

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## III.C.6 Diffraction

Diffraction methods provide a powerful way to study molecular structure

X-ray diffraction

Neutron diffraction

Electron diffraction

Optical diffraction

Computed diffraction

## III.C.6 Diffraction

### **Ultimate goal:**

Understand the chemical properties of molecules by determining their atomic structure

Types of chemical bonds (ionic, covalent, or hydrogen)

Bond lengths and angles

Van der Waals radii

Rotations about single bonds

etc.

### III.C.6 Diffraction

Presently, only **X-ray** and **neutron** diffraction techniques are **routinely** capable of revealing the arrangement of **atoms** in molecular structures

In 1912 von Laue **predicted** that X-rays should diffract from crystals like light from a diffraction grating (later verified experimentally by Friedrich and Knipping)

W. L. Bragg: developed concept of diffraction from **crystal planes** and that the diffraction pattern could be used to reveal atomic positions in crystals

Physical principles of X-ray diffraction form the fundamental basis of **Fourier image processing** techniques

## III.C.6 Diffraction

### III.C.6.a Introduction to Diffraction Theory

**Diffraction:** **non-linear** propagation of electromagnetic radiation

- Occurs when an object **scatters** the incident radiation
- Radiation scattered from different portions of the object **interfere** both **constructively and destructively**, producing a diffraction pattern which can be recorded on a photographic emulsion

#### **Recall:**

**Electrons** (in a TEM) are scattered both by the electrons (inelastic scatter) and nuclei (elastic scatter) of specimen atoms

## III.C.6 Diffraction

### III.C.6.a Introduction to Diffraction Theory

A characteristic of diffraction: (remember this!)

***Each point*** in the diffraction pattern arises from interference of rays scattered from ***all irradiated portions*** of the object

## III.C.6 Diffraction

### III.C.6.a Introduction to Diffraction Theory

#### **Structure determination by diffraction methods:**

- Involves measuring or calculating the **structure factor** ( $F$ ) at many or all points of the diffraction pattern
- Each  $F$  is described by two quantities, an **amplitude** and a **phase**

#### **Amplitude:**

**Strength** of interference at a particular point

#### **Phase:**

**Relative time of arrival** of scattered radiation (wave) at a particular point

## III.C.6 Diffraction

### III.C.6.a Introduction to Diffraction Theory

#### Diffraction facts:

**Amplitude** is proportional to the **square root of the intensity** in the **recorded** pattern

$$Amplitude \propto \sqrt{Intensity}$$

Photographic film does **not** record the scattered amplitude, but rather the **intensity** which is proportional to the amplitude squared: *i.e.*  $Intensity \propto (Amplitude)^2$

## III.C.6 Diffraction

### III.C.6.a Introduction to Diffraction Theory

#### More Diffraction facts:

- **Phase** information is **lost** when the diffraction pattern is recorded
- Phases **cannot** be measured directly from X-ray diffraction photographs

#### The “Phase Problem”

- Major concern of structure determination using X-ray crystallography
- Necessitates use of *e.g.* heavy atom, isomorphous replacement, molecular replacement etc. methods



## III.C.6 Diffraction

### III.C.6.a Introduction to Diffraction Theory

**X-ray phases could** be obtained if it were possible to rediffract (focus) scattered X-rays with a lens to form an image

We **can directly visualize** objects in **electron and light microscopes** because **electrons and visible** photons scattered by specimens **can be focused** with lenses to form images

## III.C.6 Diffraction

### III.C.6.a Introduction to Diffraction Theory

In the absence of "noise", an image might be considered to contain structural information (amplitudes and phases) in **directly** interpretable form

#### **Major advantage of image processing:**

Provides an **objective means** to extract **reliable** structural information from noisy images

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

# Fourier Transforms

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

Mathematically describes the **distribution of amplitude and phase** in different directions, for **all possible** directions of the beam incident on the object

Fourier transform of an object is a particular kind of **weighted integral** of the object

In one-dimension:

$$F(X) = \int_{-\infty}^{\infty} \mathbf{r}(x) e^{(2\pi i x X)} dx$$

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

The Fourier transform in 1-D:

$$F(X) = \int_{-\infty}^{\infty} r(x) e^{(2\pi i x X)} dx$$

$F(X)$  = the **scattering function** (diffraction pattern)

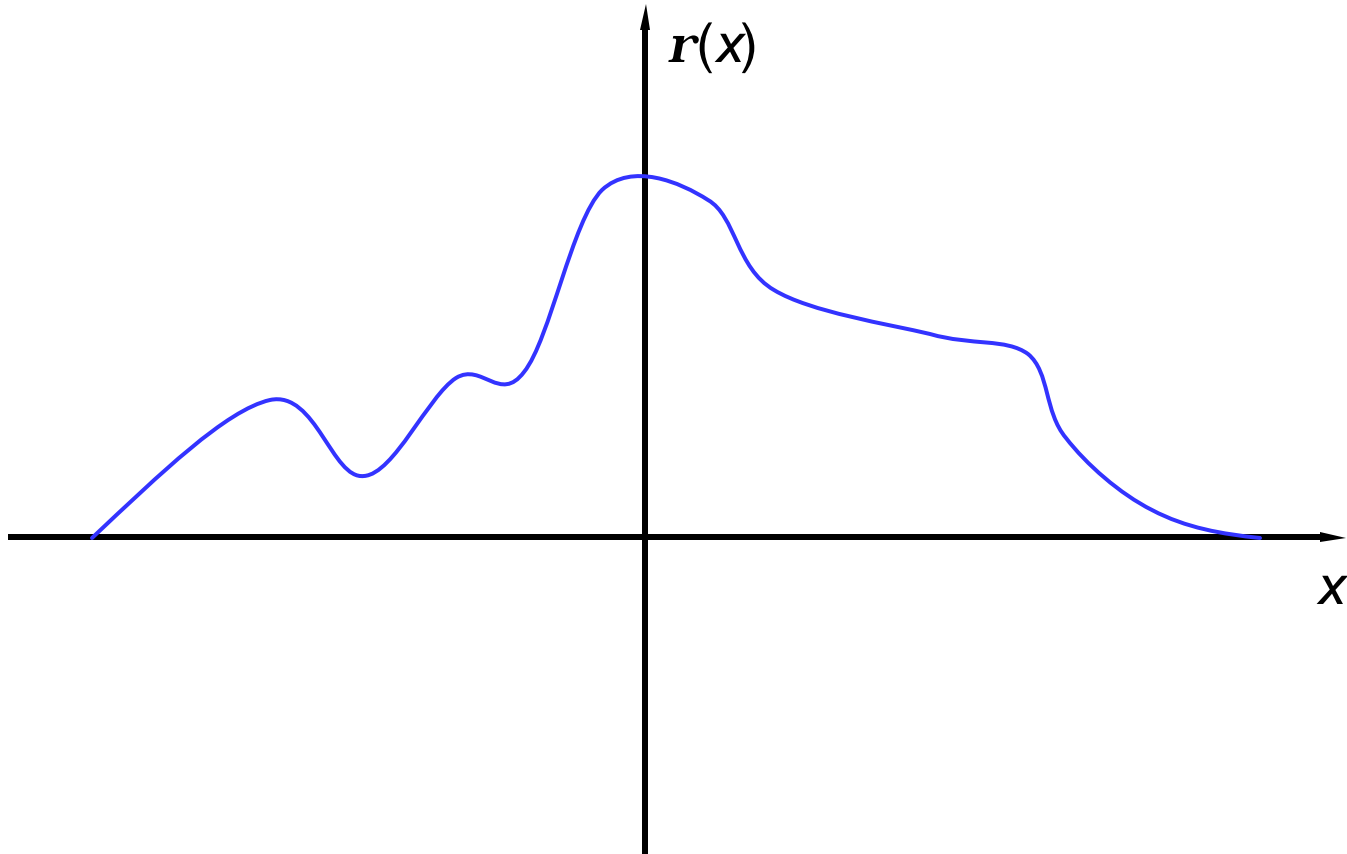
$r(x)$  = the **electron density function** (object)

Integration is over **all** density values in the structure

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

**$r(x)$ : the object**



## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

The Fourier transform in 1-D:

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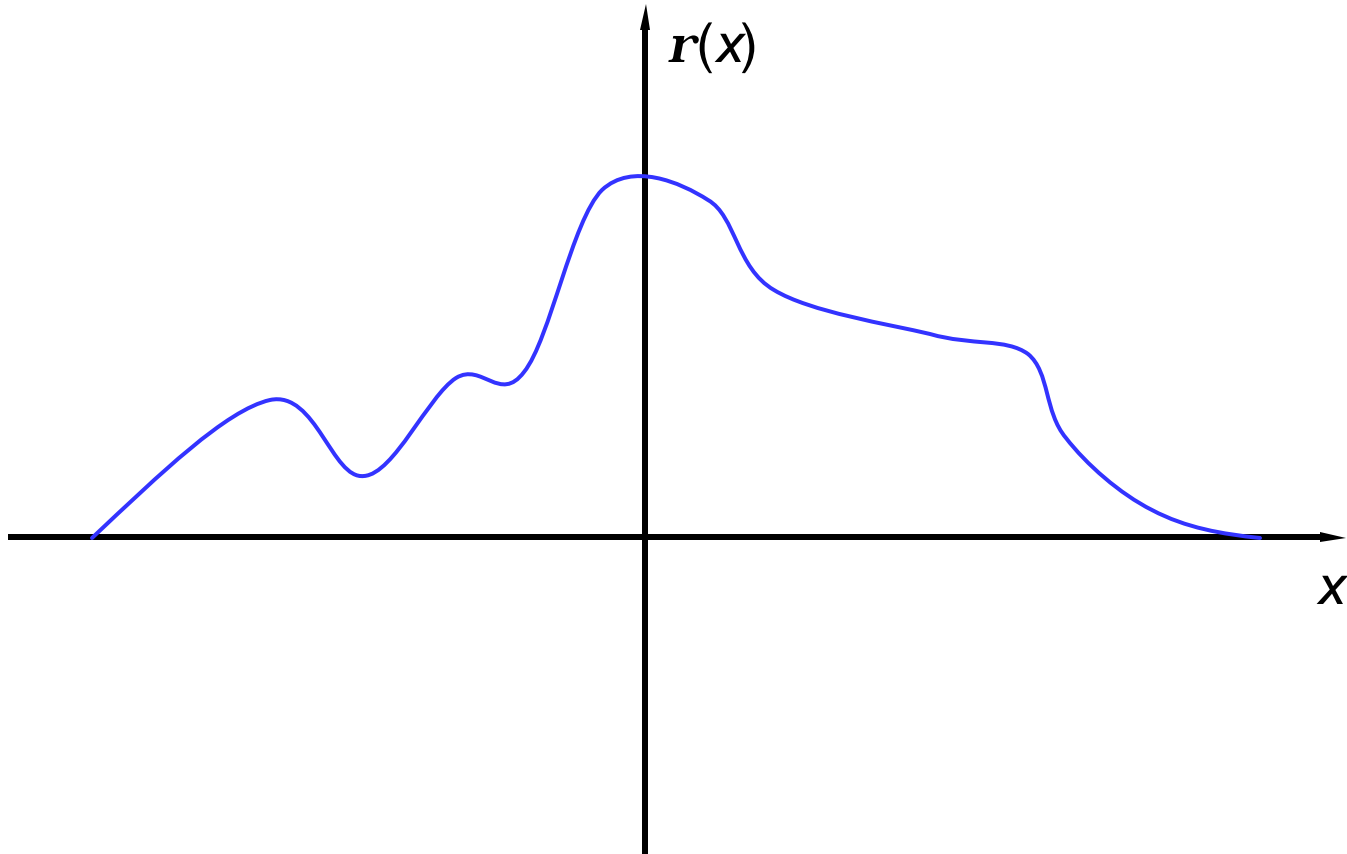
For **sampled** (**discrete**) data:

$$F(X) = \sum_x r(x) e^{(2\pi i x X)}$$

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

**$r(x)$ : the object**

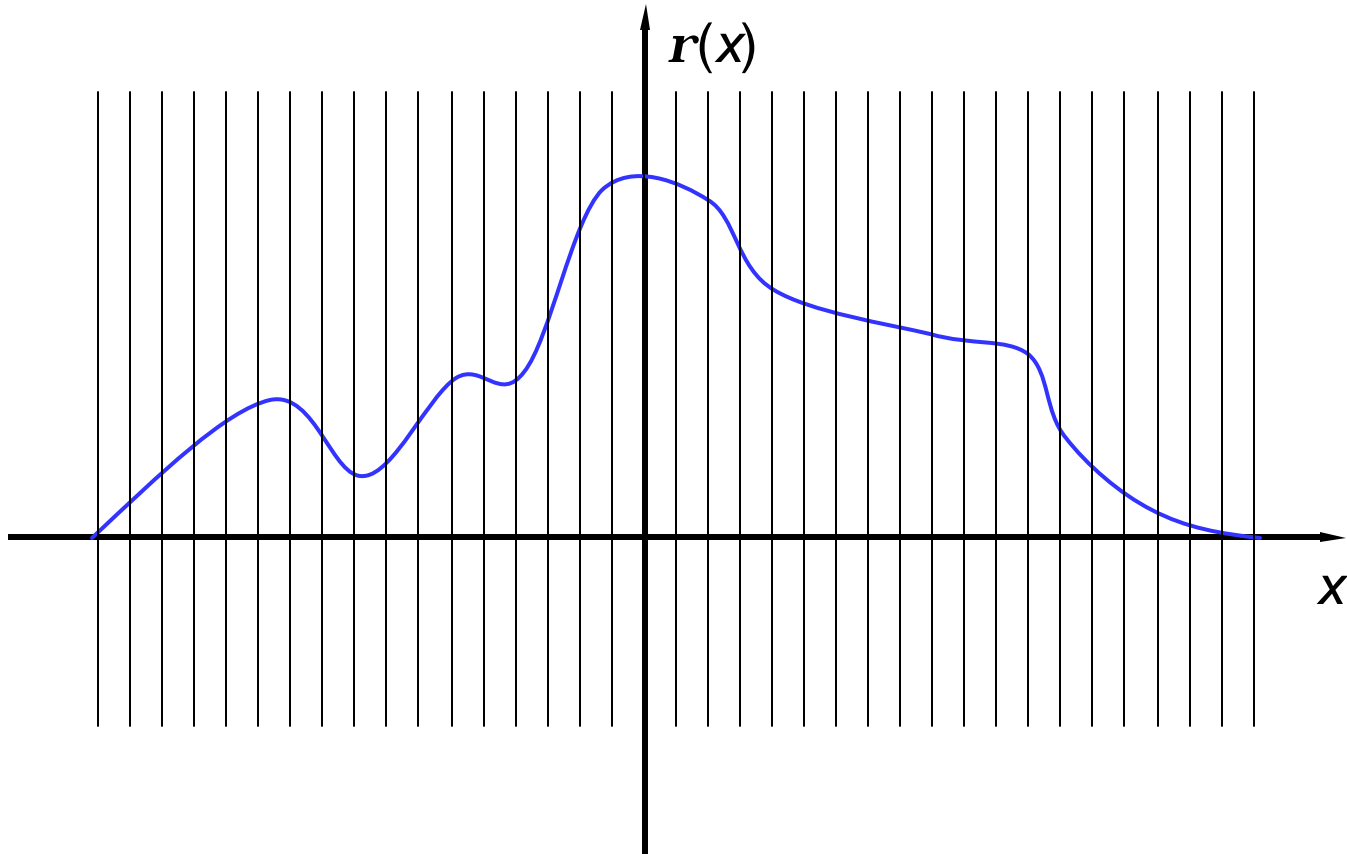




## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

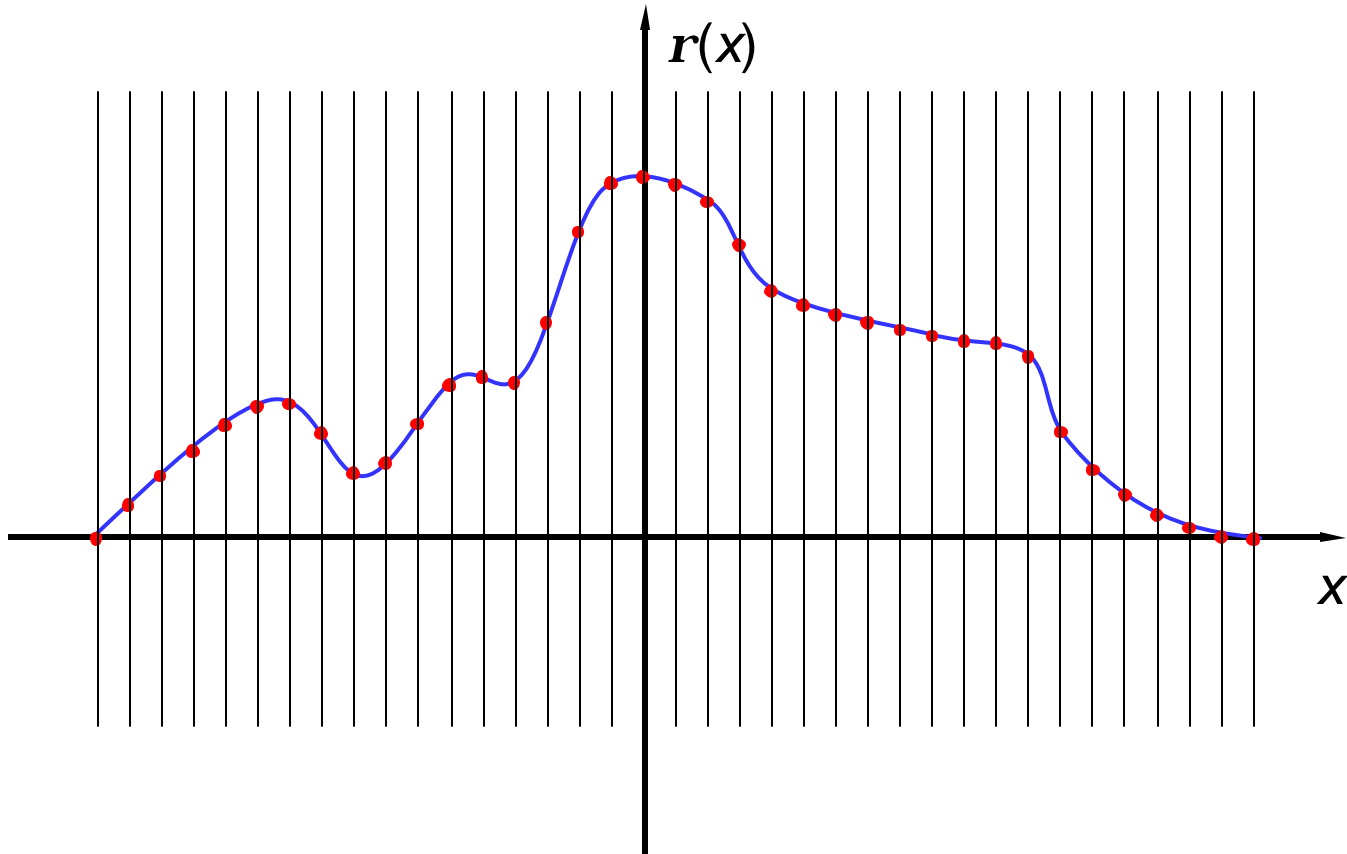
$r(x)$ : the object **sampled**



## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

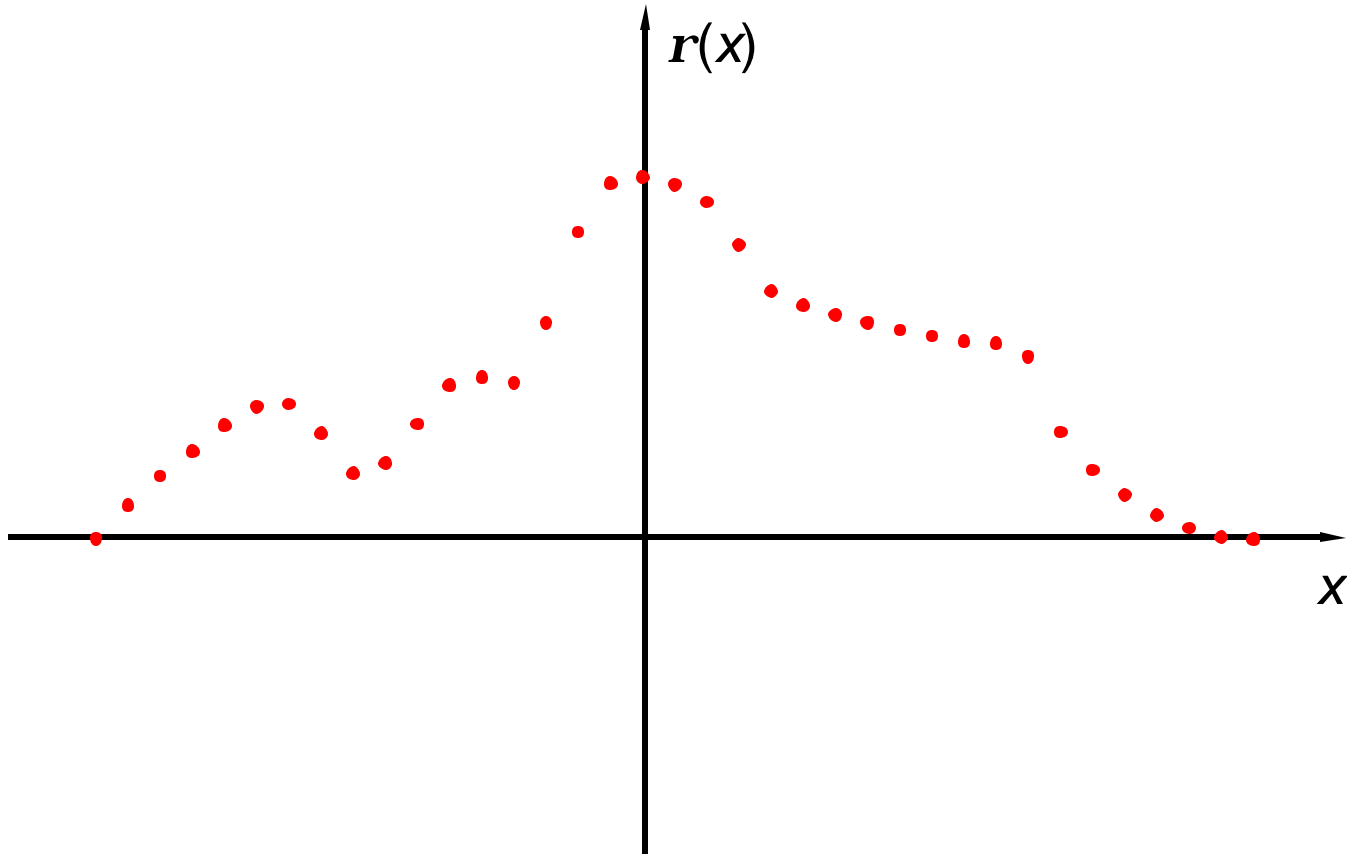
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### III.C.6 Diffraction

