I.A.3 Photons/Electrons

### I.A.3.c Interference / Diffraction / Coherence

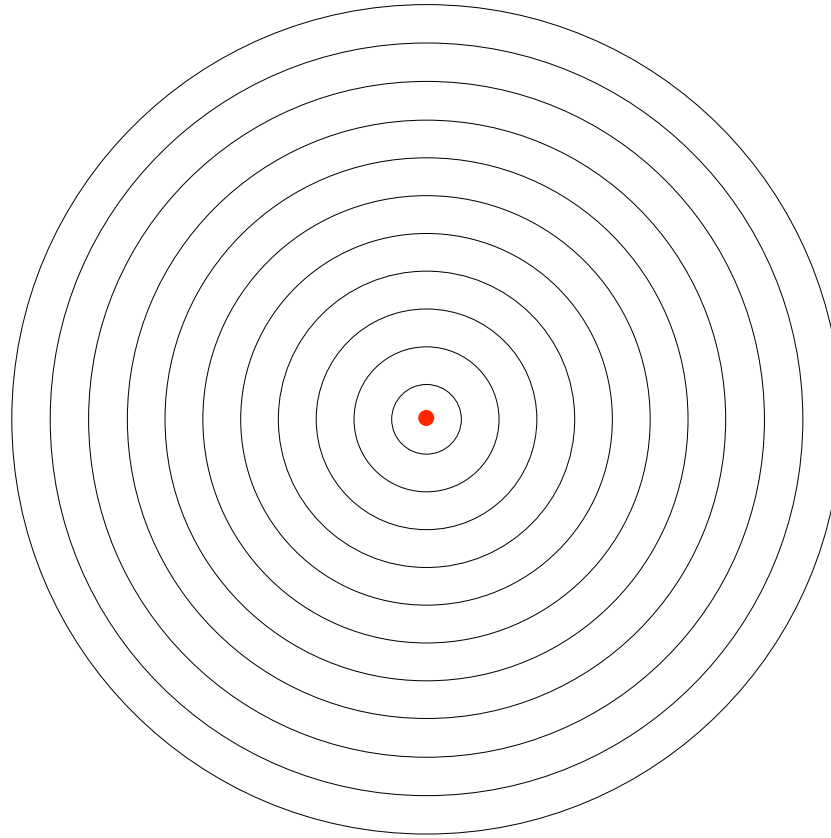
**Diffraction phenomena:** bending of the path of radiation passing close to an obstacle.



## I.A.3 Photons/Electrons

### I.A.3.c Interference / Diffraction / Coherence

#### **Spherical vs. Plane Wave**

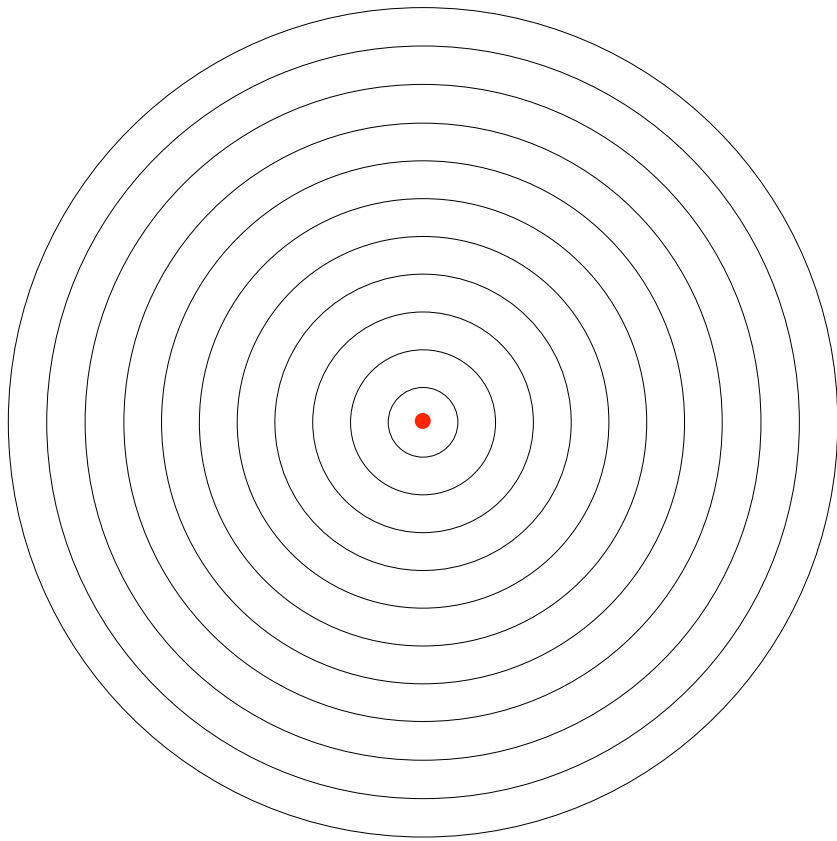




# I.A.3 Photons/Electrons

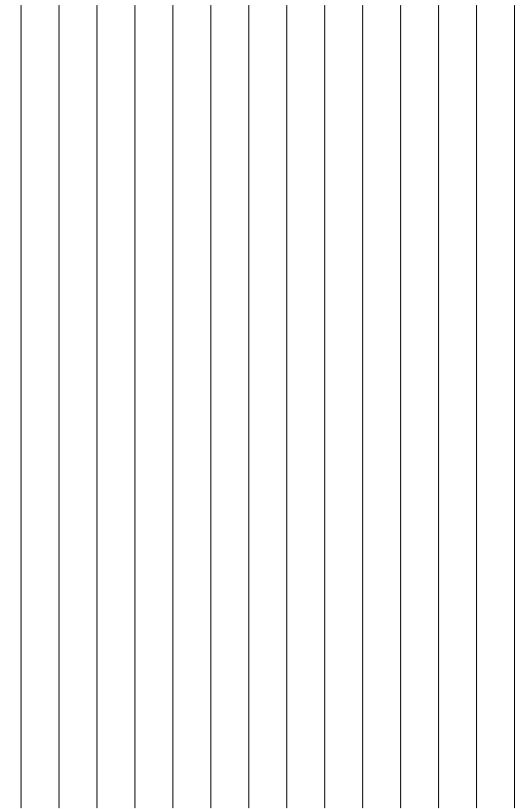
## I.A.3.c Interference / Diffraction / Coherence

### Spherical vs. Plane Wave



Spherical wave

→  
Advancing wavefront  
(at infinite distance)

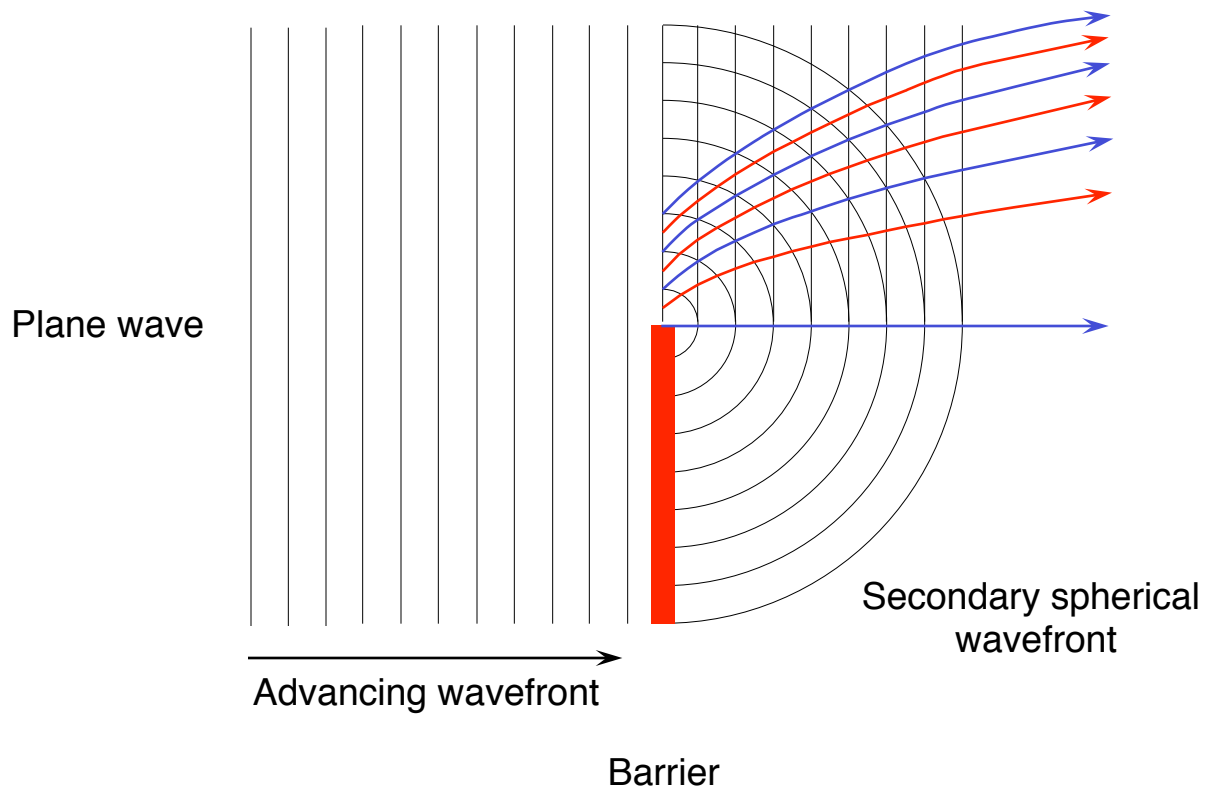


Plane wave

## I.A.3 Photons/Electrons

### I.A.3.c Interference / Diffraction / Coherence

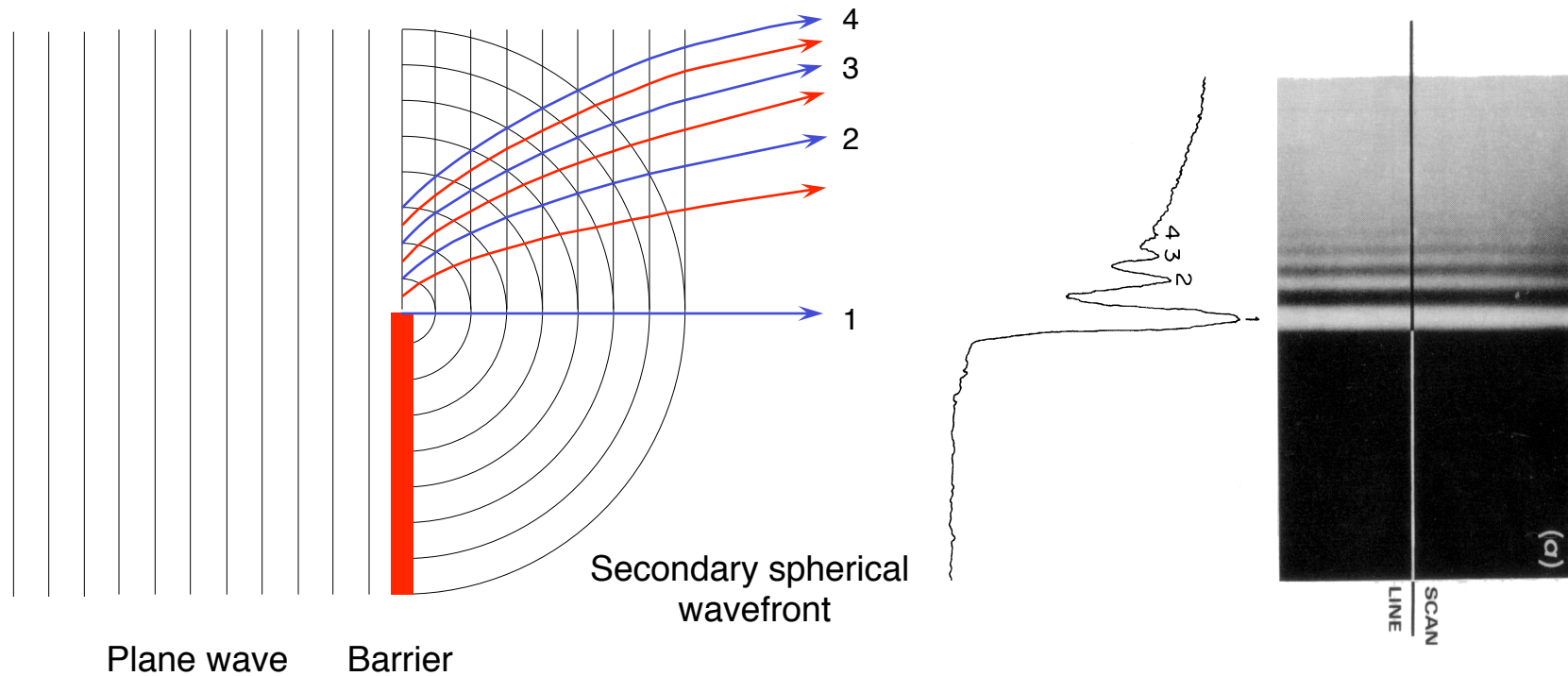
**Diffraction phenomena:** bending of the path of radiation passing close to an obstacle.



# I.A.3 Photons/Electrons

## I.A.3.c Interference / Diffraction / Coherence

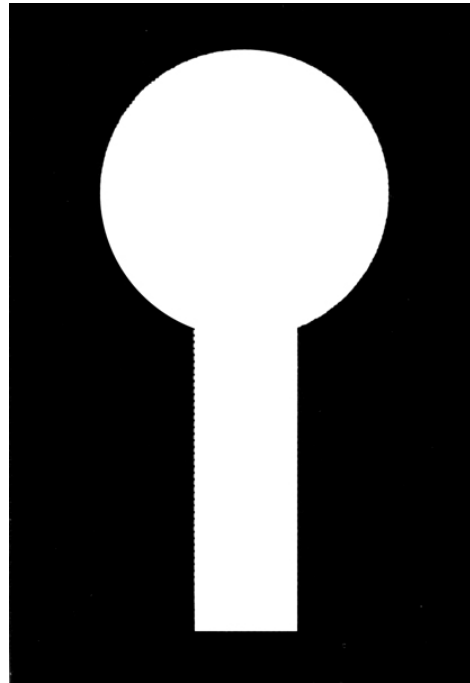
**Diffraction phenomena:** bending of the path of radiation passing close to an obstacle.



## I.A.3 Photons/Electrons

### I.A.3.c Interference / Diffraction / Coherence

**Fresnel diffraction** pattern formed by an irregularly shaped aperture

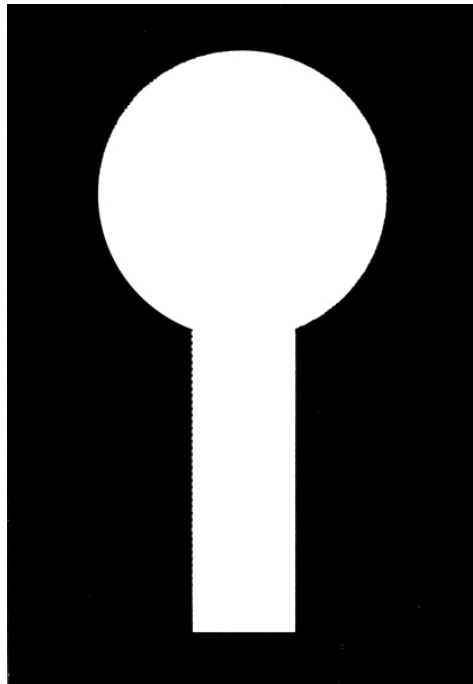


Object

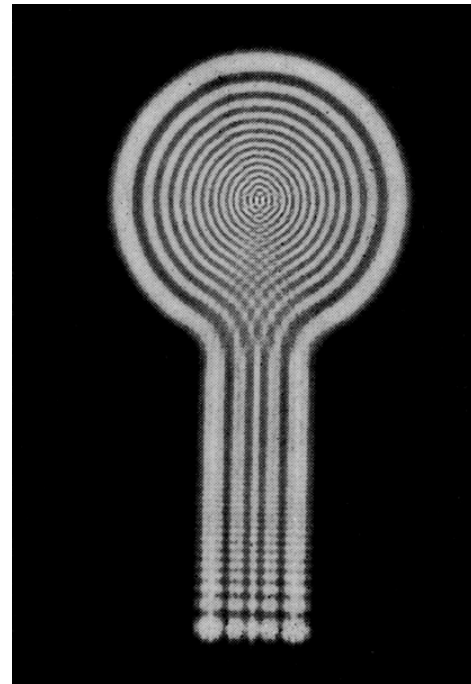
## I.A.3 Photons/Electrons

### I.A.3.c Interference / Diffraction / Coherence

**Fresnel diffraction** pattern formed by an irregularly shaped aperture



Object



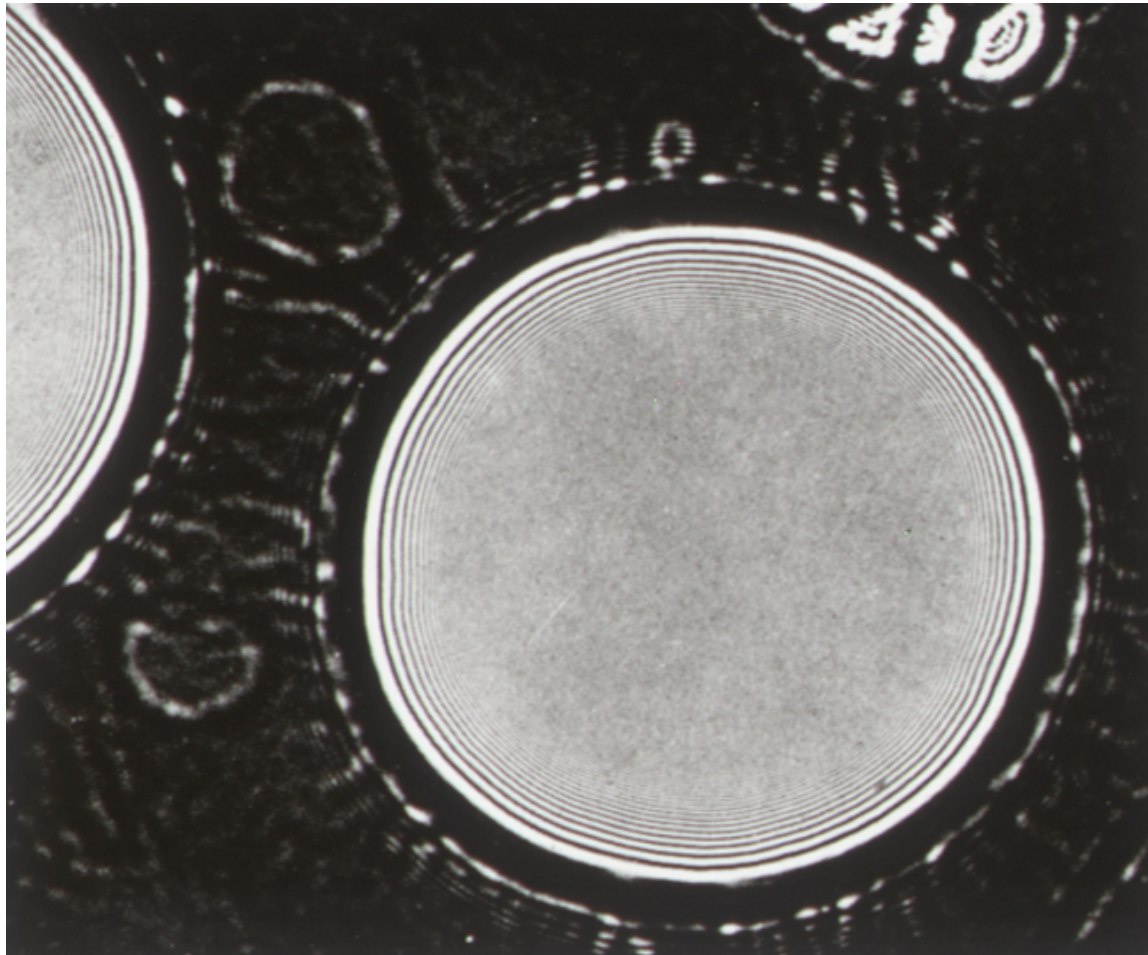
Fresnel Diffraction  
Pattern

Fresnel pattern (on right) results from **interference** between non-diffracted light and all the light diffracted at the edges

## I.A.3 Photons/Electrons

### I.A.3.c Interference / Diffraction / Coherence

Fresnel fringes in holey film imaged with a high coherence electron beam



# Announcement

A laser diffraction demo, showing the relationship between objects and their diffraction patterns, will be presented at the start of the first recitation section on Jan 18<sup>th</sup>.

**Time:** 5:00 – 6:00 pm

**Place:** York Hall 4080A

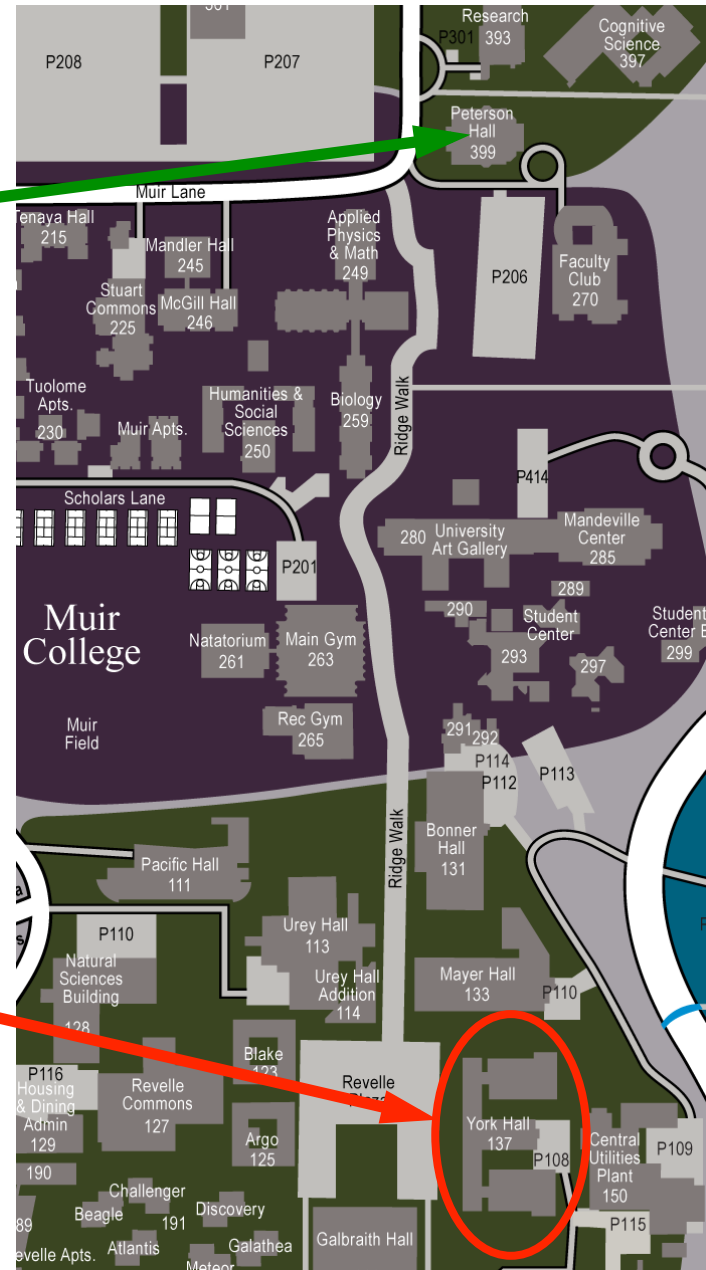
CHM 165,265 / BIMM 162 / BGGN 262

Winter 2013

**Peterson Hall, Room 103; Muir Campus**

Recitation session **NEXT**  
Friday, Jan 18, 2013  
5:00 – 6:00 PM

**York Hall 4080-A  
Revelle Campus**





## I.A.3 Photons/Electrons

### I.A.3.d Resolution

#### Definitions

- **RESOLUTION:**

Ability to distinguish closely spaced points as separate points

- **RESOLUTION LIMIT:**

Smallest separation of points that can be recognized as distinct

- **RESOLVING POWER:**

Resolution achieved by a particular **instrument** under optimum viewing conditions

