III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

Overall Scheme for 3-D Reconstruction

Generally the available views of the specimen do <u>not</u> give an even sampling of data in the Fourier transform



III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry



2-fold setting used for specifying direction of view

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry



III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

Interpolation in Polar Coordinates - 2D Case

Expanded object density:

$$\rho(r\phi) = \sum_{n} g_{n}(r)e^{in\phi}$$

Fourier transform:

$$F(R,\Phi) = \sum_{n} \int_{object} i^{n} g_{n}(r) J_{n}(2\pi rR) e^{in\Phi} 2\pi r dr$$

$$= \sum_{n} \int_{object} g_n(r) J_n(2\pi r R) e^{in(\Phi + \pi/2)} 2\pi r dr$$

$$= \sum_{n} \underbrace{G_n(R)}_{\substack{\text{radial}\\\text{variation}}} \underbrace{e^{in(\Phi + \pi/2)}}_{\substack{\text{angular}\\\text{variation}}}$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

Interpolation in Polar Coordinates - 2D Case

Recall from the discussion of rotationally symmetric objects that the Fourier-Bessel (or Hankel) transform relations are:

$$G_n(R) = \int_{object} g_n(r) J_n(2\pi r R) 2\pi r dr$$

and g_n and G_n are reciprocally related:

$$g_n(r) = \int_{transform} G_n(R) J_n(2\pi r R) 2\pi R dR$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

Interpolation in Polar Coordinates - 2D Case

$$G_n(R) = \int_{object} g_n(r) J_n(2\pi r R) 2\pi r dr$$

$$g_n(r) = \int_{transform} G_n(R) J_n(2\pi rR) 2\pi R dR$$

Each g_n is a real wave and each G_n represents a particular J_n weighted by the strength of that angular component at a particular radius, R, in the transform

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

Interpolation in Polar Coordinates - 2D Case

$$G_n(R) = \int_{object} g_n(r) J_n(2\pi r R) 2\pi r dr$$

$$g_n(r) = \int_{transform} G_n(R) J_n(2\pi r R) 2\pi R dR$$

Overall scheme: Compute from F \rightarrow **G** \rightarrow **g** \rightarrow ρ

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

To obtain sufficient image data to compute a 3-D reconstruction, projected views of the object may be collected by tilts about a single axis

As a consequence of the projection theorem, such strategy would produce a **sampling** (a star of lines) in each **Zplane** of the 3-D Fourier transform:



III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

Cylindrical polar coordinate system used to describe the 3-D transform, which is **sampled on planes of constant Z** and on **annuli of constant** *R* within each plane

Example of how one **central plane**, corresponding to a particular view of the object, cuts the various annuli is depicted



Adapted from Crowther (1971) Fig. 4, p.223

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

As a consequence of the projection theorem, such strategy would produce a **sampling** (a star of lines) in each **Zplane** of the 3-D Fourier transform:



2D illustration of interpolation in polar coordinates

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

A cylindrical expansion is made on each annulus, using transform values at points where the available data planes cut the annulus (indicated by crosses)



2D illustration of interpolation in polar coordinates

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

On annulus R the Fourier transform is given by:



$$F(\Phi_j) = \sum_n G_n i^n e^{in\Phi_j}$$

2D illustration of interpolation in polar coordinates

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

On annulus R the Fourier transform is given by:

$$F(\Phi_{j}) = \sum_{n} G_{n} i^{n} e^{in\Phi_{j}}$$

Using shorthand:
$$F_{j} = \sum_{n} G_{n} B_{jn}$$

where
$$B_{jn} = i^{n} e^{in\Phi_{j}} = e^{in(\Phi_{j} + \pi/2)}$$

where

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

Transform on annulus R:

$$F_j = \sum_n G_n B_{jn}$$

and

$$B_{jn} = e^{in(\Phi_j + \pi/2)}$$

For given angular positions in the Fourier transform, Φ_j , and observed F_j , these are linear equations that can be solved for G_n , provided a sufficient number of views can be included

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

Arrangement of lines where a primary plane of data, normal to a two-fold axis of an icosahedral particle, and the planes related to it by symmetry intersect the transform plane Z = 1/6 nm⁻¹