#### III.C.6.b The Fourier Transform

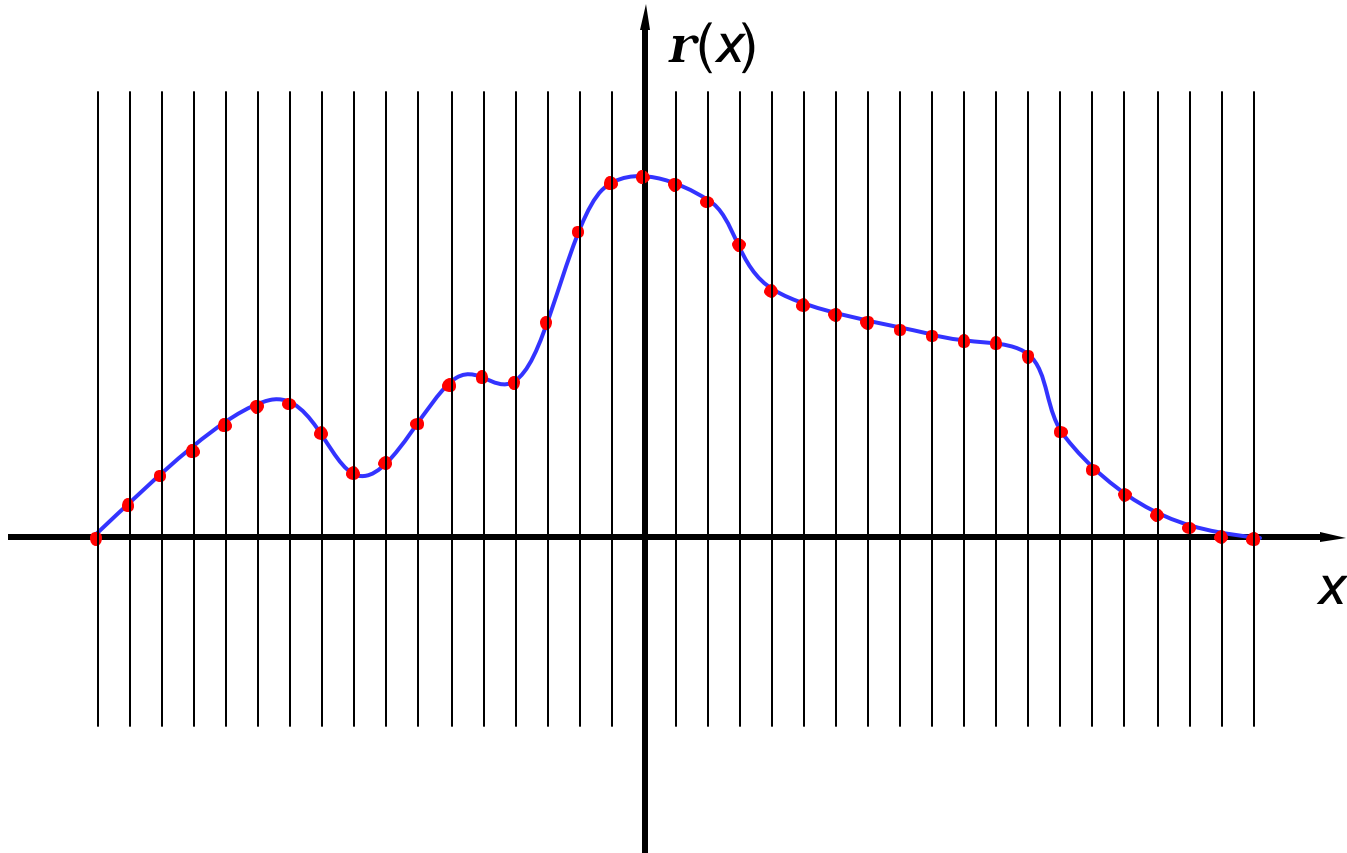
$r(x)$ : the object      **sampled**



## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

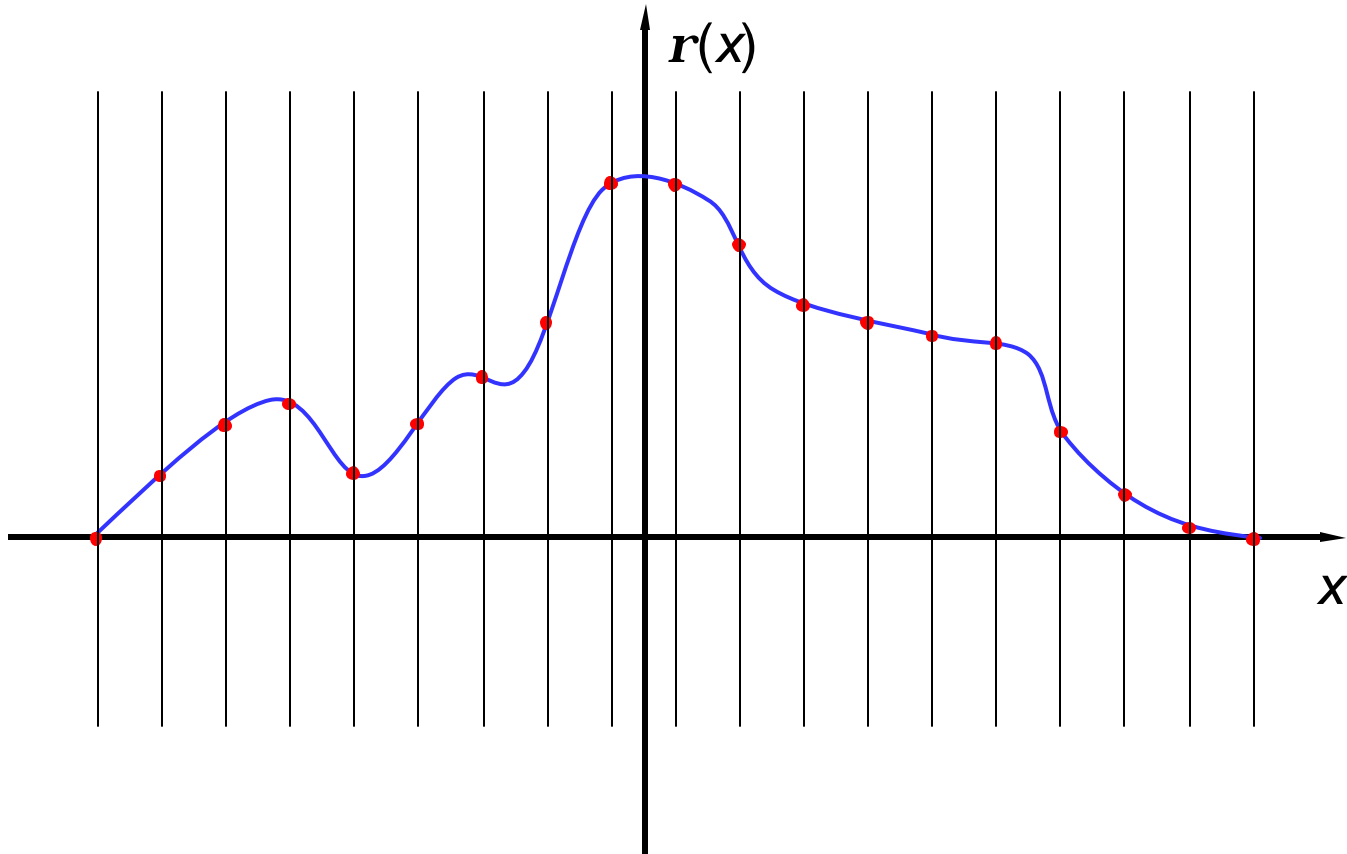
$r(x)$ : the object **sampled**



## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

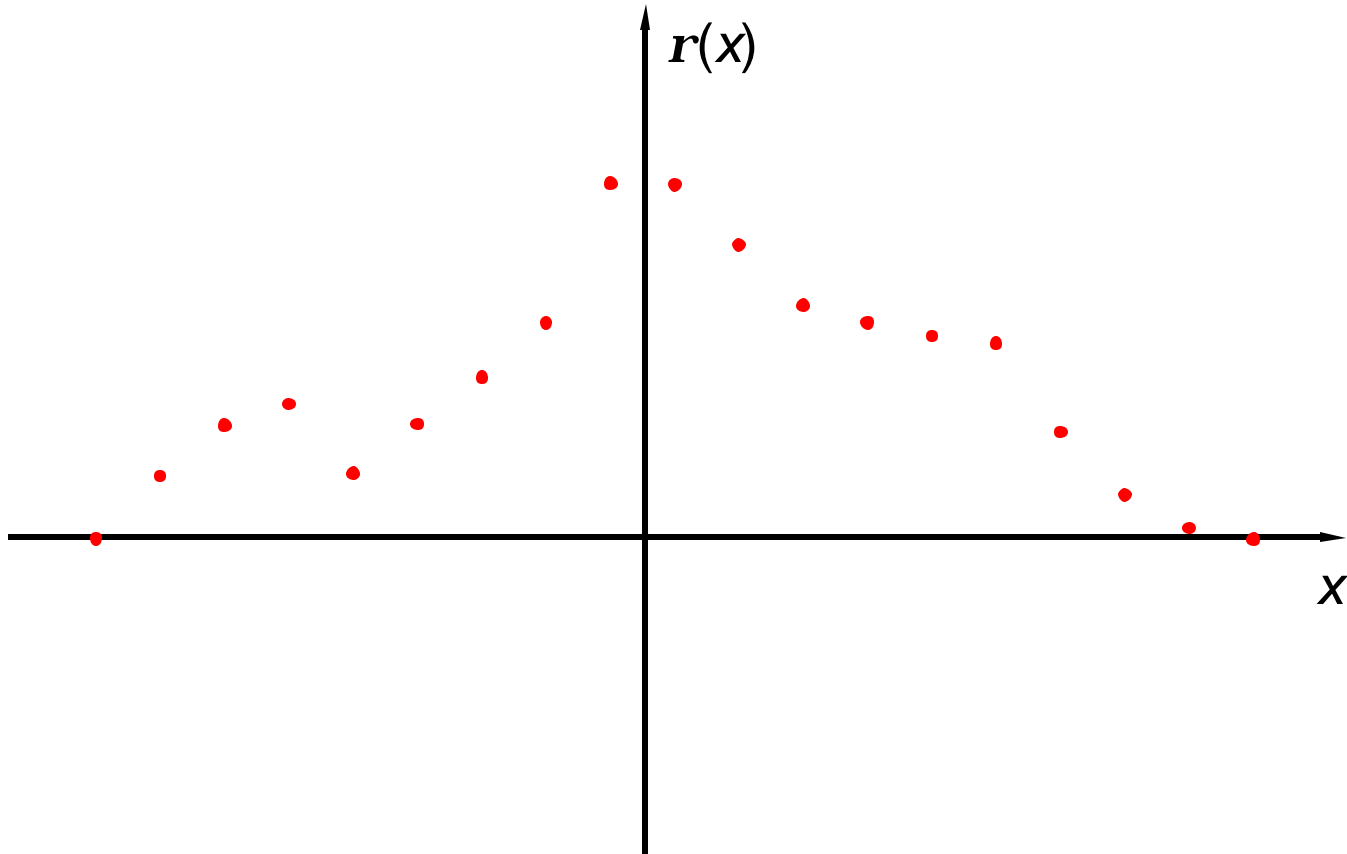
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## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

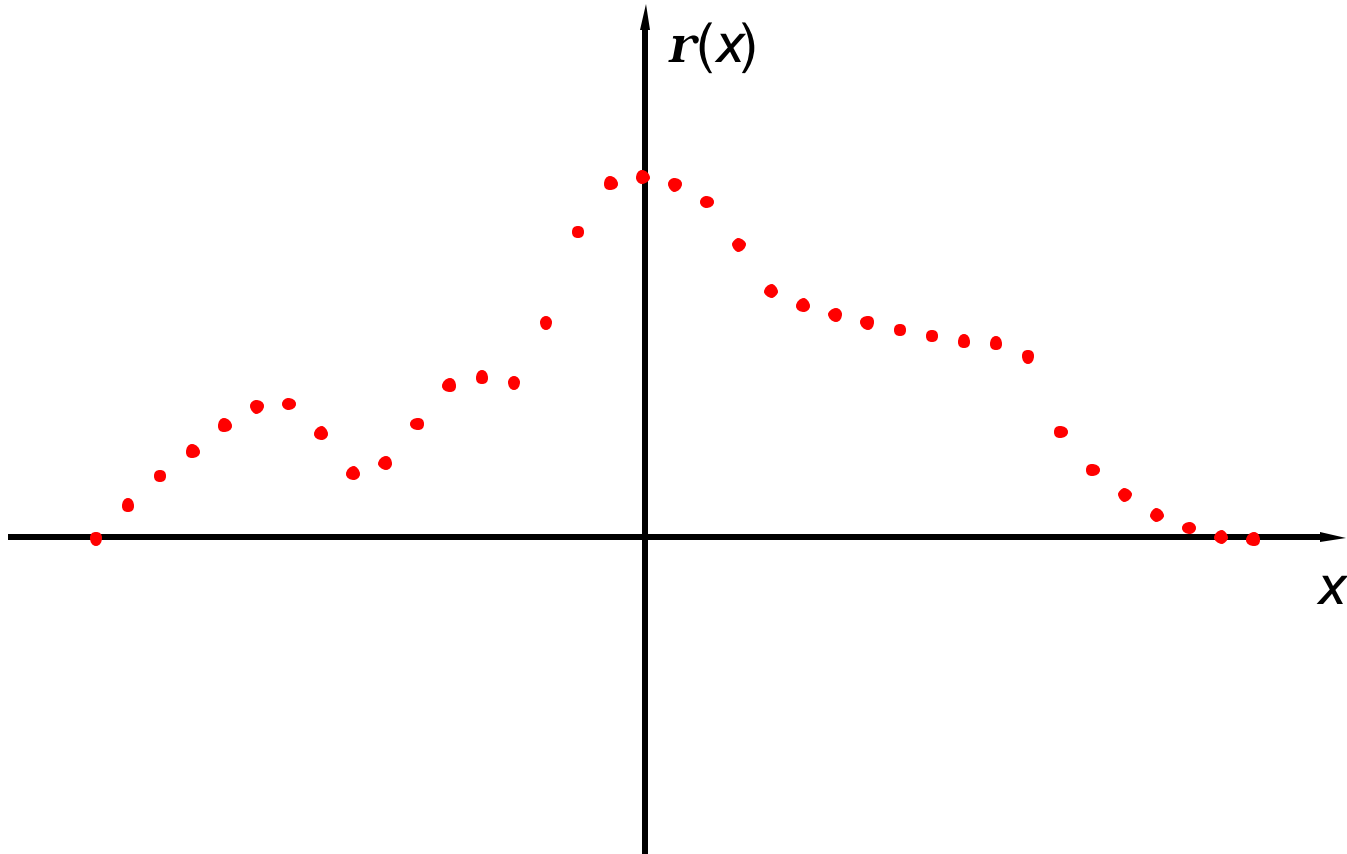
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## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

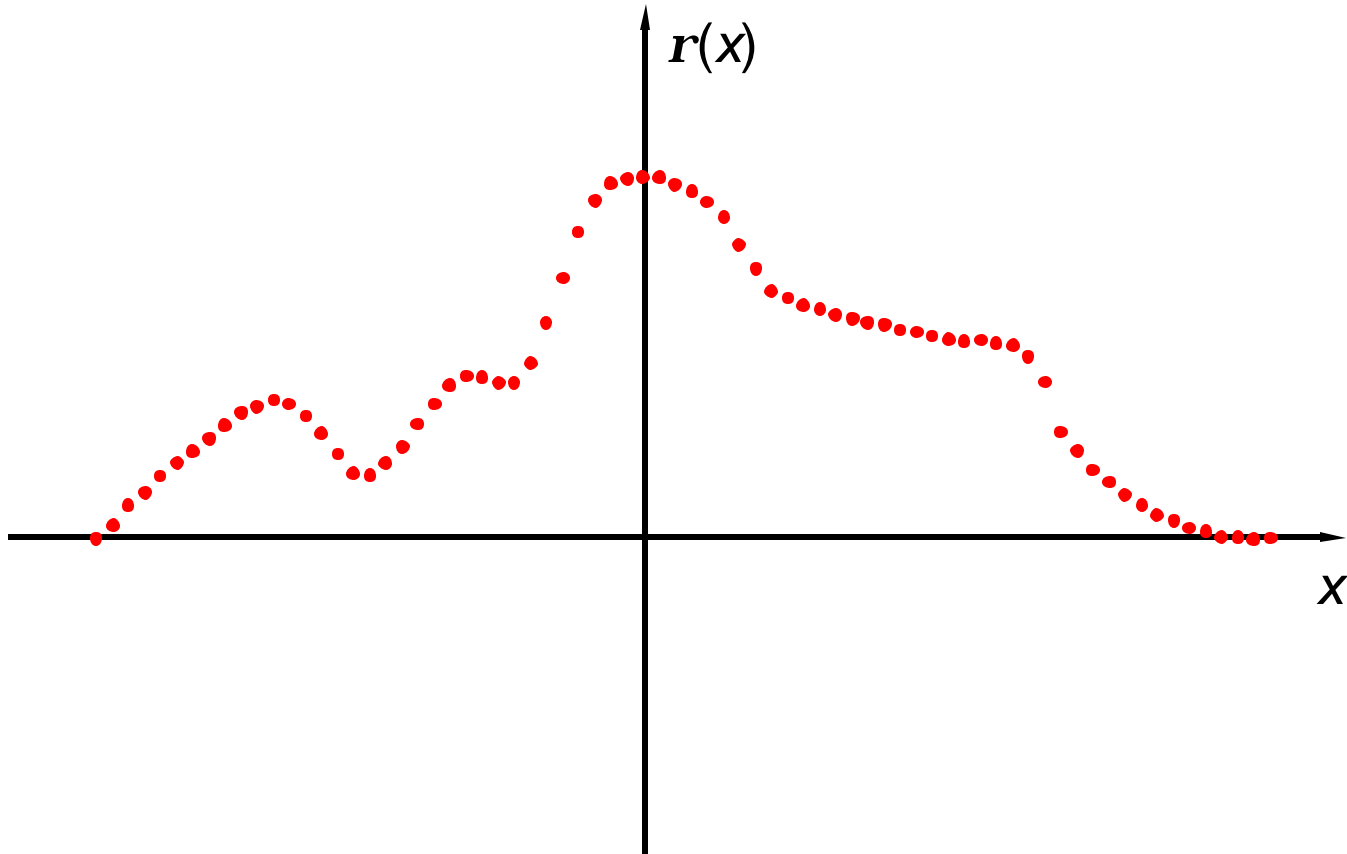
$r(x)$ : the object      **sampled**



## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

$r(x)$ : the object **sampled**





# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## III.C.6 Diffraction

### KEY CONCEPTS:

- **Diffraction methods** provide a powerful means to study and determine structure
- First goal of diffraction methods is to determine **structure factor amplitudes and phases**; from these we can **reconstruct** structure
- The Fourier transform is just a **different way to represent** an object
- **Any periodic object** can be represented mathematically as a **summation of sinusoidal waves** (Fourier synthesis)
- Image formation is considered a **double diffraction** process

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## III.C.6 Diffraction

### And some more KEY CONCEPTS:

- **Bragg's Law:** visualizes diffraction as arising from **reflection** of radiation from **planes** in crystals
- Structure factors are **complex** numbers
- Concepts of **convolution and multiplication** (sampling) help us understand fundamental properties of Fourier transforms

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

In one-dimension:

$$F(X) = \int_{-\infty}^{\infty} \mathbf{r}(x) e^{(2\pi i x X)} dx$$

For sampled (discrete) data:

$$F(X) = \sum_x \mathbf{r}(x) e^{(2\pi i x X)}$$

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

#### Shorthand Notations:

**$F$**  = Fourier transform of  $r$

**$T$**  = Forward Fourier transform operation

$$\mathbf{F} = T(\mathbf{r})$$

### III.C.6 Diffraction

#### III.C.6.b The Fourier Transform

**Inverse relationship:** (property of FTs)

Recall:

$$F(X) = \int_{-\infty}^{\infty} r(x) e^{(2\pi i x X)} dx$$

$F(X)$  is the **forward transform** of  $r(x)$

$$r(x) = \int_{-\infty}^{\infty} F(X) e^{(-2\pi i x X)} dX$$

thus  $r$  is the **inverse transform** of  $F$

### III.C.6 Diffraction

#### III.C.6.b The Fourier Transform

**Inverse relationship:** (property of FTs)

$$\mathbf{r}(x) = \int_{-\infty}^{\infty} F(X) e^{(-2\pi i x X)} dX$$

$r$  is the **inverse transform** of  $F$

**In shorthand notation:**

$$\mathbf{r} = T^{-1}(F) = T^{-1}(T(\mathbf{r}))$$

$T^{-1}$  = inverse (reverse, back) Fourier transform operation

## III.C.6 Diffraction

### III.C.6.b The Fourier Transform

#### **Inversion theorem:**

The Fourier transform of the Fourier transform of an object is the original object

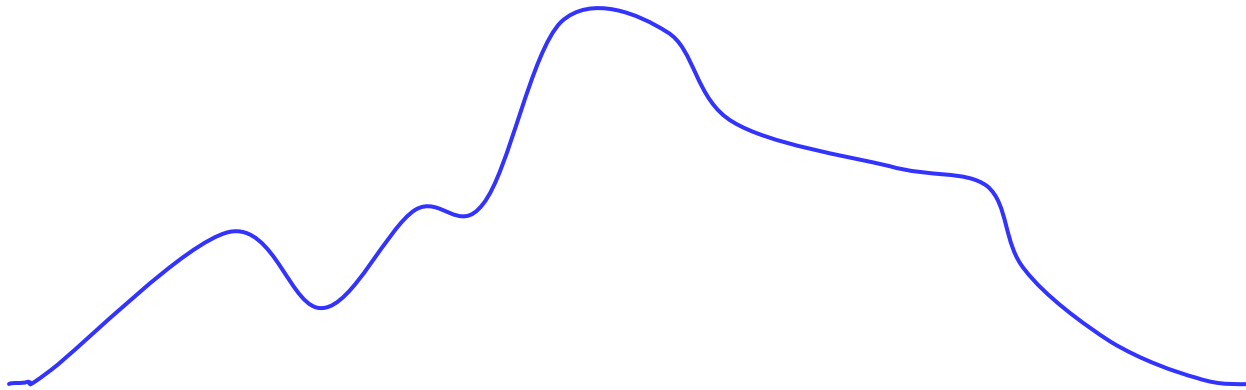
Theorem is analogous to Abbe's treatment of **image formation** which is considered to be a **double-diffraction process**

*We will return to this idea a bit later...*

## III.C.6 Diffraction

### III.C.6.c Fourier Synthesis

**Any periodic function may be **mathematically** represented by a **summation** of a **series of sinusoidal waves****

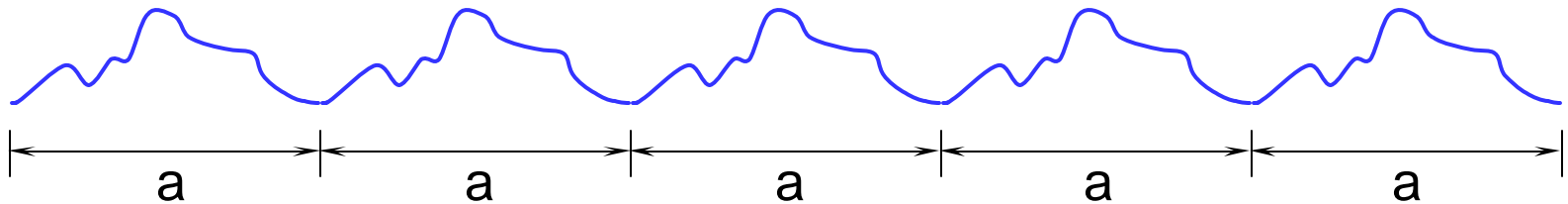




## III.C.6 Diffraction

### III.C.6.c Fourier Synthesis

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## III.C.6 Diffraction

### III.C.6.c Fourier Synthesis

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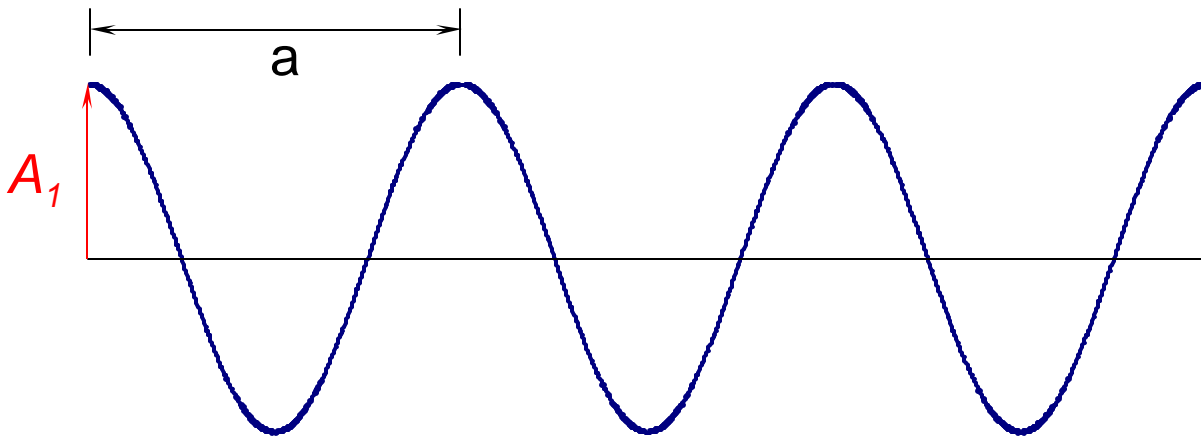
In one-dimension, the **Fourier synthesis** can be expressed:

$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\mathbf{p}nx / a)$$

### III.C.6 Diffraction

#### III.C.6.c Fourier Synthesis

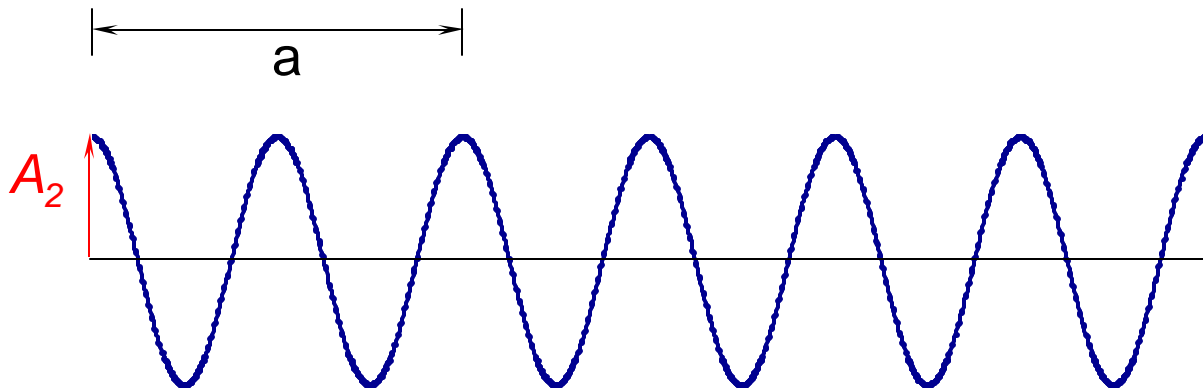
$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2pnx/a)$$



### III.C.6 Diffraction

#### III.C.6.c Fourier Synthesis

$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\mathbf{p}nx / a)$$



## III.C.6 Diffraction

### III.C.6.c Fourier Synthesis

$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\pi n x / a)$$

$r(x)$  = 1-D density function (object)

$x$  = coordinate of a point in the object

$a$  = repeat distance of 1-D periodic object

$A_n$  = **Fourier coefficient** (amplitude term) for wave number  $n$

$n$  = wave number (frequency) or cycles per repeat distance  $a$

$(2\pi n x / a)$  = **phase term** (position of wave with respect to a fixed origin point in the repeating structure)

## III.C.6 Diffraction

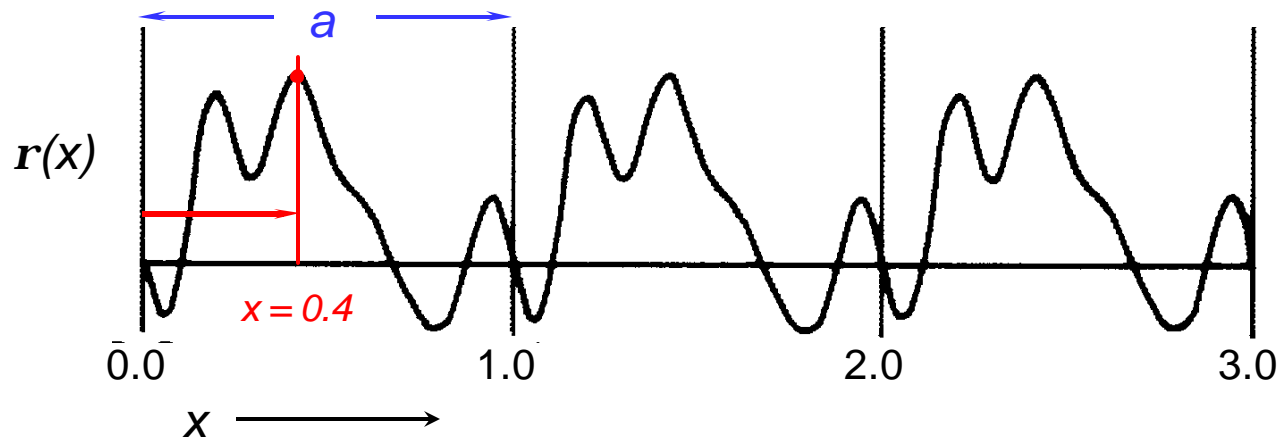
### III.C.6.c Fourier Synthesis

$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\pi n x / a)$$

$r(x)$  = object

$x$  = coordinate of point in object

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## III.C.6 Diffraction

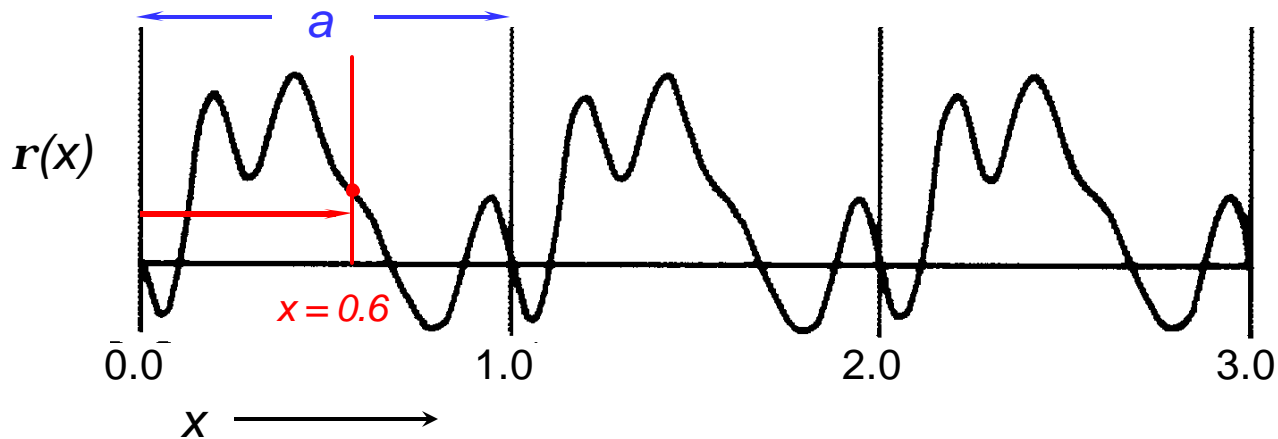
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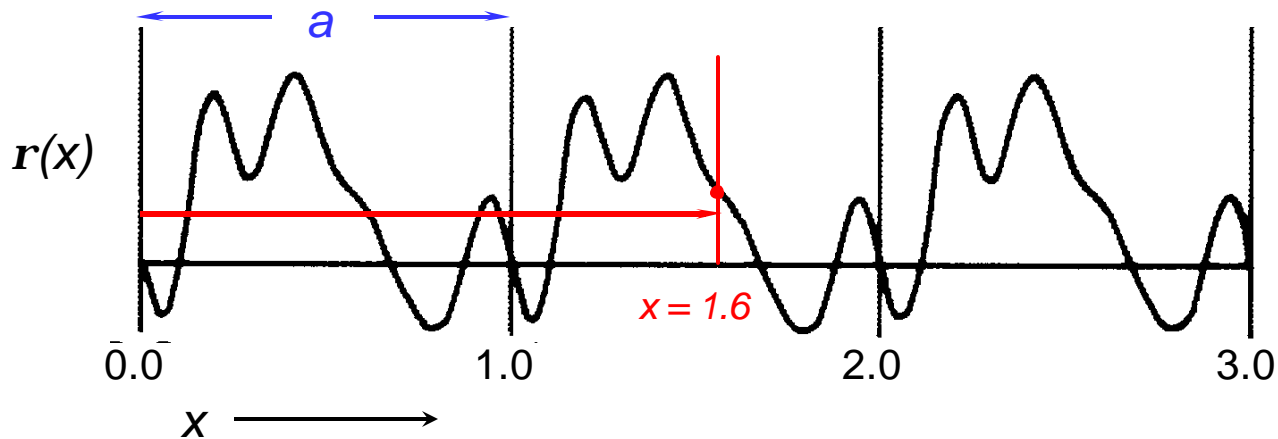
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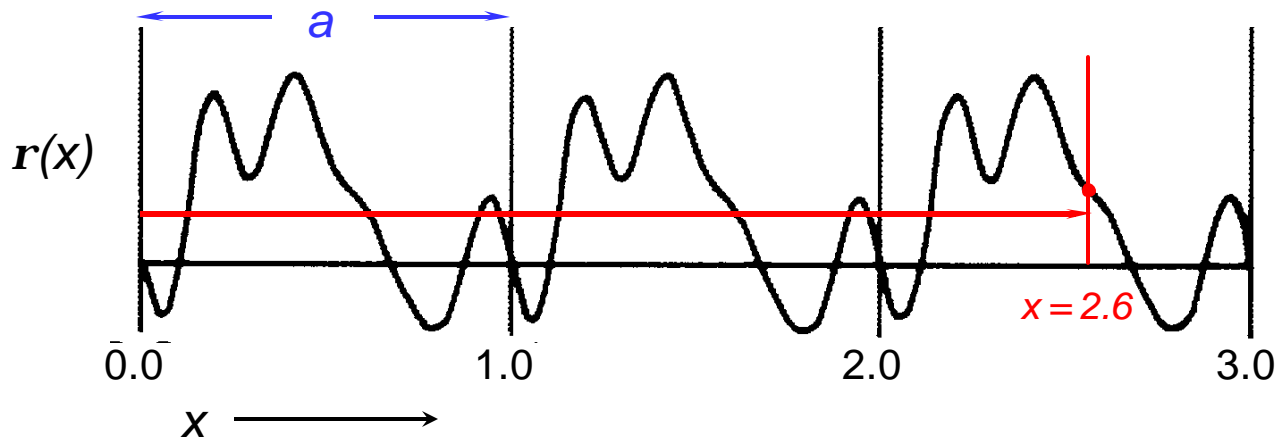
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# III.C.6 Diffraction