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

#### Distinction between Resolution and Resolving Power

**Resolving power:** Property of the **instrument**

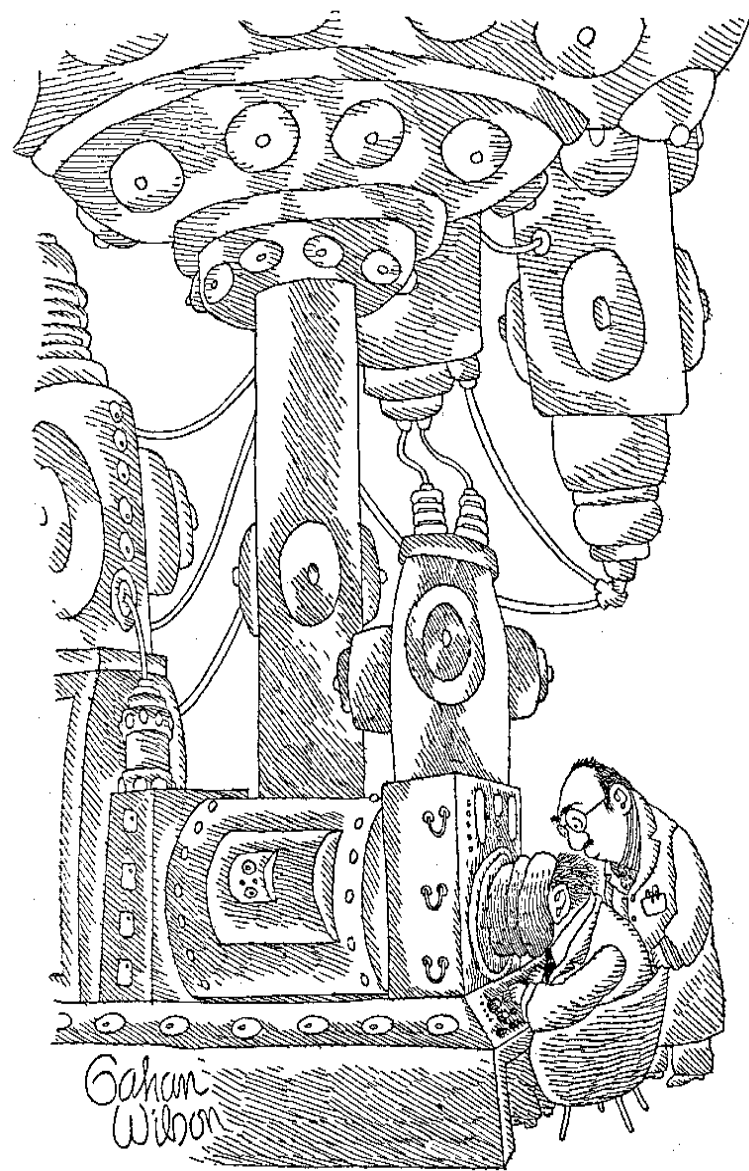
May be estimated on theoretical principles

**Resolution:** always  $\leq$  resolving power

Quantity observed under any given set of experimental conditions

In the TEM, resolution achieved with **biological samples** is nearly always **considerably WORSE** than the **theoretical** instrument resolving power

# Microscopy: The science of seeing the very small

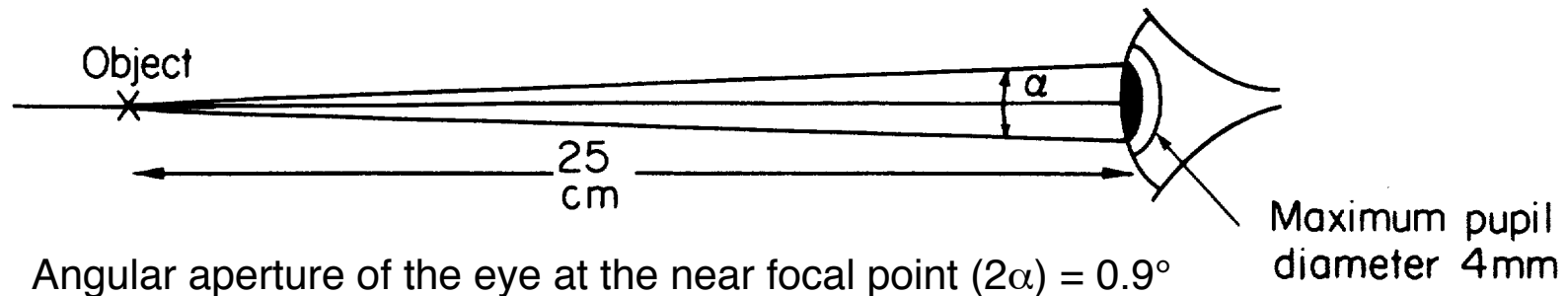


*"I think I may have spotted something!"*

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

**Microscopy: The science of seeing the very small**



### Under ideal conditions:

Eye can focus on objects as close as  $\sim 250$  mm

Smallest object or detail we can resolve is about 0.07 mm ( $70 \mu\text{m}$ )

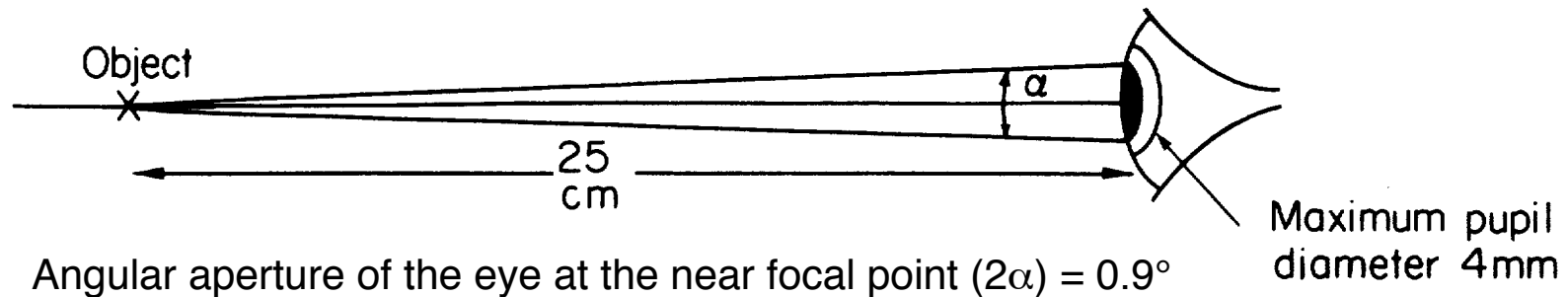
### What causes this limitation?

- Size of receptors in the retina
- Small angular aperture of eye
- How close the object can be placed to the eye

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

#### Tennis Ball Analogy (an aside)

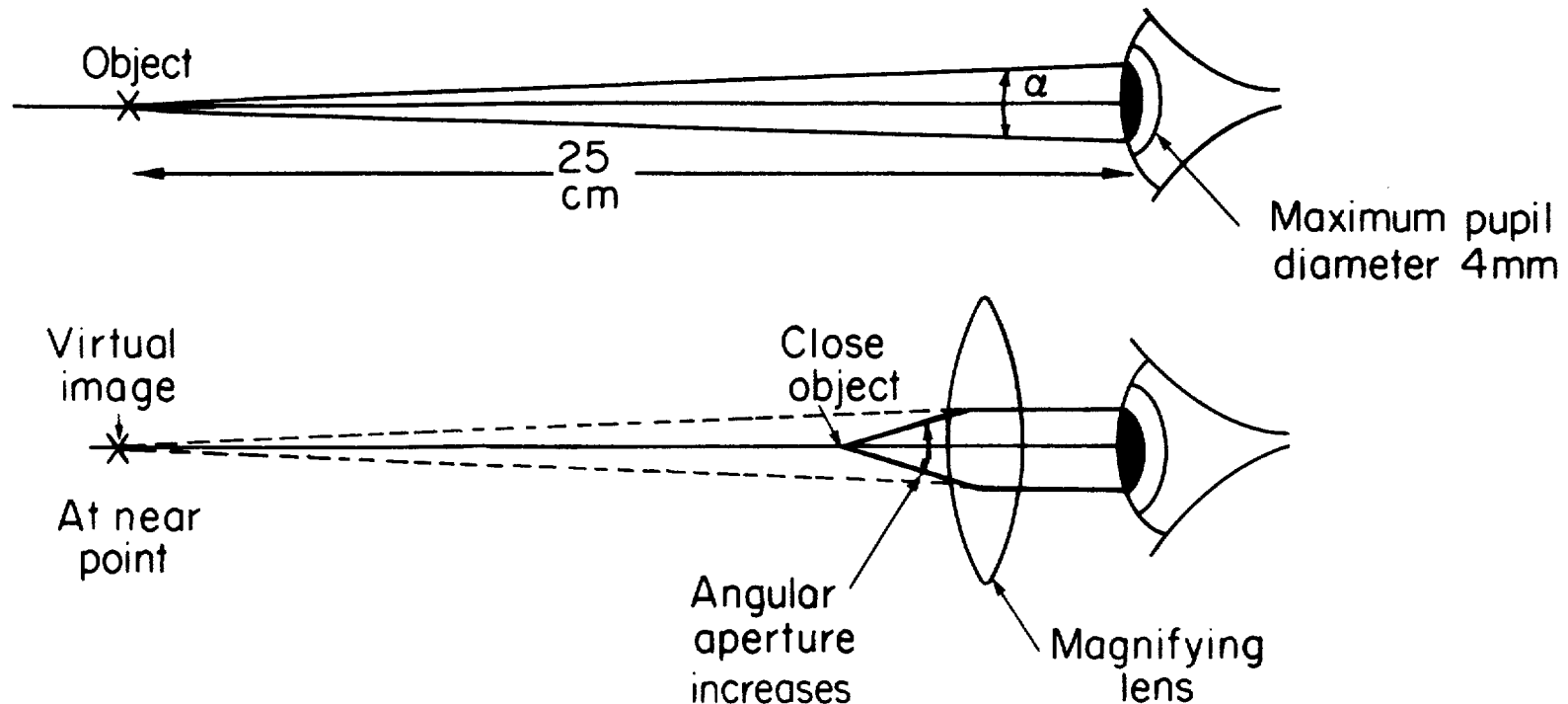


- Eye can resolve a 3 cm size object at a distance of 100 meters
- Thus, a tennis ball (~6 cm diameter) is clearly visible (*i.e.* resolvable) at that distance

But.....it's not **JUST** a question of resolution...

## I.A.3 Photons/Electrons

### I.A.3.d Resolution



**A single biconvex lens (a very simple “microscope”):**

Allows us to **bring objects closer** to the eye

**Increases the angular aperture** of the eye+lens (gather more info)

**Magnifies the image** falling on the retina

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

#### Abbe Simple Criteria of Resolution



- **Wave nature** of radiation (photons or electrons) poses **ultimate limits** on the size of details that can be resolved
- **Theory:** smallest resolvable object feature has a dimension  **$\sim 1/2$  the wavelength** of radiation used
- **Abbe Rule:**  $1/2$  the wavelength of the radiation used determines the **ultimate resolving power** of **any** instrument

This theory applies for **photon and electron** waves

## I.A.3 Photons/Electrons

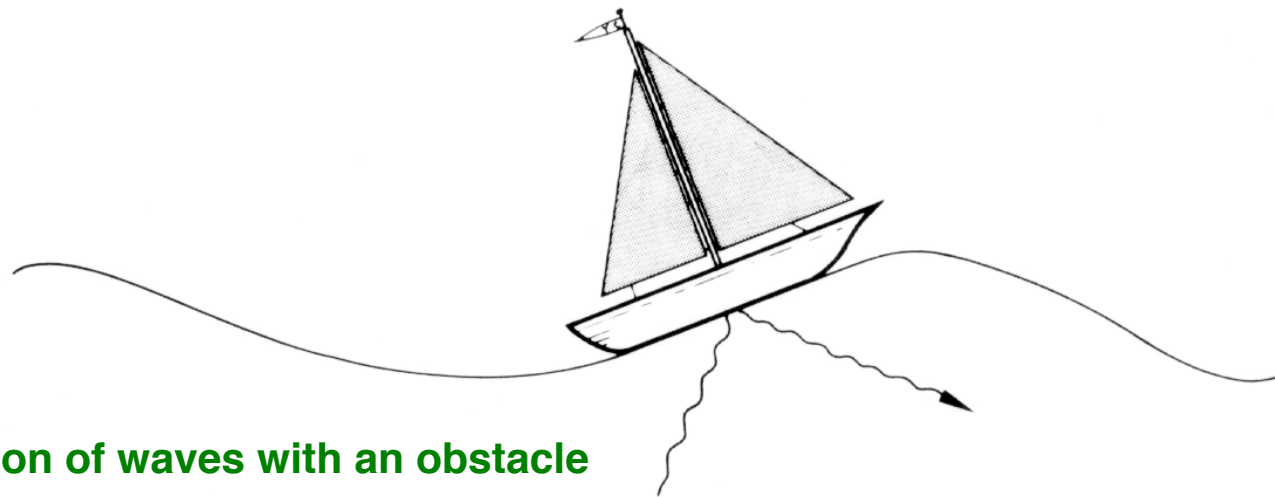
### I.A.3.d Resolution

## Abbe Simple Criteria of Resolution



Ernst Abbe  
(1840-1905)

**Abbe Rule:**  $1/2$  the wavelength of the radiation used is the **ultimate resolving power** of any instrument



Interaction of waves with an obstacle

An object (or detail in an object) can only be detected (“seen”) with radiation whose wavelength is **comparable to or smaller than** the size of the object



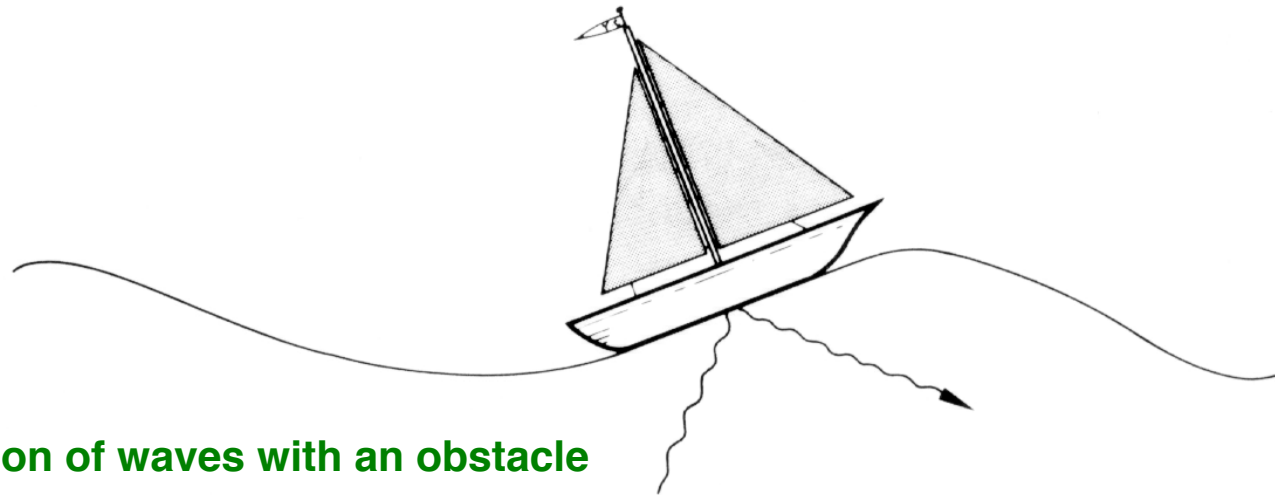


## I.A.3 Photons/Electrons

### I.A.3.d Resolution

## Abbe Simple Criteria of Resolution

**Abbe Rule:**  $1/2$  the wavelength of the radiation used is the **ultimate resolving power** of any instrument



Interaction of waves with an obstacle

Observer who wishes to detect the presence of an object without actually seeing it directly (*e.g.* at night or beyond the horizon) can do so only by sending out waves with wavelengths **comparable to or smaller than** the size of the object, which will be reflected back to and detected by the observer.

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

#### **Magnification Limits**

**Maximum useful magnification** of an instrument is **limited** by the wavelength of the radiation used for imaging

***“In theory”*** (always suspicious words - see lecture notes for details):

**LM: 1000X**

**TEM: 100,000,000X**

**In reality:**

**LM: 1000X**

**TEM: <1,000,000X**

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

### **Magnification Limits**

#### **Good News / Bad News:**

LM nearly perfectly **obeys** Abbe's Simple Rule

TEM falls **way way way** short



**“Why is this?”**

Main limitation in achieving the theoretical resolving power in a **TEM** concerns the:

- **Nature of the imaging lenses**
- **Nature of the image formation process**

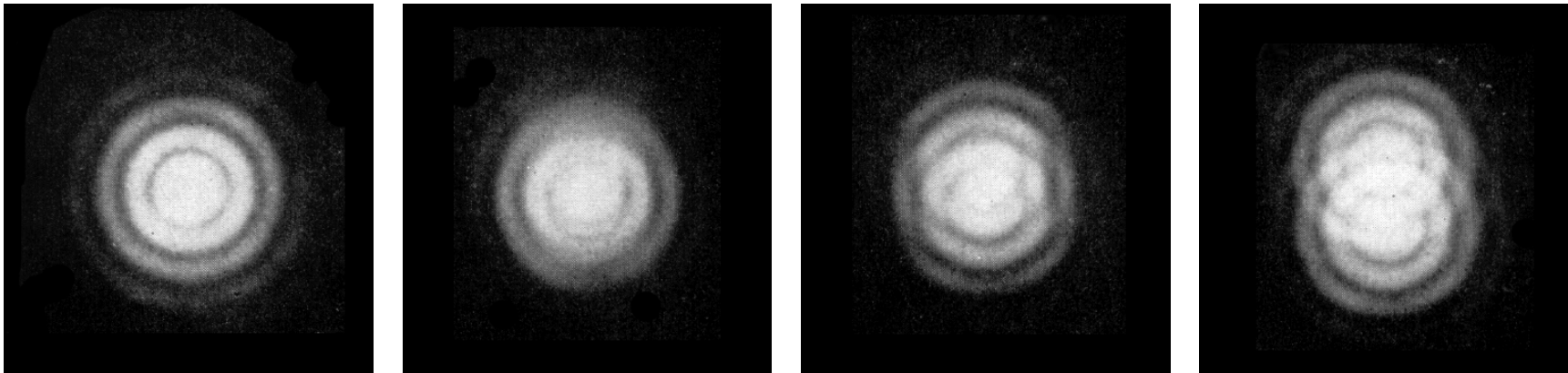
## I.A.3 Photons/Electrons

### I.A.3.d Resolution

### Rayleigh Criterion



Lord Rayleigh  
1842-1919



The shortest distance between 2 Airy disks at which the two disks appear partially separated corresponds to about  $1/2$  the width of the disks

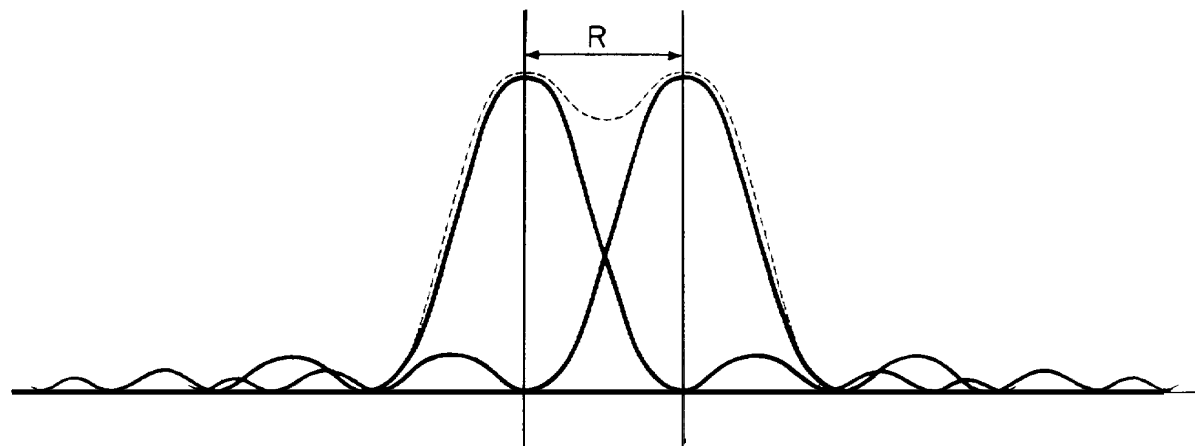
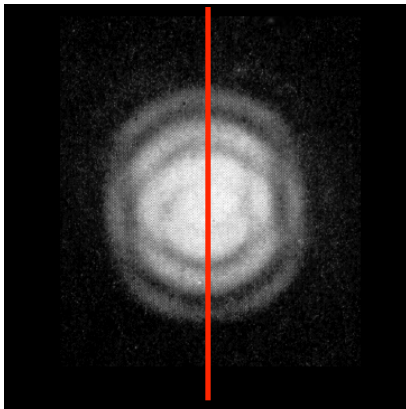
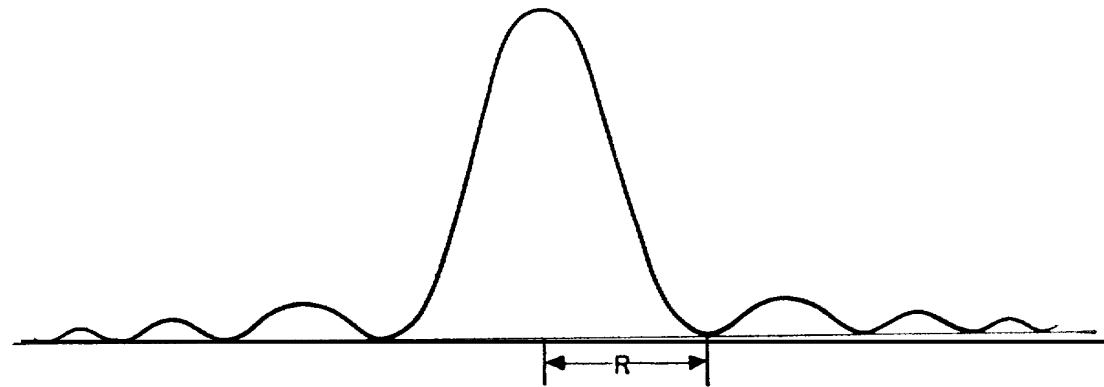
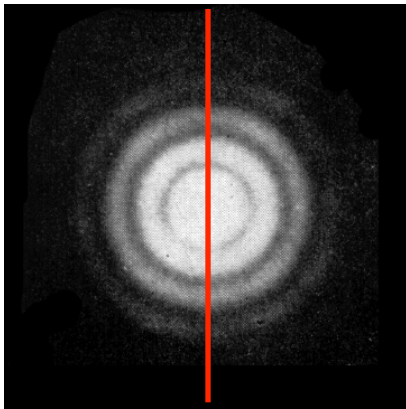
# I.A.3 Photons/Electrons

## I.A.3.d Resolution

### Rayleigh Criterion



Lord Rayleigh  
1842-1919



## I.A.3 Photons/Electrons

### I.A.3.d Resolution

### Rayleigh Criterion



Lord Rayleigh  
1842-1919

The **shortest distance** between two Airy disks at which they appear partially separated corresponds to about 1/2 the width of the disks

The distance,  $d$ , in **object space** is given by the **Abbe Equation**:

$$d = \frac{0.612\lambda}{n \cdot \sin \alpha}$$

$\lambda$  = wavelength of the radiation

$n$  = refractive index of the media

$\alpha$  = lens semi-angular aperture

Note:  $n \sin \alpha$  = lens numerical aperture (N.A.)

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

### Rayleigh Criterion



Lord Rayleigh  
1842-1919

$$d = \frac{0.612\lambda}{n \cdot \sin \alpha}$$

To maximize **resolving power** (*i.e.* aim to get **d** as small as possible),  $\lambda$  must be decreased,  $n$  increased, or  $\alpha$  increased

	$n$	$\sin \alpha$	$\lambda^*$	$d$
LM	1.5	0.87	400 nm	$\sim 0.2 \mu\text{m}$
TEM				

\*  $\lambda = 400 \text{ nm}$  for violet light

## I.A.3 Photons/Electrons

### I.A.3.d Resolution

### Rayleigh Criterion



Lord Rayleigh  
1842-1919

$$d = \frac{0.612\lambda}{n \cdot \sin \alpha}$$

To maximize **resolving power** (*i.e.* aim to get **d** as small as possible),  $\lambda$  must be decreased,  $n$  increased, or  $\alpha$  increased

	$n$	$\sin \alpha$	$\lambda^*$	$d$
LM	1.5	0.87	400 nm	$\sim 0.2 \mu\text{m}$
TEM	1.0	0.01	0.0037 nm	0.23 nm

\*  $\lambda = 400 \text{ nm}$  for violet light  
= 0.0037 nm for 100kV electrons



# Take home message:

Resolving power in a TEM falls far short of the theoretical limit imposed by the wavelength of the moving electrons.....