Spacing of annuli = 1/60 nm⁻¹

Note the uneven nature of the sampling around each annulus



Crowther, DeRosier and Klug, 1970, p.329

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

3-D object density given by following expansion equation:

$$\rho(r,\phi,z) = \sum_{n=-\infty}^{\infty} \int_{-\infty}^{\infty} g_n(r,Z) e^{in\phi} e^{2\pi i z Z} dZ$$
(1)

3-D Fourier transform has the form:

$$F(R,\Phi,Z) = \sum_{n} G_n(R,Z) i^n e^{in\Phi}$$
(2)

Recall - in 2-D the FT is:

$$F(R,\Phi) = \sum_{n} G_{n}(R)i^{n}e^{in\Phi}$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

3-D Fourier transform:

$$F(R,\Phi,Z) = \sum_{n} G_n(R,Z)i^n e^{in\Phi}$$
(2)

Recall: Just as was true in 2-D, in 3-D $G_n(R,Z)$ and $g_n(r,Z)$ are related by the Fourier Bessel transformations:

$$G_n(R,Z) = \int_{object} g_n(r,Z) J_n(2\pi r R) 2\pi r dr$$
(2b)

$$g_n(r,Z) = \int_{transform} G_n(R,Z) J_n(2\pi r R) 2\pi R dR$$
(2c)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

3-D Fourier transform:

$$F(R,\Phi,Z) = \sum_{n} G_n(R,Z)i^n e^{in\Phi}$$
(2)

On annulus *R* of transform plane *Z* (called "annulus (*R*,*Z*)") we have observations $F(\Phi_i)$ at known Φ_i , so:

$$F(\Phi_j) = \sum_n G_n i^n e^{in\Phi_j}$$
(3)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

On annulus (*R*,*Z*) we have observations $F(\Phi_j)$ at known Φ_j , so:

$$F(\Phi_j) = \sum_n G_n i^n e^{in\Phi_j}$$

(3)

This set of linear equations can be solved for G_n

The g_n are then computed using eqn. (2c):

$$g_n(r,Z) = \int_{transform} G_n(R,Z) J_n(2\pi r R) 2\pi R dR$$
(2c)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

Compute g_n from (2c):

$$g_n(r,Z) = \int_{transform} G_n(R,Z) J_n(2\pi r R) 2\pi R dR$$
(2c)

The 3-D density $\rho(r,\phi,z)$ is computed from (1):

$$\rho(r,\phi,z) = \sum_{n=-\infty}^{\infty} \int_{-\infty}^{\infty} g_n(r,Z) e^{in\phi} e^{2\pi i z Z} dZ$$
(1)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

The 3-D density $\rho(r,\phi,z)$ is computed from (1):

$$\rho(r,\phi,z) = \sum_{n=-\infty}^{\infty} \int_{-\infty}^{\infty} g_n(r,Z) e^{in\phi} e^{2\pi i z Z} dZ$$
(1)

In general: It is necessary to include a sufficient number of views so that the set of linear equations (3) will contain many MORE observations than unknowns

$$F(\Phi_j) = \sum_n G_n i^n e^{in\Phi_j}$$
(3)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

$$F(\Phi_j) = \sum_n G_n i^n e^{in\Phi_j}$$

This set of linear equations is solved by least squares procedures

Equation (3) is rewritten in matrix form as observational equations:

$$F = BG \tag{4}$$

(3)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

$$F(\Phi_j) = \sum_n G_n i^n e^{in\Phi_j}$$

(3)

(4)

(5)

$$F = BG$$

Form the normal equations:

$$B^{\dagger}F = B^{\dagger}BG$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

$$F(\Phi_j) = \sum_n G_n i^n e^{in\Phi_j}$$
(3)

$$F = BG \tag{4}$$

$$B^{\dagger}F = B^{\dagger}BG \tag{5}$$

This gives a least squares solution of (4) as:

$$G = \left(B^{\dagger}B\right)^{-1}B^{\dagger}F$$

(6)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry



Standard Setting



5-fold setting used for computing reconstruction

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry



5-fold setting used for computing reconstruction



III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry



III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry



III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry



Recall:

```
On annulus (R,Z):
```

$$F(\Phi_j) = \sum_{all \, n} G_n i^n e^{in\Phi_j} \quad (3)$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$F(\Phi_j) = \sum_{all \, n} G_n i^n e^{in\Phi_j} \tag{3}$$