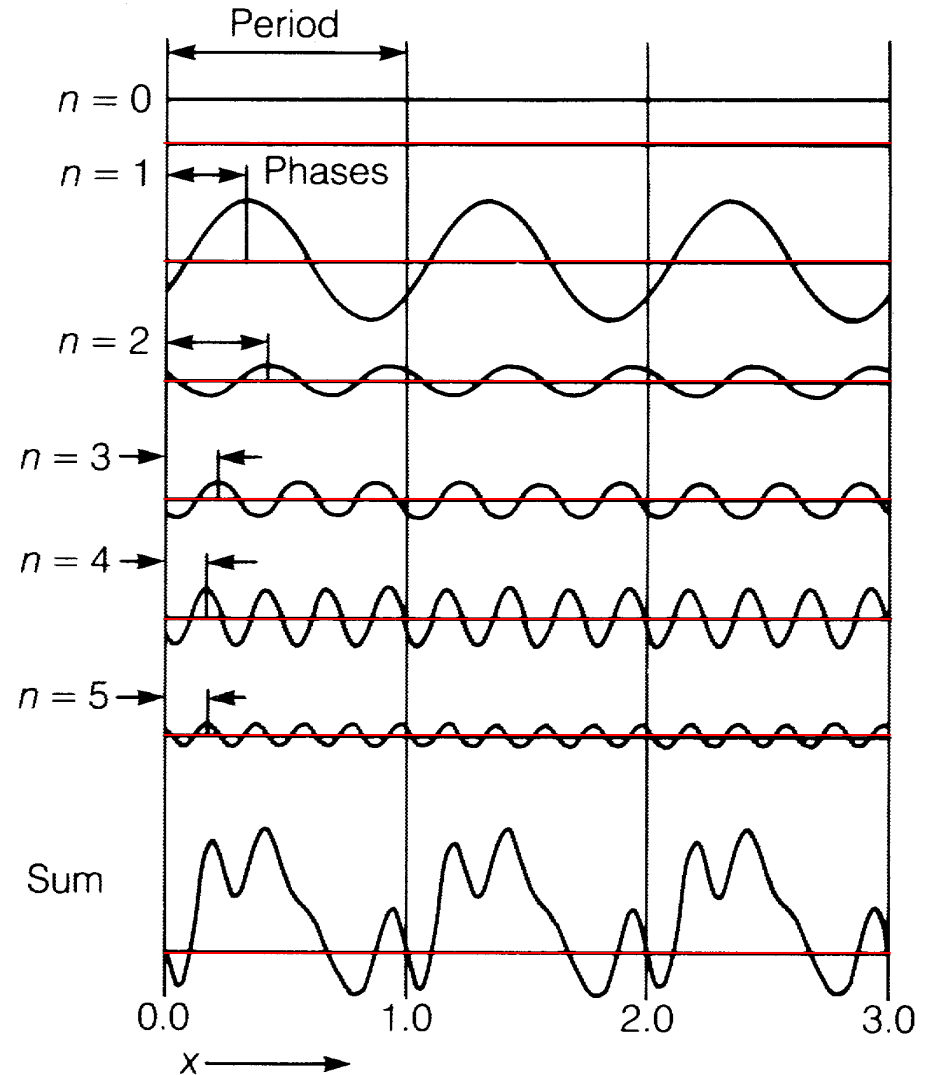
## III.C.6.c Fourier Synthesis

$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\pi n x / a)$$

$A_n$  = Fourier coefficient (amplitude term)  
for wave number  $n$

$n$  = wave number or cycles per repeat  
distance  $a$

$(2\pi n x / a)$  = phase term (position of wave with  
respect to origin point)



## III.C.6 Diffraction

### III.C.6.c Fourier Synthesis

#### **Fourier Synthesis:**

- Mathematical **combination of the waves** to produce the periodic function

#### **Fourier Analysis:**

- Opposite process
- **Decomposition** of the periodic function **into its component waves**
- **Example:** analyzing the sound wave harmonics of a musical instrument

## III.C.6 Diffraction

### III.C.6.c Fourier Synthesis

## **Analogy between Music and Structure**

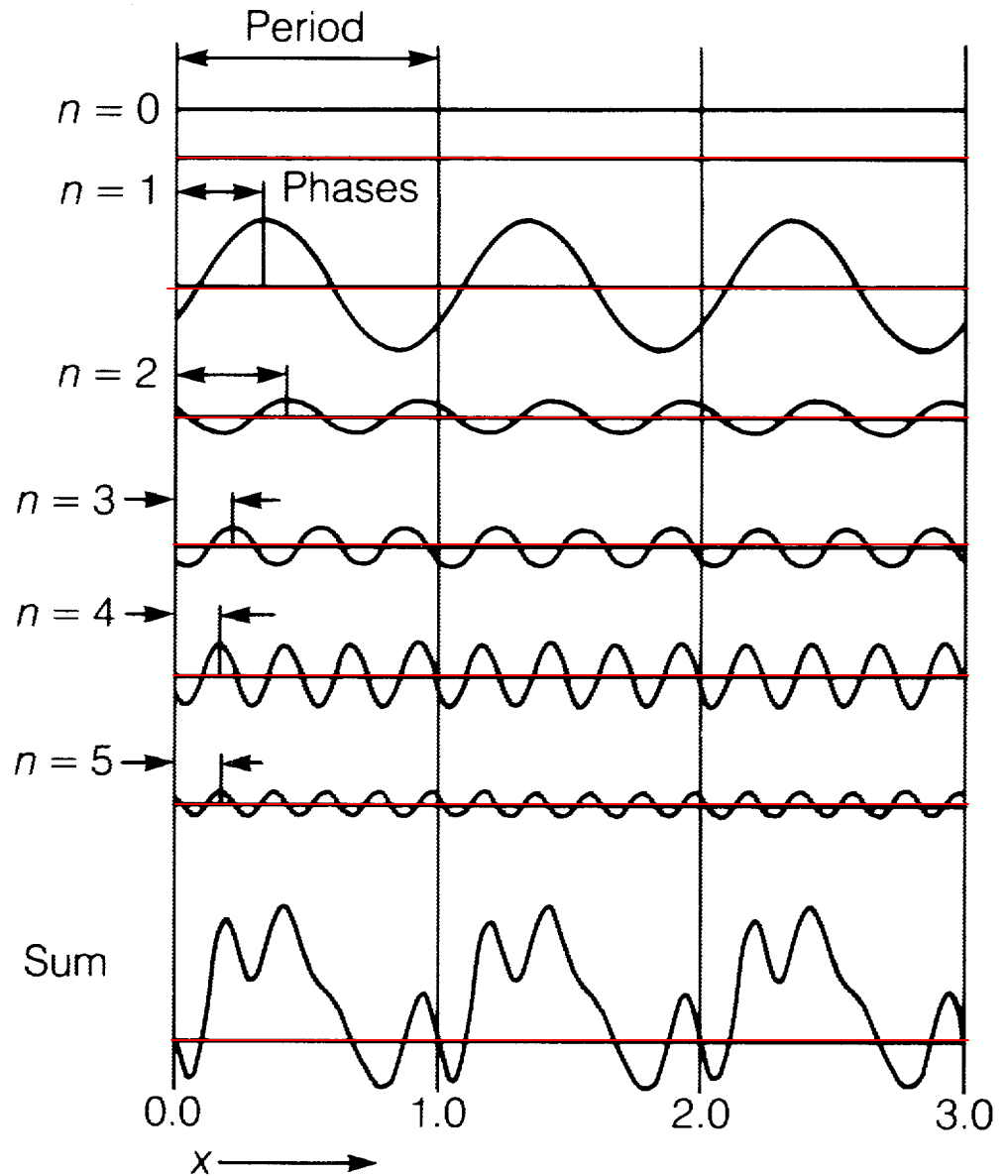
tone =  $\sum$  harmonics

structure =  $\sum$  structure factors

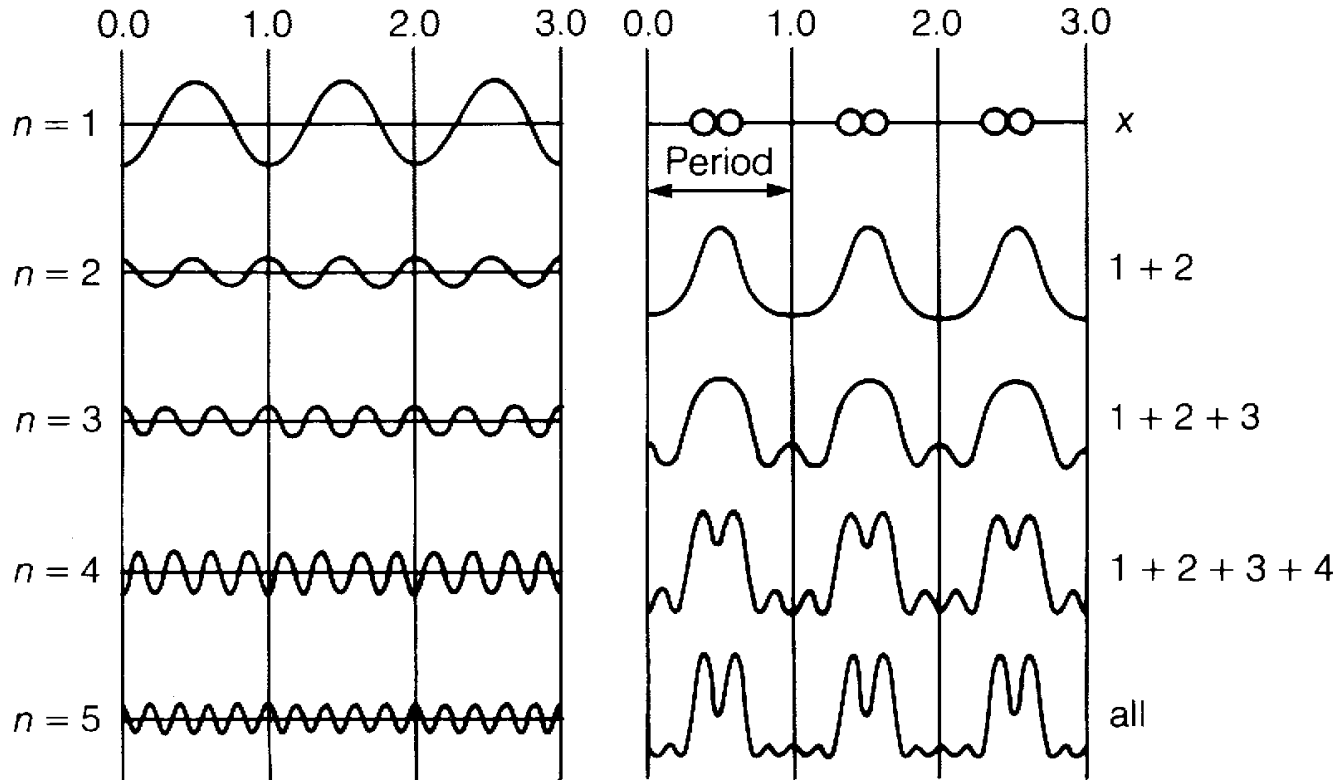
# III.C.6 Diffraction

## III.C.6.c Fourier Synthesis

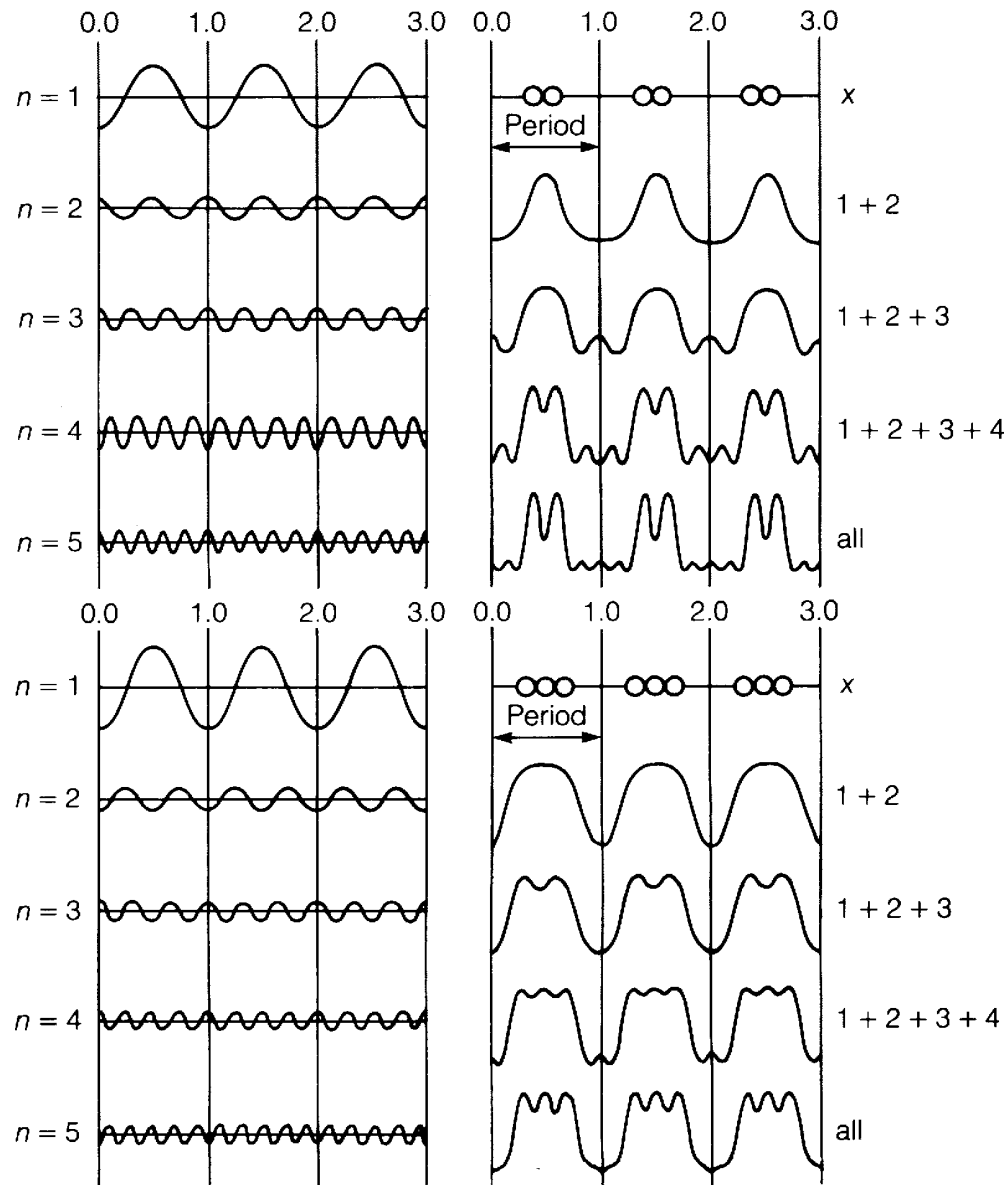
### Fourier Synthesis of 1-D Periodic Object



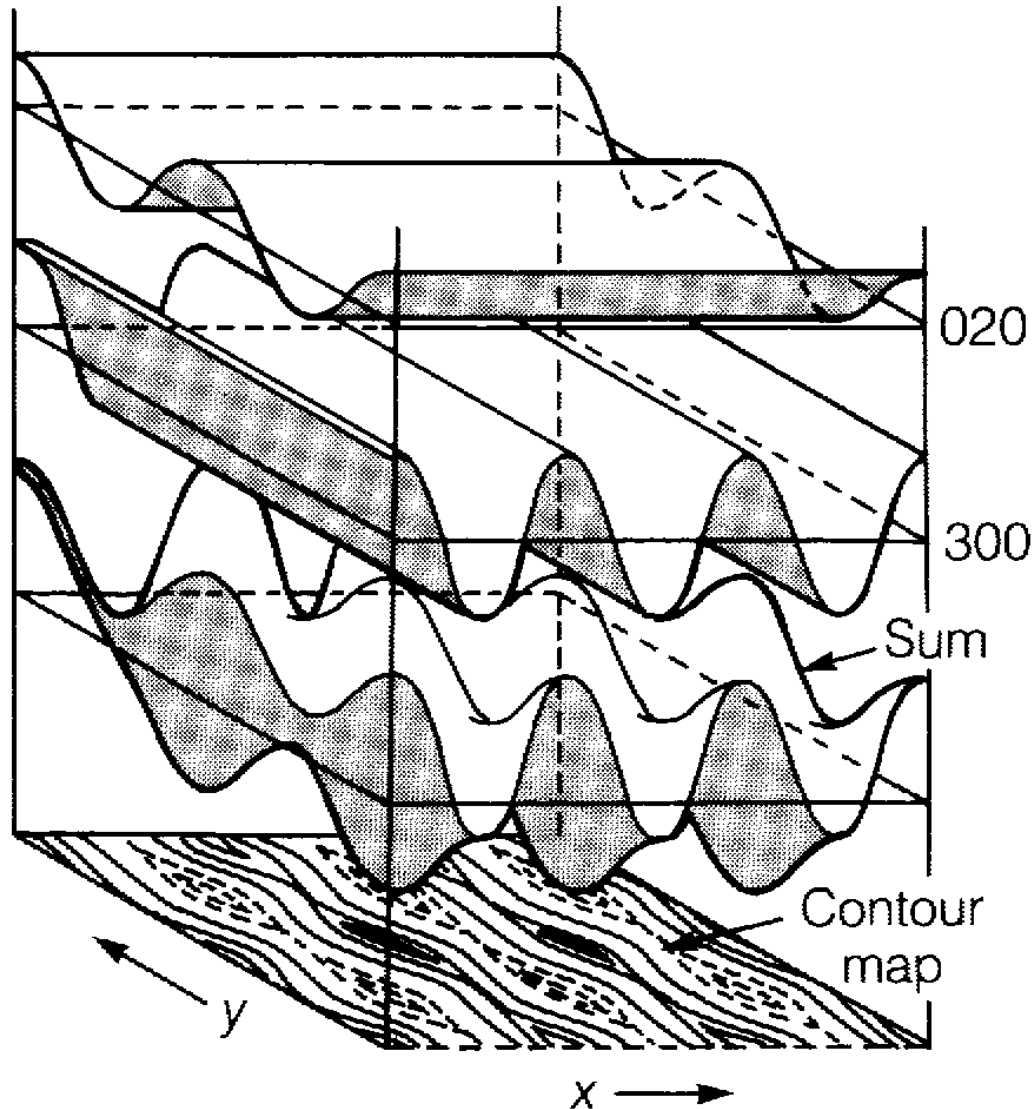
# Superposition of Waves to Represent 1-D "Crystal"



# Superposition of Waves to Represent 1-D "Crystal"



# Summation of 2D Waves to Produce 2D “Electron Density”







# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### Structure determination by diffraction methods:

- Involves measuring or calculating the **structure factor** ( $F$ ) at many or all points of the diffraction pattern
- Each  $F$  is described by an **amplitude** and a **phase**

### Amplitude:

**Strength** of interference at a particular point

### Phase:

**Relative time of arrival** of scattered radiation (wave) at a particular point

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### The Fourier Transform

Mathematically describes the **distribution of amplitude and phase** in different directions, for **all possible** directions of the beam incident on the object

Fourier transform of an object is a particular kind of **weighted integral** of the object

In one-dimension:

$$F(X) = \int_{-\infty}^{\infty} r(x) e^{(2\pi i x X)} dx$$

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### The Fourier Transform

The Fourier transform in 1-D:

$$F(X) = \int_{-\infty}^{\infty} r(x) e^{(2\pi i x X)} dx$$

$F(X)$  = the **scattering function** (diffraction pattern)

$r(x)$  = the **electron density function** (object)

Integration is over **all** density values in the structure

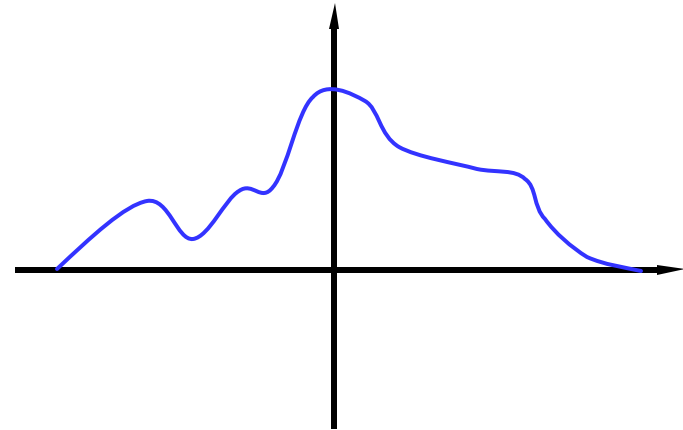
# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### The Fourier Transform

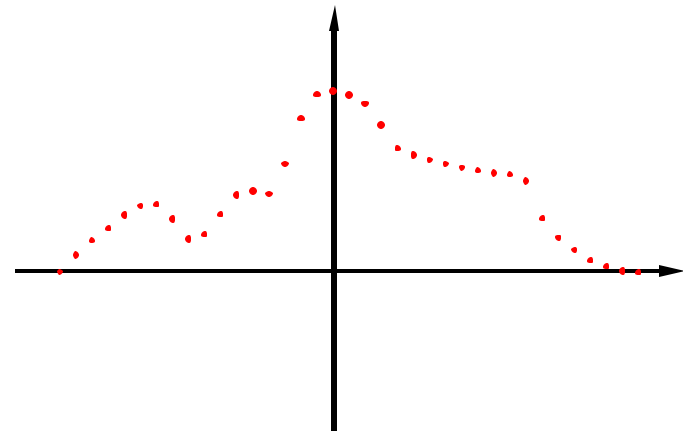
The Fourier transform in 1-D:

$$F(X) = \int_{-\infty}^{\infty} r(x) e^{(2\pi i x X)} dx$$



For **sampled** (discrete) data:

$$F(X) = \sum_x r(x) e^{(2\pi i x X)}$$



# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### The Fourier Transform

- Goal of diffraction methods: determine **structure factor amplitudes and phases**; from these we can **reconstruct** structure
- The Fourier transform is just a **different way to represent** an object

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

**Inverse relationship:** (property of FTs)

$$F(X) = \int_{-\infty}^{\infty} r(x) e^{(2\pi i x X)} dx$$

$F(X)$  is the **forward transform** of  $r(x)$

$$r(x) = \int_{-\infty}^{\infty} F(X) e^{(-2\pi i x X)} dX$$

thus  $r$  is the **inverse transform** of  $F$

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

**Inverse relationship:** (property of FTs)

$$\mathbf{r}(x) = \int_{-\infty}^{\infty} F(X) e^{(-2\pi i x X)} dX$$

$\mathbf{r}$  is the **inverse transform** of  $F$

$$\mathbf{r} = T^{-1}(F) = T^{-1}(T(\mathbf{r}))$$

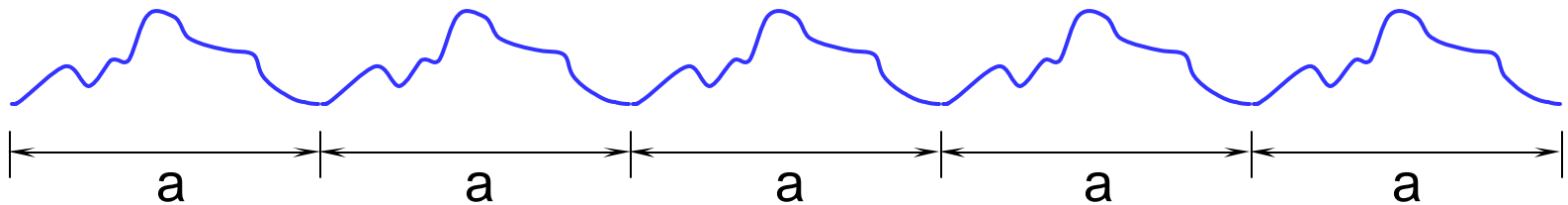


# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### Fourier Synthesis

- **Any periodic object** can be represented mathematically as a **summation of sinusoidal waves**



In one-dimension, the **Fourier synthesis** can be expressed:

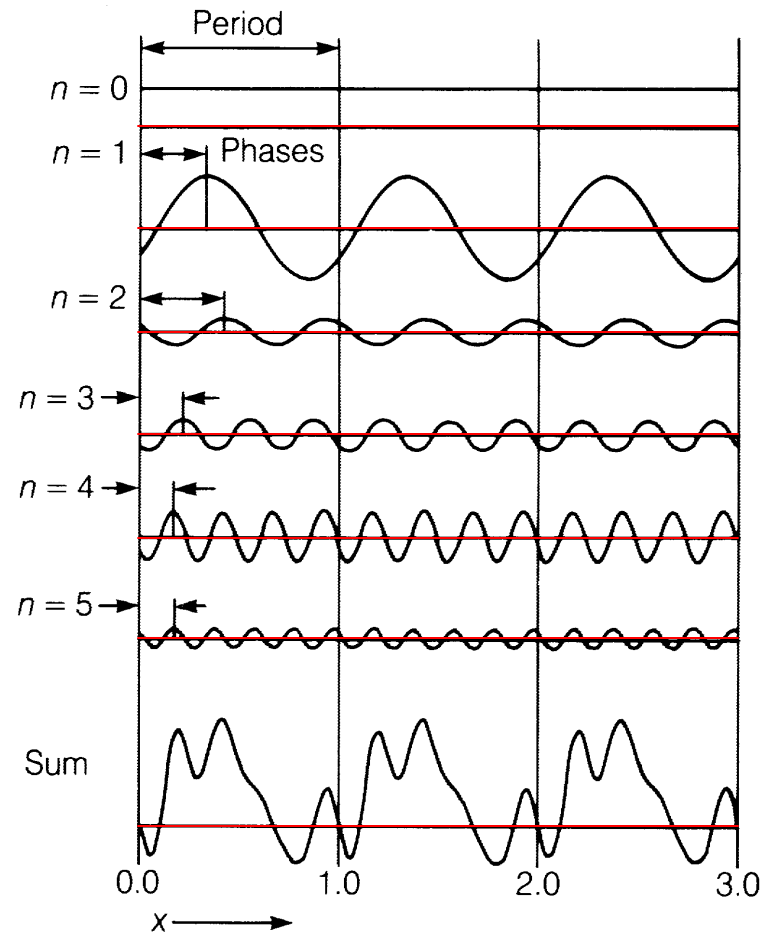
$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\pi n x / a)$$

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### Fourier Synthesis

$$r(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\pi n x / a)$$



From Eisenberg & Crothers, Fig. 17-14, p.828

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW

### Fourier Synthesis:

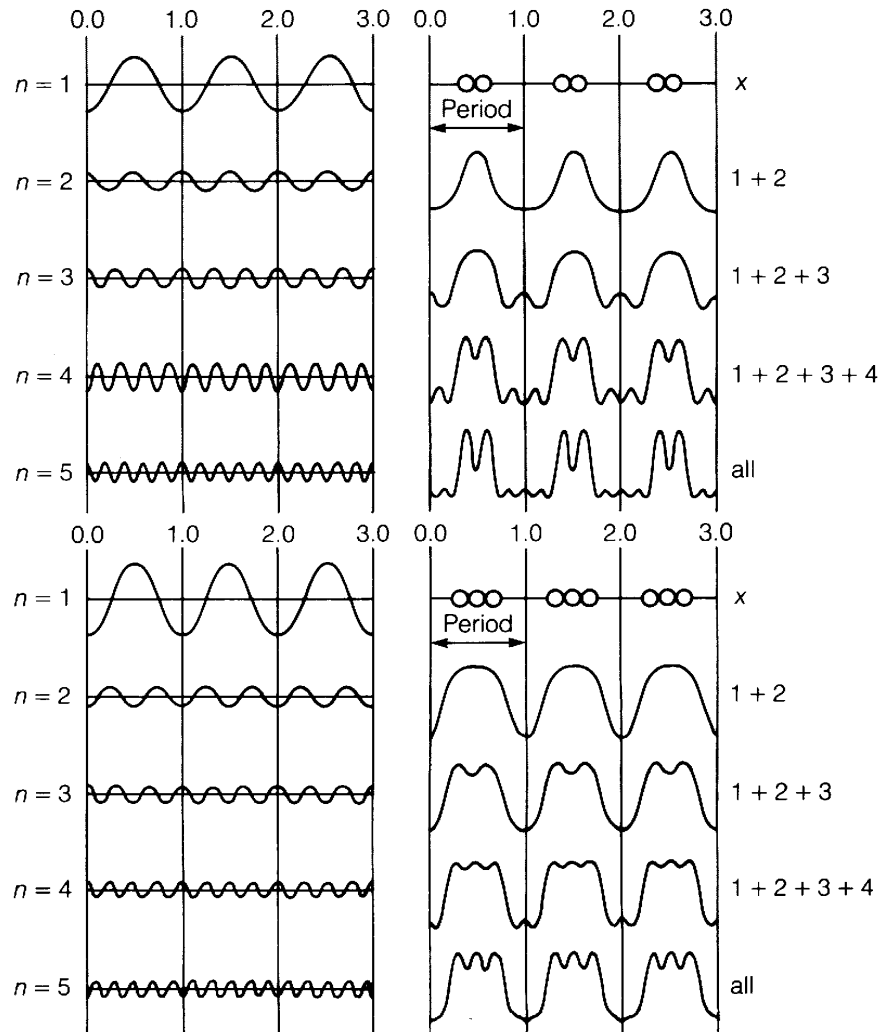
- Mathematical **combination of the waves** to produce the periodic function

### Fourier Analysis:

- Opposite process
- **Decomposition** of the periodic function **into its component waves**