.....*mainly because*, the semi-angular aperture ( $\alpha$ ) in a TEM is very small



# Take home message:

Resolving power in a TEM falls far short of the theoretical limit imposed by the wavelength of the moving electrons.....

Nonetheless, the TEM still far outperforms the light microscope



# § I: The Microscope

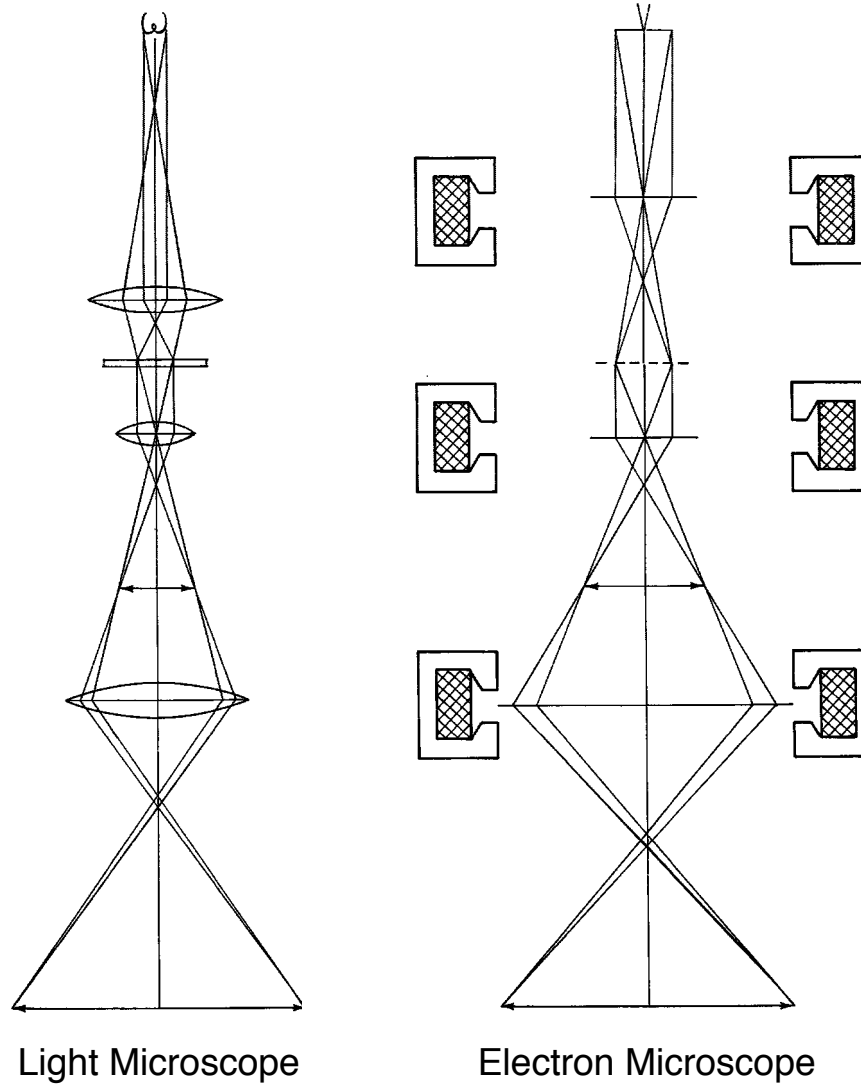
I.A Principles of TEM

I.A.4 Optics (Lens Theory)

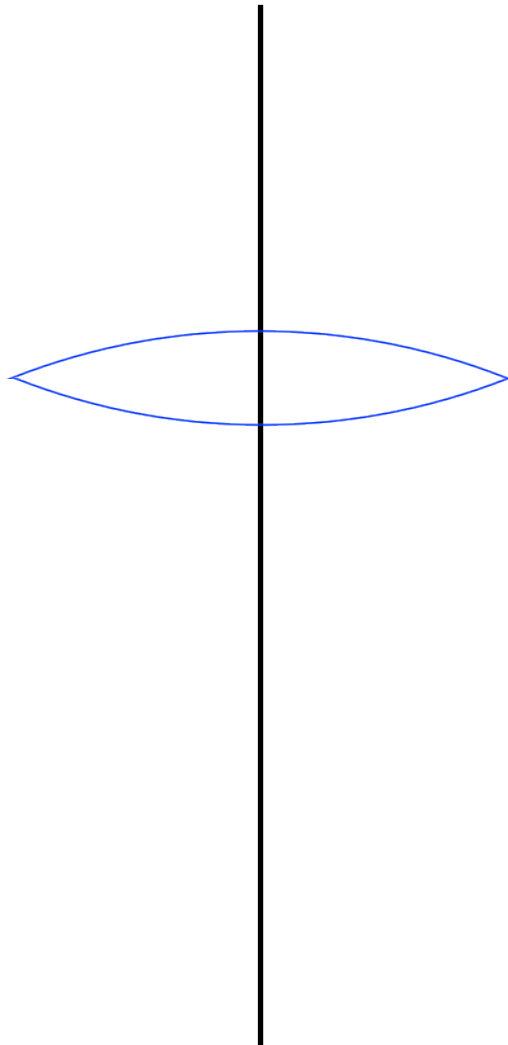
(pp.17-25 of lecture notes)

## I.A.2 Comparison of Light and Electron Microscope

Similar arrangement and function of components

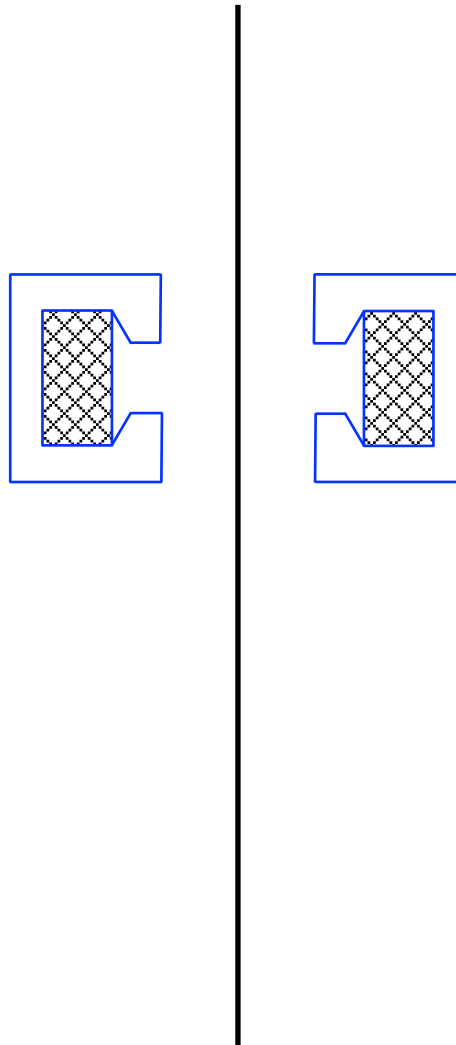


Glass lens  
(Electromagnetic lens)



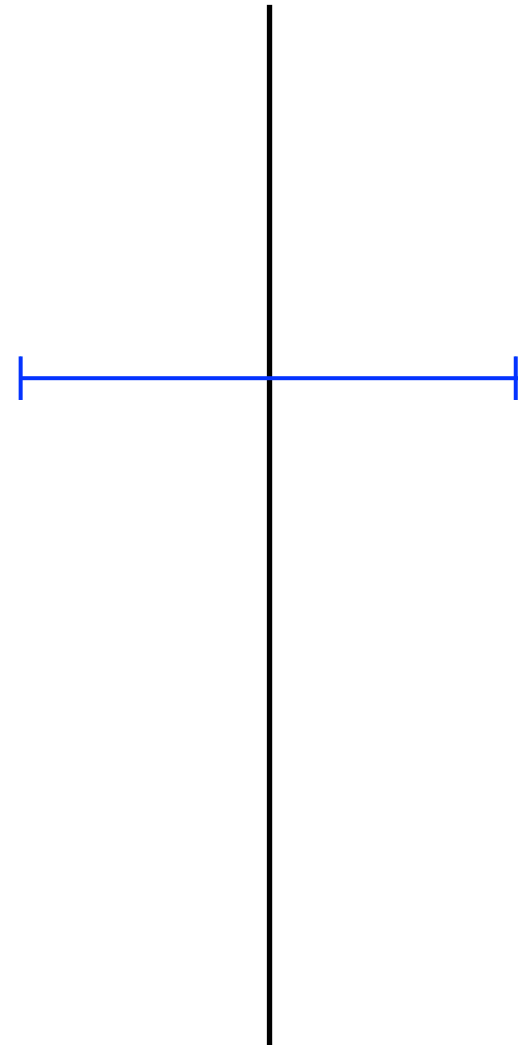
Principal axis

Electromagnetic lens

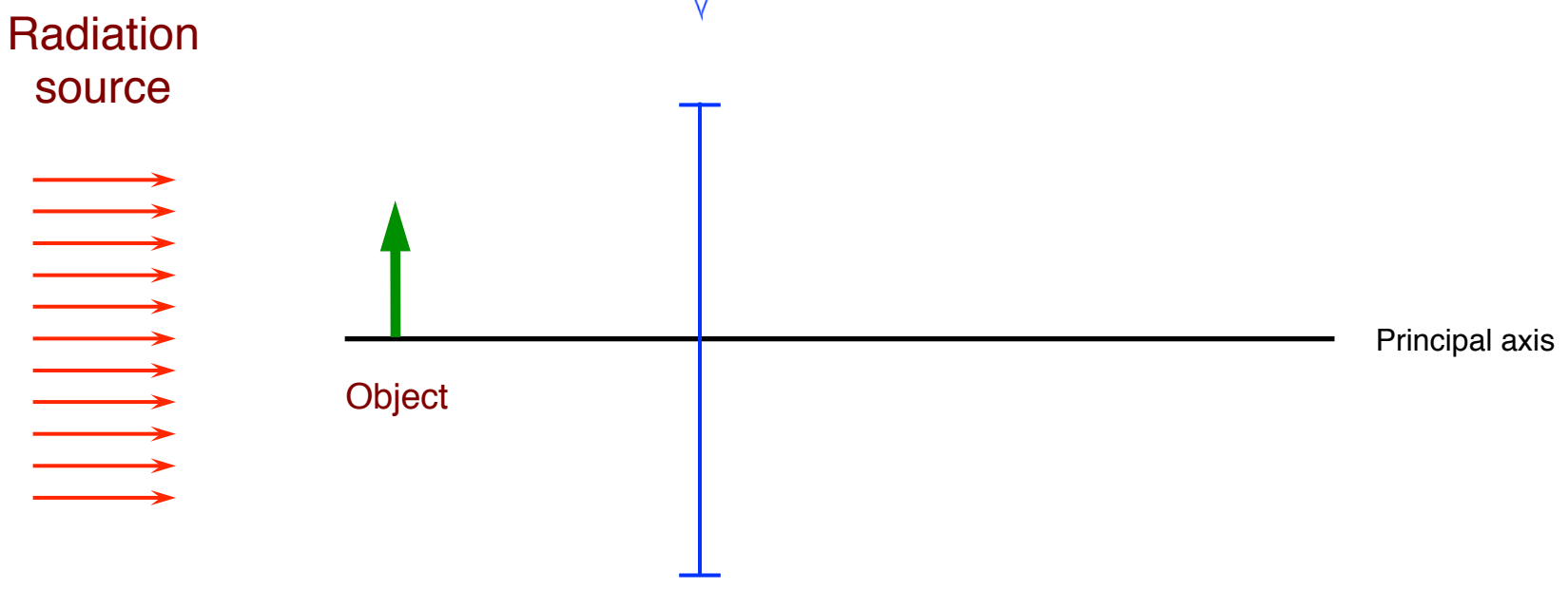
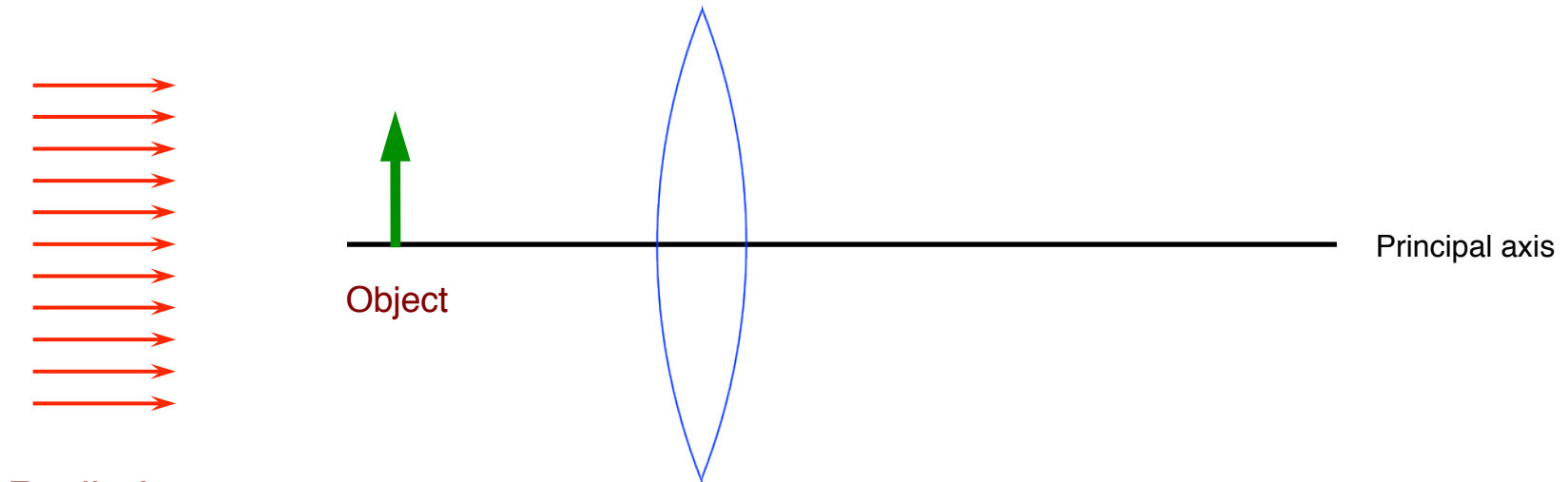


Principal axis

“Lens”



Principal axis



## I.A.4 Optics (Lens Theory)

### I.A.4.a Basic Laws of Classical Geometrical Optics

- 1. Rectilinear propagation of light**
- 2. Law of reflection**
- 3. Law of refraction (Snell's Law)**
- 4. Independence of rays**

**Note:** “Geometrical Optics” ignore the wave properties of the radiation.

## I.A.4 Optics (Lens Theory)

### I.A.4.a Basic Laws of Classical Geometrical Optics

#### 1. Rectilinear Propagation of Light

(when refractive index,  $n$ , is constant)

$$n = \frac{c}{v}$$

$n$  = refractive index

$c$  = speed of **light** in a vacuum ( $3 \times 10^{10}$  cm/sec)

$v$  = speed of **light** in the medium

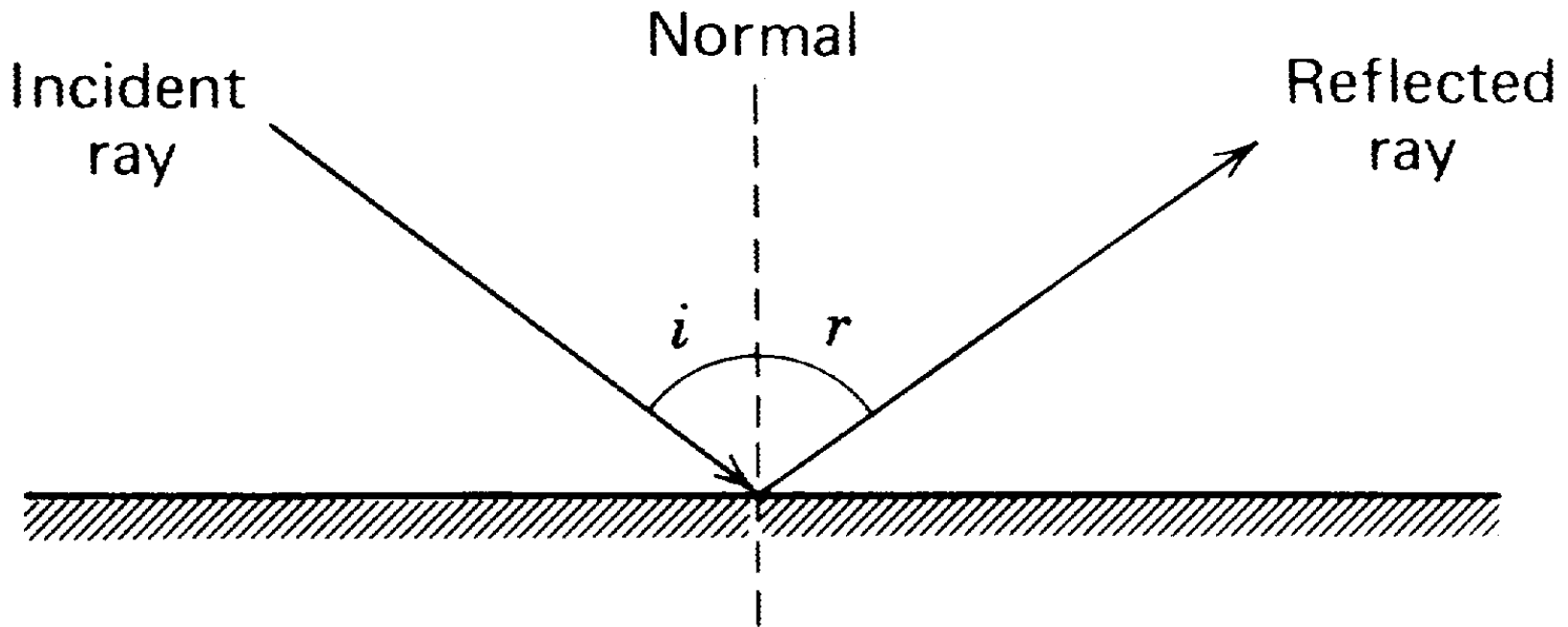


## I.A.4 Optics (Lens Theory)

### I.A.4.a Basic Laws of Classical Geometrical Optics

#### **2. Law of Reflection**

$$(i = r)$$



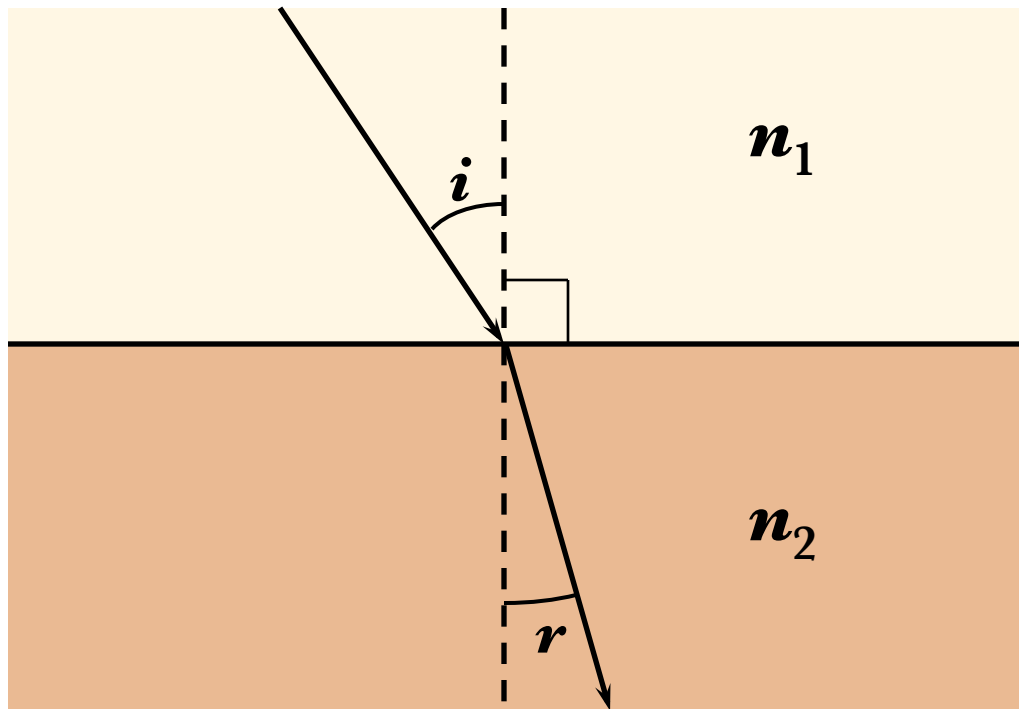
## I.A.4 Optics (Lens Theory)

### I.A.4.a Basic Laws of Classical Geometrical Optics



Willebrord Snell  
1580-1626

### 3. Law of Refraction (Snell's Law)



$$\frac{\sin(i)}{\sin(r)} = \frac{n_2}{n_1}$$

## I.A.4 Optics (Lens Theory)

### I.A.4.a Basic Laws of Classical Geometrical Optics

#### 4. Independence of Rays

#### **Assumption:**

**Light** rays travel independently through space

## I.A.4 Optics (Lens Theory)

### I.A.4.a Basic Laws of Classical Geometrical Optics

## What about *electrons*?

- 1. Rectilinear propagation of light**
- 2. Law of reflection**
- 3. Law of refraction (Snell's Law)**
- 4. Independence of rays**

Except for #4, these laws hold for electrons

However, even #4 holds for electrons (except under extreme conditions: [see p.17 of lecture notes](#)).

## I.A.4 Optics (Lens Theory)

### I.A.4.c Geometrical and Physical Optics

**Design and operation** of LMs and TEMs governed by fundamental principles of optics (**identical** in LM and TEM)

Both use “refractile elements” (lenses) to form magnified images

Optics in TEM and LM differ in two ways:

- Radiation used (electrons vs. photons)
- Radiation is bent or refracted differently

## I.A.4 Optics (Lens Theory)

### I.A.4.c Geometrical and Physical Optics

**GEOMETRICAL OPTICS: Ideal World**

**PHYSICAL OPTICS: Real World**

## *p-Flasher* Question

Which of these gives the most realistic estimate of the resolving power of an optical instrument?