(7)

Use shorthand:

$$G_n' = i^n G_n$$

To get:

$$F(\Phi_j) = \sum_{all \, n} G_n' \, e^{in\Phi_j}$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$F(\Phi_j) = \sum_{all \, n} G_n' \, e^{in\Phi_j} \tag{7}$$

Express each structure factor, *F*, in its component real (*A*) and imaginary (*B*) parts to get:

$$At \Phi_{j}: A_{j} + iB_{j} = \sum_{positiven} \left\{ G_{n}'e^{in\Phi_{j}} + G_{-n}'e^{-in\Phi_{j}} \right\}$$

$$At - \Phi_{j}: A_{j} - iB_{j} = \sum_{positiven} \left\{ G_{n}'e^{-in\Phi_{j}} + G_{-n}'e^{in\Phi_{j}} \right\}$$

$$\left\{ 8\right\}$$

Remember, $F(-\Phi_j)$ obeys Friedel relation with $F(\Phi_j)$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$At \ \Phi_{j}: A_{j} + iB_{j} = \sum_{positiven} \left\{ G_{n}' e^{in\Phi_{j}} + G_{-n}' e^{-in\Phi_{j}} \right\}$$

$$At - \Phi_{j}: A_{j} - iB_{j} = \sum_{positiven} \left\{ G_{n}' e^{-in\Phi_{j}} + G_{-n}' e^{in\Phi_{j}} \right\}$$
(8)

Add
$$2A_{j} = \sum_{positiven} G'_{n} \left(e^{in\Phi_{j}} + e^{-in\Phi_{j}} \right) + G'_{-n} \left(e^{in\Phi_{j}} + e^{-in\Phi_{j}} \right)$$
(9)

Subtract $2iB_{j} = \sum_{positiven} G'_{n} \left(e^{in \Phi_{j}} - e^{-in \Phi_{j}} \right) - G'_{-n} \left(e^{in \Phi_{j}} - e^{-in \Phi_{j}} \right)$ (10)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

Equations (9) and (10) can be reduced if we rearrange the equations and recall the following relations:

$$e^{in\theta} = \cos(n\theta) + i\sin(n\theta)$$
$$e^{-in\theta} = \cos(n\theta) - i\sin(n\theta)$$
$$\cos(-n\theta) = \cos(n\theta)$$
$$\sin(-n\theta) = -\sin(n\theta)$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$2A_{j} = \sum_{\text{positiven}} G'_{n} \left(e^{in\Phi_{j}} + e^{-in\Phi_{j}} \right) + G'_{-n} \left(e^{in\Phi_{j}} + e^{-in\Phi_{j}} \right)$$
(9)

This can be rearranged to get:

$$2A_{j} = \sum_{\text{positive } n} (G'_{n} + G'_{-n}) (e^{in\Phi_{j}} + e^{-in\Phi_{j}})$$
(9c)

and
$$2A_{j} = \sum_{\text{positiven}} \left(G'_{n} + G'_{-n}\right) \left(2\cos\left(n\Phi_{j}\right)\right)$$
(9d)

because:
$$e^{in\theta} = \cos(n\theta) + i\sin(n\theta)$$
$$e^{-in\theta} = \cos(n\theta) - i\sin(n\theta)$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$2iB_{j} = \sum_{positiven} G'_{n} \left(e^{in\Phi_{j}} - e^{-in\Phi_{j}} \right) - G'_{-n} \left(e^{in\Phi_{j}} - e^{-in\Phi_{j}} \right)$$
(10)

This can be rearranged to get:

$$2iB_{j} = \sum_{\text{positive } n} (G'_{n} - G'_{-n}) (e^{in\Phi_{j}} - e^{-in\Phi_{j}})$$
(10c)