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## REVIEW



From Eisenberg & Crothers, Fig. 17-15, p.829

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

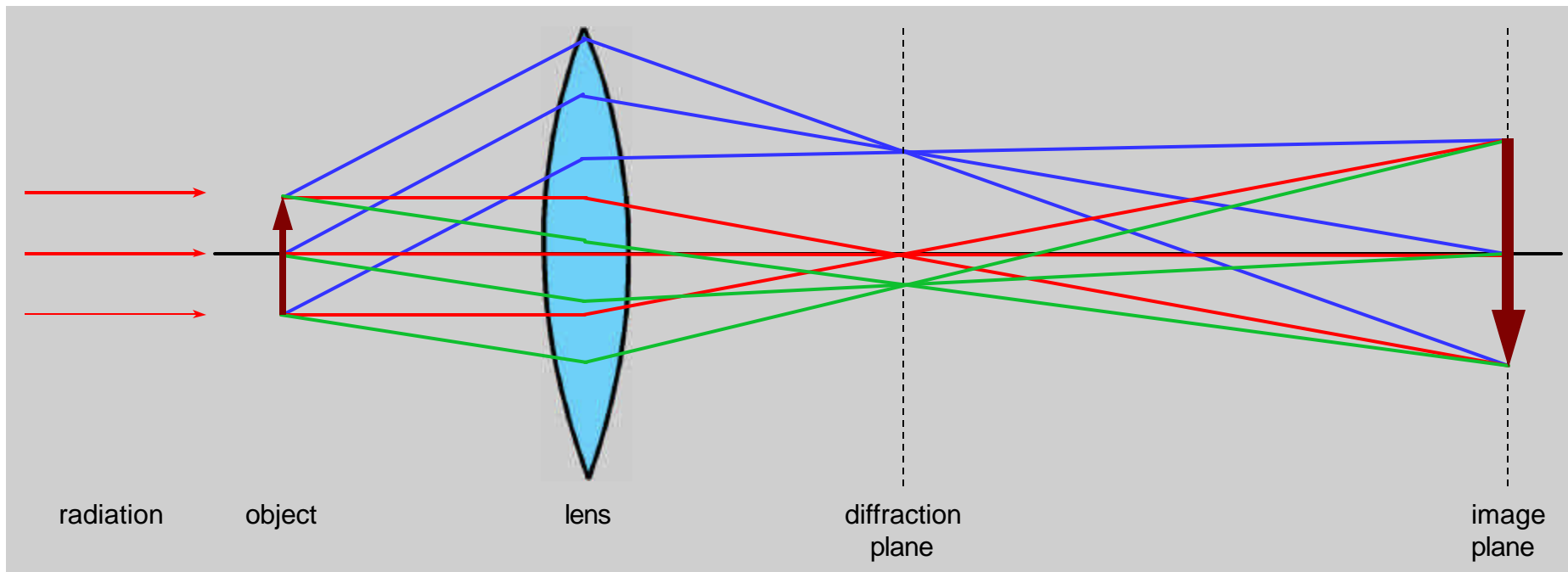
## REVIEW

OK, that's  
enough review

## III.C.6 Diffraction

### III.C.6.d Image Formation as a Double Diffraction Process

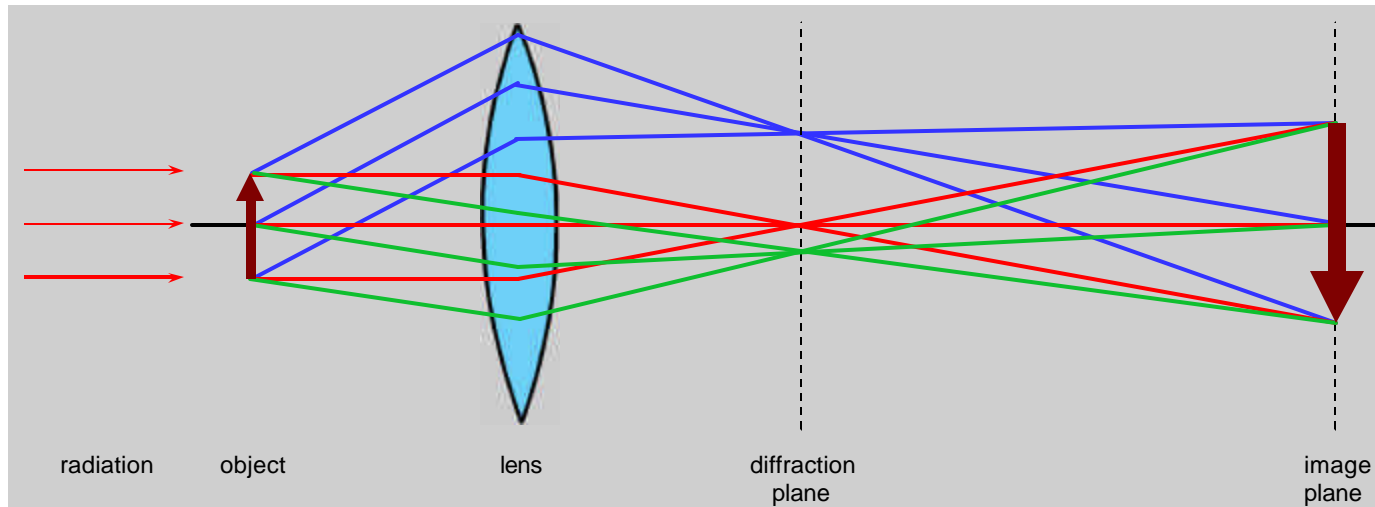
According to Abbe's theory, **image formation** is a two-stage, **double-diffraction** process



An **image** is the **diffraction pattern of the diffraction pattern of an object**

## III.C.6 Diffraction

### III.C.6.d Image Formation as a Double Diffraction Process

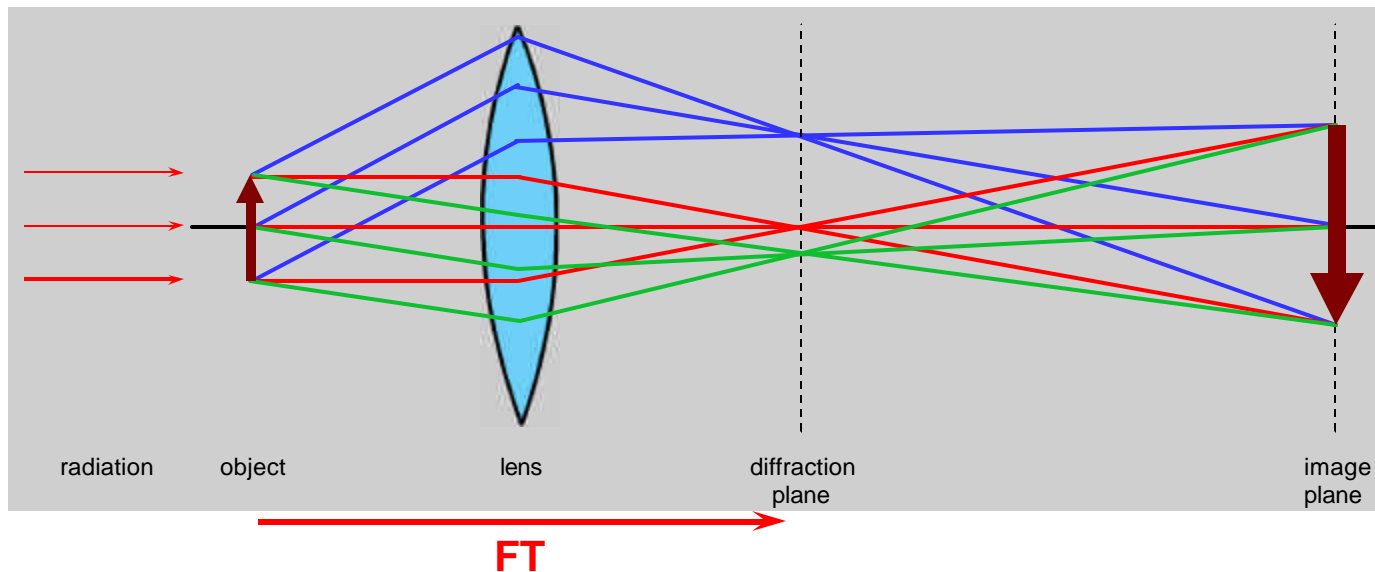


#### 1st stage of image formation

Collimated (parallel) beam of rays incident on the object is scattered and the interference pattern (**Fraunhofer diffraction pattern**) is brought to focus at the **back focal plane** of the lens

## III.C.6 Diffraction

### III.C.6.d Image Formation as a Double Diffraction Process



#### 1st stage of image formation

1st stage sometimes referred to as the **forward Fourier transformation**

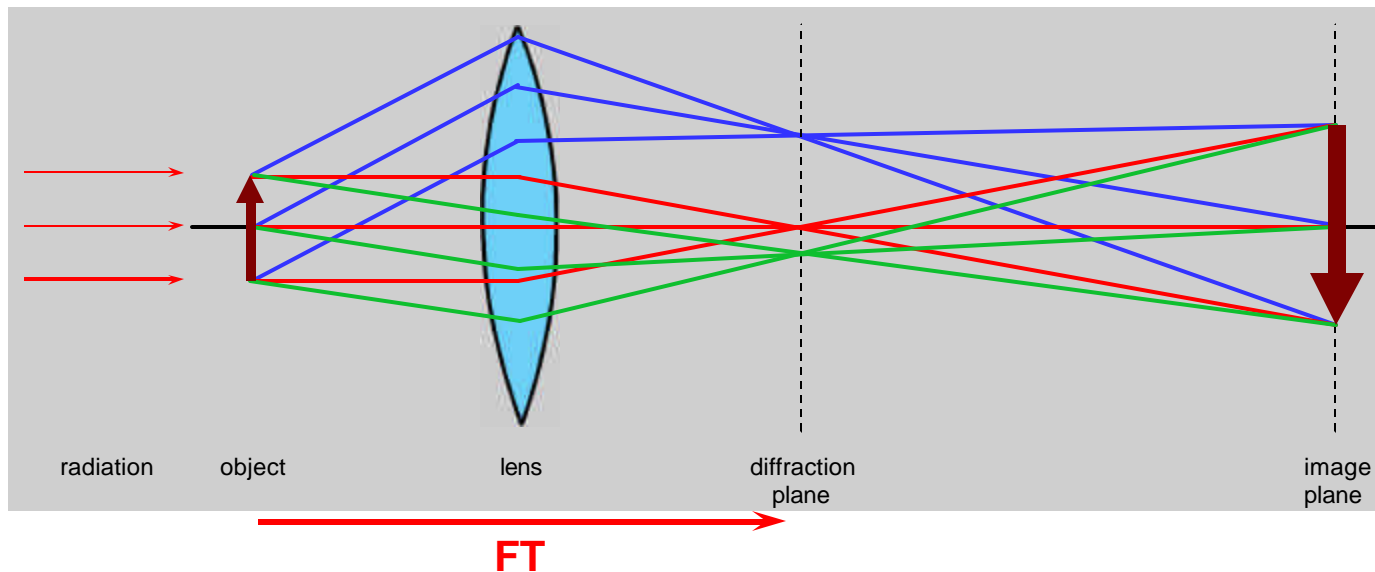
**Intensity distribution** of the **recorded diffraction pattern** of an object is proportional to the **square of the Fourier transform** of the object

Terms “transform” and “diffraction pattern” are often used interchangeably, but strictly speaking they are **not** equivalent



## III.C.6 Diffraction

### III.C.6.d Image Formation as a Double Diffraction Process



#### 1st stage of image formation

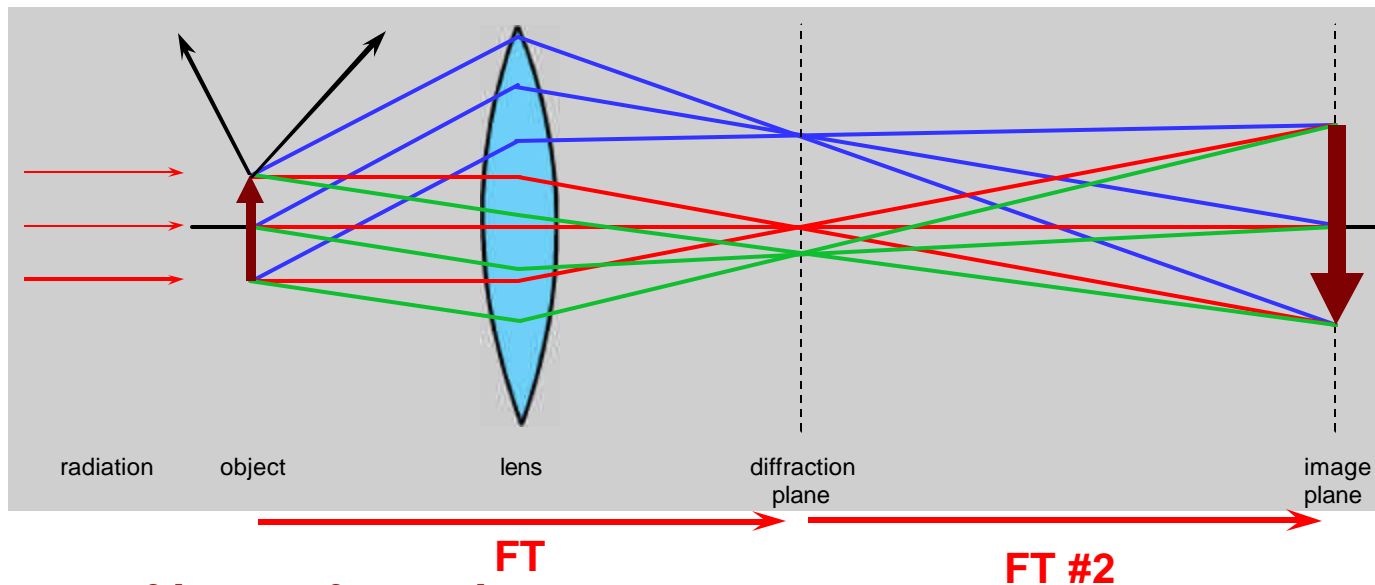
A lens (essential for image formation) focuses the diffraction pattern at a **finite** distance from the object (at back focal plane of lens)

If remove lens, no image forms, but instead **Fresnel** diffraction patterns form at finite distances from the object and the **Fraunhofer** diffraction pattern forms at **infinity** (large distance relative to the object size or wavelength of radiation used)

In X-ray diffraction experiments, there is no lens to focus the X-rays

## III.C.6 Diffraction

### III.C.6.d Image Formation as a Double Diffraction Process



#### 2nd stage of image formation

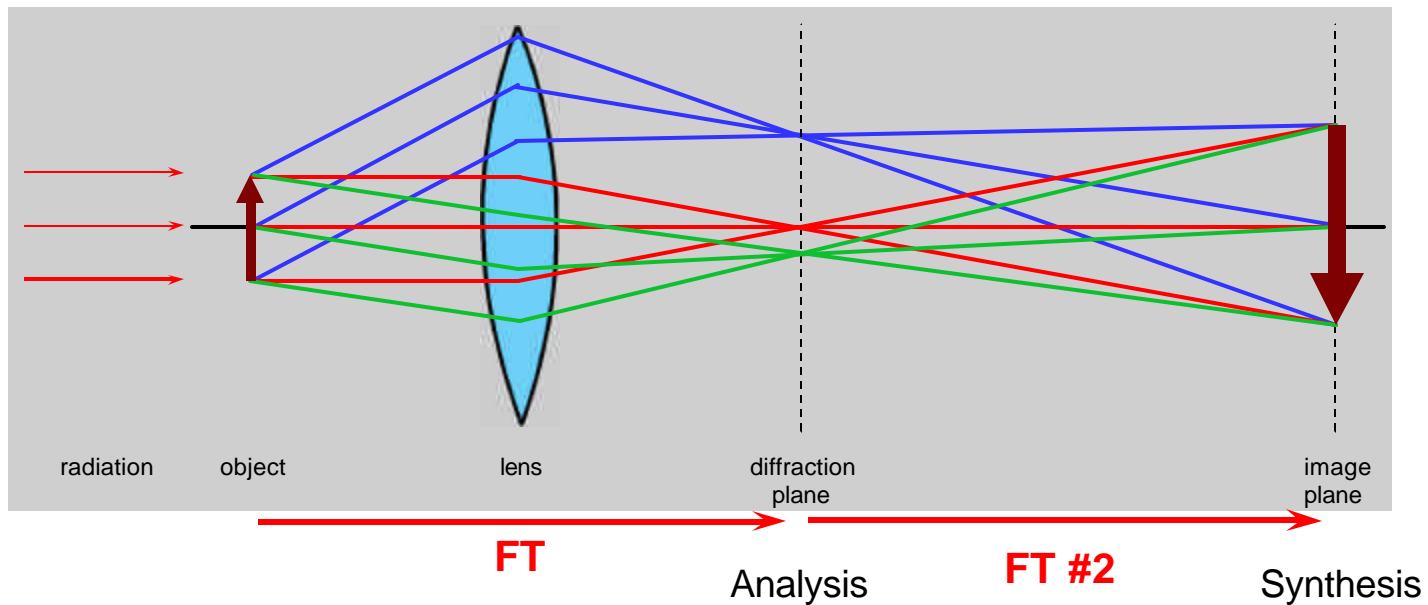
Occurs when the **scattered radiation** passes beyond the back focal plane of the lens and **interferes** (recombines) to form an image

Called **back or inverse Fourier transformation stage**

**Recall: Image cannot exactly represent the object** because some scattered rays **never enter the lens** and cannot be focused at the image plane

## III.C.6 Diffraction

### III.C.6.d Image Formation as a Double Diffraction Process



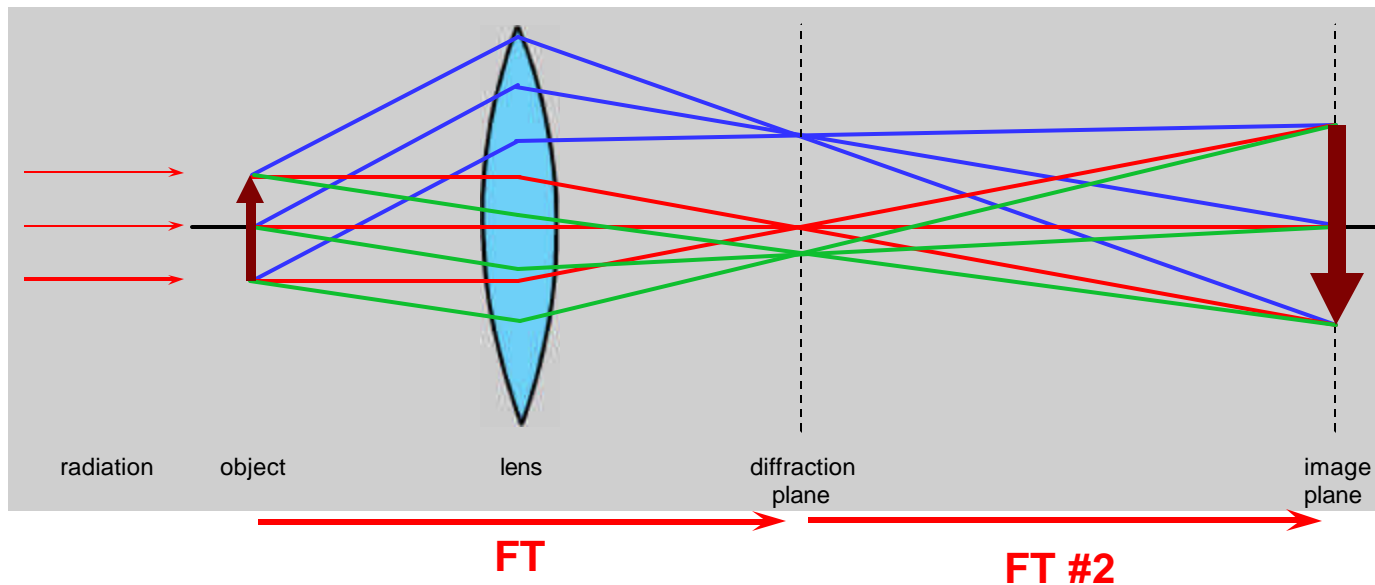
**Image formation analogous to:**

Fourier analysis in first stage

Fourier synthesis in second stage

## III.C.6 Diffraction

### III.C.6.d Image Formation as a Double Diffraction Process



Fourier image analysis is a powerful method for analyzing a wide variety of periodic specimens because:

- Separates processing of electron micrograph images into **two stages**
- Formation of diffraction pattern in 1st stage **reveals structural information in a straightforward manner** and **conveniently and objectively separates most of the signal and noise components** in the image
- Transform may then be **manipulated** and **subsequently back-transformed** in 2nd stage to produce a **noise-filtered, reconstructed image**

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

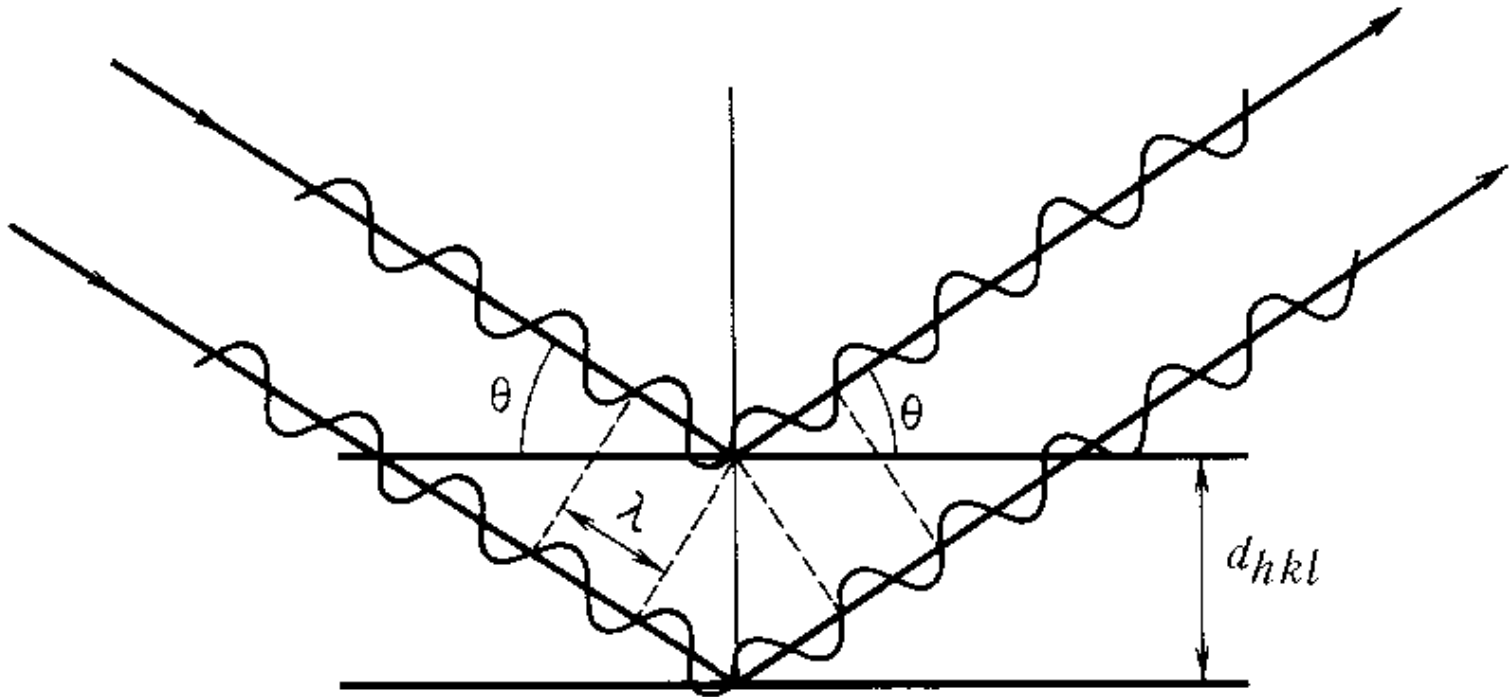
## III.C.6 Diffraction

### III.C.6.e Bragg Diffraction

# Bragg's Law

III.C.6 Diffraction  
III.C.6.e Bragg Diffraction

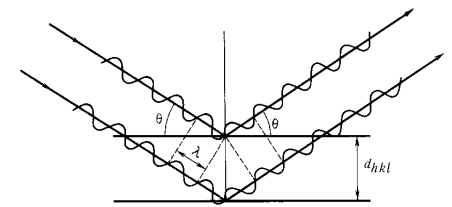
**Bragg's Law**



$$n\lambda = 2d_{hkl} \sin \theta$$

## III.C.6 Diffraction

### III.C.6.e Bragg Diffraction



**Diffraction** can be **conceptualized** as arising from the **reflection** of radiation from **planes** of electron density in the 3D crystal (or lines in a 2D crystal)

These planes are **imaginary** parallel planes within crystals

Each set of planes is identified by three **Miller indices**, ***hkl***, which are the reciprocals of the intercepts, in units of cell edge lengths, that the plane makes with the axes of the unit cell

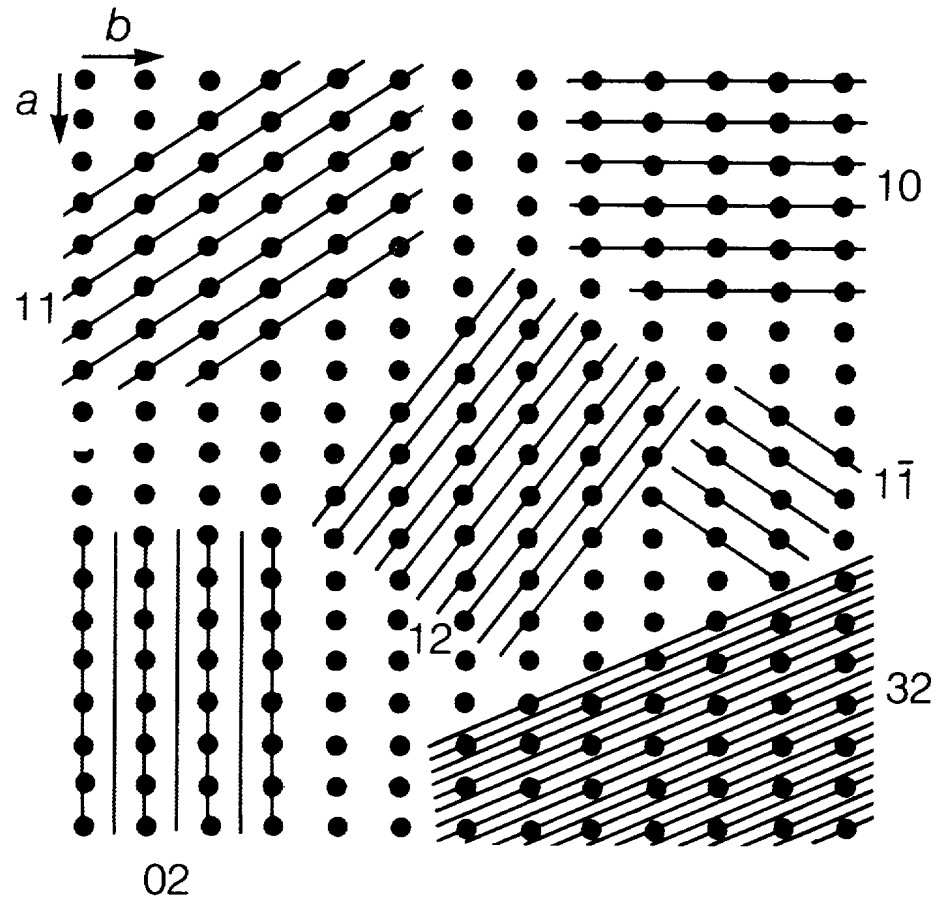
## III.C.6 Diffraction

### III.C.6.e Bragg Diffraction

# Miller Indices of Lattice Planes in a Crystal

### *hk(2-D), hkl(3-D):*

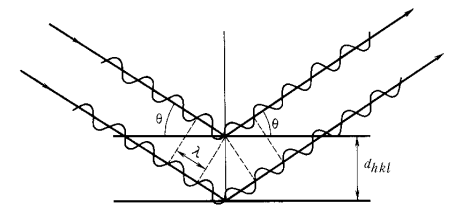
The reciprocals of the intercepts, in units of cell edge lengths, that the plane/line makes with the axes of the unit cell