- A. Size of rod and cone receptors in the retina
- B. Abbe Simple Rule:  $\frac{1}{2}$  the wavelength of the radiation being used
- C. Rayleigh Criterion: depends on wavelength of radiation and numerical aperture of the lens
- D. Distance between object and lens

## I.A.4 Optics (Lens Theory)

### I.A.4.c Geometrical and Physical Optics

**GEOMETRICAL OPTICS: Ideal World**

**PHYSICAL OPTICS: Real World**



## I.A.4 Optics (Lens Theory)

### I.A.4.c Geometrical and Physical Optics

#### **GEOMETRICAL OPTICS: Ideal World**

- Studies the paths followed by **rays** of light or electrons through lenses and apertures

**Definition of 'ray' : Infinitely thin** beam

- Uses **geometrical** constructions to find the relative positions and sizes of objects and their images

## I.A.4 Optics (Lens Theory)

### I.A.4.c Geometrical and Physical Optics

**GEOMETRICAL OPTICS: Ideal World**

**PHYSICAL OPTICS: Real World**

- 'Rays' are really just a useful abstraction

Rays don't physically exist

**Diffraction** occurs instead (due to wave nature of light and electrons)

- Interference and diffraction phenomena:

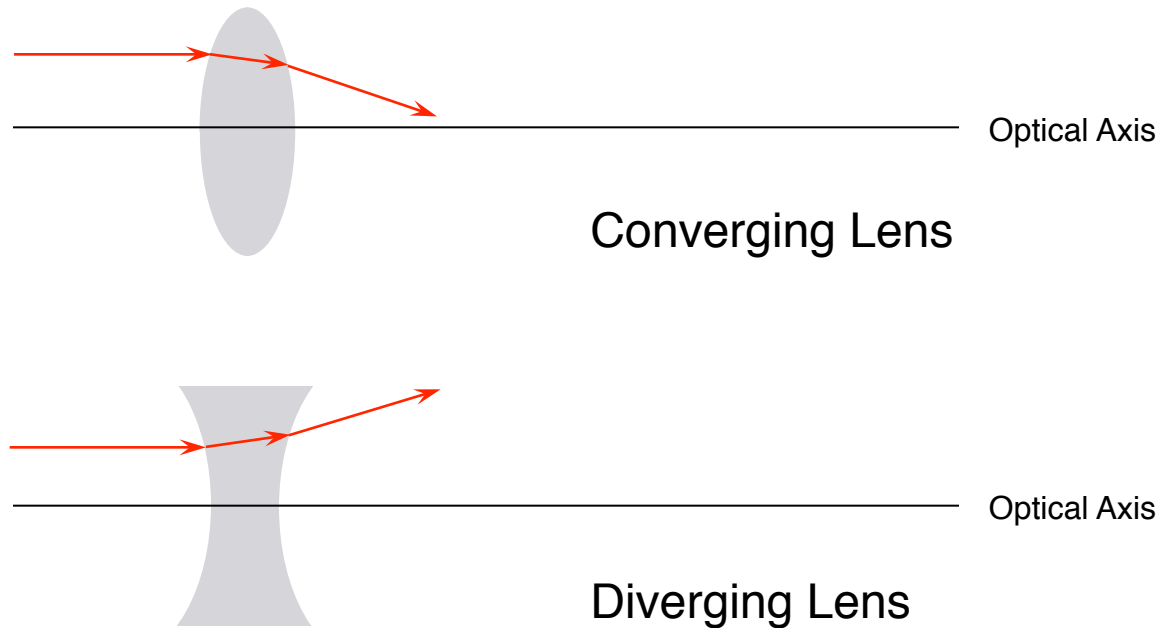
Can't be explained in simple geometrical terms

Can be derived from principles of physical optics

## I.A.4 Optics (Lens Theory)

### I.A.4.d Ideal vs. Real Lenses

**Lenses:** used to **bend** light or electrons in a **predictable** way



## I.A.4 Optics (Lens Theory)

### I.A.4.d Ideal vs. Real Lenses

**Lenses:** used to **bend** light or electrons in a **predictable** way

Properties of an **ideal** lens with an axis of rotational symmetry:

1. Each ray of the bundle of rays that passes from an object point is refracted by an ideal lens to meet in one image point
2. Rays originating from points that lie on a plane perpendicular to the axis, must be imaged in a plane that is also perpendicular to the axis
3. The image appears like the object irrespective of magnification (relative linear dimensions of object preserved in the image)

See p.18 of the lecture notes

## I.A.4 Optics (Lens Theory)

### I.A.4.d Ideal vs. Real Lenses

What about the “**real world**” (*i.e.* real lenses)?

Imaging by a **real** lens differs from that of an **ideal** lens

**Each object point** is represented in the **image plane** by an **Airy disc**

(Recall: this is caused by the wave properties of light and electrons)

## I.A.4 Optics (Lens Theory)

### I.A.4.d Ideal vs. Real Lenses

#### **REAL LENSES**

Glass (light) verses electromagnetic (electron) lenses:

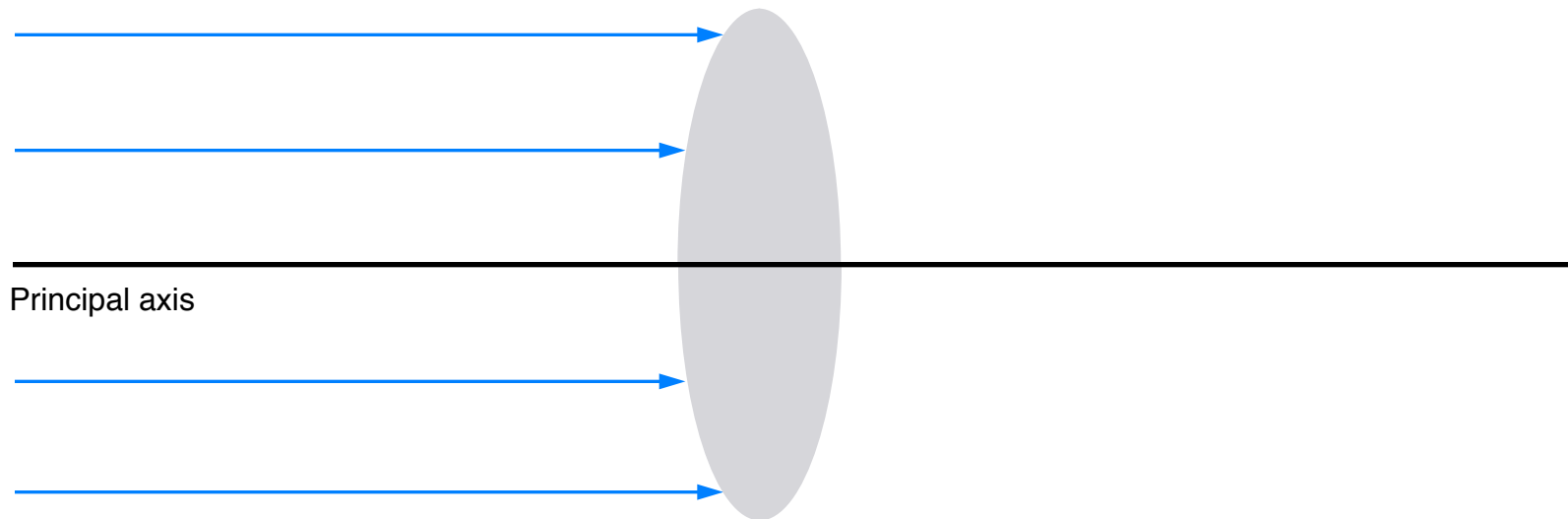
- **Photons** follow **straight line** trajectories and **bend sharply** at glass surfaces
- **Electrons** follow **spiral** trajectories through magnetic fields, where refraction is **continuous**

## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

1. All rays entering a converging lens **parallel to the lens axis** are brought to a common point on the axis, the **focal point**

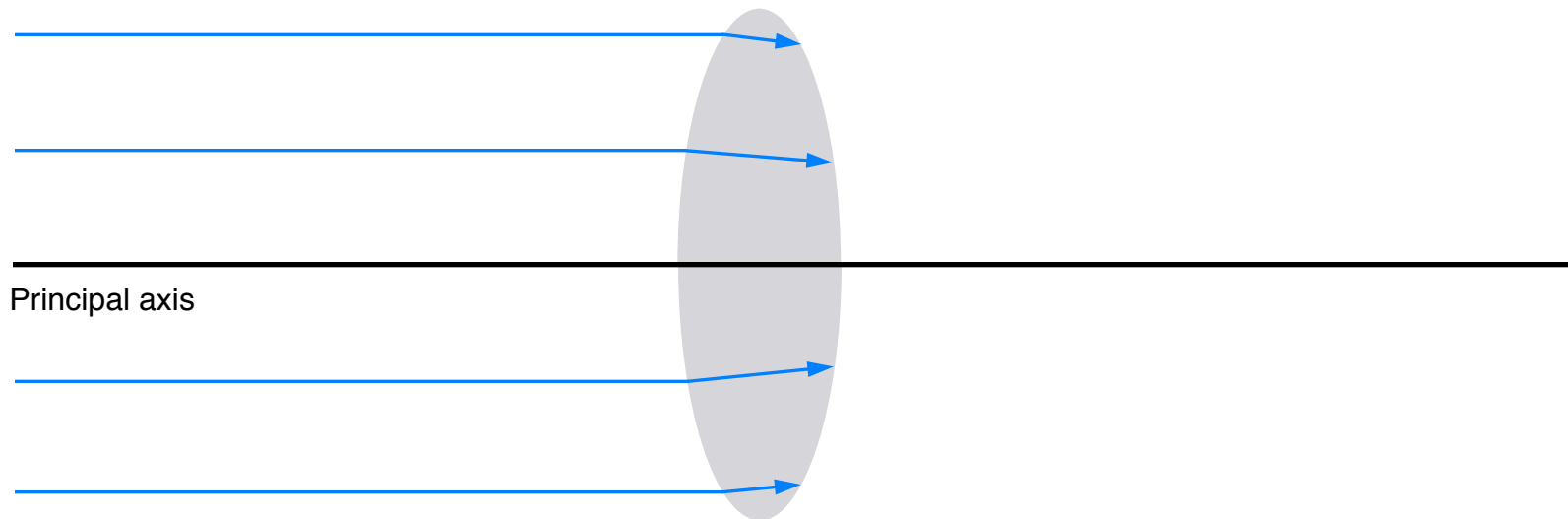


## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

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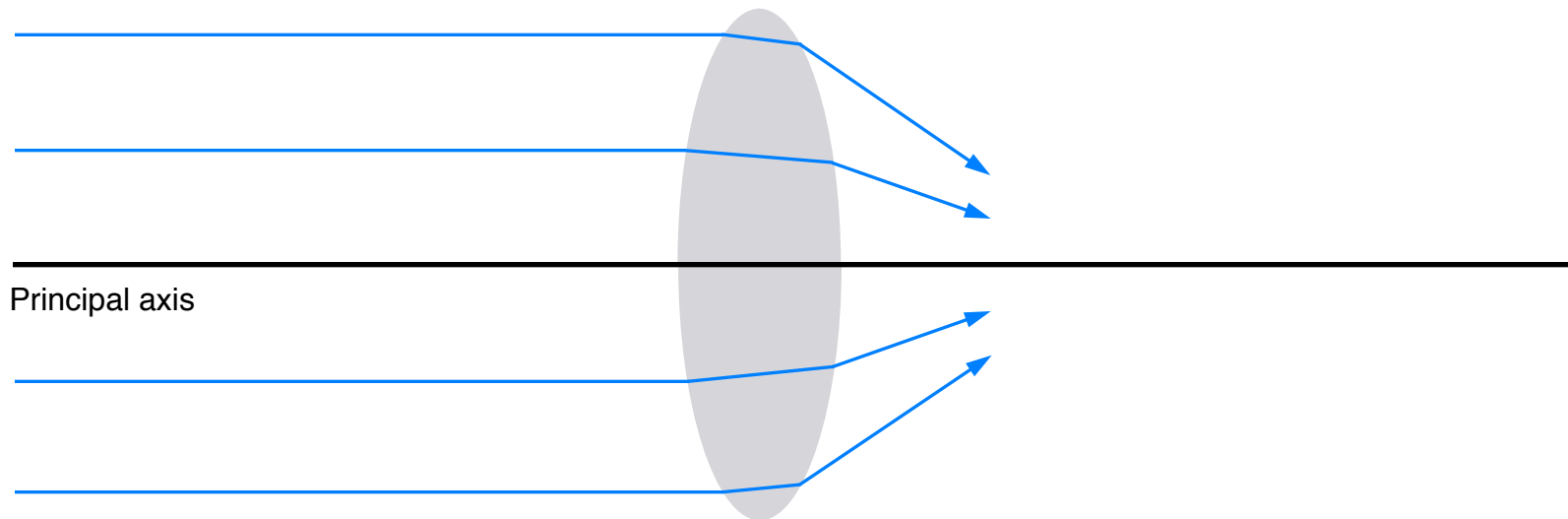


## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

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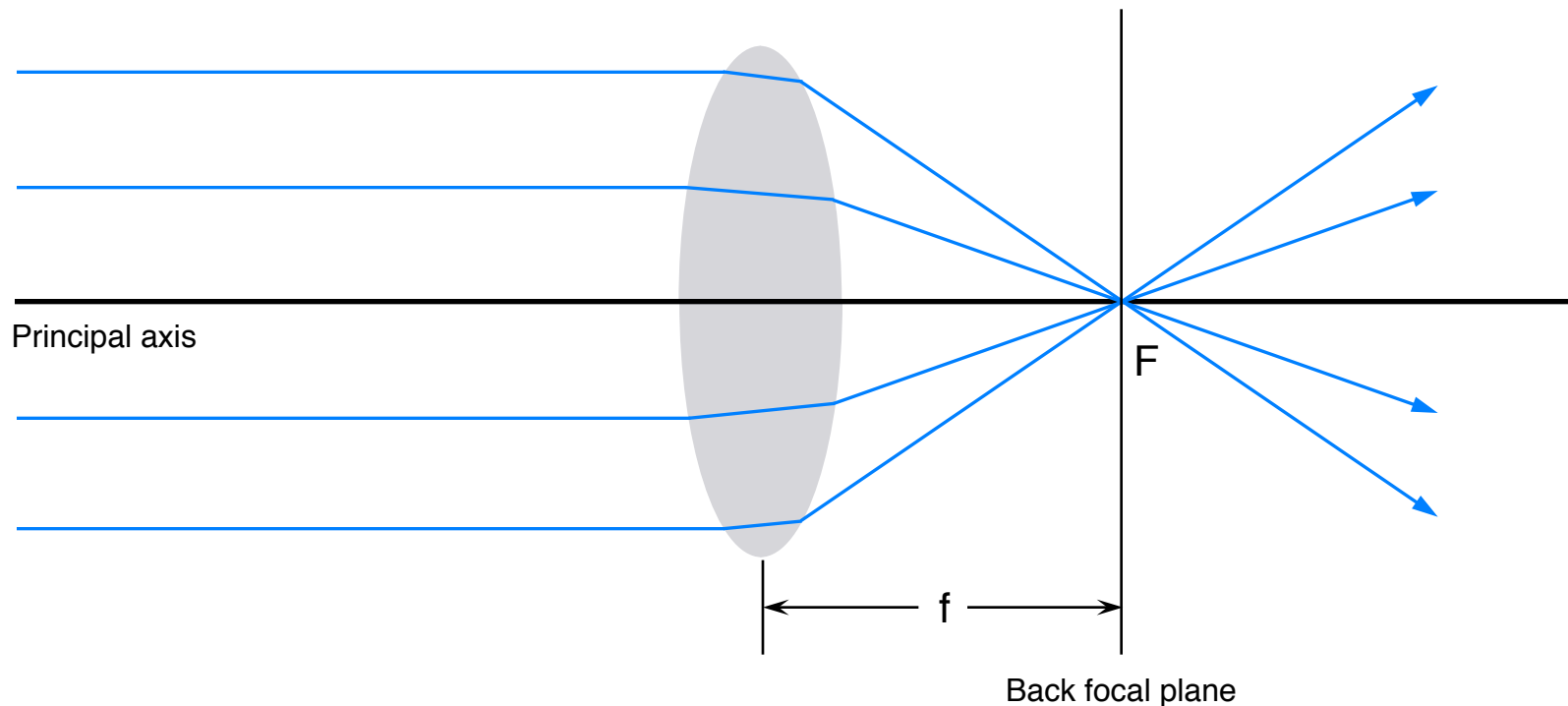


## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

1. All rays entering a converging lens **parallel to the lens axis** are brought to a common point on the axis, the **focal point**

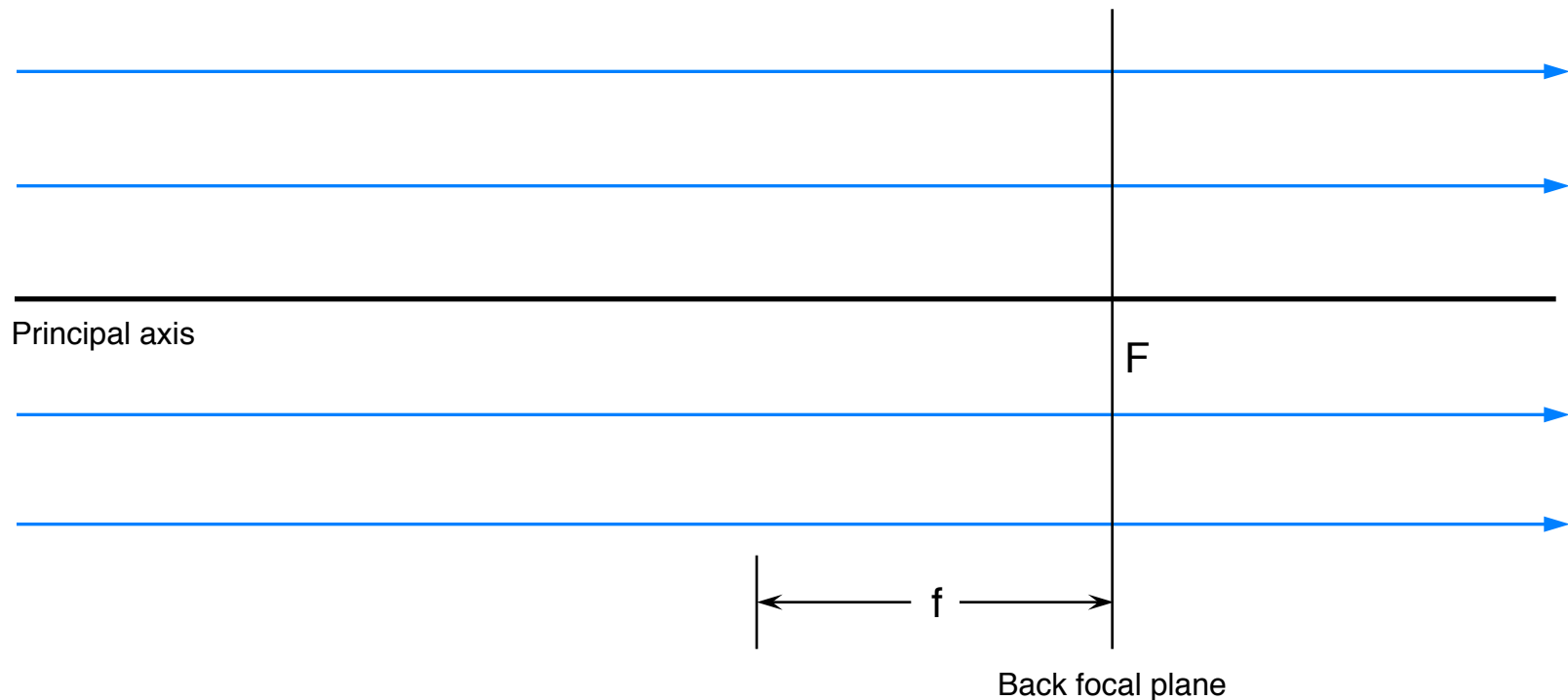


## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

1. All rays entering a converging lens **parallel to the lens axis** are brought to a common point on the axis, the **focal point**

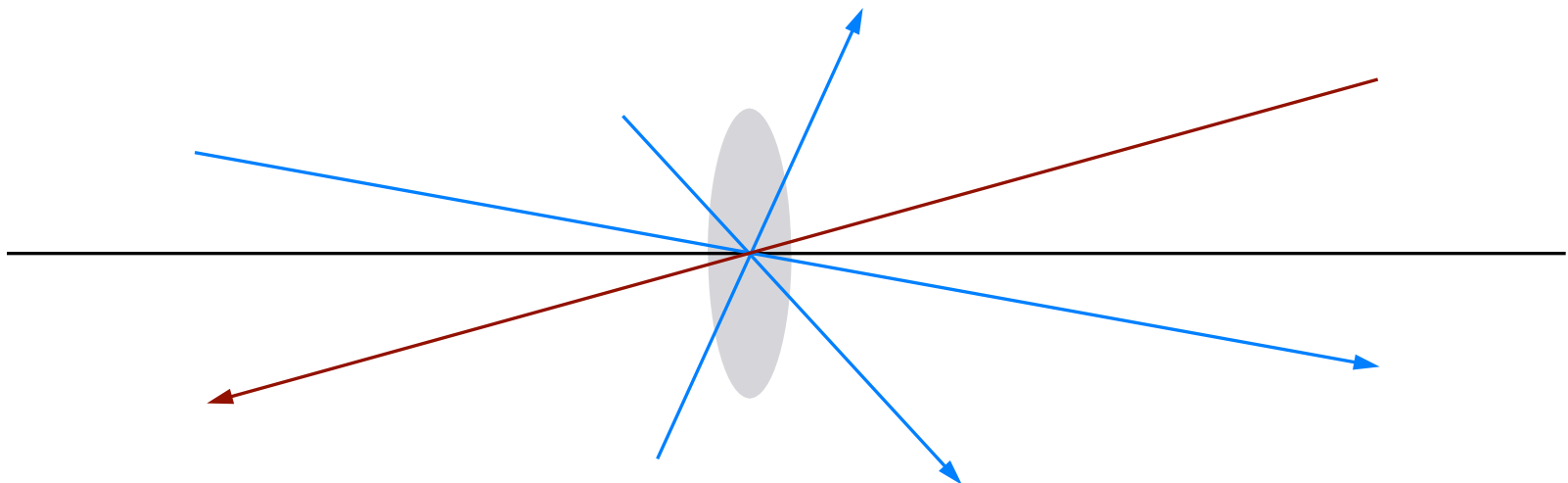


## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

1. All rays entering a converging lens **parallel to the lens axis** are brought to a common point on the axis, the **focal point**
2. All rays passing through the **geometrical center** of the lens are **undeviated** and pass straight on, no matter from which direction they come



## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### **Construction of Ray Diagrams:** Three Simple Principles

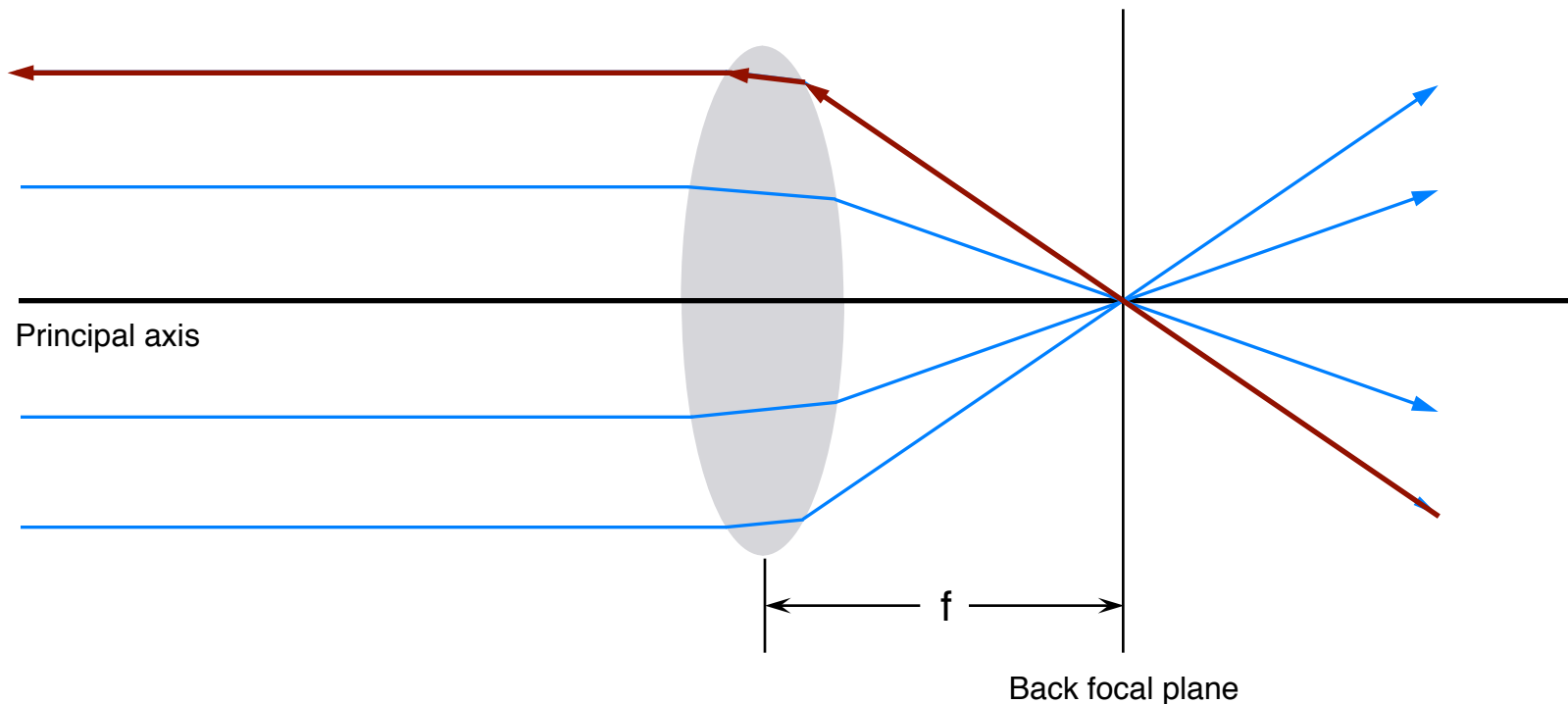
1. All rays entering a converging lens **parallel to the lens axis** are brought to a common point on the axis, the **focal point**
2. All rays passing through the **geometrical center** of the lens are **undeviated** and pass straight on, no matter from which direction they come
3. **Principle of reversibility:** if the **direction** of a ray **is reversed** in any system, the ray **exactly retraces its path** through the system

## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

3. **Principle of reversibility:** if the **direction** of a ray **is reversed** in any system the ray **exactly retraces its path** through the system

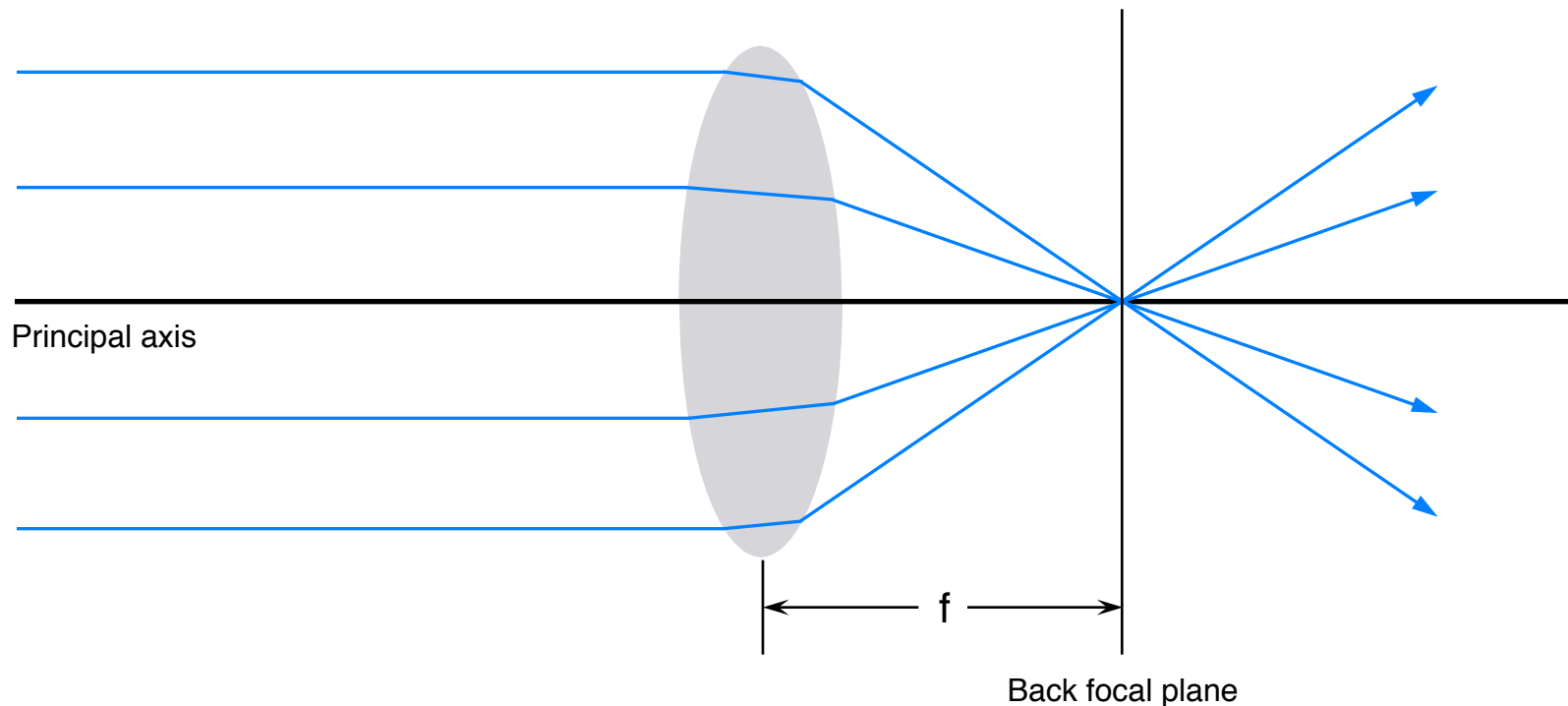


## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

- Principle of reversibility:** if the **direction** of a ray **is reversed** in any system the ray **exactly retraces its path** through the system

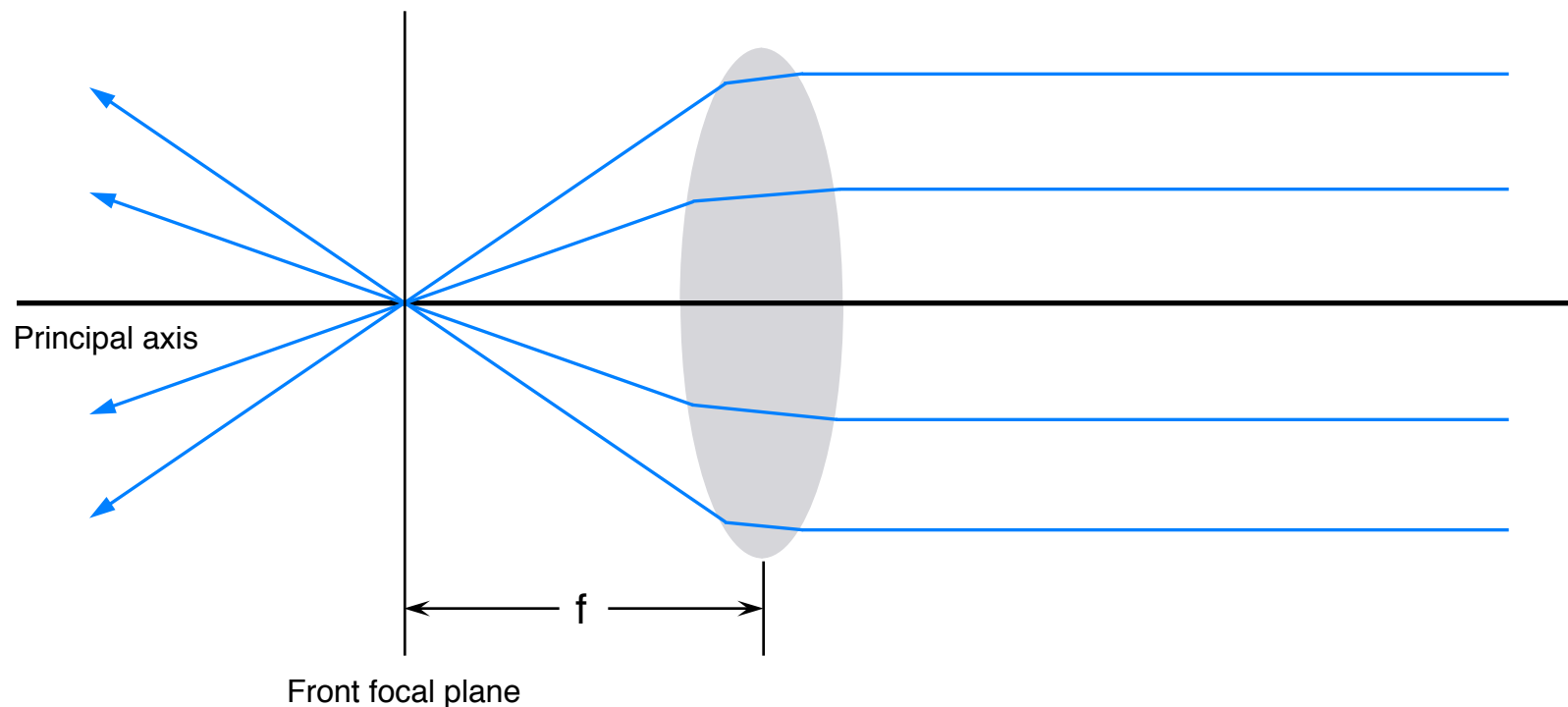


## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Construction of Ray Diagrams: Three Simple Principles

- Principle of reversibility:** if the **direction** of a ray **is reversed** in any system the ray **exactly retraces its path** through the system





OK, so how might one  
put all these wonderful  
facts to good use?

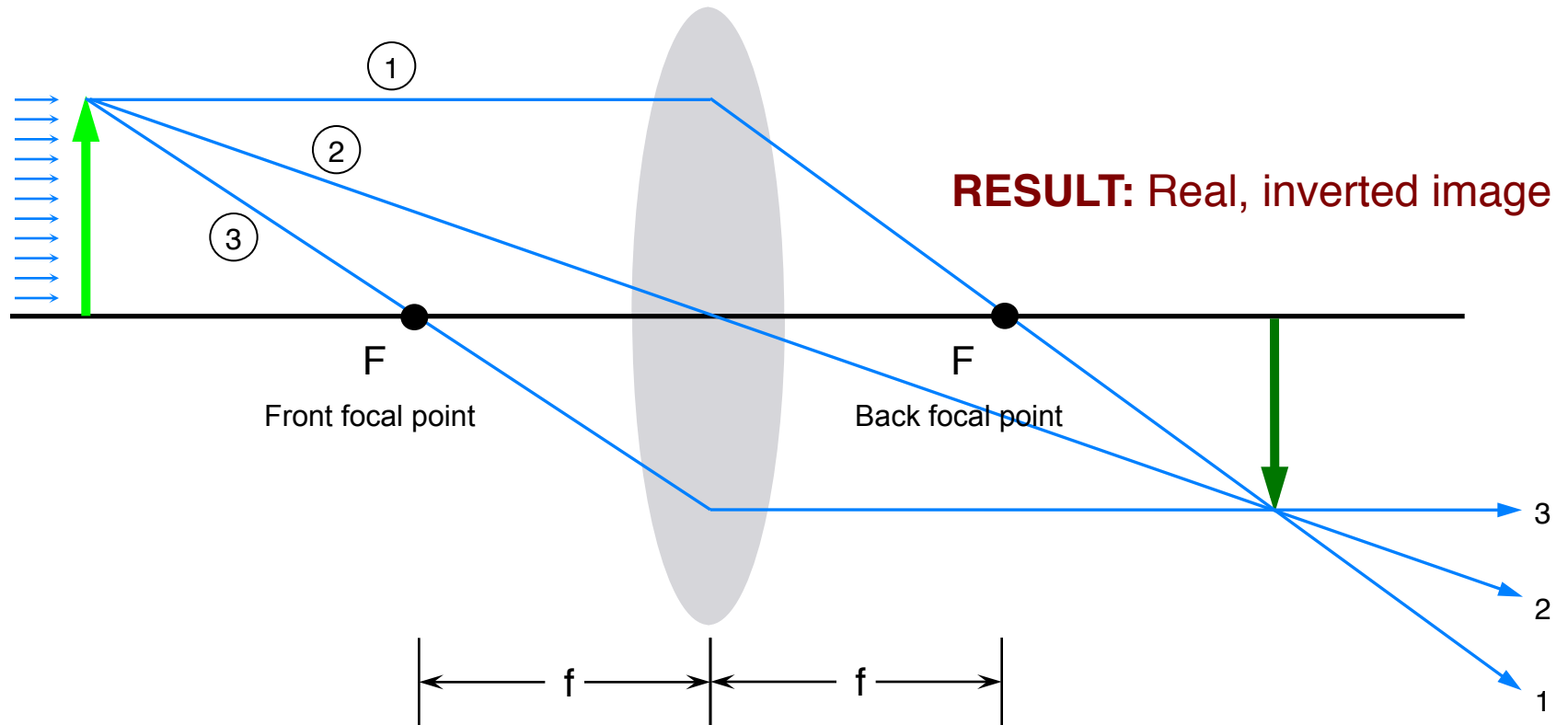


# I.A.4 Optics (Lens Theory)

## I.A.4.e Ray Diagrams

### Image Formation by a Thin Convex Lens

**CASE #1:** Object distance  $>$  focal length

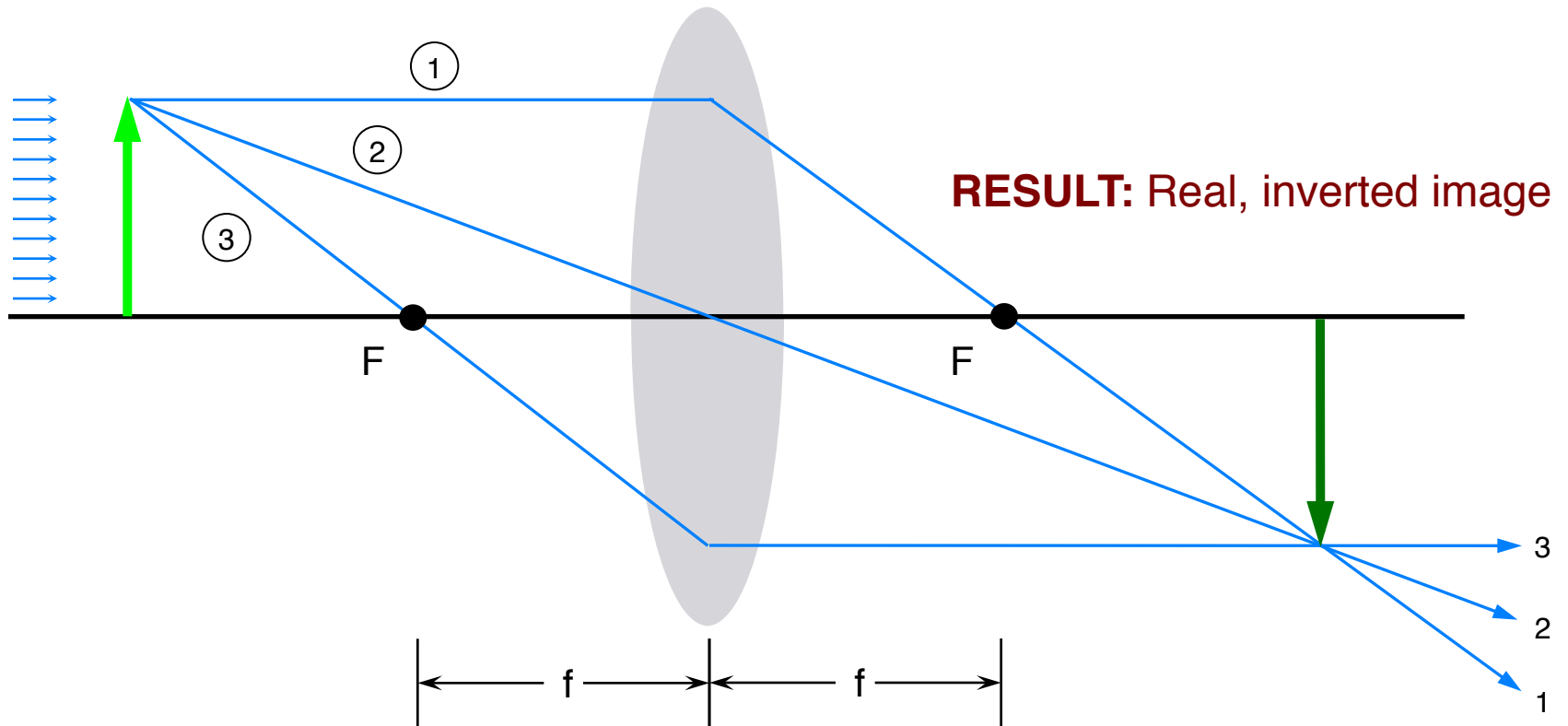


# I.A.4 Optics (Lens Theory)

## I.A.4.e Ray Diagrams

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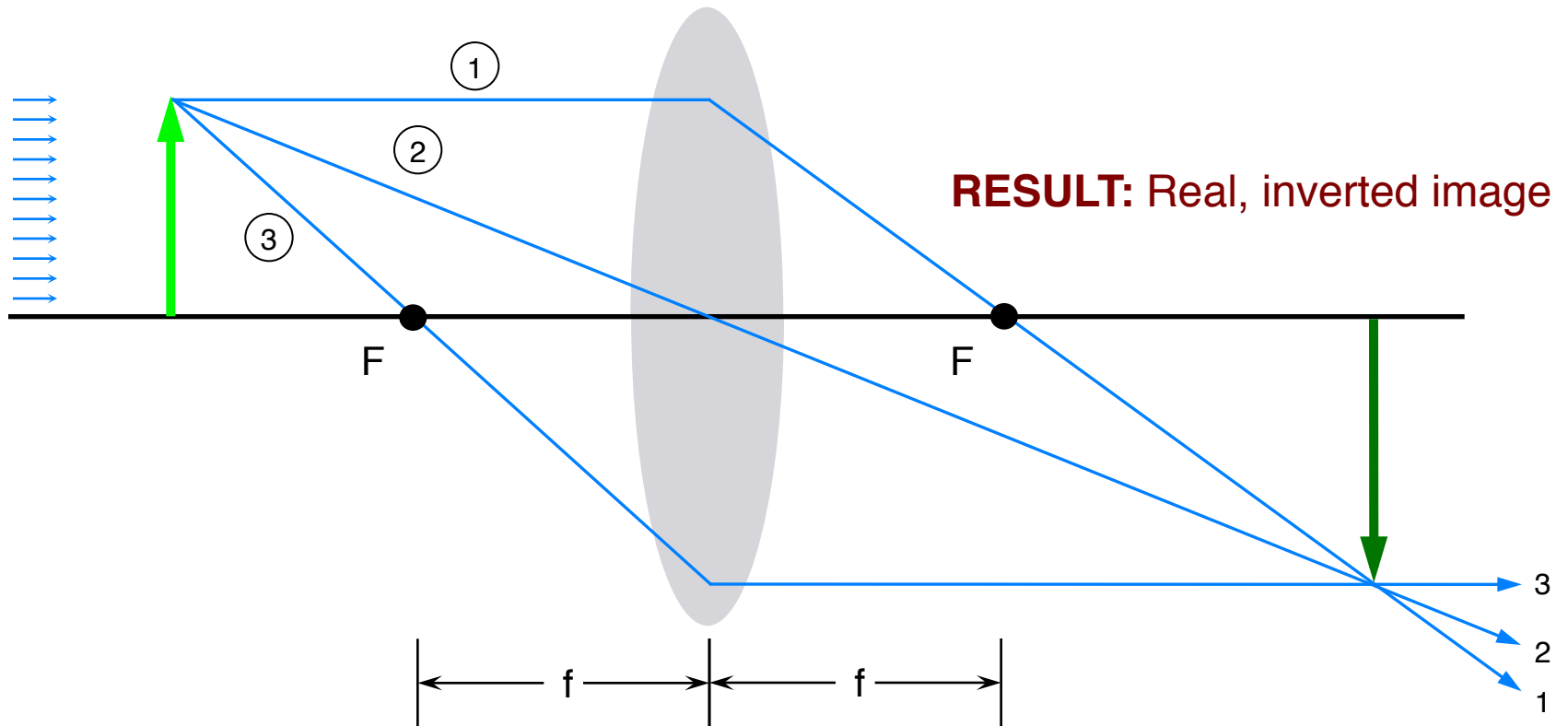


# I.A.4 Optics (Lens Theory)

## I.A.4.e Ray Diagrams

### Image Formation by a Thin Convex Lens

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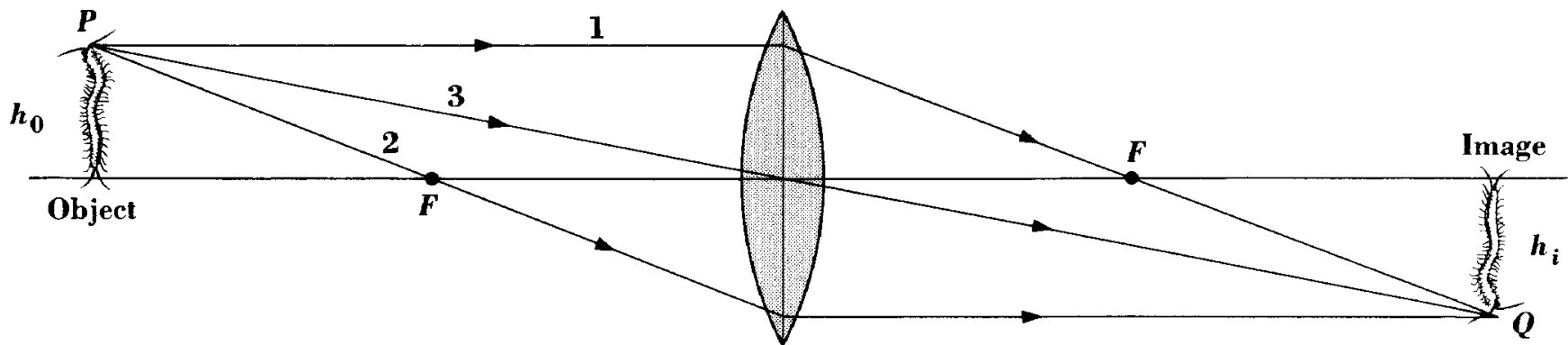
# I.A.4 Optics (Lens Theory)

## I.A.4.e Ray Diagrams

### Image Formation by a Thin Convex Lens

**CASE #1:** Object distance  $>$  focal length

**RESULT:** Real, inverted image



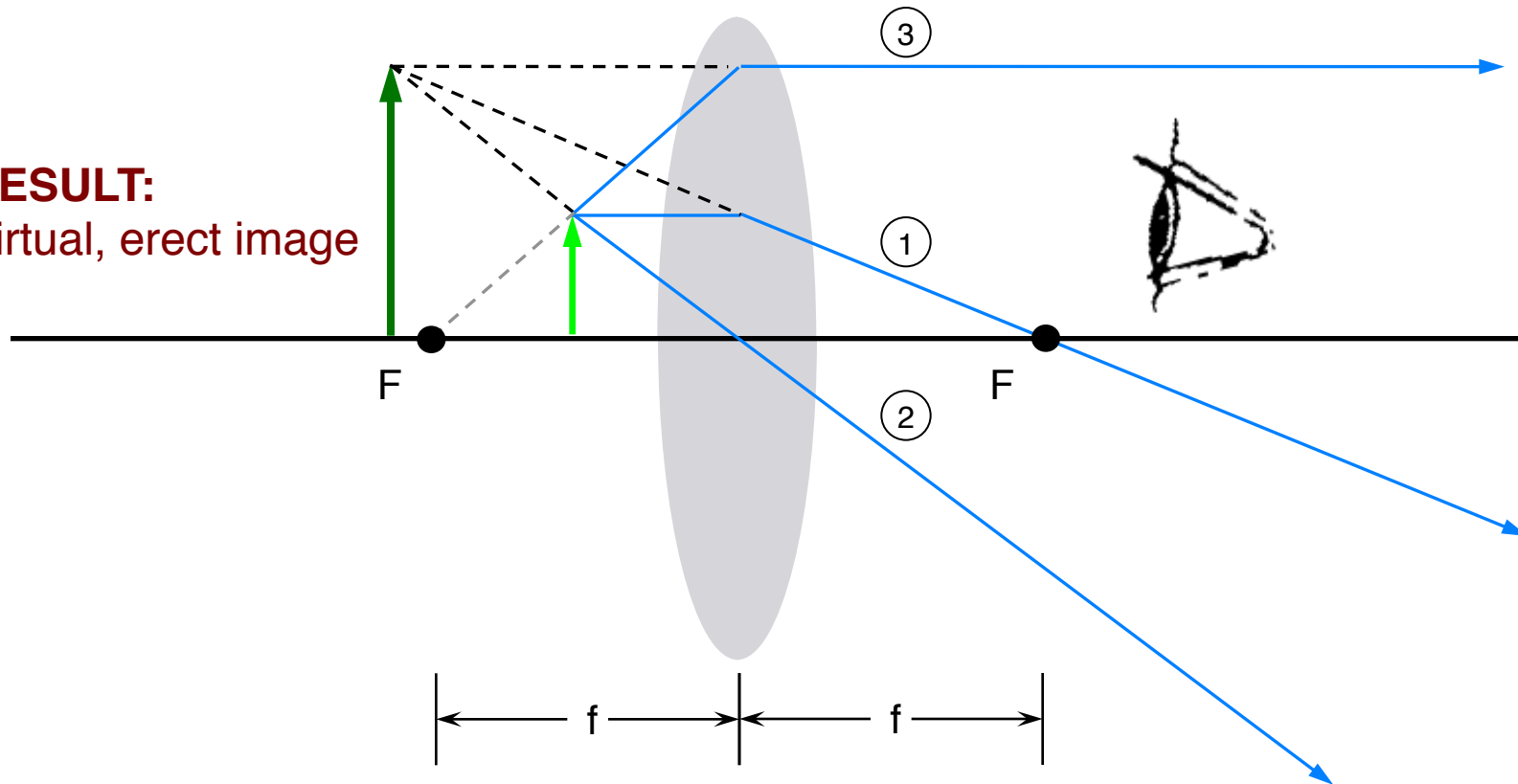
# I.A.4 Optics (Lens Theory)