10d)

and
$$2iB_j = \sum_{\text{positive } n} (G'_n - G'_{-n}) (2i\sin(n\Phi_j))$$
 (

because:

$$e^{in\theta} = \cos(n\theta) + i\sin(n\theta)$$

$$e^{-in\theta} = \cos(n\theta) - i\sin(n\theta)$$

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$\mathbb{Z}A_{j} = \sum_{\text{positiven}} \left(G'_{n} + G'_{-n}\right) \left(\mathbb{Z}\cos(n\Phi_{j})\right)$$
(9d)

$$\mathcal{U}B_{j} = \sum_{\text{positive } n} (G'_{n} - G'_{-n}) (\mathcal{U}i \sin(n\Phi_{j}))$$
(10d)

Divide equation (9d) by 2 and equation (10d) by 2i to get:

$$A_{j} = \sum (G'_{n} + G'_{-n}) \cos(n\Phi_{j})$$

$$B_{j} = \sum (G'_{n} - G'_{-n}) \sin(n\Phi_{j})$$
(11)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$A_{j} = \sum (G'_{n} + G'_{-n}) \cos(n\Phi_{j})$$

$$B_{j} = \sum (G'_{n} - G'_{-n}) \sin(n\Phi_{j})$$
(11)

Since A_{j} , B_{j} , Φ_{j} , are known (recall, we have experimental $F(\Phi)$ values), it is necessary to solve for $(G'_{n} + G'_{-n})$ and $(G'_{n} - G'_{-n})$

Hence, values of G'_n and G'_n can be found, and, because the relation between G'_n and G_n is known (*i.e.* $G'_n = i^n G_n$), the values of G_n and G_n can be determined

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$A_{j} = \sum (G'_{n} + G'_{-n}) \cos(n\Phi_{j})$$

$$B_{j} = \sum (G'_{n} - G'_{-n}) \sin(n\Phi_{j})$$
(11)

Since A_{j} , B_{j} , Φ_{j} , are known (recall, we have experimental $F(\Phi)$ values), it is necessary to solve for $(G'_{n} + G'_{-n})$ and $(G'_{n} - G'_{-n})$

Note: G_n are **real** for n = even G_n are **imaginary** for n = odd

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction: Algebra Incorporating Symmetry

$$A_{j} = \sum (G'_{n} + G'_{-n}) \cos(n\Phi_{j})$$

$$B_{j} = \sum (G'_{n} - G'_{-n}) \sin(n\Phi_{j})$$
(11)

Since A_{j} , B_{j} , Φ_{j} , are known (recall, we have experimental $F(\Phi)$ values), it is necessary to solve for $(G'_{n} + G'_{-n})$ and $(G'_{n} - G'_{-n})$

Note: For the 3D reconstruction of icosahedral particles, the above summations are <u>ONLY</u> evaluated for terms for which *n* = multiple of 5

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

3-D Reconstruction

Compute g_n from (2c):

$$g_n(r,Z) = \int_{transform} G_n(R,Z) J_n(2\pi r R) 2\pi R dR$$
(2c)

The 3-D density $\rho(r,\phi,z)$ is computed from (1):

$$\rho(r,\phi,z) = \sum_{n=-\infty}^{\infty} \int_{-\infty}^{\infty} g_n(r,Z) e^{in\phi} e^{2\pi i z Z} dZ$$
(1)

III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

OK, let's get practical about icosahedral particle processing



III.D.5.a 3D Reconstruction of Objects with Icosahedral Symmetry

OK, let's get practical about icosahedral particle processing



3D Reconstruction of Icosahedral Particles Outline

- Background
 - References; examples; etc.
- Symmetry
 - Icosahedral (532) point group symmetry
 - Triangulation symmetry
- "Typical" procedure (flow chart)
 - Digitization and boxing
 - Image preprocessing / CTF estimation
 - Initial particle orientation/origin search
 - Orientation/origin refinement
 - 3D reconstruction with CTF corrections
 - Validation (resolution assessment)
- Current and future strategies