### III.C.6 Diffraction

#### III.C.6.e Bragg Diffraction



Diffraction from the  $hkl$  set of planes, separated a distance  $d_{hkl}$ , **only occurs for certain orientations of the incident radiation** according to the Bragg relation:

$$n\lambda = 2d_{hkl} \sin \theta$$

$n$  = integer

$\lambda$  = wavelength of incident radiation

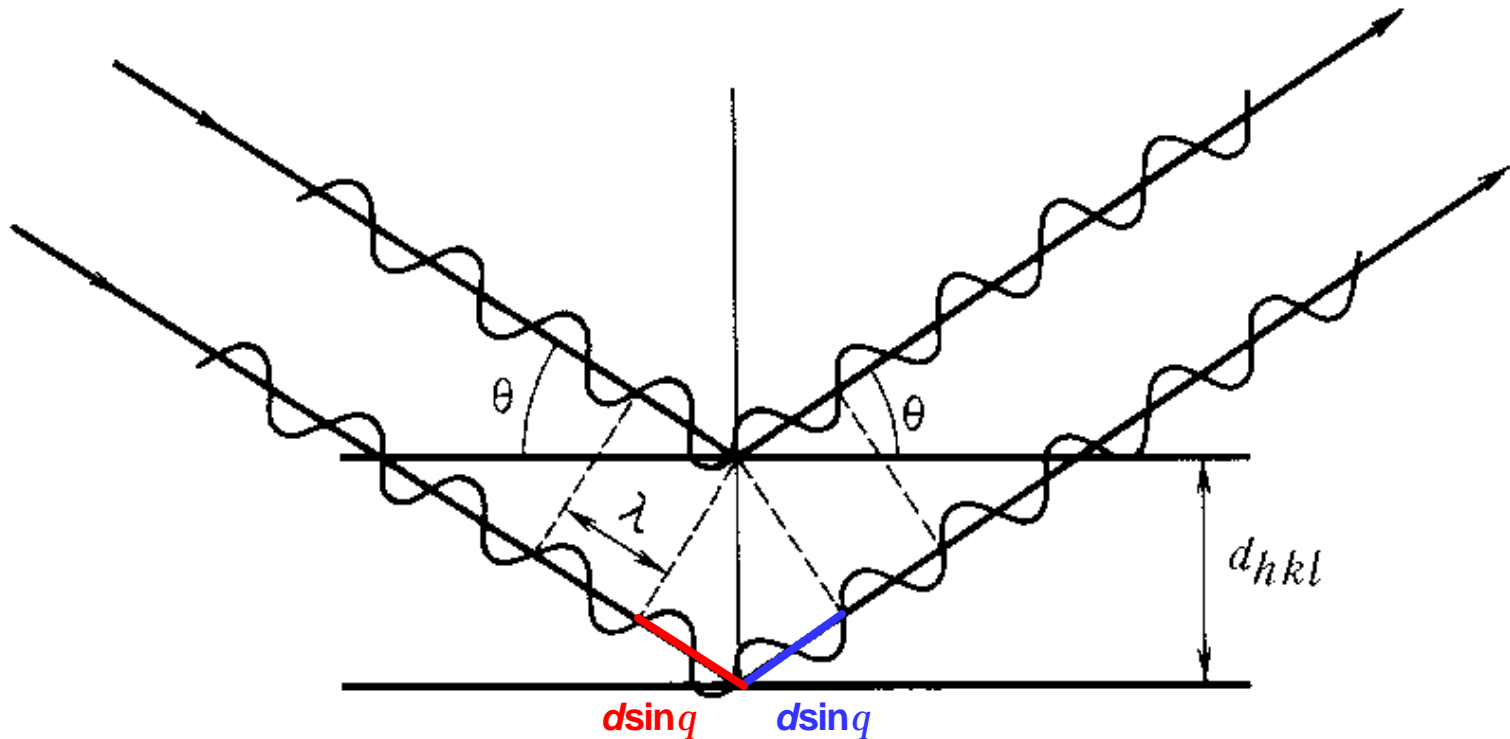
$d_{hkl}$  = crystal lattice spacing between the  $[hkl]$  set of crystal planes

$\theta_{hkl}$  = angle of incidence and also of reflection

### III.C.6 Diffraction

#### III.C.6.e Bragg Diffraction

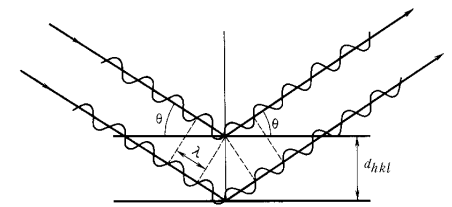
## Bragg's Law



$$n\lambda = 2d_{hkl} \sin q ???$$

# III.C.6 Diffraction

## III.C.6.e Bragg Diffraction



**Intensity** of each  $hkl$  reflection is **proportional to the distribution of electron density** in the  $hkl$  planes

In some planes the density may be **evenly distributed** and the corresponding reflection will be relatively **weak**

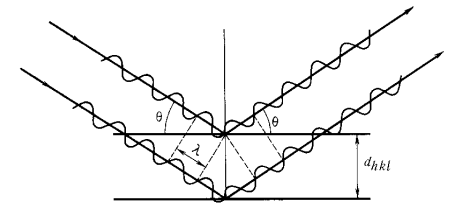


In others, where the density is **concentrated** in one region between the planes, the corresponding reflection will be **strong**



## III.C.6 Diffraction

### III.C.6.e Bragg Diffraction



**Intensity** of each  $hkl$  reflection is **proportional to the distribution of electron density** in the  $hkl$  planes

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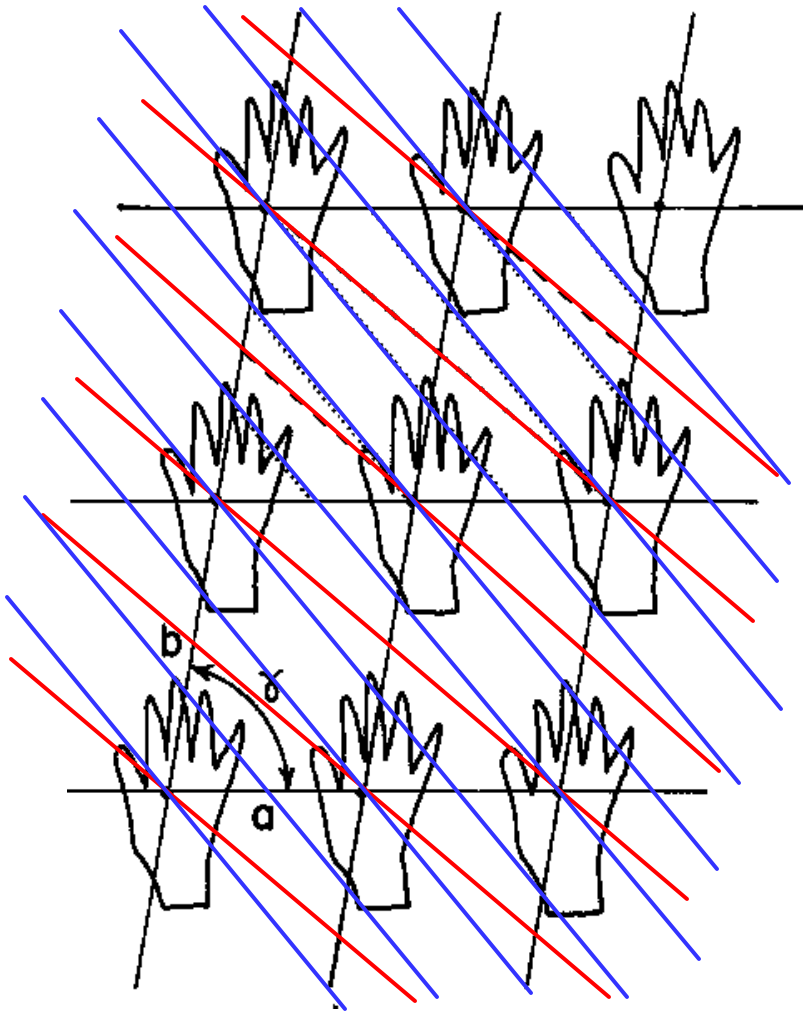
In others, where the density is **concentrated** in one region between the planes, the corresponding reflection will be **strong**



## III.C.6 Diffraction

### III.C.6.e Bragg Diffraction

## 2D Crystal of Hands and Corresponding Reciprocal Lattice



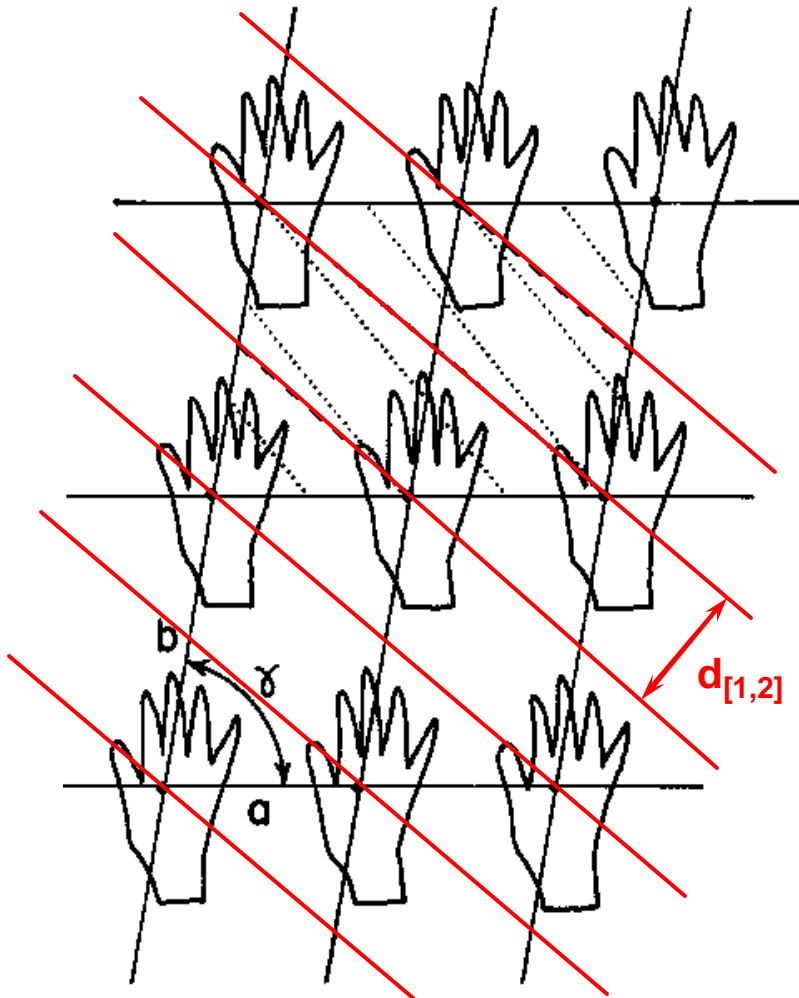
Two Bragg-type “planes” (lines here in 2-D) are depicted in this 2-D crystal of hands

[1,2] and [2,3] are shown

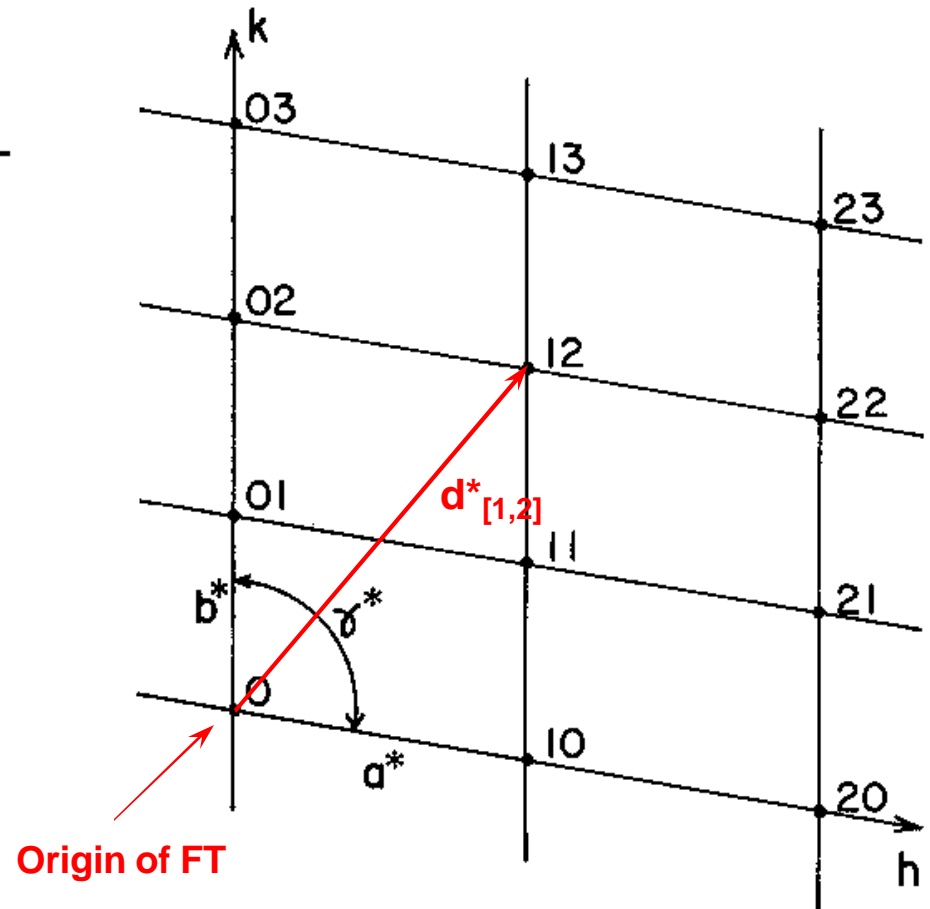
### III.C.6 Diffraction

#### III.C.6.e Bragg Diffraction

## 2D Crystal of Hands and Corresponding Reciprocal Lattice



real space

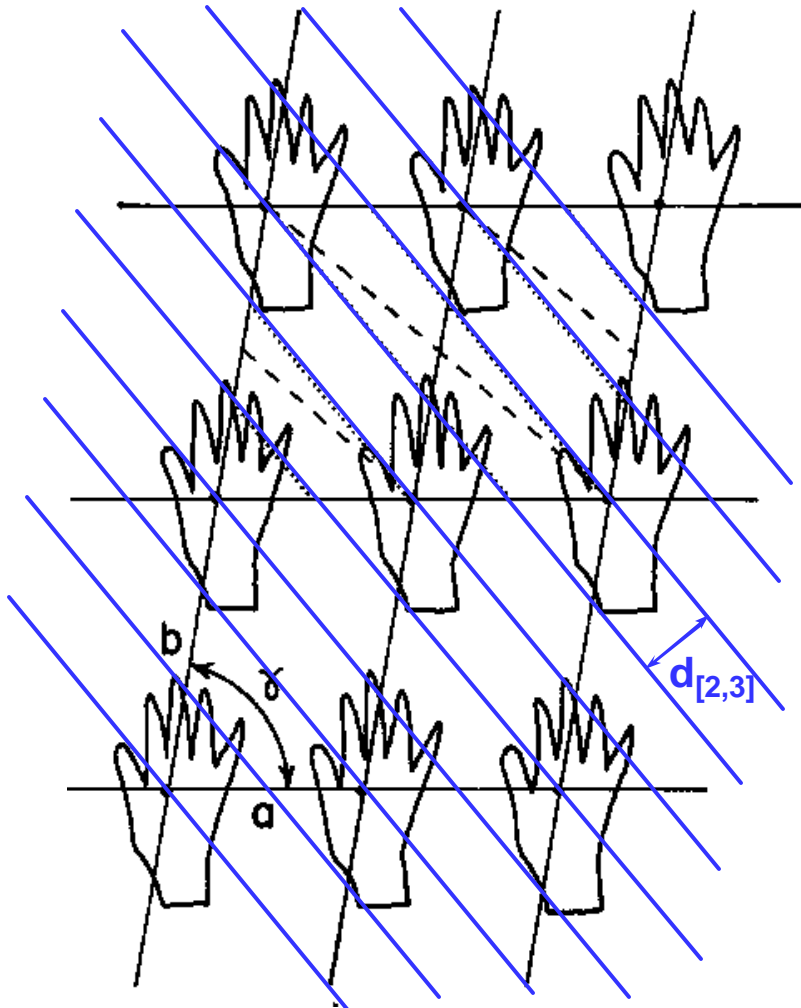


reciprocal space

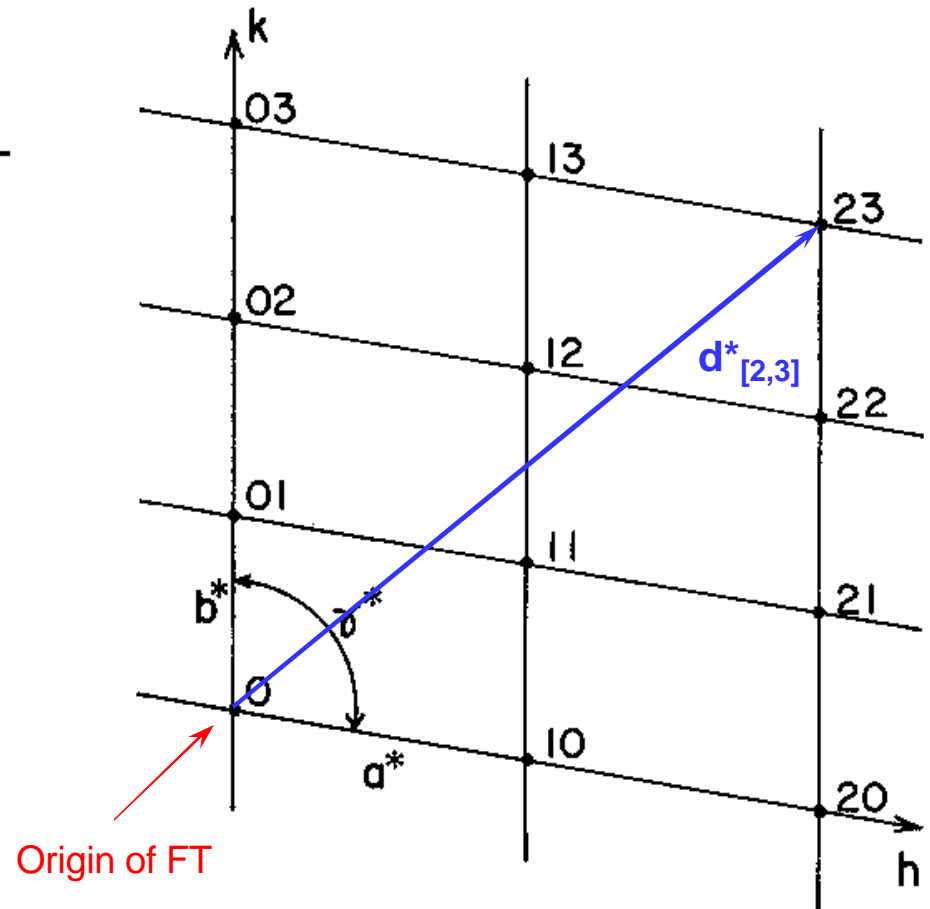
# III.C.6 Diffraction

## III.C.6.e Bragg Diffraction

### 2D Crystal of Hands and Corresponding Reciprocal Lattice



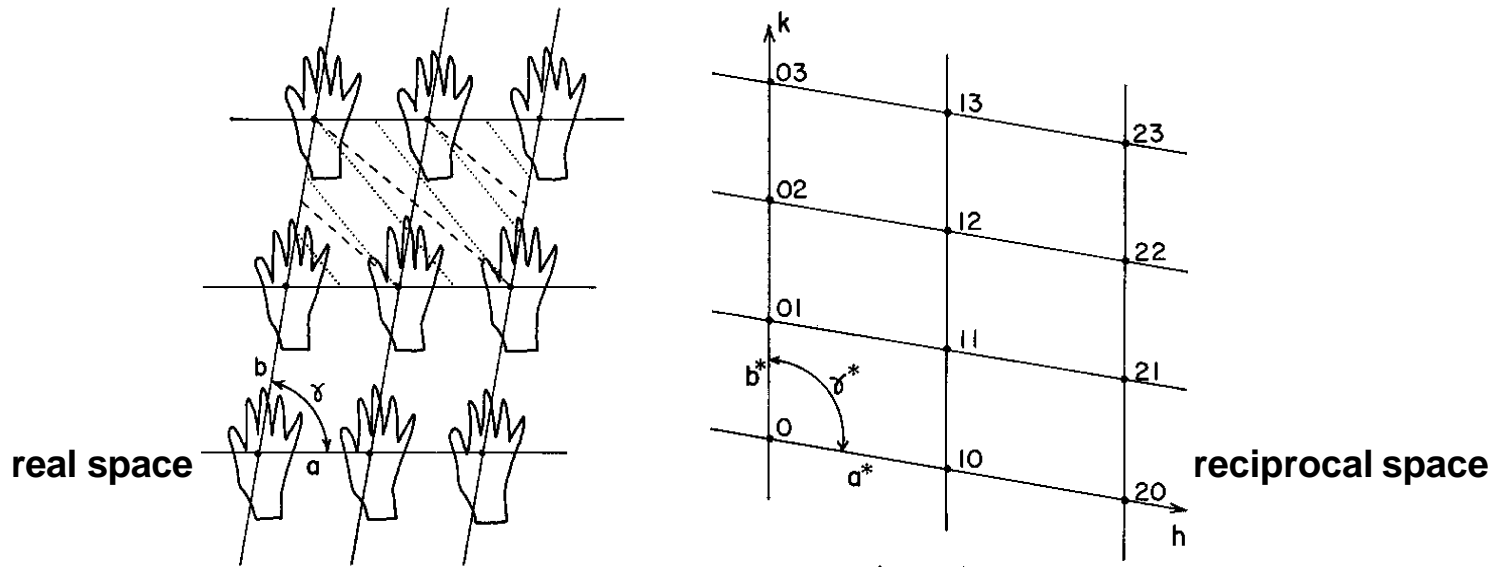
real space



reciprocal space

## III.C.6 Diffraction

### III.C.6.e Bragg Diffraction



Density that lies between the dashed lines diffract at the reciprocal lattice point labeled  $[1,2]$  (and also its **Friedel mate**,  $[-1,-2]$ , not shown)

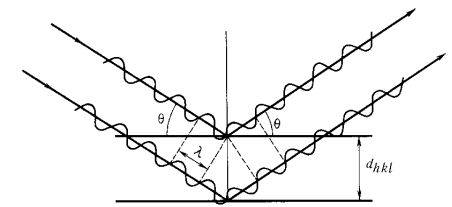
Spacing (perpendicular distance) between the lines is **inversely proportional** to the distance of the  $[1,2]$  reciprocal lattice point from the origin

Relative to the transform origin (where  $\theta_{hkl} = 0^\circ$ , which corresponds to direction of **unscattered** radiation), the **reciprocal lattice point** appears in a direction **normal** to the set of lines



# III.C.6 Diffraction

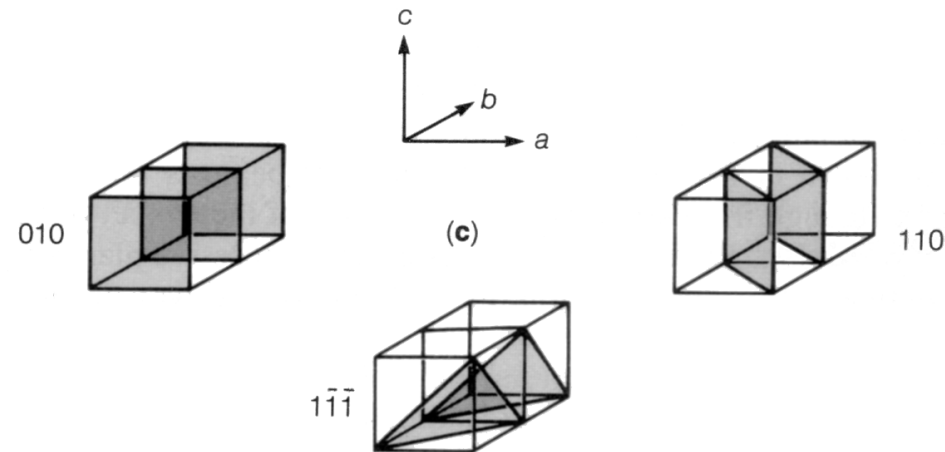
## III.C.6.e Bragg Diffraction



For 2D, periodic structures, each **Friedel pair** of spots arises from a set of fringes (sinusoidal density waves) of particular spacing (frequency) and orientation in the crystal

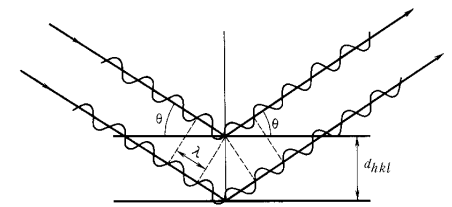
The so-called **Miller index** of each spot corresponds to the two wave numbers ( $h$  and  $k$ ) which describe the number of wave cycles per repeat in the  $a$  and  $b$  directions.

For diffraction from **3D** crystals, the Miller index of each spot is assigned three wave numbers ( $h,k,l$ ) corresponding to the number of wave cycles per repeat in the three unit cell directions ( $a,b,c$ )



### III.C.6 Diffraction

#### III.C.6.e Bragg Diffraction



**Each spot or reflection** in the diffraction pattern may be **mathematically represented in real space as a plane wave** whose amplitude is proportional to the square root of the spot intensity and whose phase is measured relative to a particular origin point in the crystal (e.g. the unit cell origin).

When the amplitudes and phases (structure factors,  $F_{hkl}$ ) of all spots in the 3D transform are known, the corresponding real space density waves can be mathematically summed (Fourier synthesis) to reconstruct the 3D object density

In 1D: 
$$\mathbf{r}(x) = \sum_{n=-\infty}^{\infty} A_n \cos(2\mathbf{p}nx/a)$$

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## III.C.6 Diffraction

### KEY CONCEPTS:

- **Any periodic object** can be represented mathematically as a **summation of sinusoidal waves** (Fourier synthesis)
- Image formation is considered a **double diffraction** process
- **Bragg's Law**: visualizes diffraction as arising from **reflection** of radiation from planes in crystals
- **Structure factors** are **complex** numbers
- Concepts of **convolution and multiplication** (**sampling**) help us understand fundamental properties of Fourier transforms

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## III.C.6 Diffraction

### III.C.6.f Structure Factor

# Structure Factor

## III.C.6 Diffraction

### III.C.6.f Structure Factor

The **structure factor** describes the scattering from **all** atoms of the unit cell for a **particular** Bragg reflection

Each diffracted ray, or reflection, is described by **one** structure factor,  $F_{hkl}$

$F_{hkl}$  is a **complex number** whose **magnitude** (amplitude) is proportional to the square root of the intensity of the  $hkl$  reflection

**Each** structure factor may be regarded as a sum of the contributions of the radiation scattered in the same direction **from all atoms** within the unit cell

## III.C.6 Diffraction

### III.C.6.f Structure Factor

For **an object with  $n$  atoms**, the structure factor equation is:

$$F_{hkl} = \sum_{j=1}^n f_j \exp \left[ 2\pi i (hx_j + ky_j + lz_j) \right]$$

$f_j$  = atomic scattering factor for atom  $j$   
= ratio of amplitude scattered by the atom  
\_\_\_\_\_ amplitude scattered by a single electron  
= atomic number at **zero** scattering angle  
< atomic number at **larger** scattering angles

$hkl$  = particular set of diffracting planes

$x_j, y_j, z_j$  = fractional unit cell coordinates for atom  $j$  in the unit cell

## III.C.6 Diffraction

### III.C.6.f Structure Factor

$$F_{hkl} = \sum_{j=1}^n f_j \exp \left[ 2\pi i (hx_j + ky_j + lz_j) \right]$$

Recall:  $e^{i\theta} = \cos\theta + i\sin\theta$ , so above can be rewritten:

$$\begin{aligned} F_{hkl} &= \sum_{j=1}^n f_j \left\{ \cos \left[ 2\mathbf{p} (hx_j + ky_j + lz_j) \right] + i \sin \left[ 2\mathbf{p} (hx_j + ky_j + lz_j) \right] \right\} \\ &= \sum_{j=1}^n f_j \cos \left[ 2\mathbf{p} (hx_j + ky_j + lz_j) \right] + i \sum_{j=1}^n f_j \sin \left[ 2\mathbf{p} (hx_j + ky_j + lz_j) \right] \\ &= A_{hkl} + iB_{hkl} \end{aligned}$$

Thus,  $F_{hkl}$  is a **complex quantity**, with **real** ( $A_{hkl}$ ) and **imaginary** ( $B_{hkl}$ ) parts

# III.C.6 Diffraction

## III.C.6.f Structure Factor

### Argand Diagram

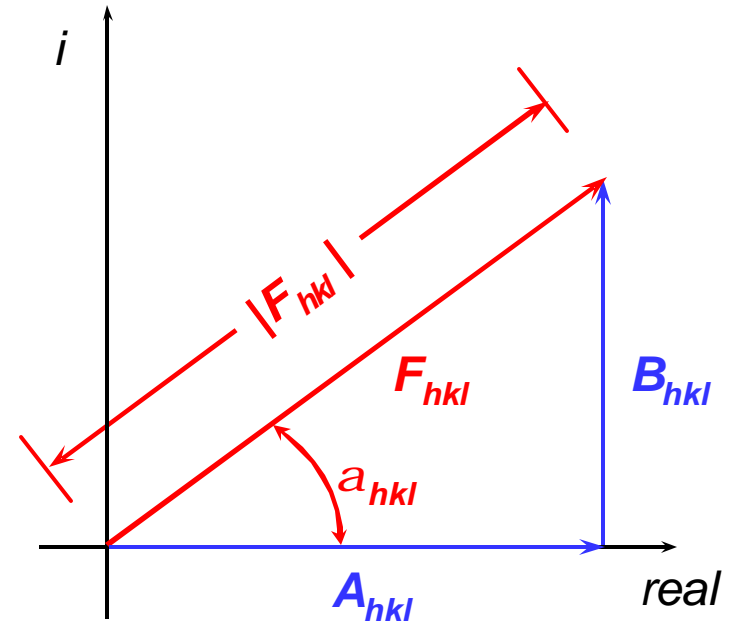
A convenient way to depict  $F_{hkl}$

$F_{hkl}$  is plotted as a **vector quantity** with:

horizontal axis = **real axis**

vertical axis = **imaginary axis**

Vector  $F_{hkl}$  makes an **angle**  $a_{hkl}$   
with respect to real axis



$F_{hkl}$  = vector sum of  $A_{hkl}$  (**real** component) and  $B_{hkl}$  (**imaginary** component)

Magnitudes of vectors  $A_{hkl}$  and  $B_{hkl}$  are:  $|F_{hkl}| \cos(a_{hkl})$  and  $|F_{hkl}| \sin(a_{hkl})$



## III.C.6 Diffraction

### III.C.6.f Structure Factor

**Structure factor amplitude** (modulus or magnitude of  $F_{hkl}$ ):  $\frac{1}{2}F_{hkl}^{1/2}$

$$|F_{hkl}| = [(A_{hkl})^2 + (B_{hkl})^2]^{1/2}$$

**Structure factor phase:**  $a_{hkl}$

Since  $F_{hkl} = A_{hkl} + iB_{hkl}$

$$= \underbrace{|F_{hkl}| \cos(a_{hkl})}_{\text{real}} + \underbrace{|F_{hkl}| i \sin(a_{hkl})}_{\text{imaginary}}$$

$$= |F_{hkl}| \exp(ia_{hkl})$$

## III.C.6 Diffraction

### III.C.6.f Structure Factor

For a **3D** structure with **continuous density**,  $r(xyz)$ , the structure factor equation becomes:

$$F_{hkl} = V \iiint r(xyz) \exp(2\pi i [hx+ky+lz]) dx dy dz$$

Integration is over the **entire unit cell volume**,  $V$ .