## I.A.4.e Ray Diagrams

### Image Formation by a Thin Convex Lens

**CASE #2:** Object distance  $<$  focal length

**RESULT:**  
Virtual, erect image



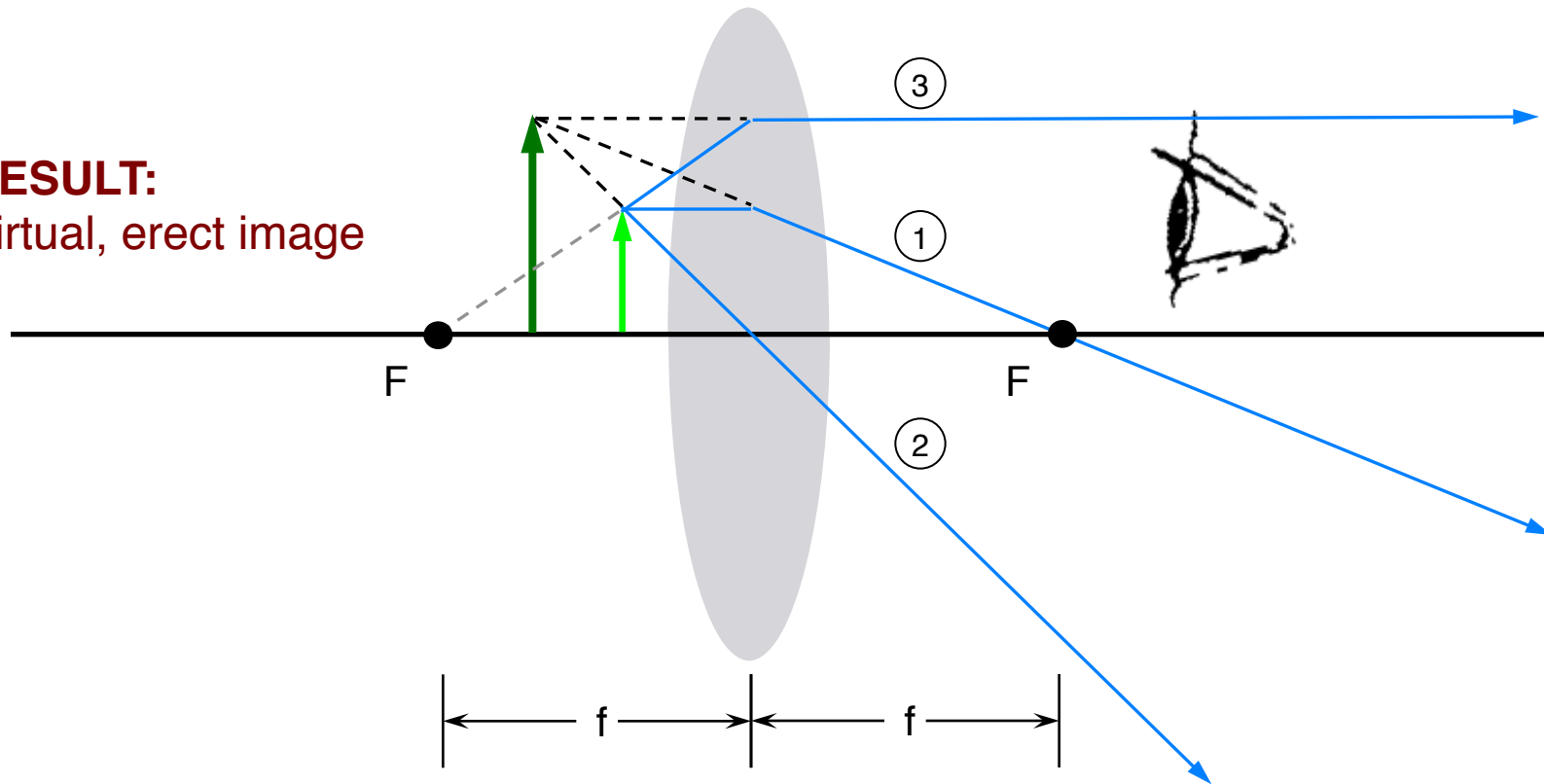
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## I.A.4.e Ray Diagrams

### Image Formation by a Thin Convex Lens

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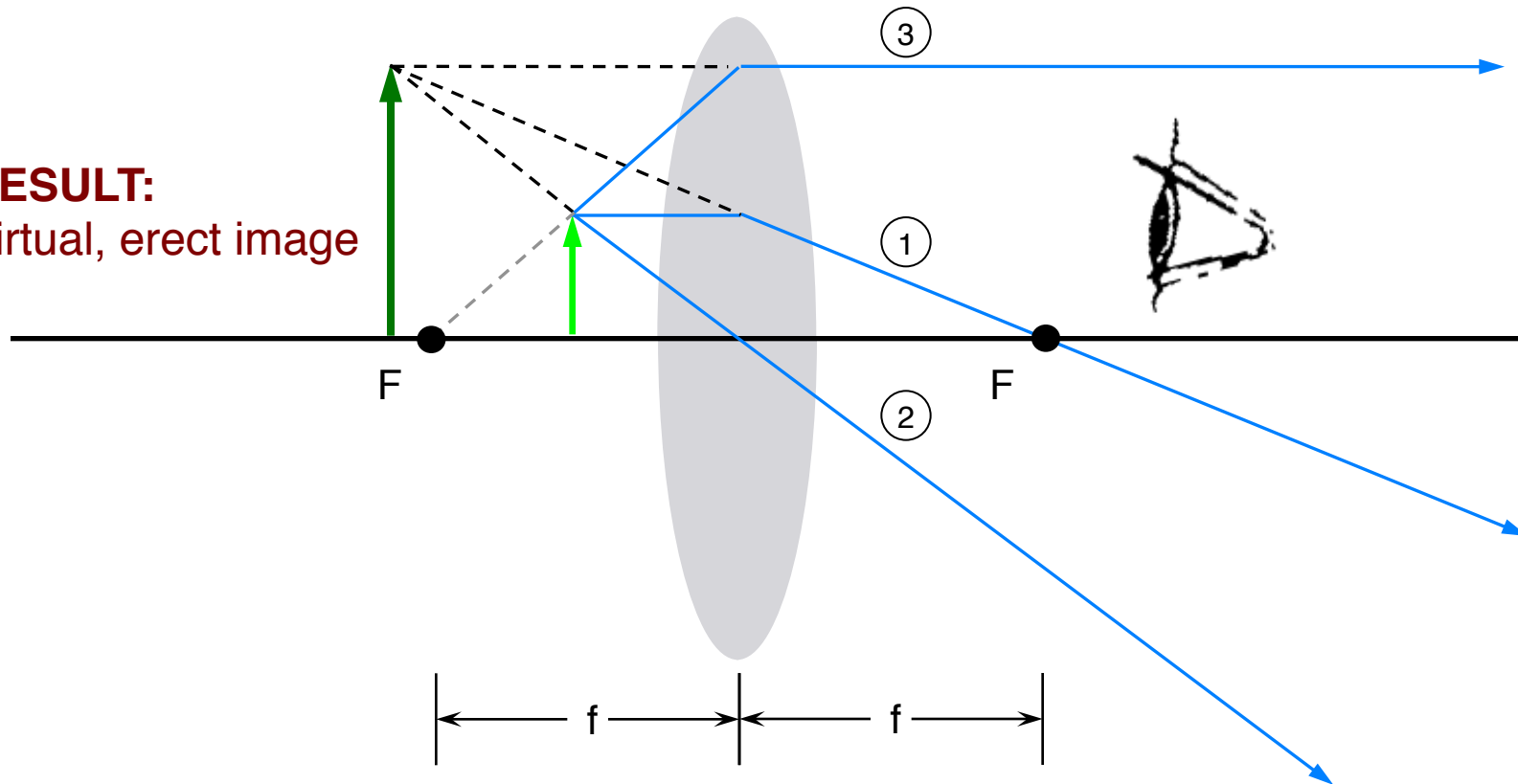
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## I.A.4.e Ray Diagrams

### Image Formation by a Thin Convex Lens

**CASE #2:** Object distance  $<$  focal length

**RESULT:**  
Virtual, erect image



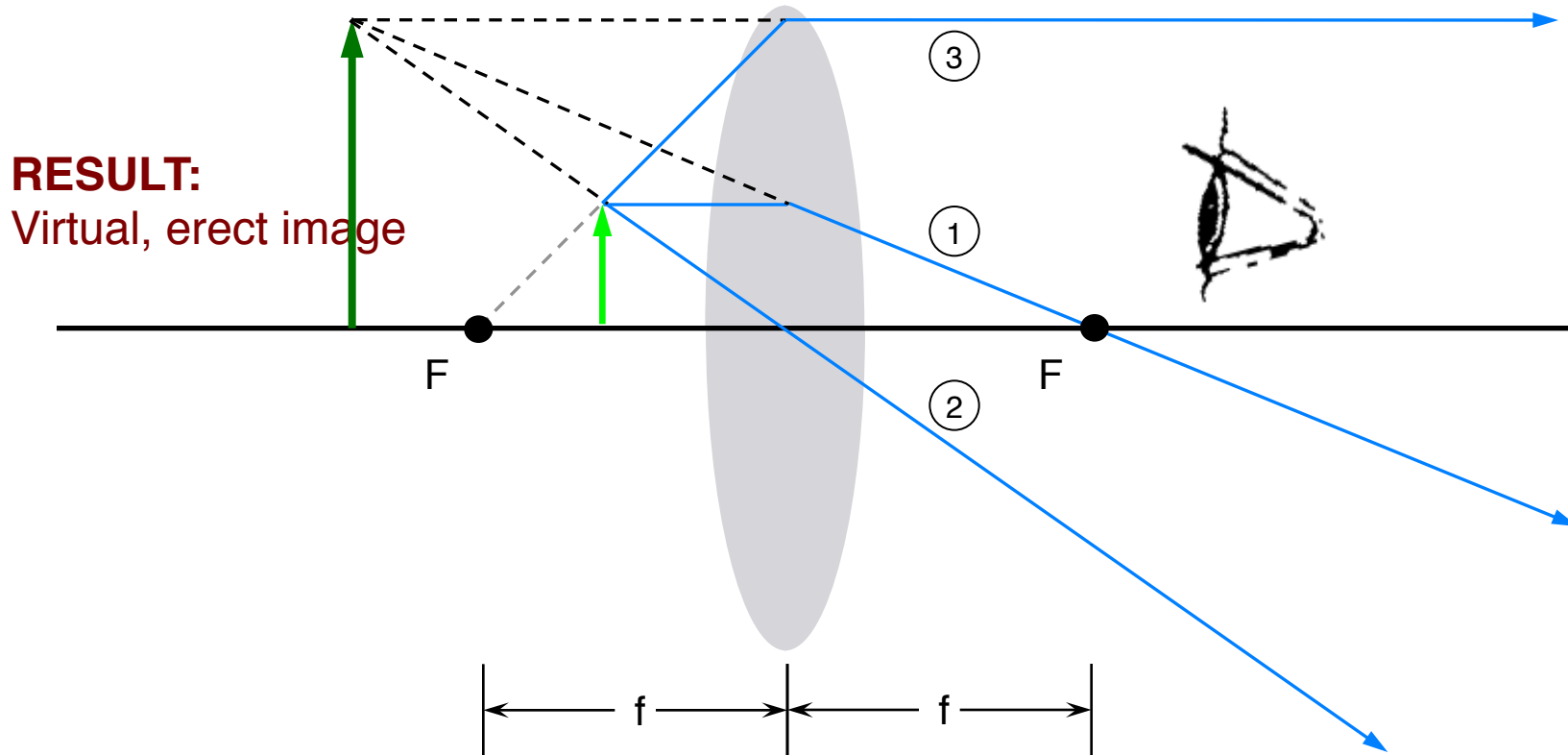


# I.A.4 Optics (Lens Theory)

## I.A.4.e Ray Diagrams

### Image Formation by a Thin Convex Lens

**CASE #2:** Object distance  $<$  focal length



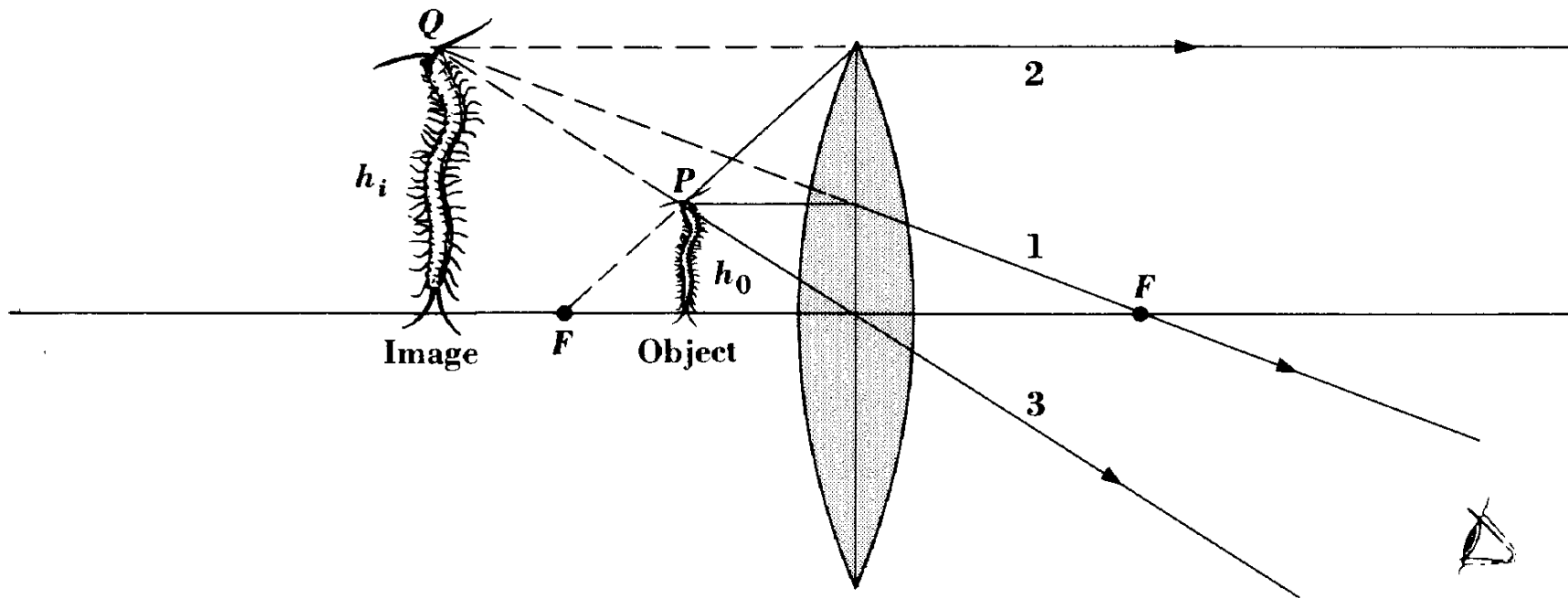
## I.A.4 Optics (Lens Theory)

### I.A.4.e Ray Diagrams

#### Image Formation by a Thin Convex Lens

**CASE #2:** Object distance  $<$  focal length

**RESULT:** Virtual, erect image



OK, you now know how to  
construct a ray diagram.

Now what?



# I.A PRINCIPLES OF TRANSMISSION EM

## NEW CONCEPTS

- Real and Virtual Images

- Thin Lens Equation

$$\frac{1}{f} = \left(\frac{1}{o}\right) + \left(\frac{1}{i}\right)$$

- Magnification

$$M = \left|\frac{i}{o}\right|$$

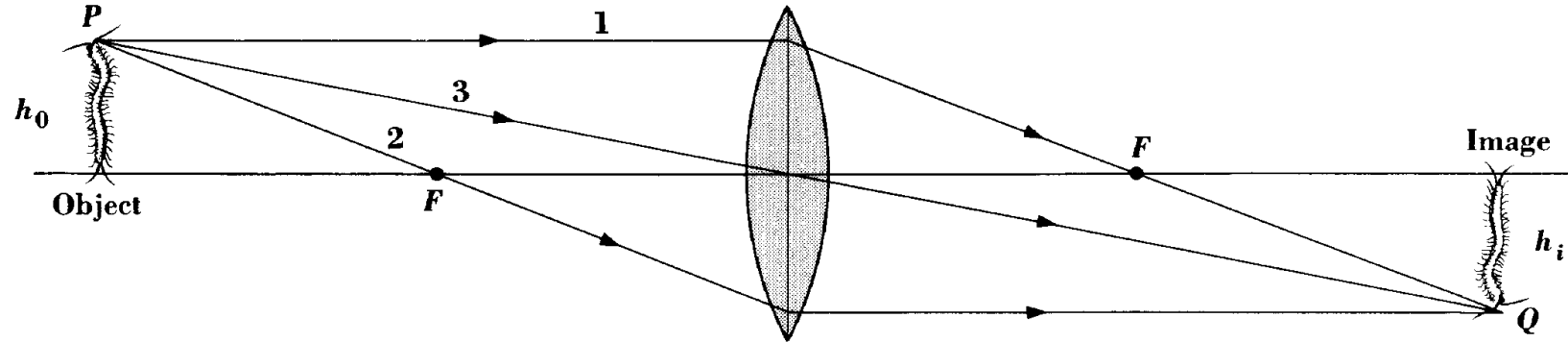
- **Lens Aperture:** determines **amount of radiation** arriving from object that can be focused to form an image
- **High Magnification Imaging:** generally requires 3-4 lenses

## I.A.4 Optics (Lens Theory)

### I.A.4.f Definitions

## Real vs. Virtual Images

### REAL IMAGE:



- Rays **physically reunite**
- Can expose a photographic plate

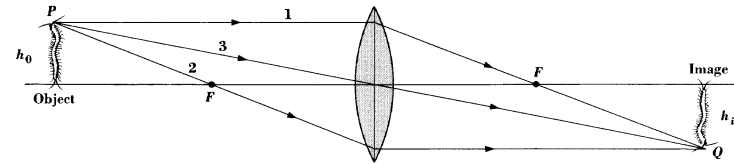
# I.A.4 Optics (Lens Theory)

## I.A.4.f Definitions

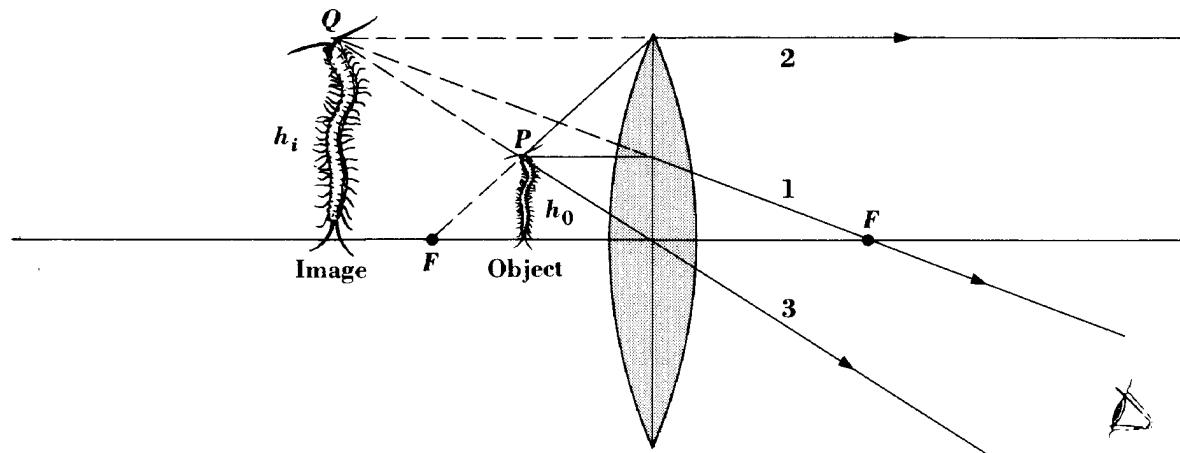
### Real vs. Virtual Images

#### REAL IMAGE:

- Rays **physically reunite**
- Can expose a photographic plate with a real image



#### VIRTUAL IMAGE:

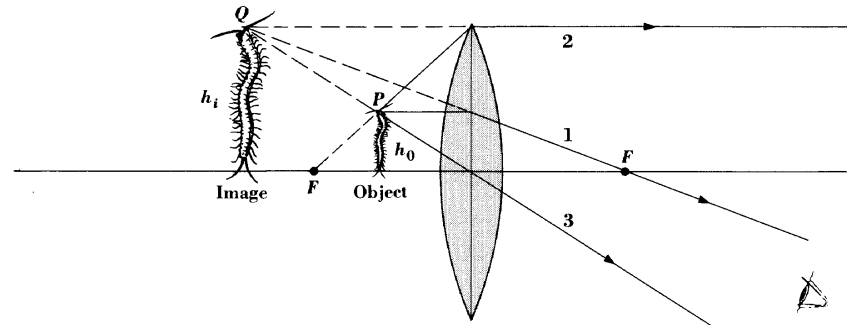


## I.A.4 Optics (Lens Theory)

### I.A.4.f Definitions

## Real vs. Virtual Images

### VIRTUAL IMAGE:



- Rays **diverge** and are **not physically reunited** at the position of a virtual image
- **Cannot** expose a photographic plate at the plane of a virtual image
- **Can** place an optical system (e.g. eye or another lens) **behind** the lens that forms the virtual image

**2<sup>nd</sup> lens enables divergent rays to be focused to form a real image**

**Intermediate lens(es)** in TEMs are sometimes used this way to **reduce** the final size of the real image formed at the view screen

## I.A.4 Optics (Lens Theory)

### I.A.4.f Definitions

## Converging and Diverging Lenses

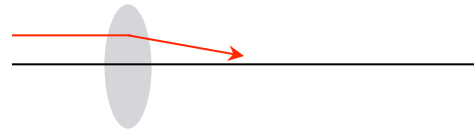
### Converging (positive) lens:

Bends rays **toward** the axis

**Positive** focal length

Forms **real, inverted** image of object placed to **left** of front focal point

Forms **erect, virtual** image of object placed **between** front focal point and the lens



### Diverging (negative) lens:

Bends rays **away** from the axis

**Negative** focal length

Object placed **anywhere** to the left results in an **erect, virtual** image

**Not possible** to construct a negative **magnetic** lens



See p.21 of the lecture notes



# I.A PRINCIPLES OF TRANSMISSION EM

## NEW CONCEPTS



- Real and Virtual Images

- Thin Lens Equation

$$\frac{1}{f} = \left(\frac{1}{o}\right) + \left(\frac{1}{i}\right)$$

- Magnification

$$M = \left|\frac{i}{o}\right|$$

- **Lens Aperture:** determines **amount of radiation** arriving from object that can be focused to form an image