3D Reconstruction of Icosahedral Particles REFERENCES

Crowther, R. A., Amos, L. A., Finch, J. T., DeRosier, D. J. and Klug, A. (1970) Three dimensional reconstructions of spherical viruses by Fourier synthesis from electron micrographs. *Nature* 226:421-425

First 3D reconstructions of negatively-stained, spherical viruses:

- Human wart virus
- Tomato bushy stunt

3D Reconstruction of Icosahedral Particles REFERENCES

Crowther, R. A., DeRosier, D. J. and Klug, A. (1970) The reconstruction of a three-dimensional structure from projections and its application to electron microscopy. *Proc. Roy. Soc. Lond.* A 317:319-340

Crowther, R. A. (1971) Procedures for three-dimensional reconstruction of spherical viruses by Fourier synthesis from electron micrographs. *Phil. Trans. R. Soc. Lond. B.* 261:221-230

General principles of 3DR method

- Fourier-Bessel mathematics
- Common lines

3D Reconstruction of Icosahedral Particles REFERENCES

- Reference list available as handout
- For die-hards:
- Baker, T. S., N. H. Olson, and S. D. Fuller (1999) Adding the third dimension to virus life cycles: Three-Dimensional reconstruction of icosahedral viruses from cryo-electron micrographs. *Microbiol. Molec. Biol. Reviews* 63:862-922



3D Reconstruction of Icosahedral Particles Outline

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3D Reconstruction of Icosahedral Particles Symmetry

> 1. Icosahedral (532) point group symmetry

2. Triangulation symmetry



Regular Polyhedra (Platonic Solids)

There are just five platonic solids:

From **equilateral triangles** you can make: with 3 faces at each vertex, a **tetrahedron**

with 4 faces at each vertex, an octahedron

with 5 faces at each vertex, an icosahedron

From **squares** you can make: with 3 faces at each vertex, a **cube**

From **pentagons** you can make: with 3 faces at each vertex, a **dodecahedron**







12 vertices (5-fold)20 faces (3-fold)



12 vertices (5-fold)20 faces (3-fold)30 edges (2-fold)

Icosahedron



Dodecahedron



Different shapes, but **both** have 532 symmetry

12 vertices, 20 faces, 30 edges (6 5-folds, 10 3-folds, 15 2-folds)

20 vertices, 12 faces, 30 edges (10 3-folds, 6 5-folds, 15 2-folds)

Asymmetric unit is 1/60th of whole object

Object consists of 60 identical 'subunits' arranged with icosahedral symmetry





30 dimers



20 trimers



12 pentamers



30 dimers

20 trimers

12 pentamers

3D Reconstruction of Icosahedral Particles Symmetry

1. Icosahedral (532) point group symmetry

→ 2. Triangulation symmetry

Purely mathematical concept (concerns lattices)

Real objects (*e.g.* viruses) with 532 symmetry often consists of multiples of 60 'subunits'

'Subunits' arranged such that additional, local or pseudo-symmetries exist





3D Reconstruction of Icosahedral Particles Triangulation Number

Key Concept:

- T symmetry is **NOT** incorporated into or enforced by the 3D reconstruction algorithms

Hence, T symmetry emerges as a result of a properly performed 3D reconstruction analysis

3D Reconstruction of Icosahedral Particles Two Basic Assumptions:

- Specimen consists of stable particles with 'identical' structures (else averaging is invalid)
- Programs test for and *assume* presence of icosahedral (532) symmetry

3D Reconstruction of Icosahedral Particles Outline

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Sample : ~2-3 μl at 1-5 mg/ml Specimen support: holey carbon film (1-2 μm)



Sample : ~2-3 µl at 1-5 mg/ml

Specimen support: holey carbon film (1-2 µm)



Sample : ~2-3 µl at 1-5 mg/ml

Specimen support: holey carbon film (1-2 µm)



Sample : ~2-3 µl at 1-5 mg/ml Specimen support: holey carbon film (1-2 µm) Microscope: 200-300 keV with FEG Defocus range: 1-3 µm underfocus Dose: 10-20 e⁻/Å² Film: SO-163 (12 min, full strength) Micrographs: 25-100 Particles: 10³-10⁴ Target resolution: 12 - 6 Å