Reemphasizes a property of Fourier transforms: **Every point** in the **object** contributes to **every point** in the **diffraction pattern**

3-30-04

# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

- Convolution
- Multiplication

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

These concepts provide a fundamental basis for understanding diffraction from crystalline objects

According to Holmes and Blow (1965), **convolution** of two functions can be described in the following way:

*"Set down the **origin** of the first function **in every possible position of the second**, **multiply** the value of the first function in each position by the value of the second at that point and take the **sum** of all such possible operations."*

*Sounds simple enough...right?*

*Well, sort of...especially if one function is "simple"*

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

Mathematical expression for **convolution**:

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

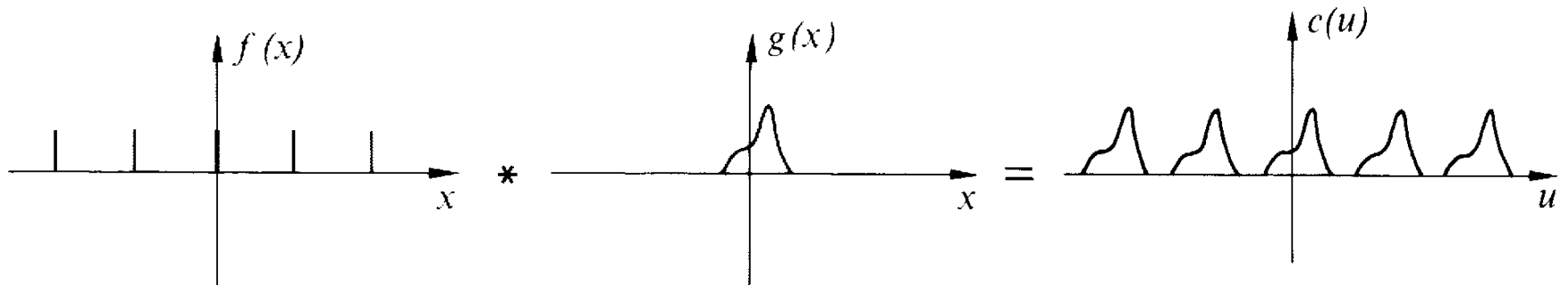
This is known as the convolution of  $f(x)$  and  $g(x)$ , and may be written in shorter form as:

$$c(u) = f(x) * g(x)$$

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

**Convolution of  $f(x)$ , an array of  $\delta$  functions, with  $g(x)$ , an arbitrary function**



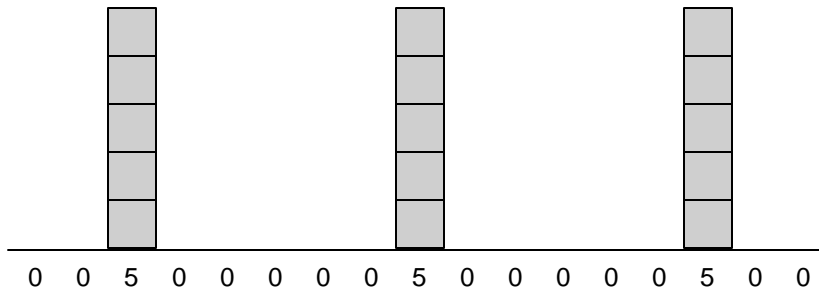
# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

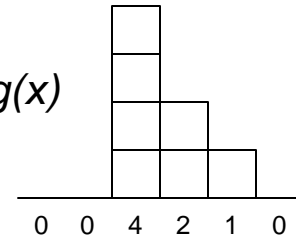
$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

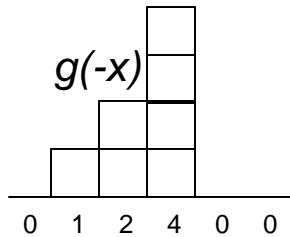
$f(x)$



$g(x)$



$g(-x)$



$c(u)$

---



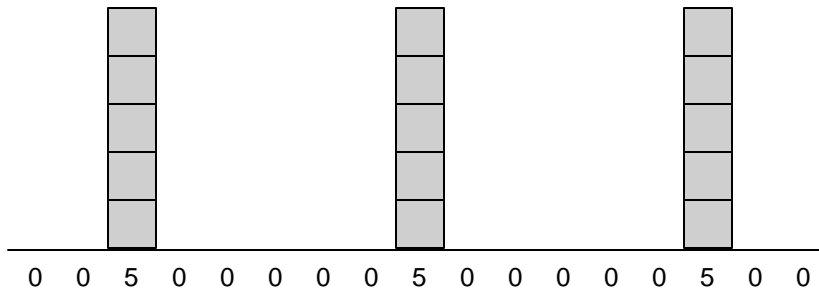
# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

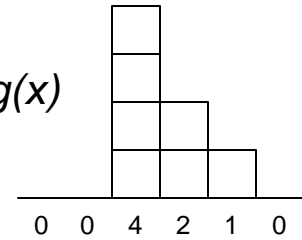
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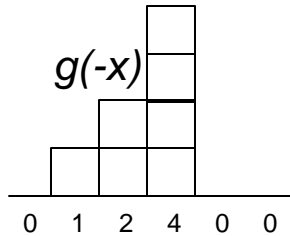
$f(x)$



$g(x)$



$g(-x)$



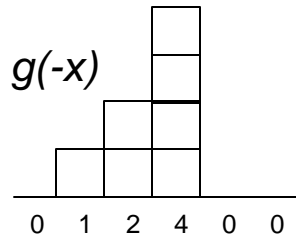
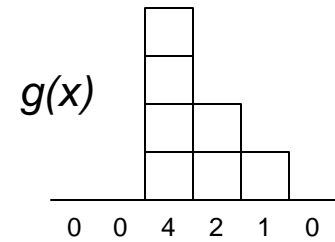
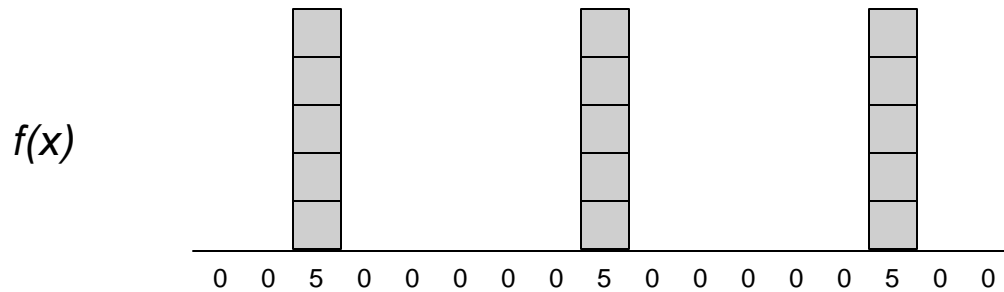
$c(u)$

# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$



$c(u)$

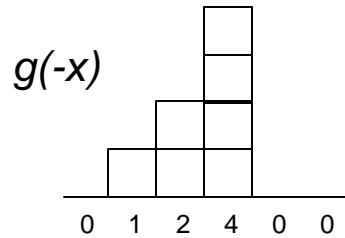
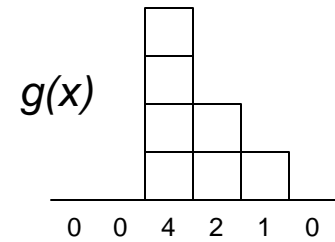
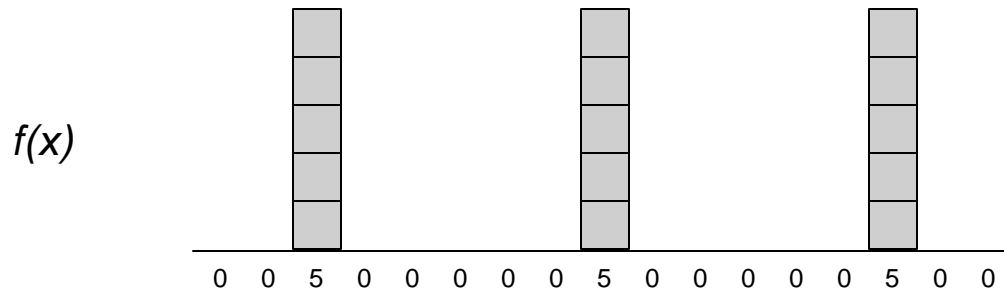
0 0

# III.C.6 Diffraction

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$c(u)$

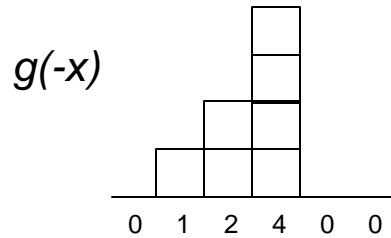
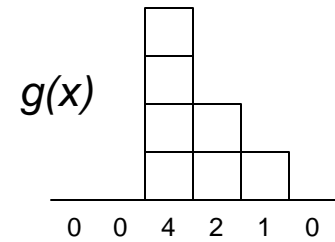
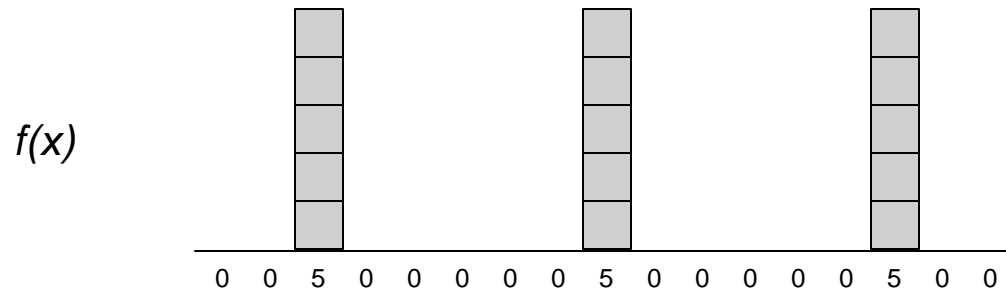
0 0 0

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$c(u)$

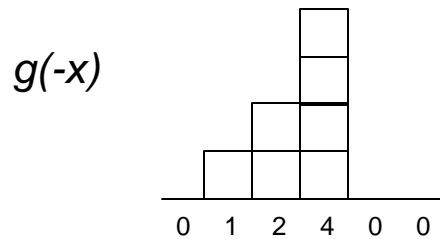
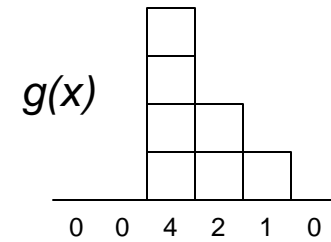
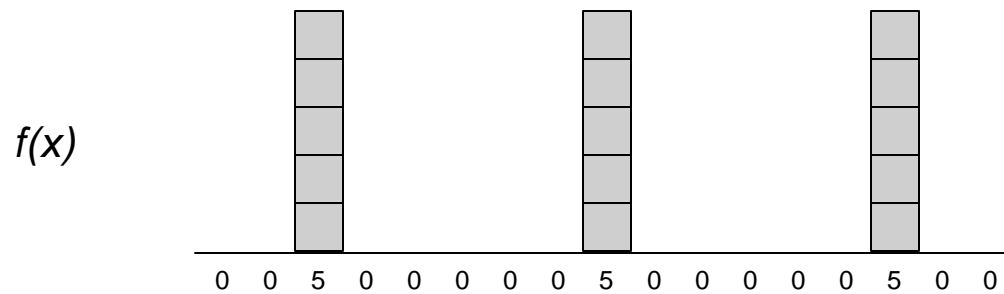
0 0 0 0

# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

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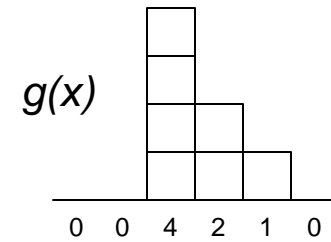
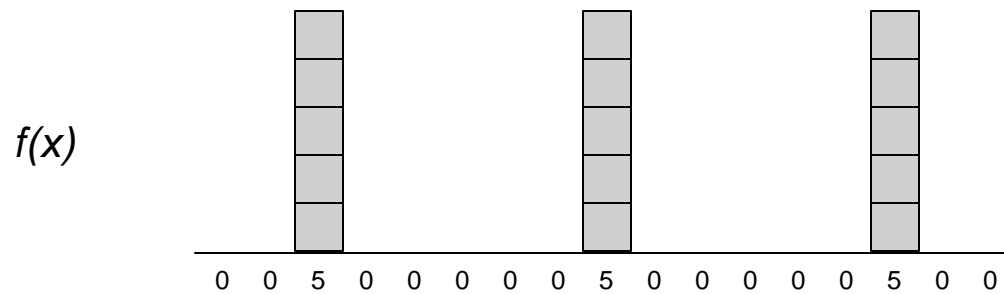


# III.C.6 Diffraction

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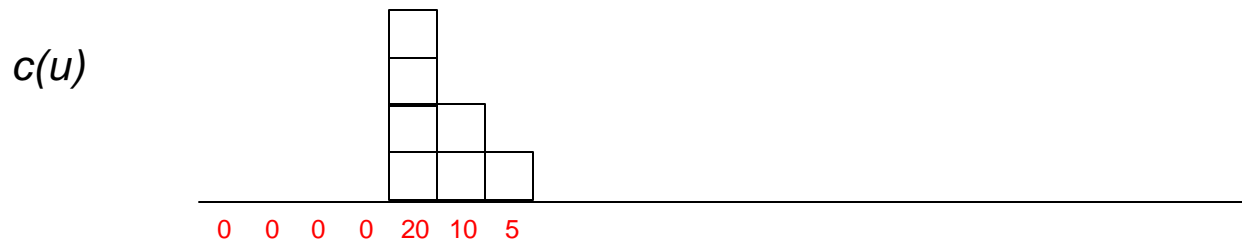
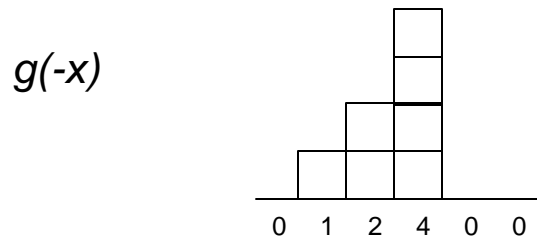
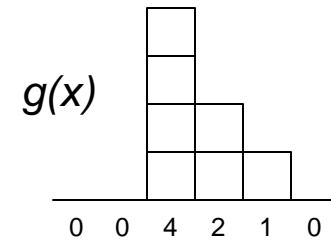
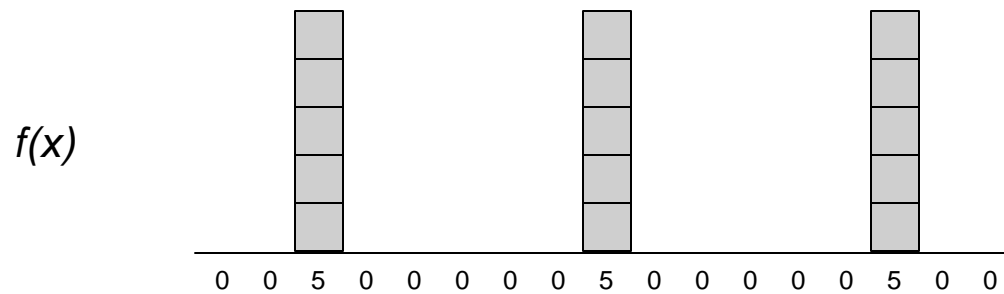


# III.C.6 Diffraction

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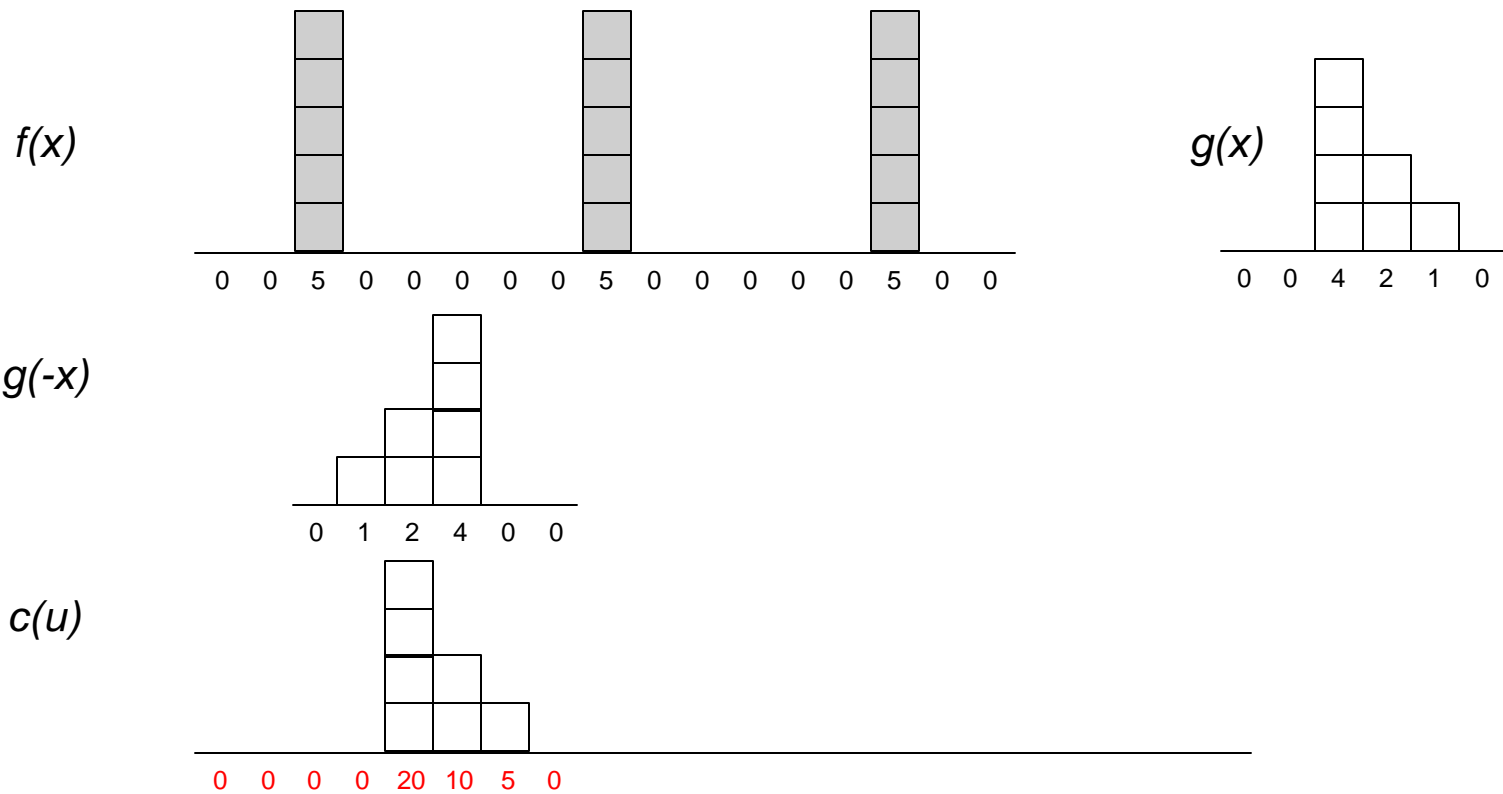


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$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$



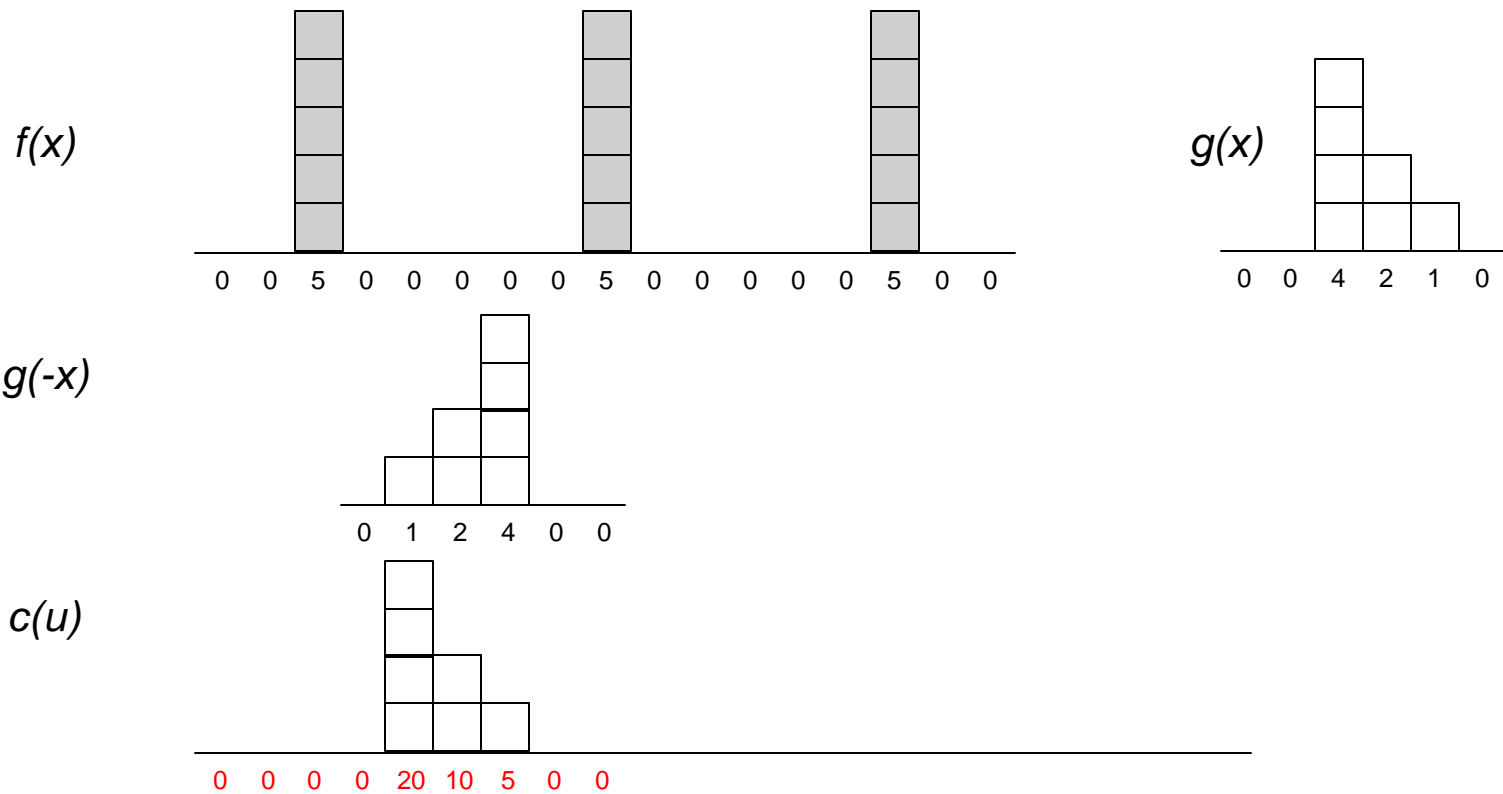


# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

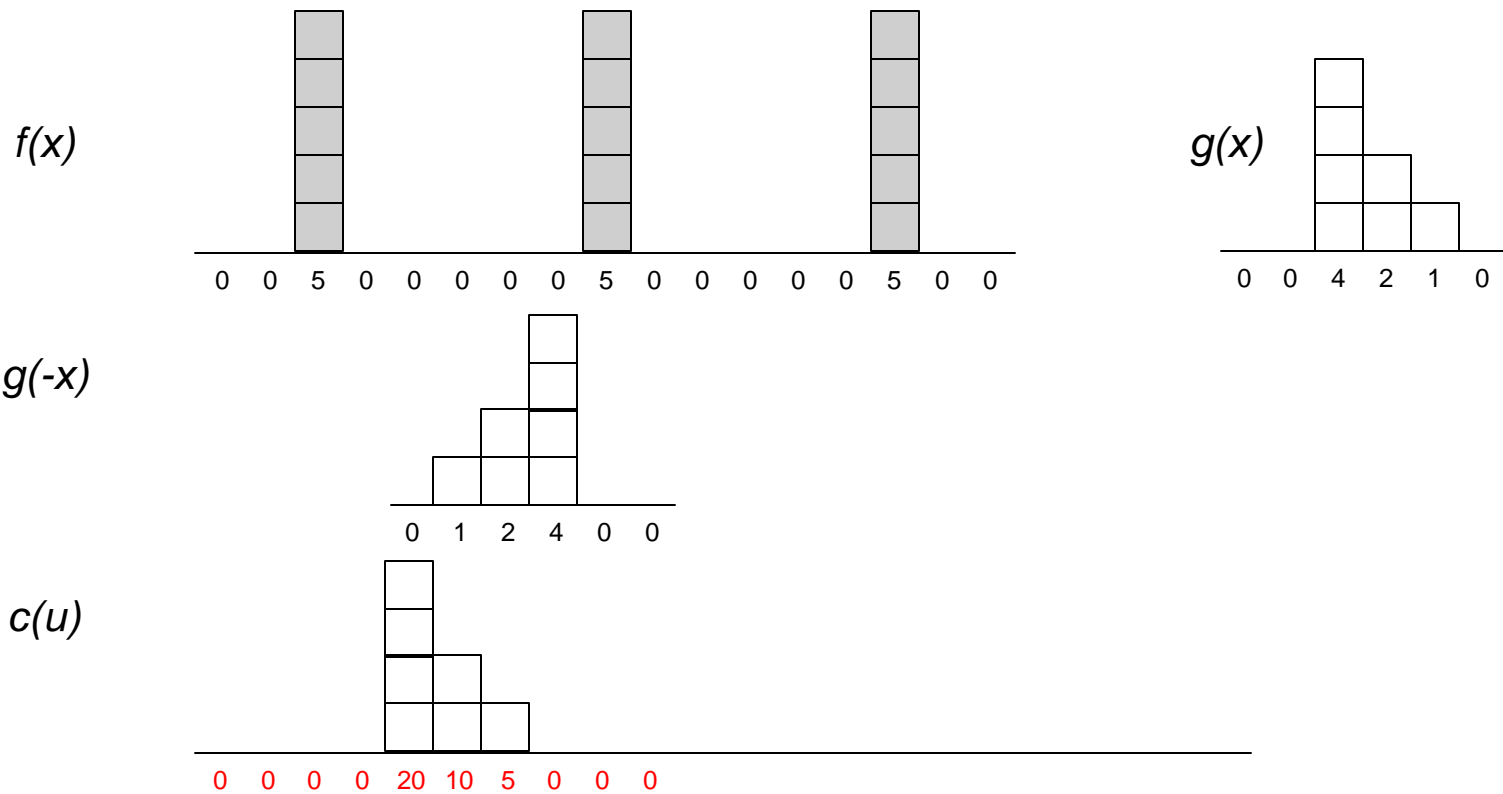


# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

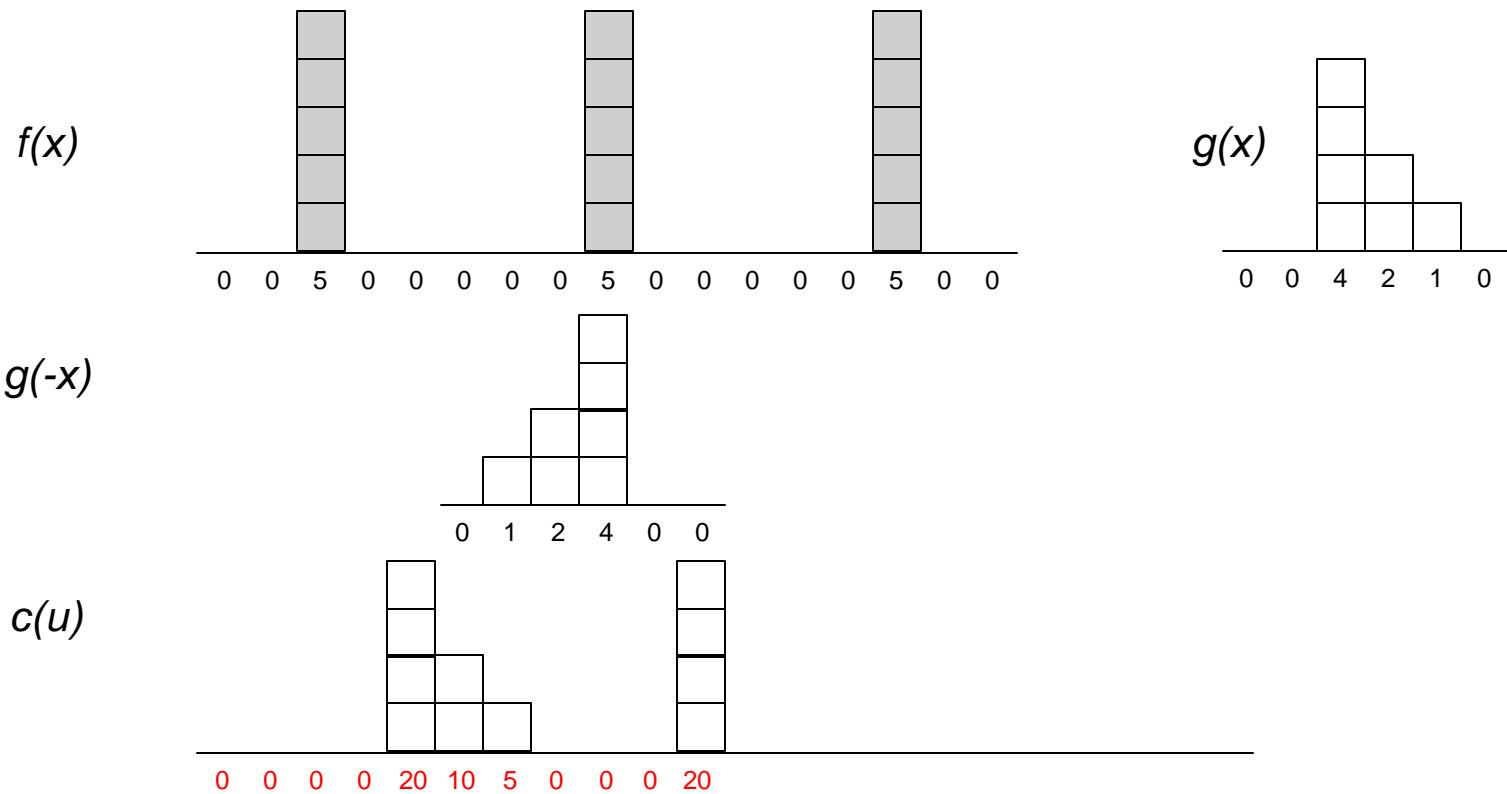
$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$



# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x) \quad c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

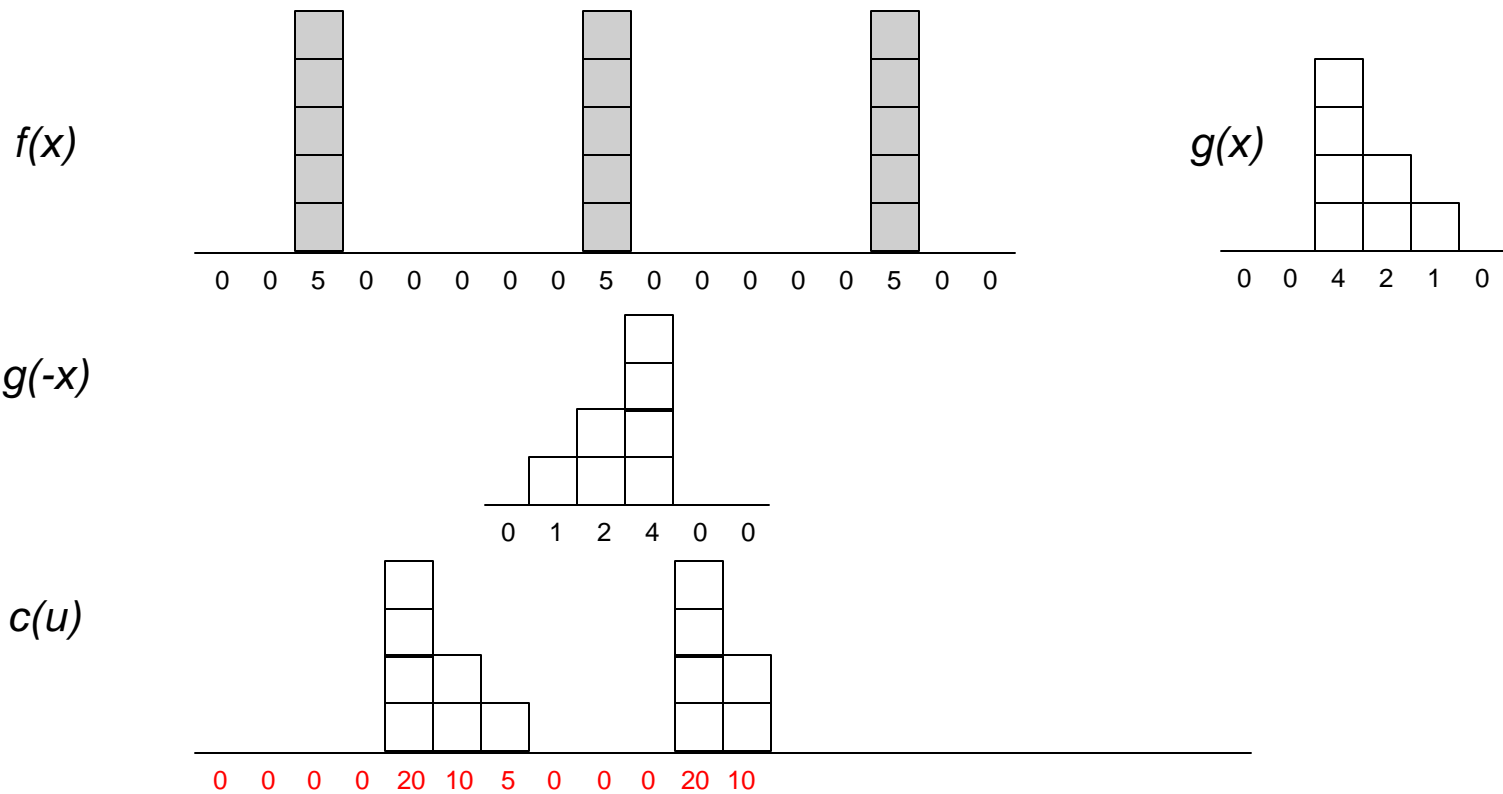


# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

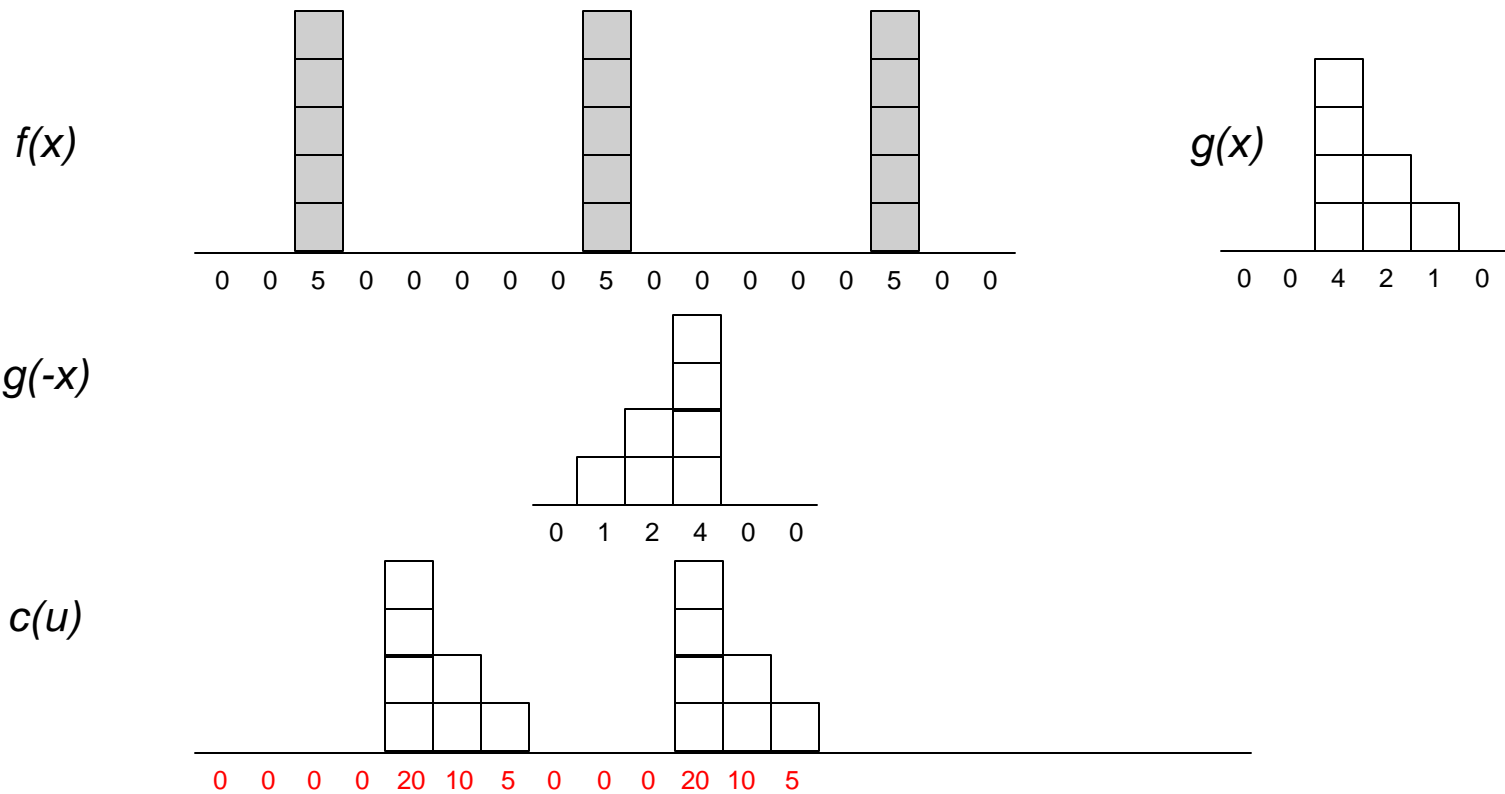


# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

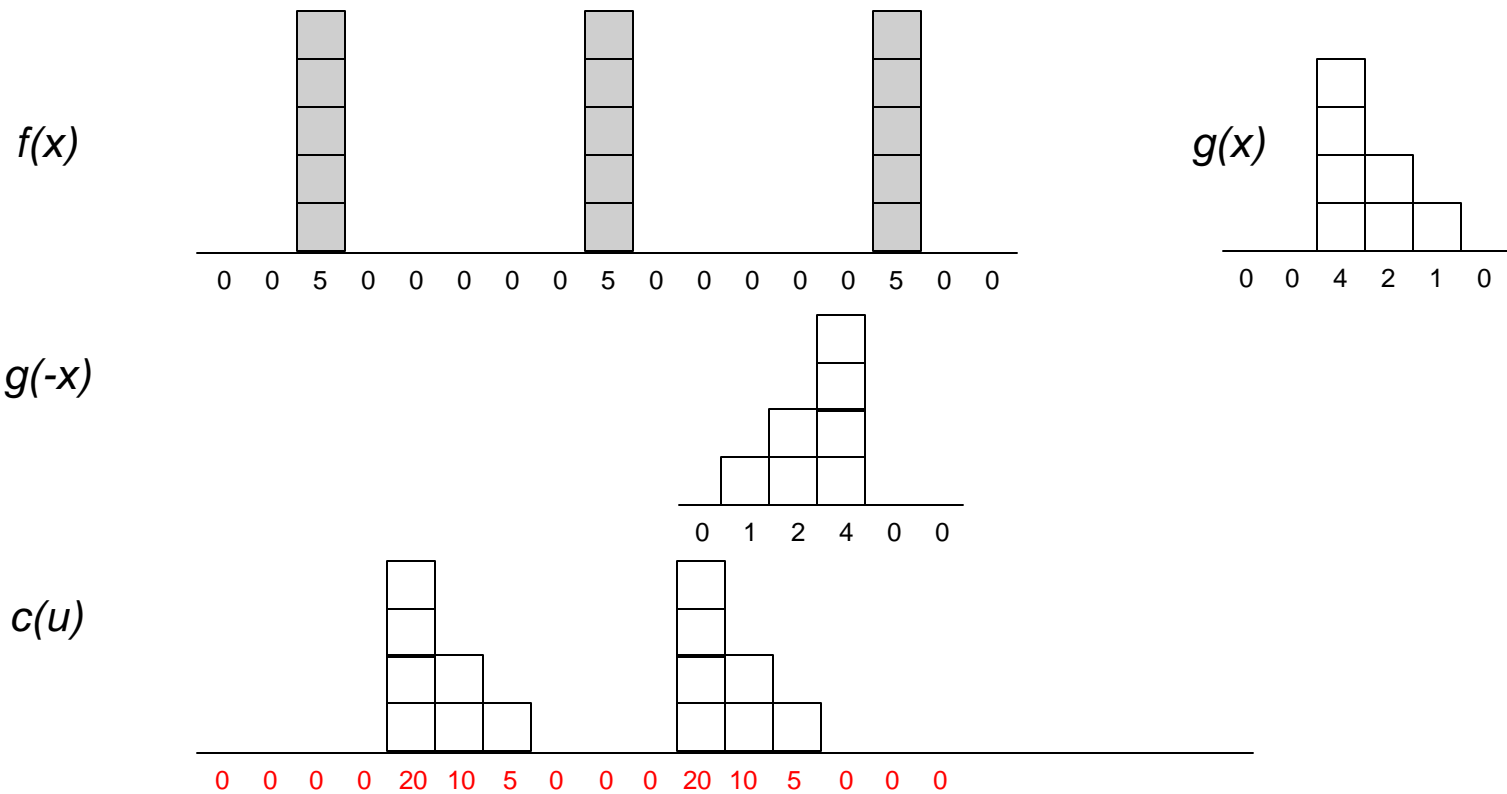
$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$



# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

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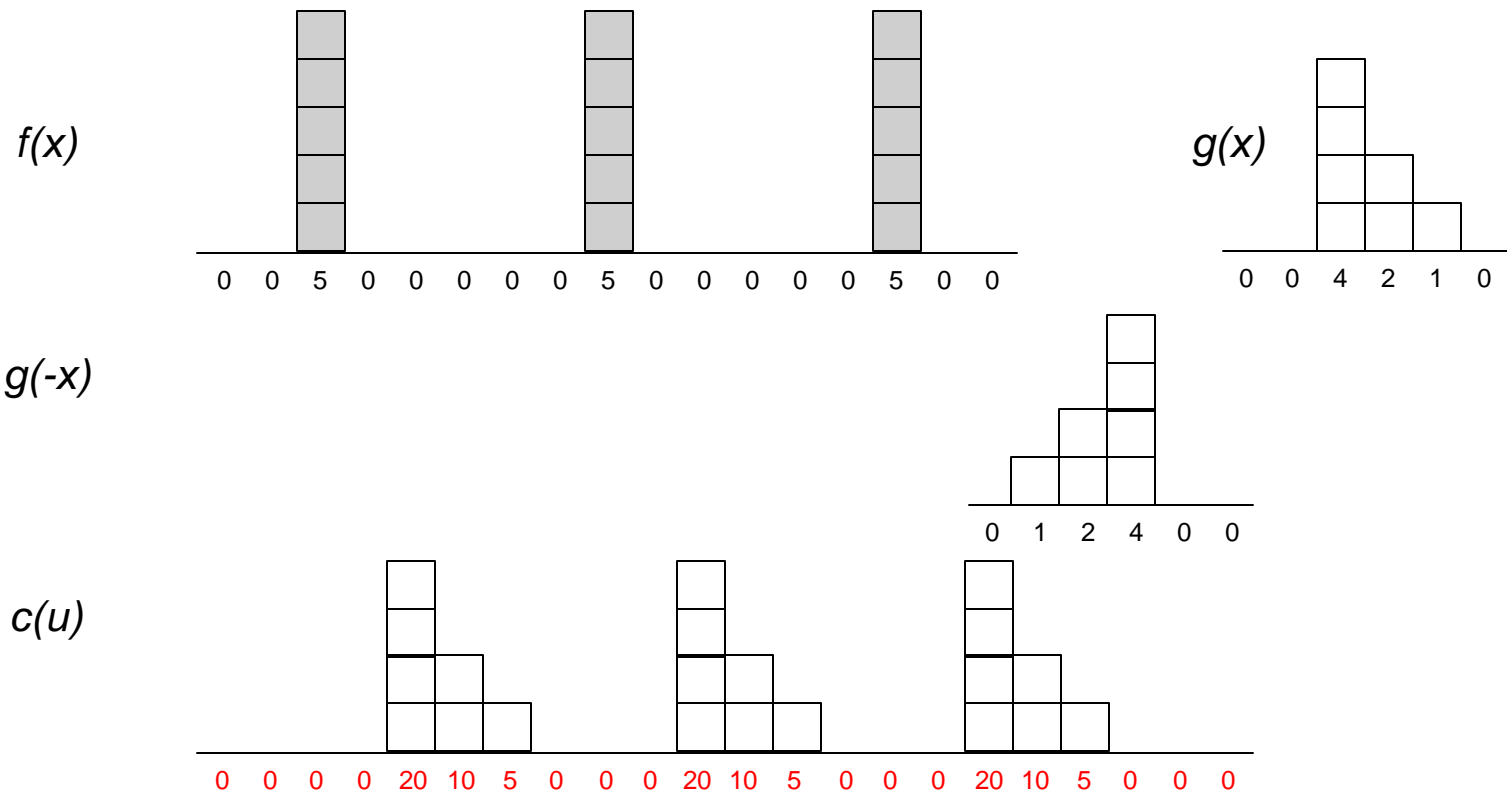


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$$c(u) = f(x) * g(x)$$

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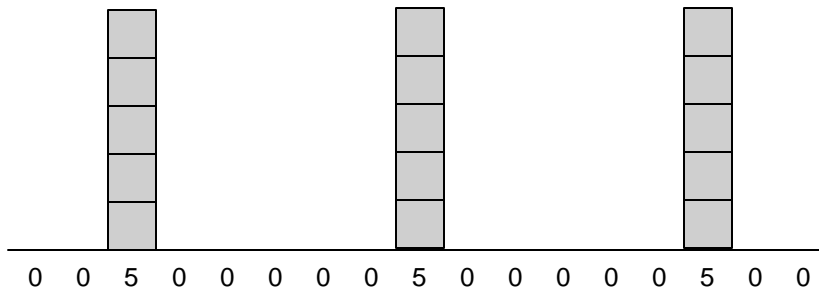
# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

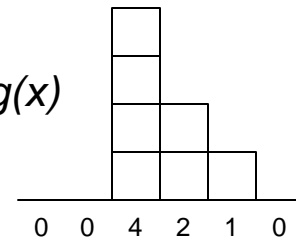
$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

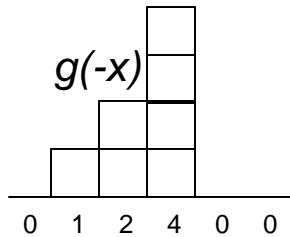
$f(x)$



$g(x)$



$g(-x)$



$c(u)$



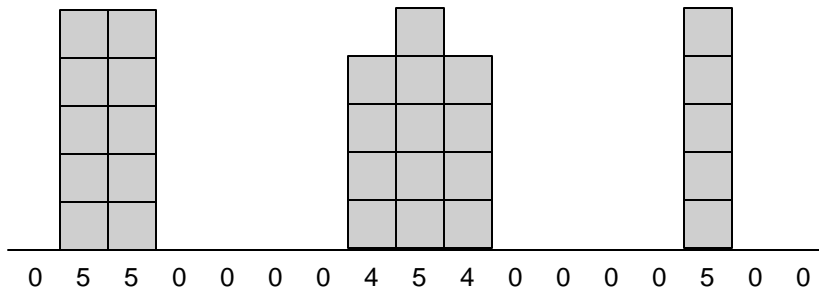
# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

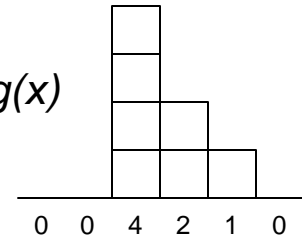
$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

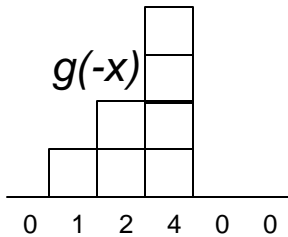
$f(x)$



$g(x)$



$g(-x)$



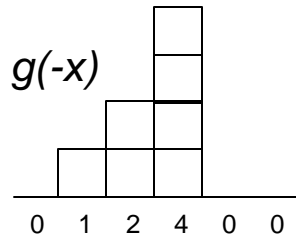
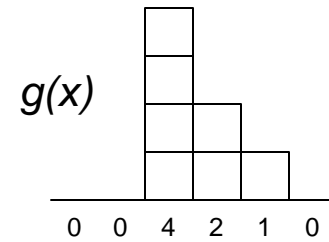
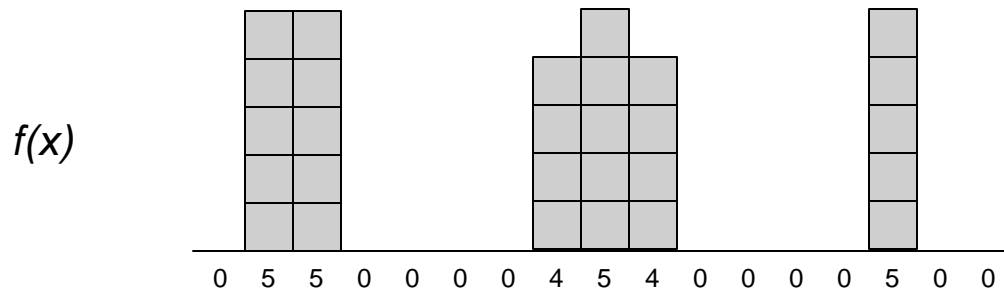
$c(u)$

# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$



$c(u)$

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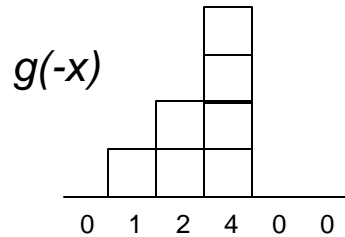
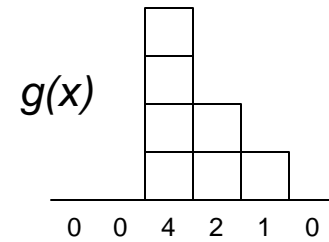
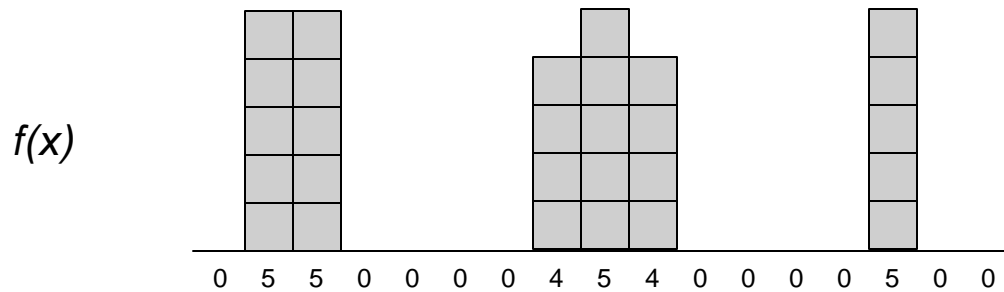
0 0

# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$



$c(u)$

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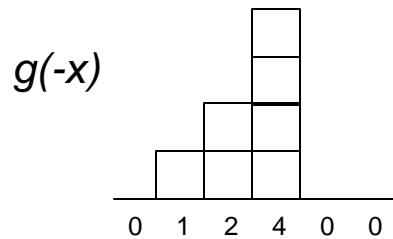
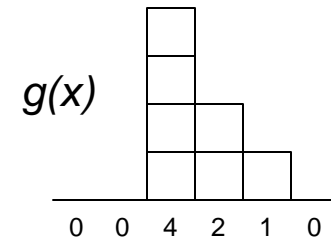
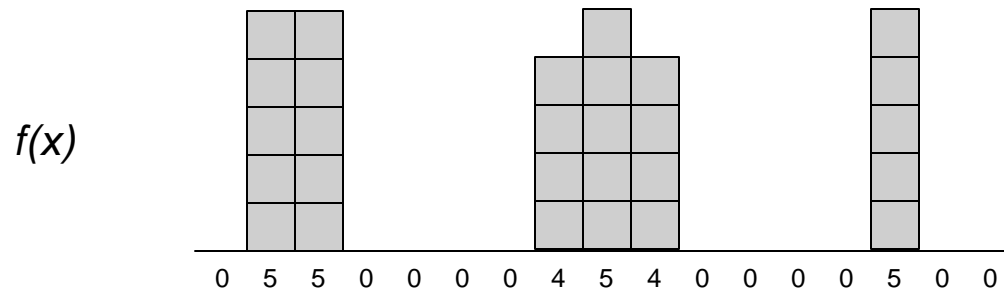
0 0 0

# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

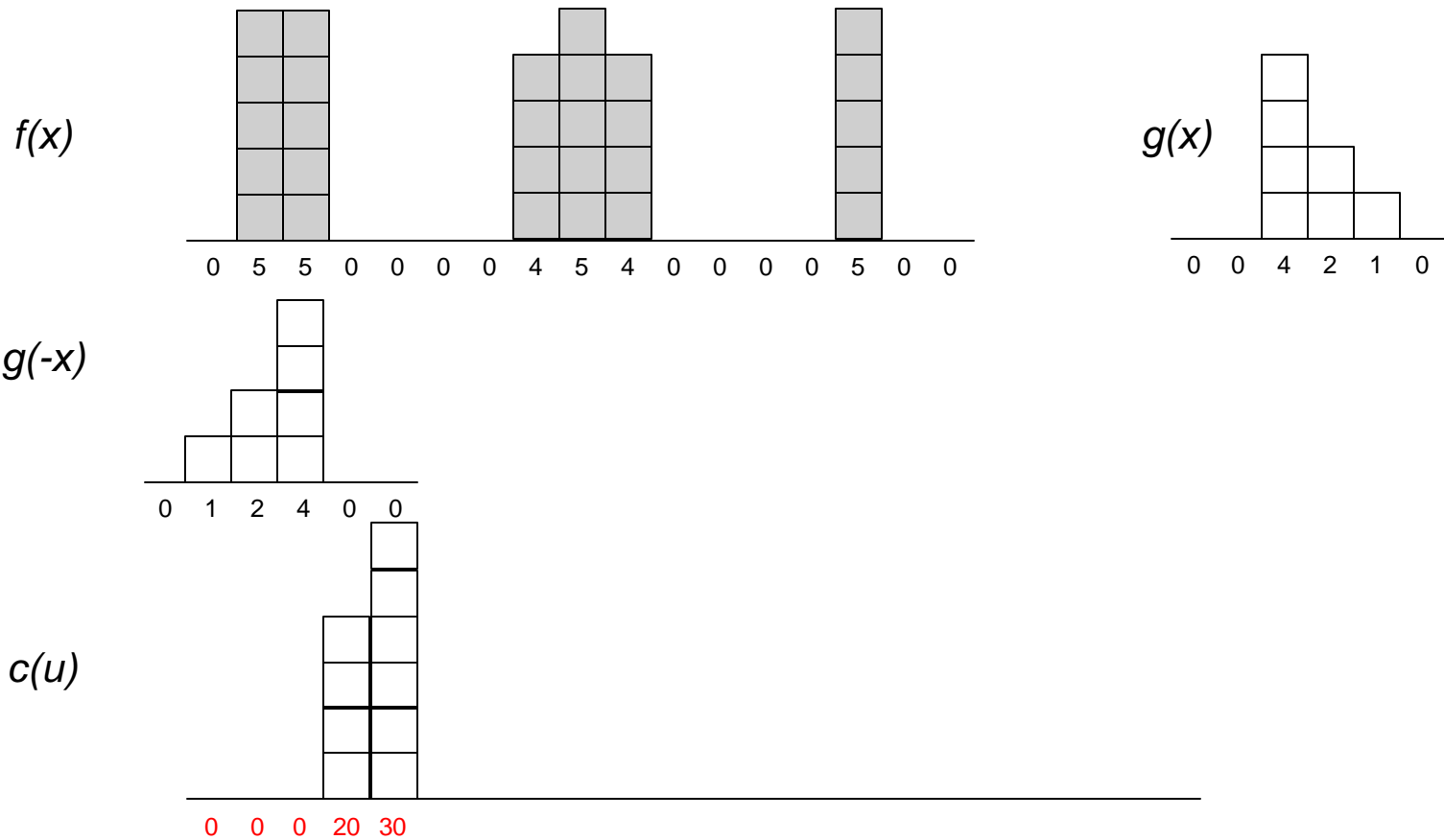


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$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