- **High Magnification Imaging:** generally requires 3-4 lenses

## I.A.4 Optics (Lens Theory)

### I.A.4.g Lens Formula

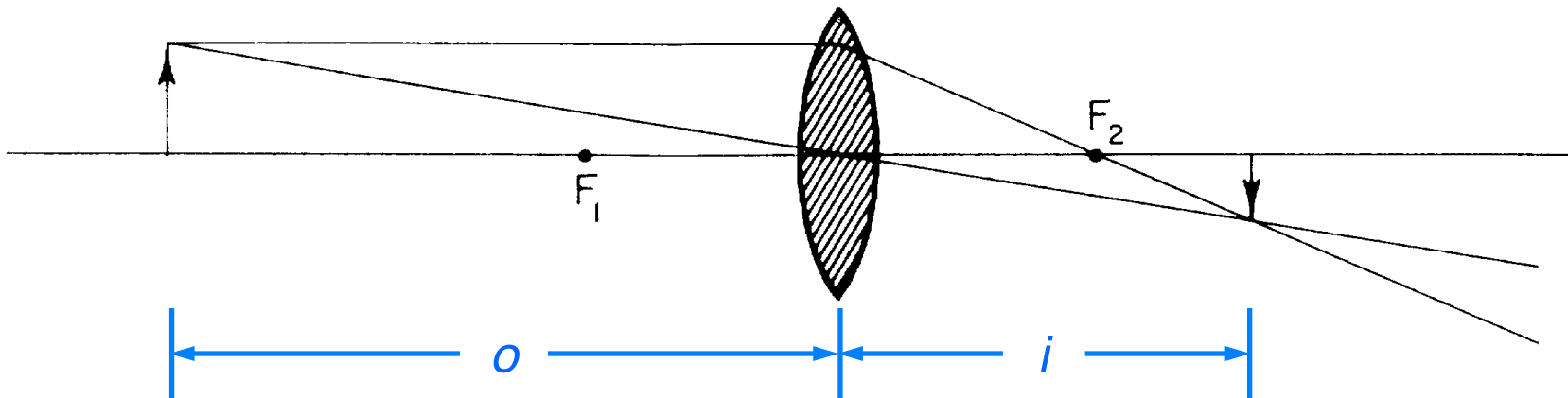
**THIN LENS  
EQUATION**

$$\frac{1}{f} = \left(\frac{1}{o}\right) + \left(\frac{1}{i}\right)$$

$f$  = focal length of thin lens

$o$  = distance of object in **front** of lens

$i$  = distance of image **behind** lens



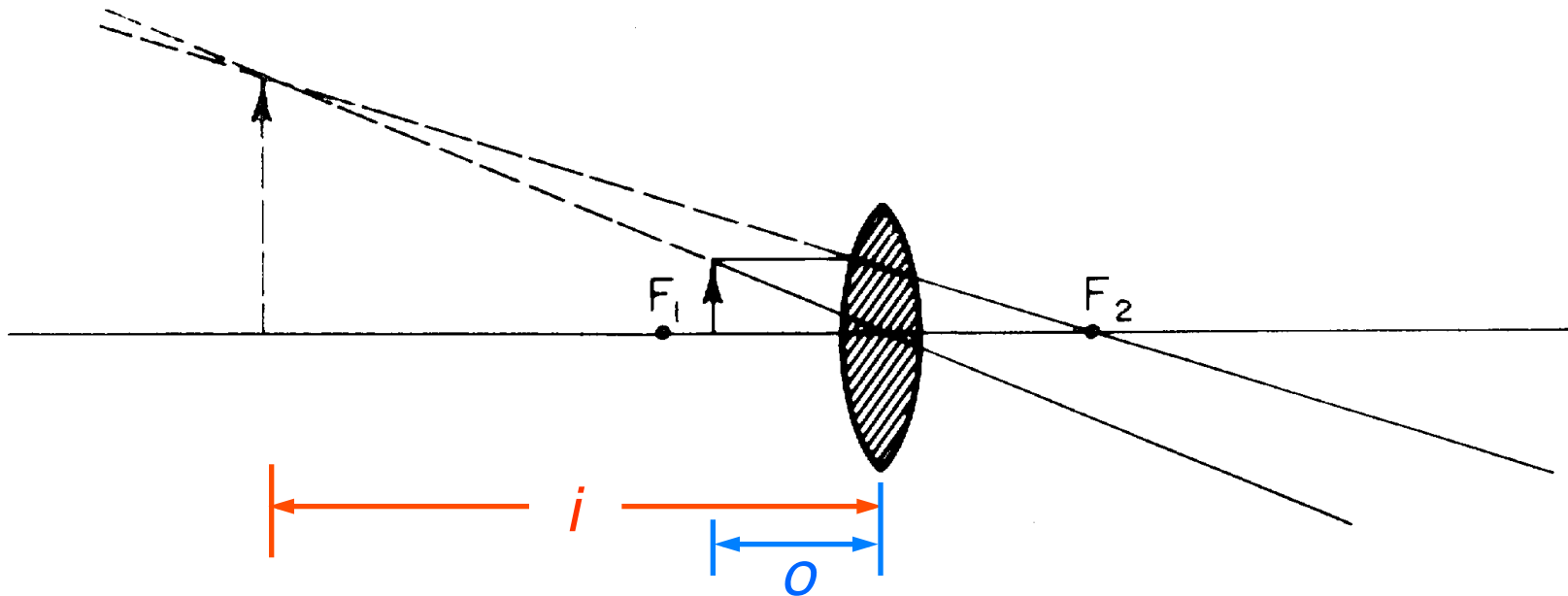
## I.A.4 Optics (Lens Theory)

### I.A.4.g Lens Formula

**THIN LENS  
EQUATION**

$$\frac{1}{f} = \left(\frac{1}{o}\right) + \left(\frac{1}{i}\right)$$

**NOTE:** For a **virtual** image, ***i*** has a **negative** value



# I.A PRINCIPLES OF TRANSMISSION EM

## NEW CONCEPTS

✓ - Real and Virtual Images

✓ - Thin Lens Equation  $\frac{1}{f} = \left(\frac{1}{o}\right) + \left(\frac{1}{i}\right)$

- Magnification  $M = \left|\frac{i}{o}\right|$

- **Lens Aperture:** determines **amount of radiation** arriving from object that can be focused to form an image

- **High Magnification Imaging:** generally requires 3-4 lenses

## I.A.4 Optics (Lens Theory)

### I.A.4.h Magnification

$$M = \left| \frac{i}{o} \right|$$

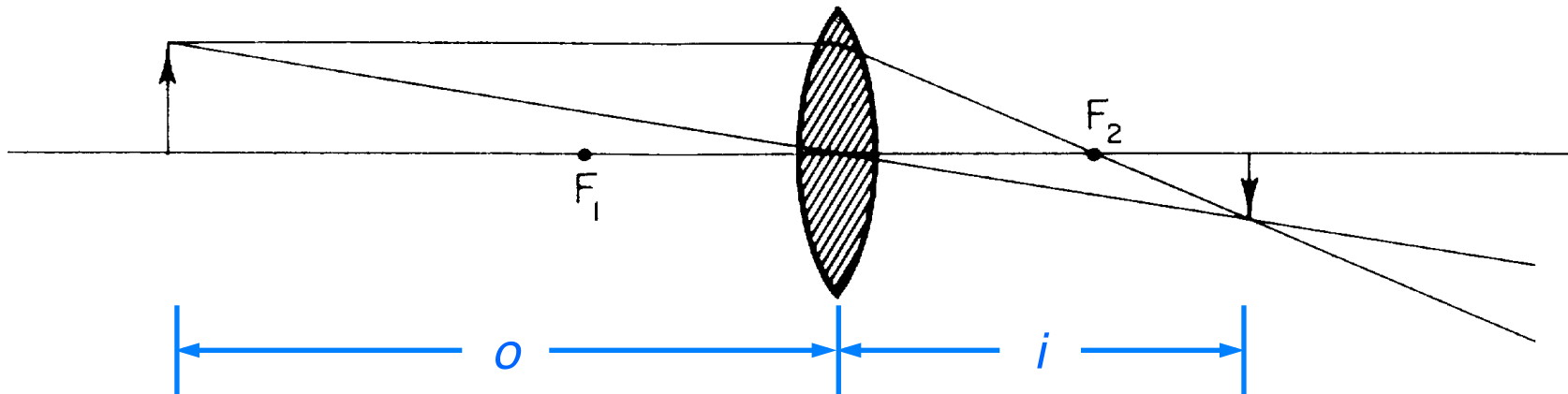
## I.A.4 Optics (Lens Theory)

### I.A.4.h Magnification

$$M = \left| \frac{i}{o} \right|$$

**For converging lens:**

When object is **> 2f** in front of the lens, image is **real**, **inverted**, and **smaller** than the object ( $M < 1$ )



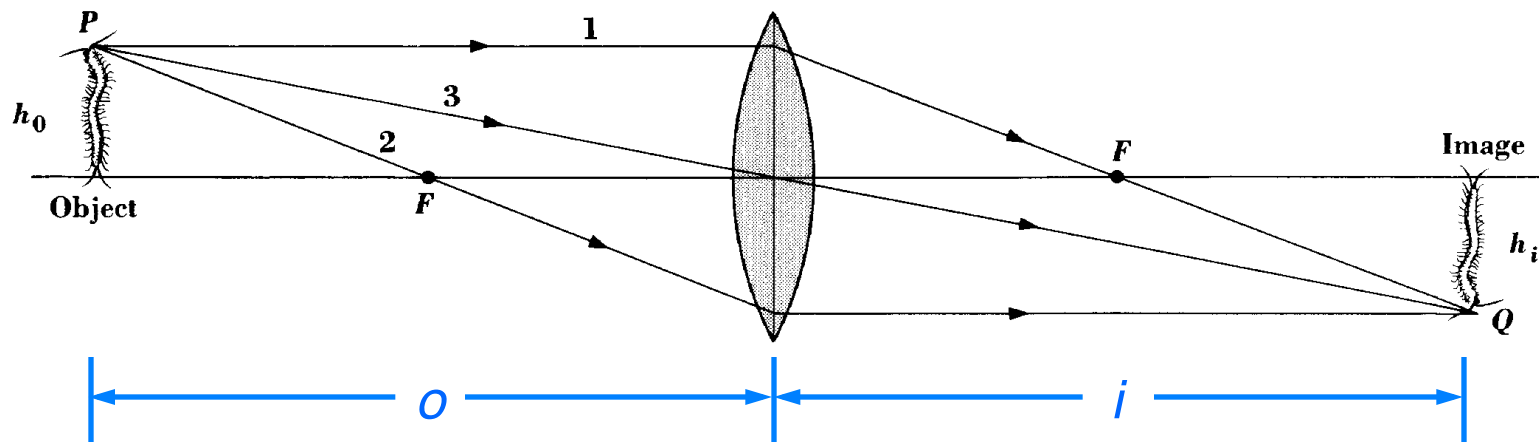
## I.A.4 Optics (Lens Theory)

### I.A.4.h Magnification

$$M = \left| \frac{i}{o} \right|$$

**For converging lens:**

When object is **exactly  $2f$**  in front of the lens, the image is **real, inverted**, and **the same size** as the object ( $M = 1$ )



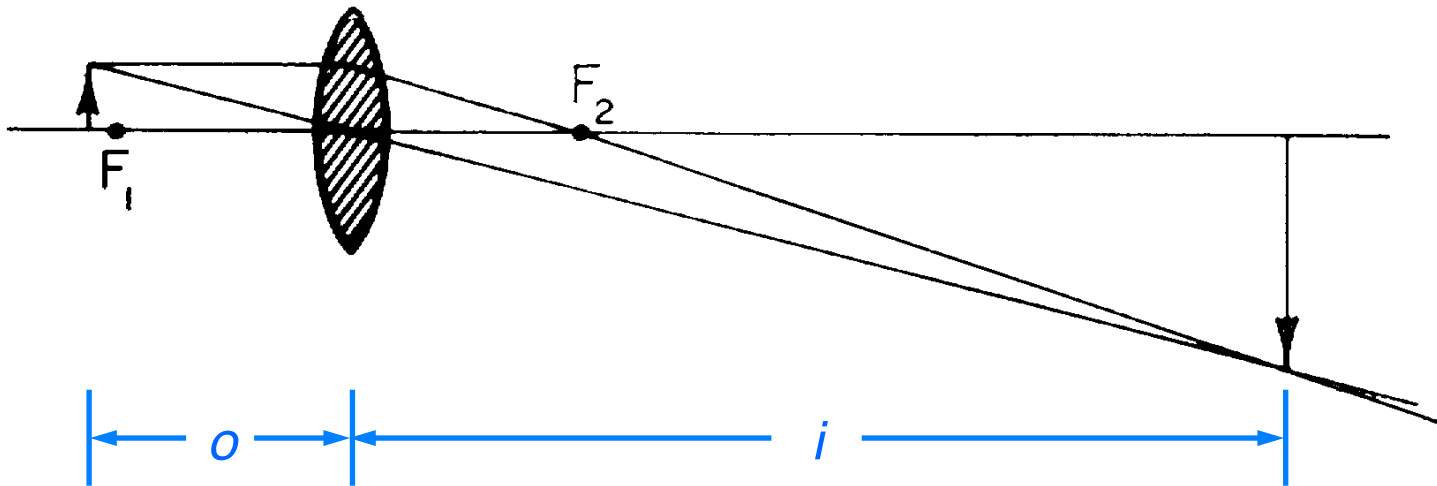
## I.A.4 Optics (Lens Theory)

### I.A.4.h Magnification

$$M = \left| \frac{i}{o} \right|$$

**For converging lens:**

When object is **between  $f$  and  $2f$** , the image is **real**, **inverted**, and **larger** than the object ( $M > 1$ )





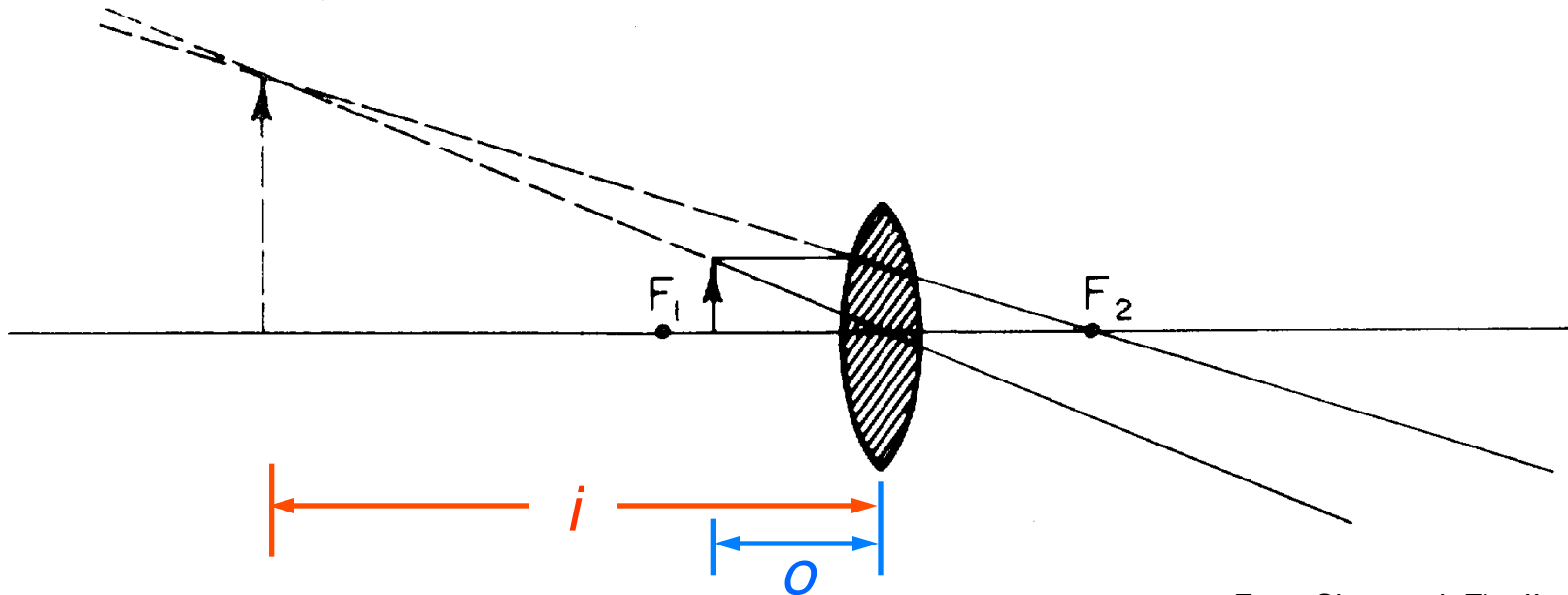
## I.A.4 Optics (Lens Theory)

### I.A.4.h Magnification

$$M = \left| \frac{i}{o} \right|$$

**For converging lens:**

When object is  $< f$ , the image is **virtual, erect, and larger** than the object ( $M > 1$ )



# I.A PRINCIPLES OF TRANSMISSION EM

## NEW CONCEPTS

✓ - Real and Virtual Images

✓ - Thin Lens Equation  $\frac{1}{f} = \left(\frac{1}{o}\right) + \left(\frac{1}{i}\right)$

✓ - Magnification  $M = \left|\frac{i}{o}\right|$

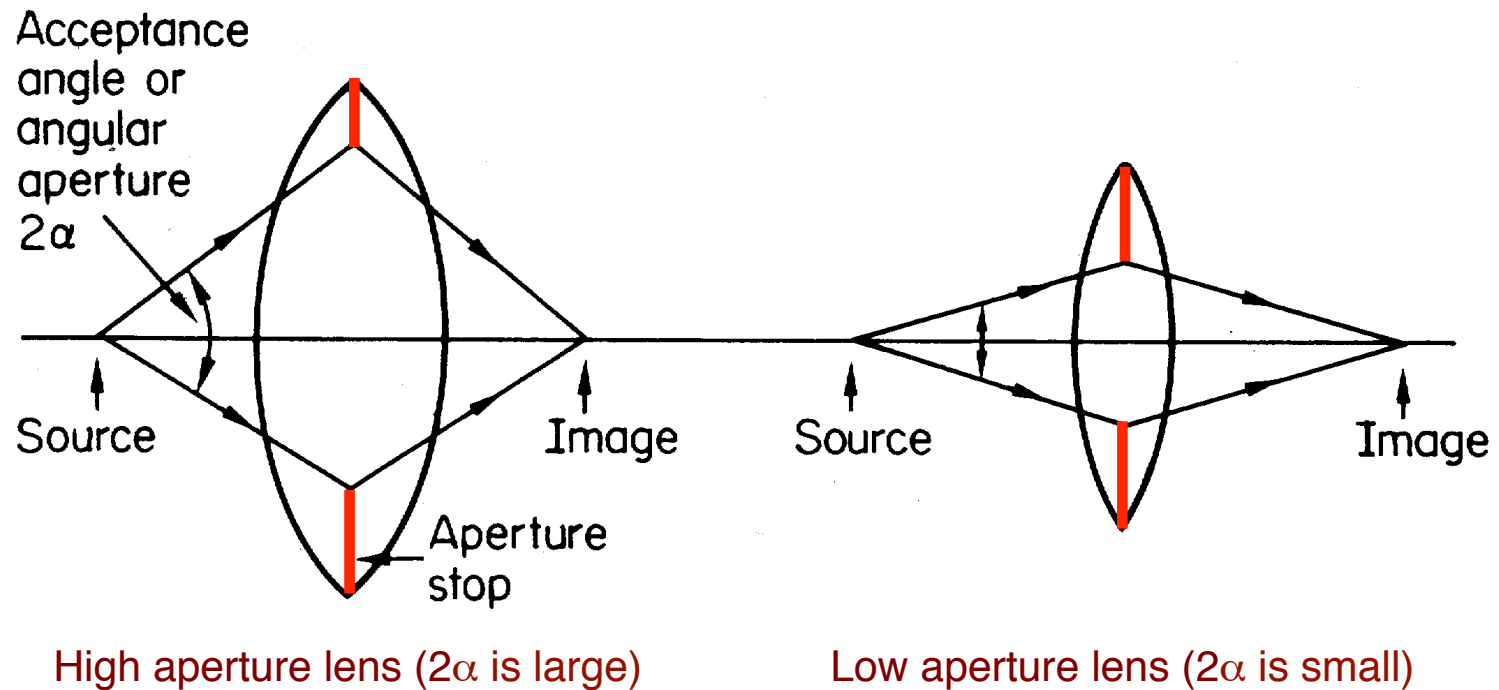
- **Lens Aperture:** determines **amount of radiation** arriving from object that can be focused to form an image

- **High Magnification Imaging:** generally requires 3-4 lenses

## I.A.4 Optics (Lens Theory)

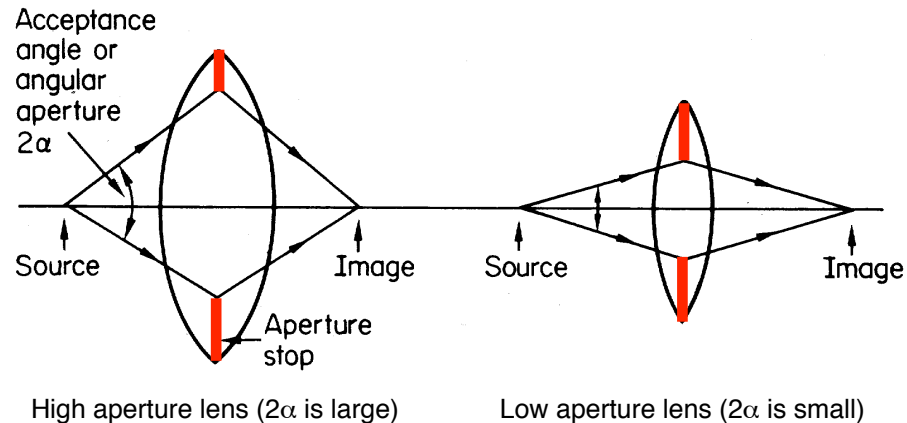
### I.A.4.i Angular aperture of the lens ( $2\alpha$ )

**Lens Aperture:** determines the **amount of radiation** arriving from the object **that can be focused** to form an image



## I.A.4 Optics (Lens Theory)

### I.A.4.i Angular aperture of the lens ( $2\alpha$ )



Angle  $2\alpha$  = **acceptance angle** of the lens

As  $2\alpha$  **increases**, the lens can gather **more information** about each object point and transmit that into the image

A lens of **high aperture** has the **potential** to **reveal more detail** (*i.e.* higher resolution) about an object than a lens of low aperture

Can you recall how this relates to our “old friend”, the Airy disk?

## I.A.4 Optics (Lens Theory)

### I.A.4.i Angular aperture of the lens ( $2\alpha$ )

## Key distinction between light and electron imaging lenses:

Typical **LM** with an oil immersion objective lens has  $2\alpha$  of  **$\sim 175^\circ$**

In **TEM**,  $2\alpha$  is generally  **$< 0.01^\circ$  !!!**

Recall the Abbe equation  $d = \frac{0.612\lambda}{n \cdot \sin \alpha}$  and this table:

	$n$	$\sin \alpha$	$\lambda^*$	$d$
LM	1.5	0.87	400 nm	$\sim 0.2 \mu\text{m}$
TEM	1.0	0.01	0.0037 nm	0.23 nm

# I.A PRINCIPLES OF TRANSMISSION EM

## NEW CONCEPTS

✓ - Real and Virtual Images

✓ - Thin Lens Equation  $\frac{1}{f} = \left(\frac{1}{o}\right) + \left(\frac{1}{i}\right)$

✓ - Magnification  $M = \left|\frac{i}{o}\right|$

✓ - **Lens Aperture:** determines **amount of radiation** arriving from object that can be focused to form an image

- **High Magnification Imaging:** generally requires 3-4 lenses

## I.A.4 Optics (Lens Theory)

### I.A.4.j Simple vs. Compound Microscope

#### **Key Concept**

It is **impractical** to form a **high** magnification image with just **one** lens

*... or even two lenses*

## I.A.4 Optics (Lens Theory)

### I.A.4.j Simple vs. Compound Microscope

#### **In principle:** (an ideal world)

Real image of **any desired magnification** can be obtained from a single positive lens

#### **In practice:** (the real world)

Cumbersome because of **long lens-to-image distance (*i*)**

#### **The answer?**

Use **two or more lenses** to magnify the image in **stages**

Total magnification = **product** of magnifications at all stages

**Image** formed by one lens becomes the **object** for the subsequent lens, **whether or not** a real, intermediate image is formed



## I.A.4 Optics (Lens Theory)

### I.A.4.j Simple vs. Compound Microscope

**Example: One-stage versus two-stage magnification**

**Problem (Two parts):**

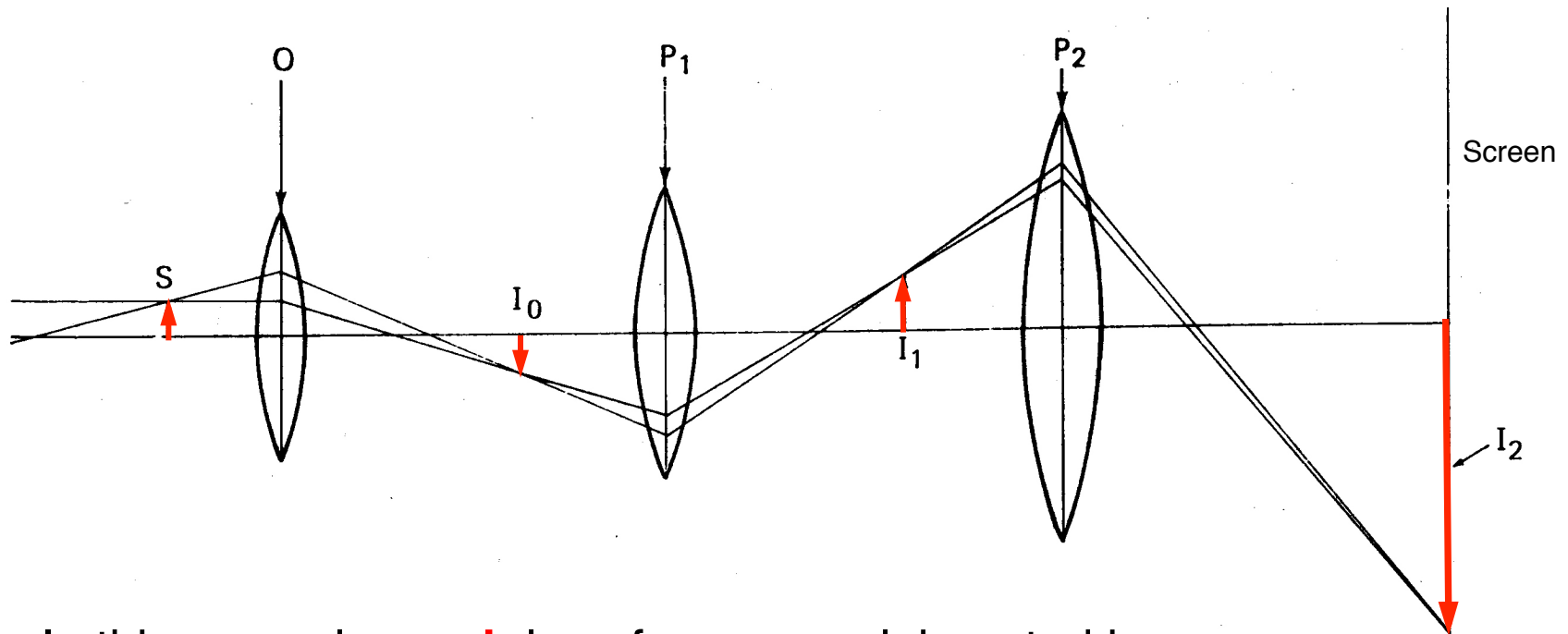
1. Achieve **10,000X** image magnification using only **one** lens (with  **$f = 2.0$  cm**)
2. Achieve **10,000X** image magnification using **two** lenses (each with  **$f = 2.0$  cm**)

Use the thin lens equation and try to solve this on your own (unless you have already read p.23 of the lecture notes, which gives the answer).

## I.A.4 Optics (Lens Theory)

### I.A.4.j Simple vs. Compound Microscope

#### Ray diagram: High magnification mode of operation



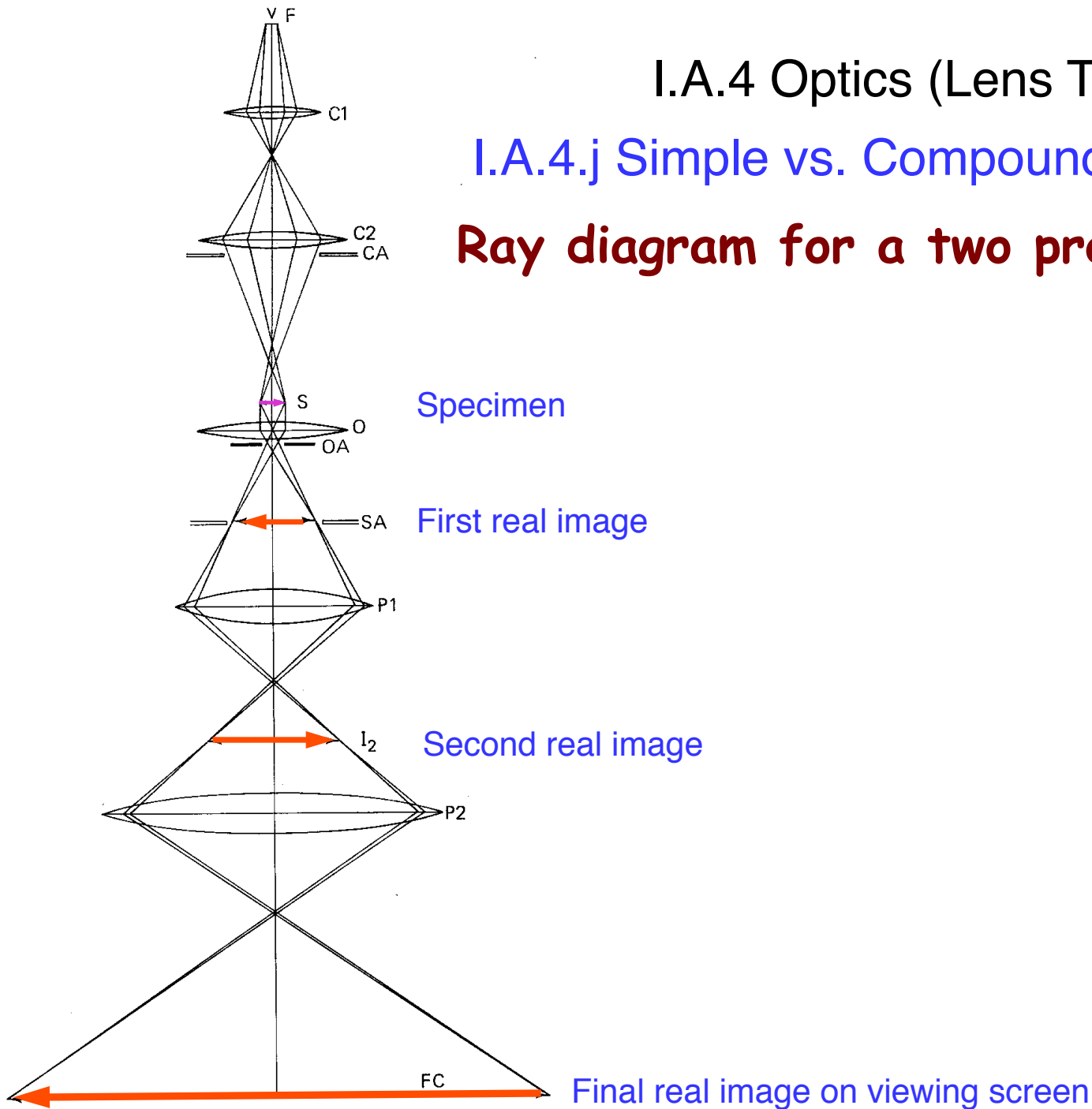
In this example, **each** lens forms a real, inverted image.

**Note: Drawing is ONLY schematic!!!**  
(i.e. it is inaccurate)

# I.A.4 Optics (Lens Theory)

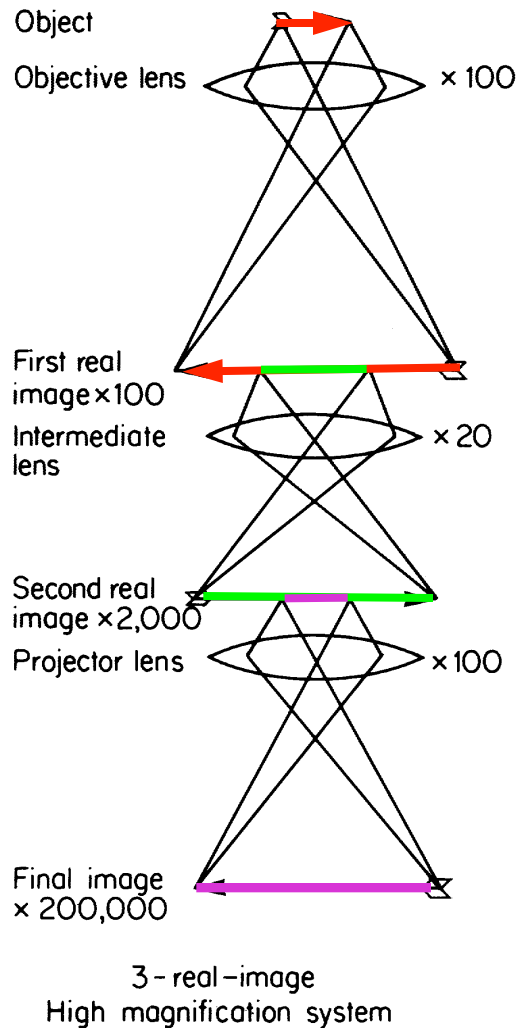
## I.A.4.j Simple vs. Compound Microscope

### Ray diagram for a two projector TEM



# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope

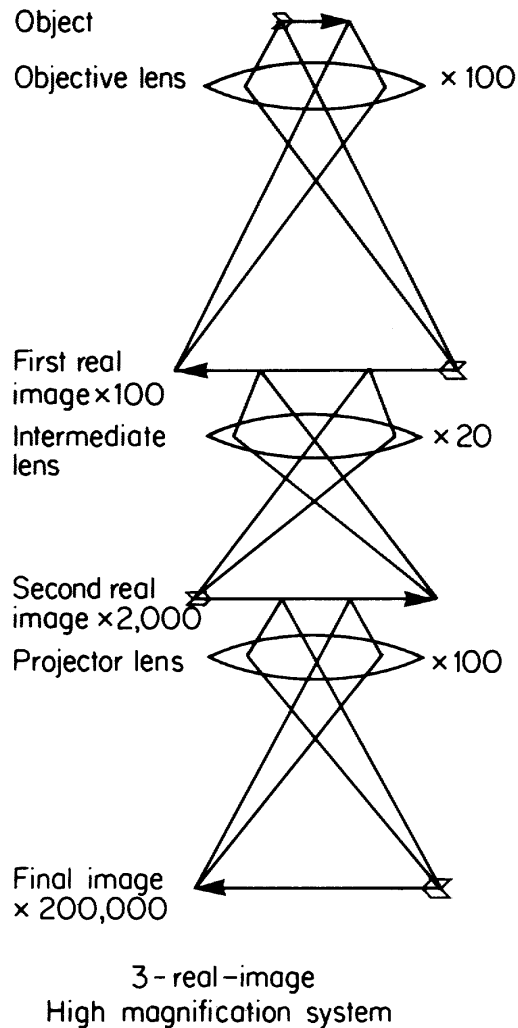


### Key Concept:

Only a **portion** of each successive intermediate image is magnified by the next lens

# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope

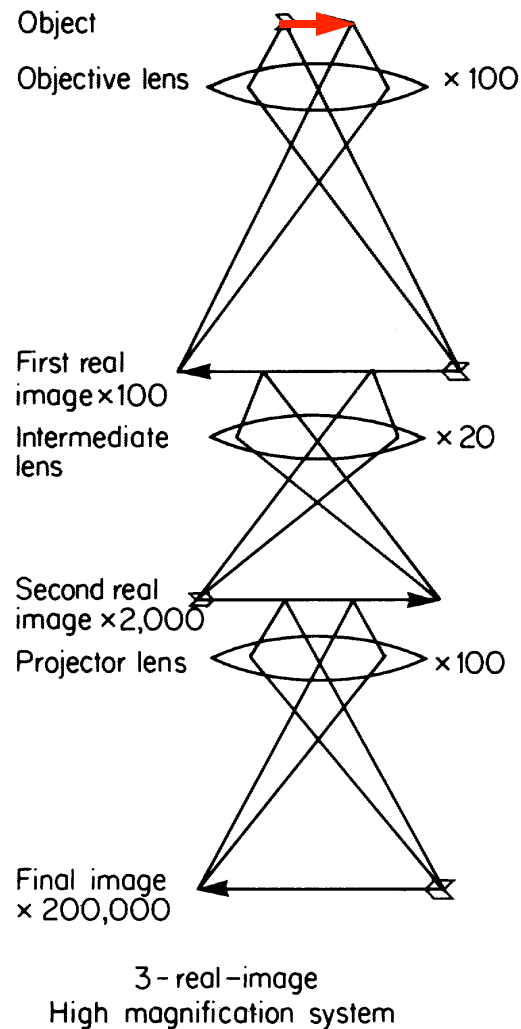


### Key Concept:

Only a **portion** of each successive intermediate image is magnified by the next lens

# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope

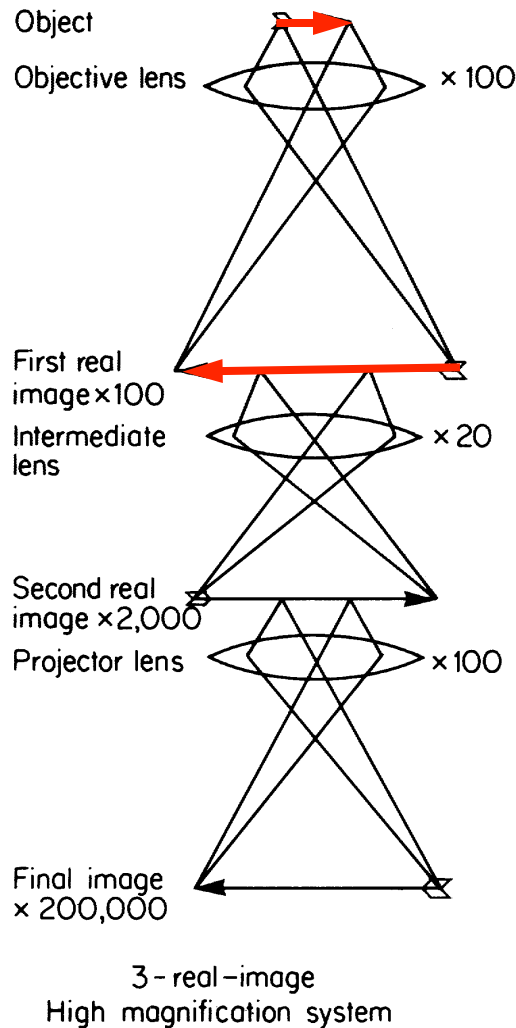


### Key Concept:

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# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope

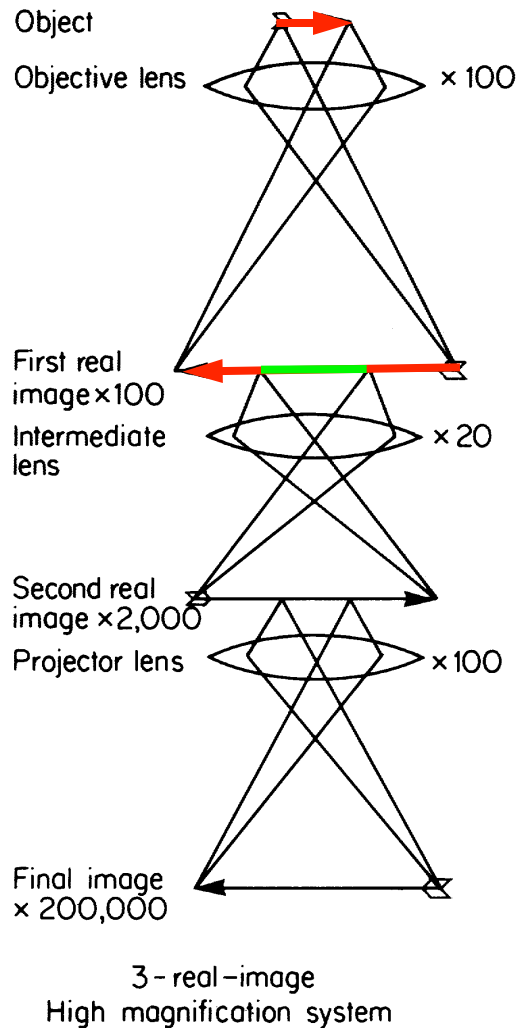


### Key Concept:

Only a **portion** of each successive intermediate image is magnified by the next lens

# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope



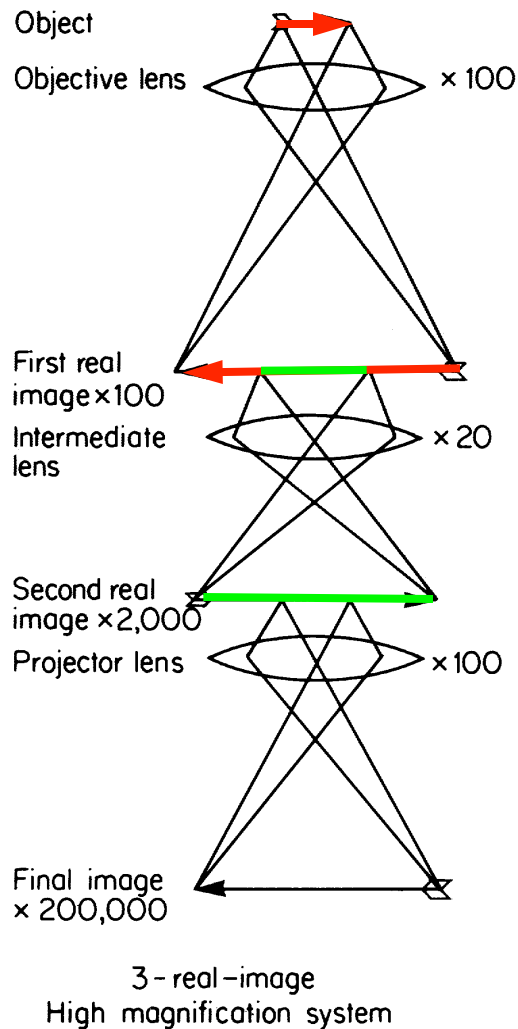
### Key Concept:

Only a **portion** of each successive intermediate image is magnified by the next lens



# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope

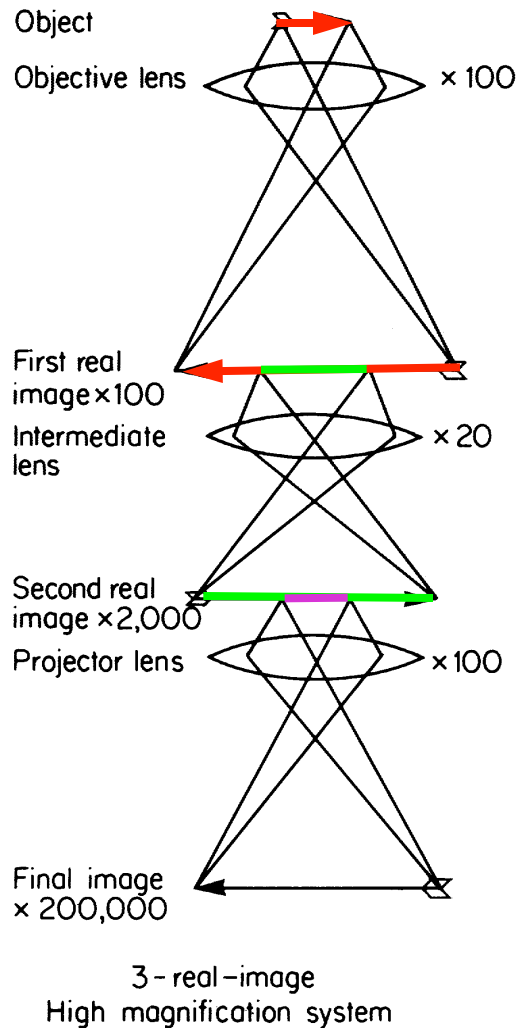


### Key Concept:

Only a **portion** of each successive intermediate image is magnified by the next lens

# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope

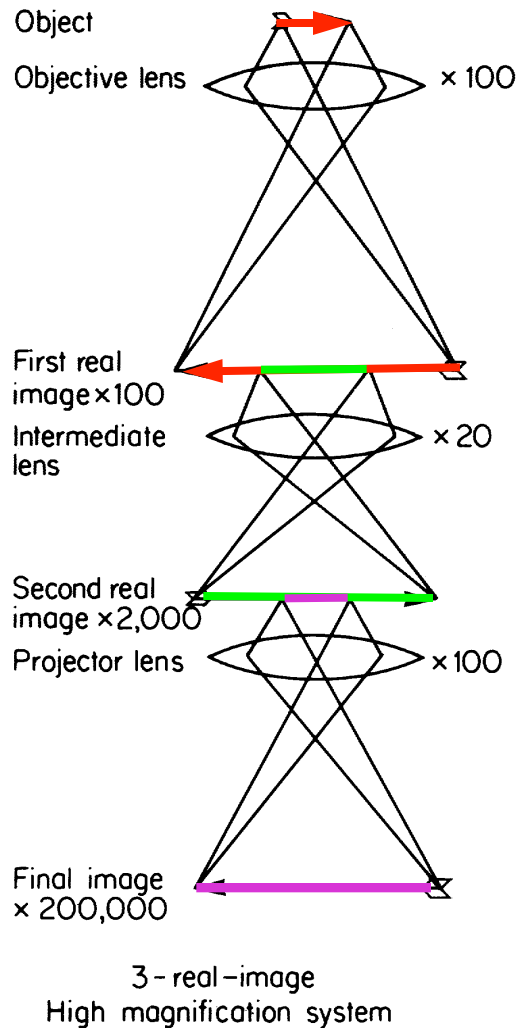


### Key Concept:

Only a **portion** of each successive intermediate image is magnified by the next lens

# I.A.4 Optics (Lens Theory)

## I.A.4.j Simple vs. Compound Microscope



### Key Concept:

Only a **portion** of each successive intermediate image is magnified by the next lens

# § I: The Microscope

I.A Principles of TEM

I.A.5 Electron Optics / Electron Lenses

(pp.25-37 of lecture notes)

## I.A.5 Electron Optics / Electron Lenses

### NEW CONCEPTS

- **Thermionic emission** creates a source of beam electrons
- **Charged objects** produce an **electric field**
- Path of an electron passing through an **electric field** or a **magnetic field** is bent or **refracted**
- **Focal length** of electromagnetic lens determined by **field strength** and **electron speed**

## I.A.5 Electron Optics / Electron Lenses

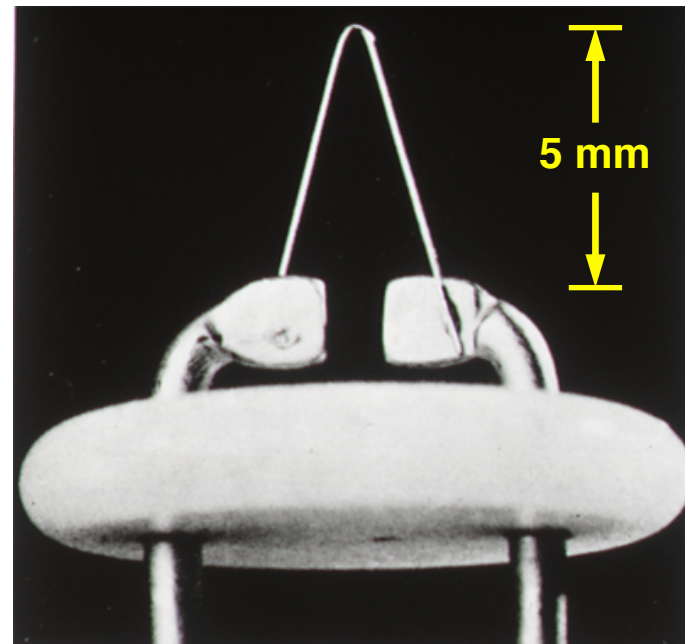
### I.A.5.a Electron Emission

# Thermionic Emission

Process by which **thermal energy** is supplied to loosely bound  $e^-$  in a metal to form a source of 'free'  $e^-$

Simplest form of an electron gun filament is a **thin tungsten wire**

Wire is heated by passing an electric current through it



Electron gun tungsten filament (cathode)

Take home message of  
next several slides:



Electromagnetic lenses produce strong  
magnetic fields

These refract (bend) moving electrons  
and therefore allow them to be focused  
into electron images