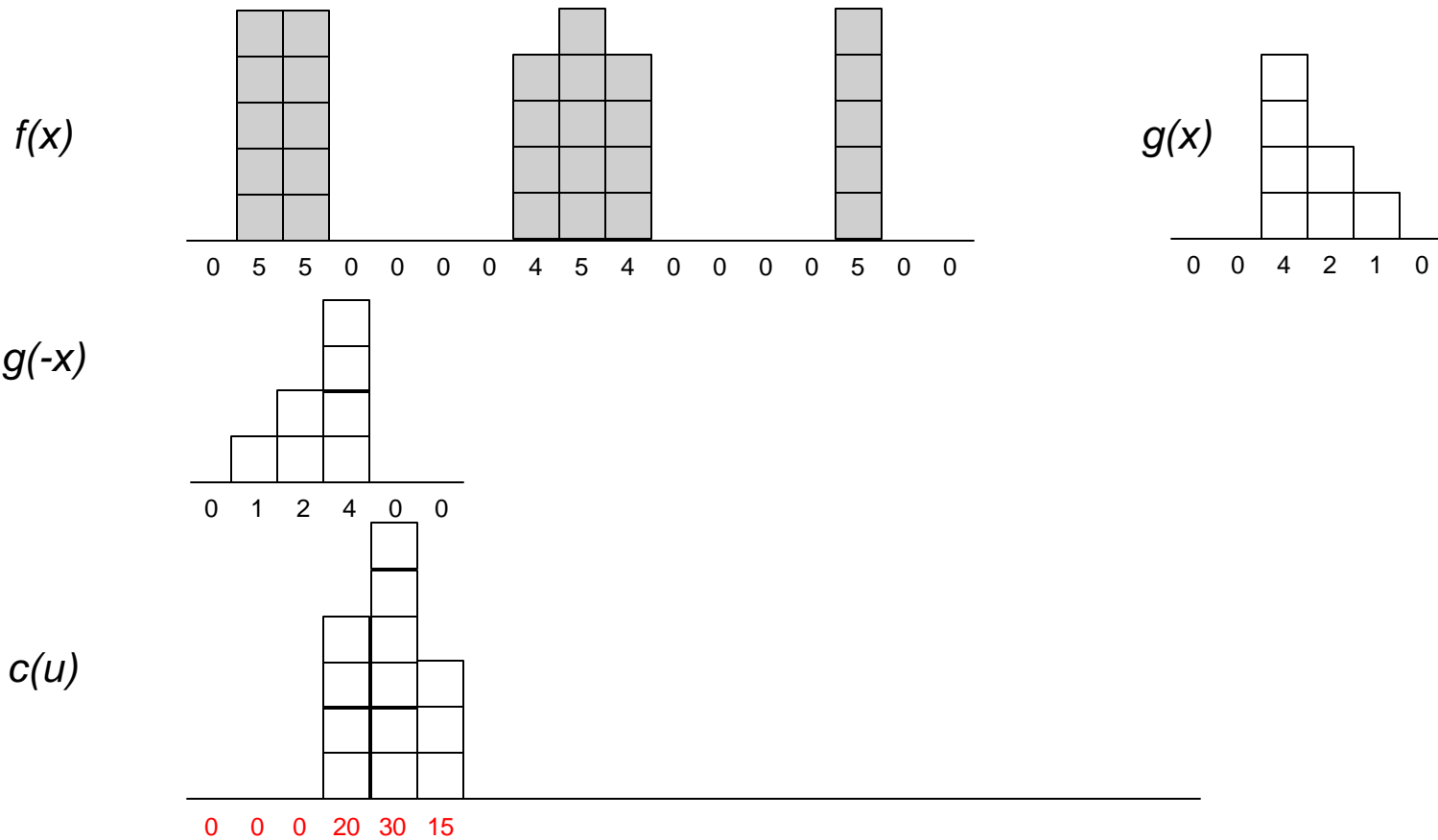


# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

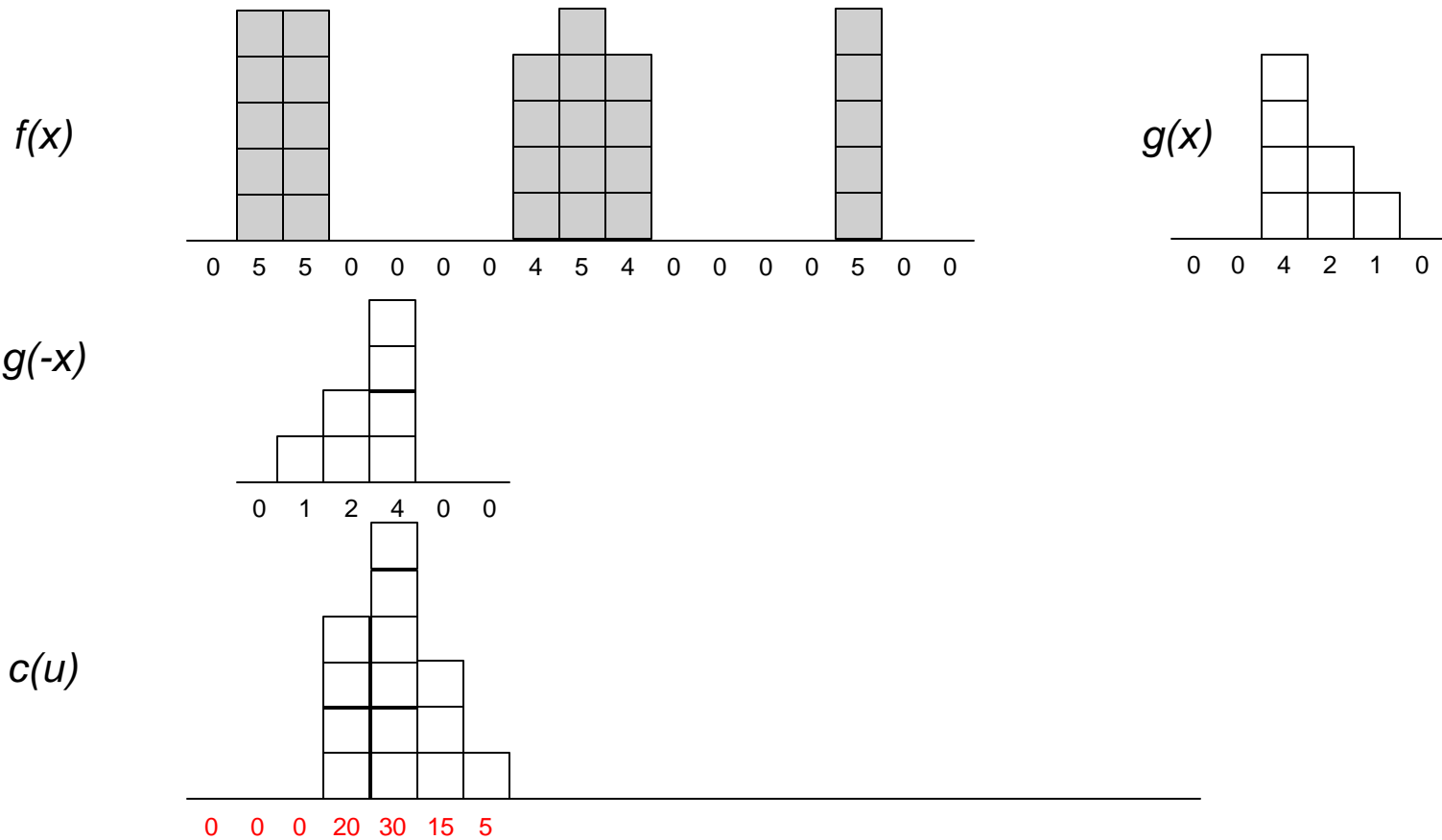


# III.C.6 Diffraction

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$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

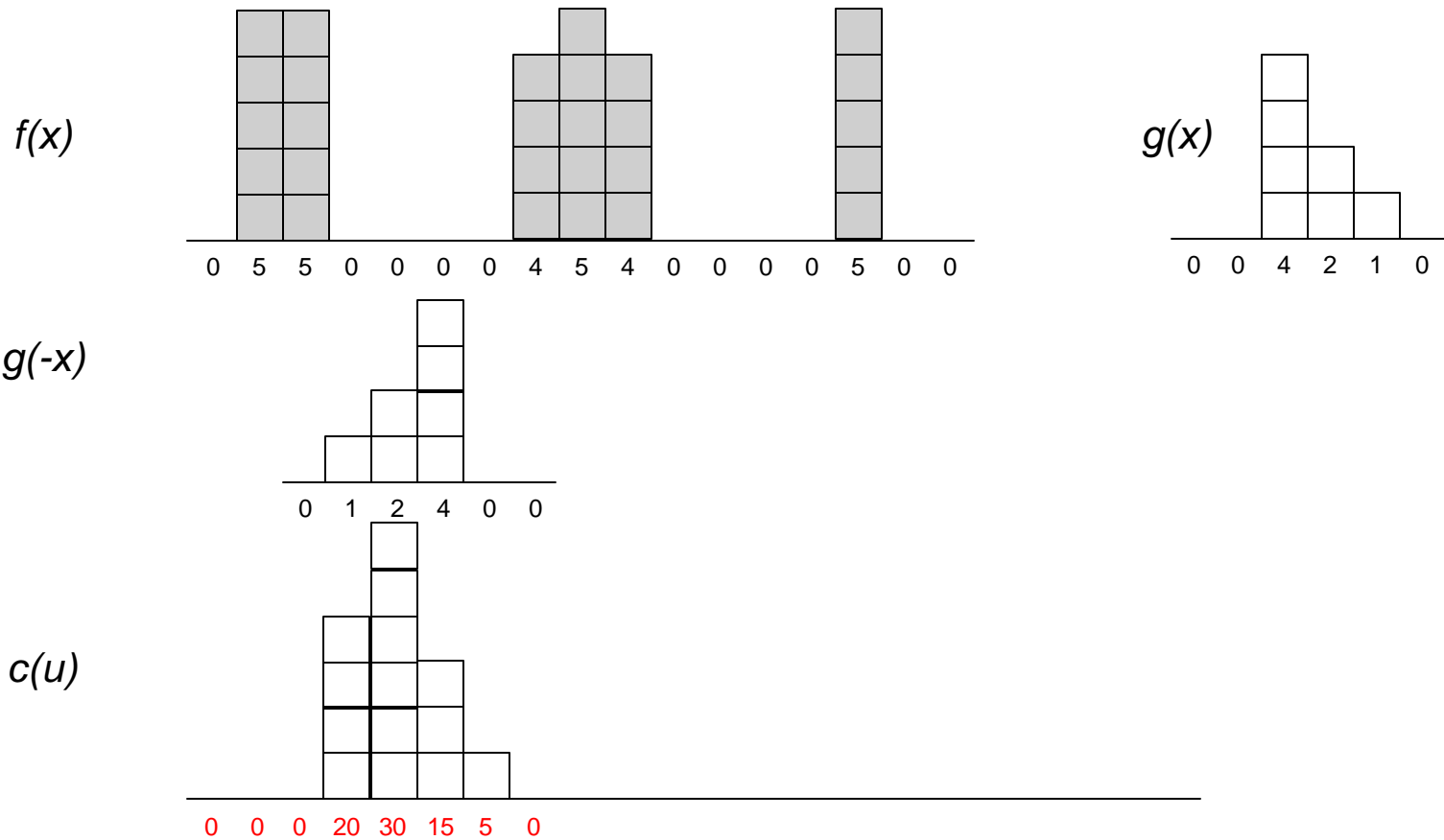


# III.C.6 Diffraction

## III.C.6.g Convolution and Multiplication

$$c(u) = f(x) * g(x)$$

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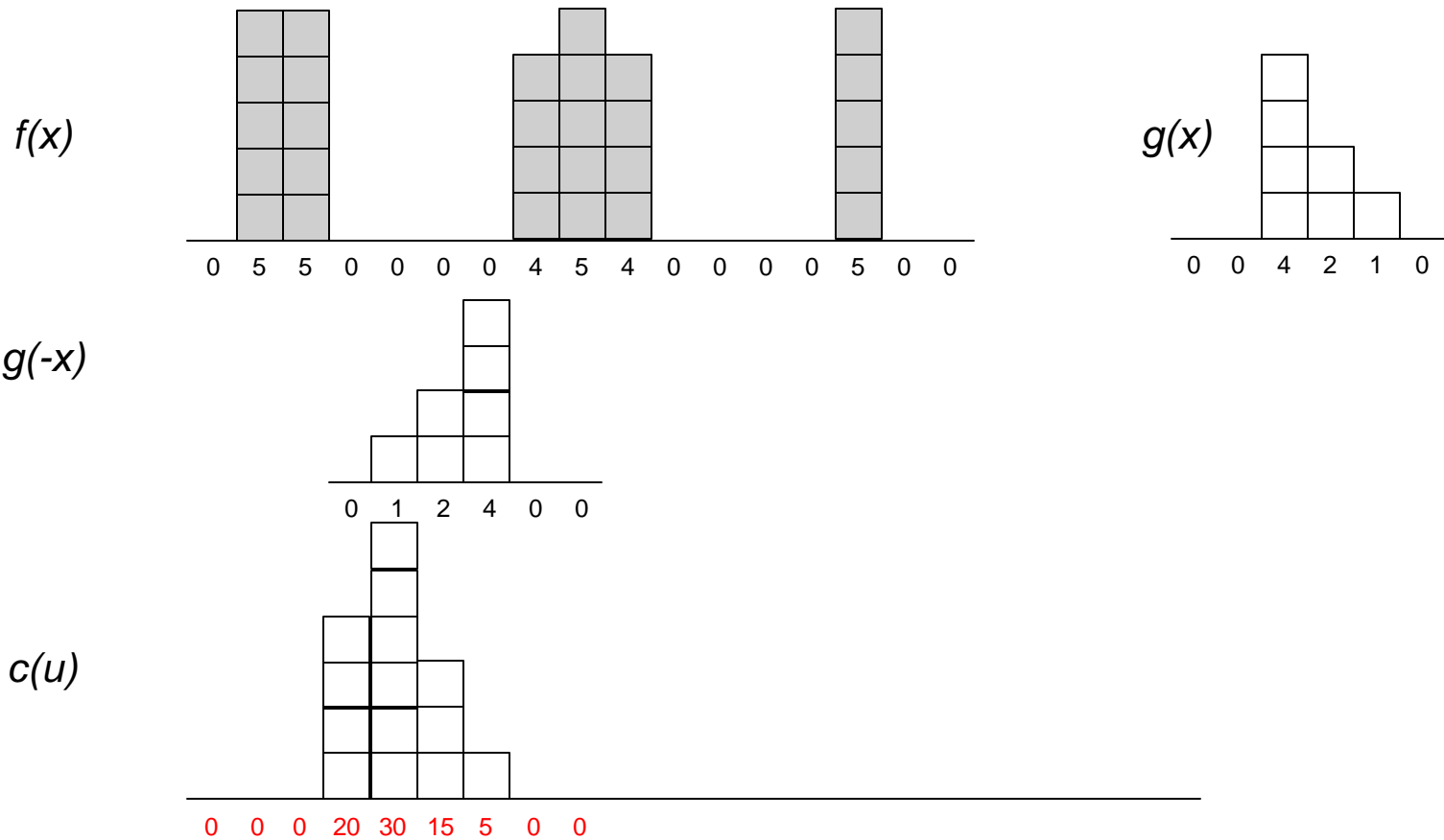


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$$c(u) = f(x) * g(x)$$

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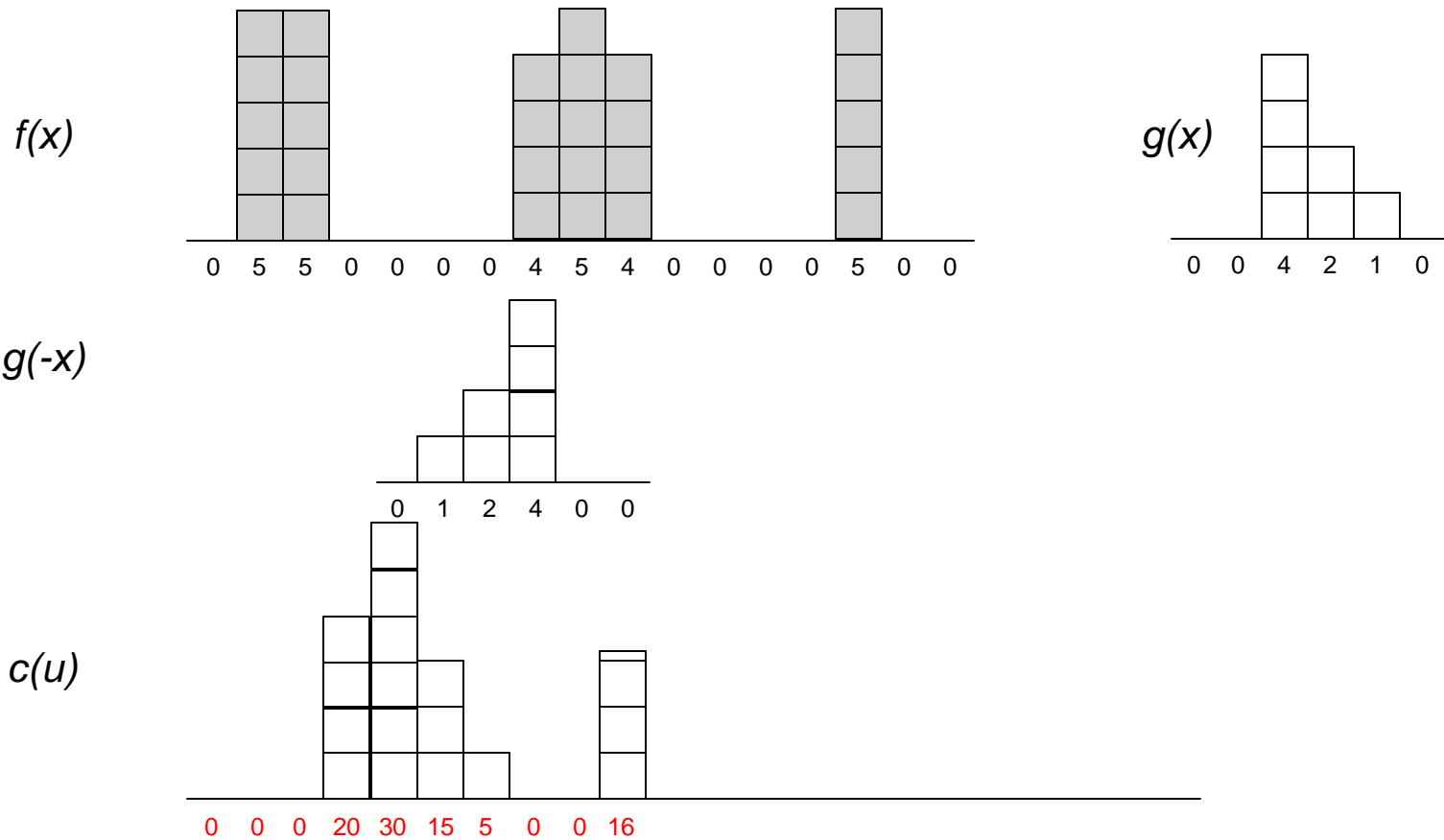


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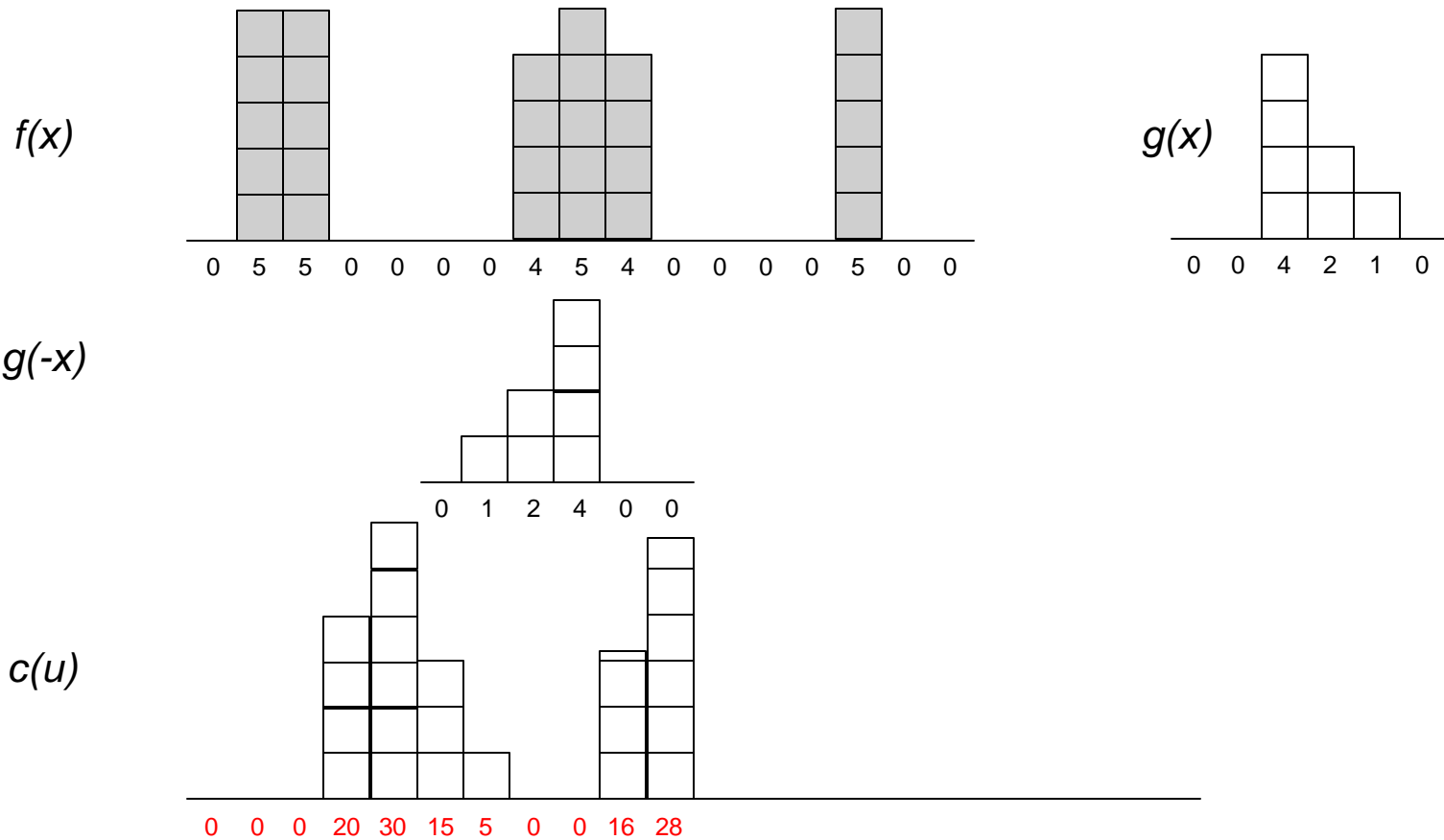


# III.C.6 Diffraction

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$$c(u) = f(x) * g(x)$$

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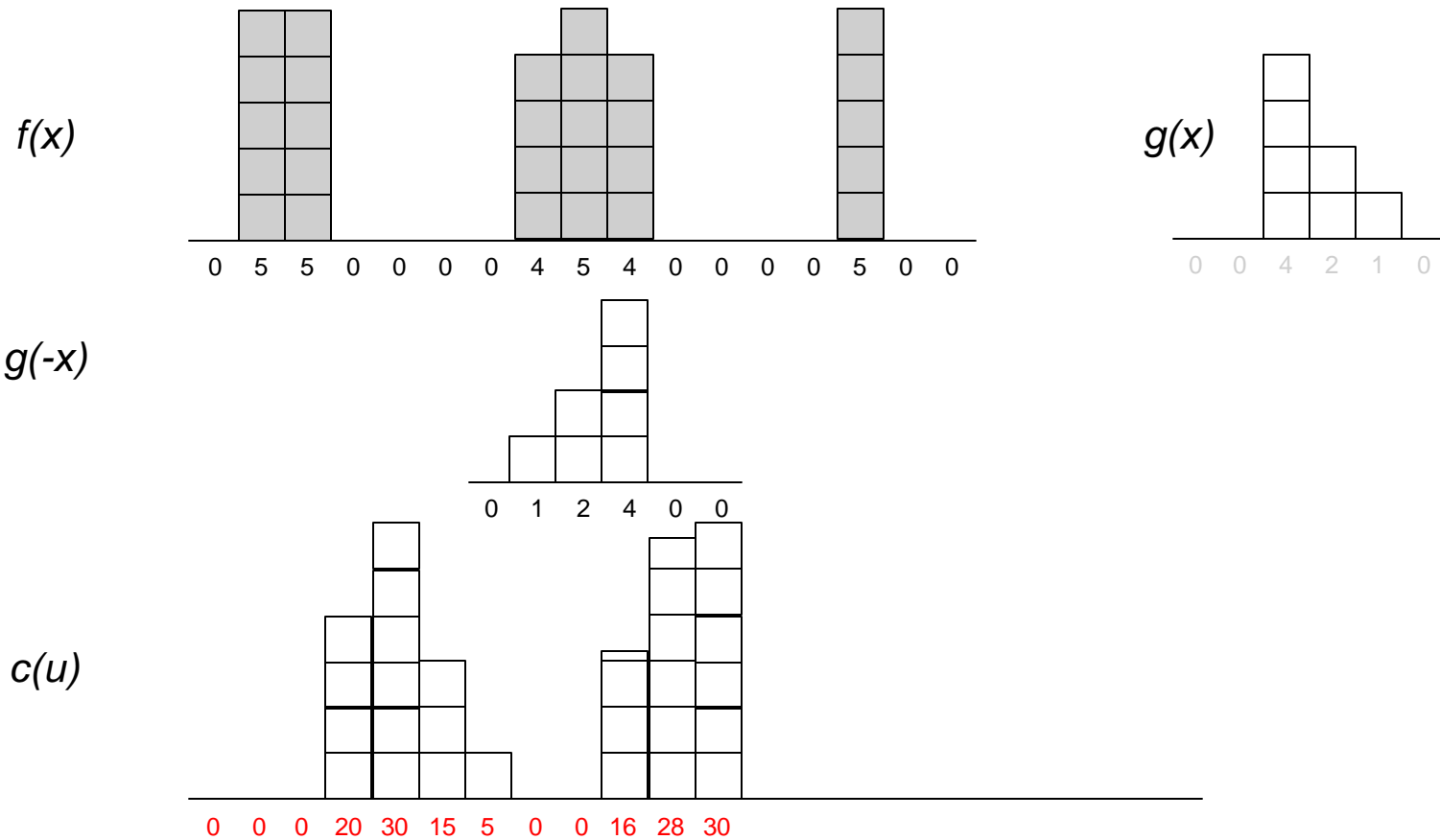


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$$c(u) = \int_{-\infty}^{\infty} f(x)g(u - x)dx$$

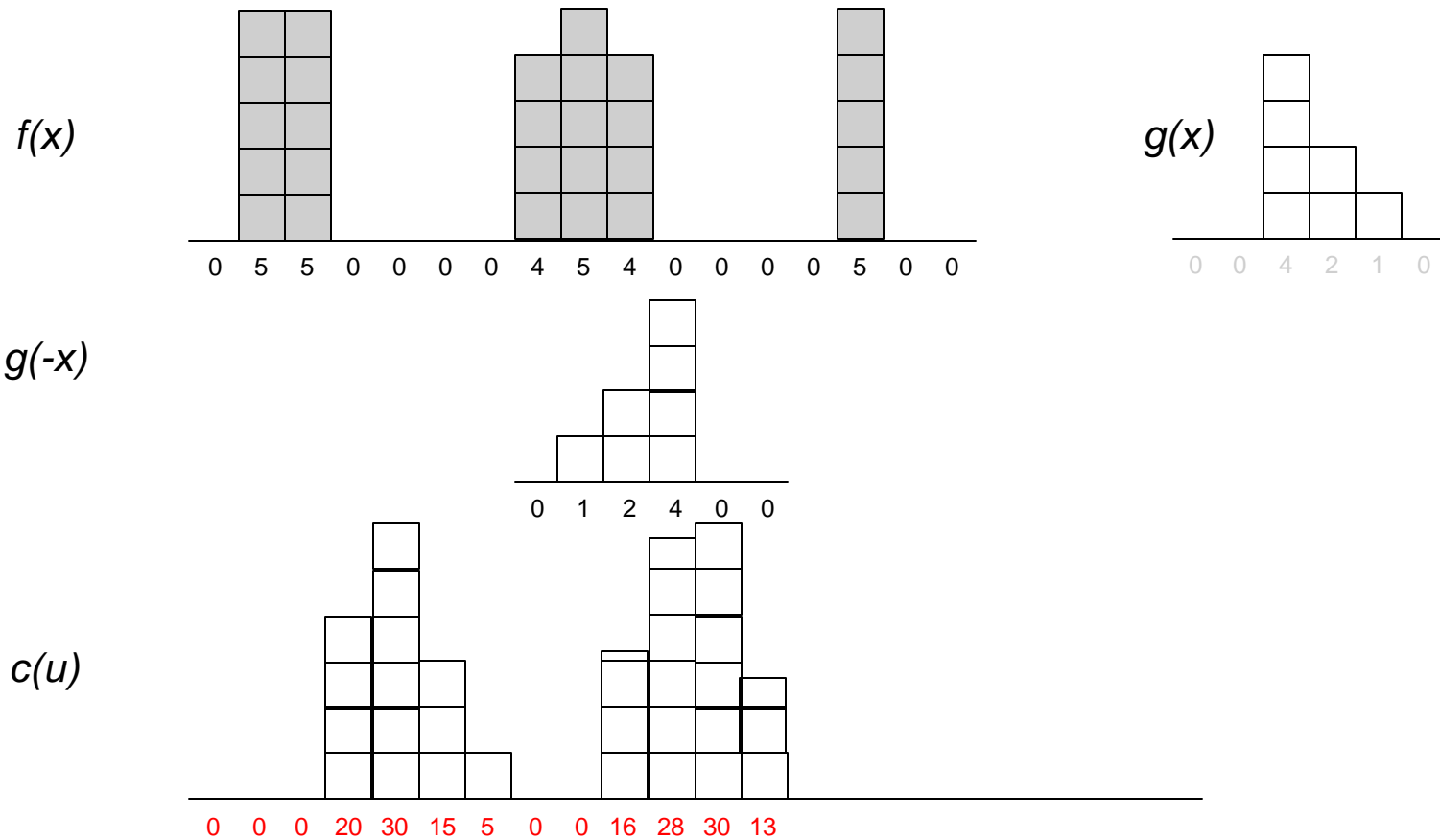


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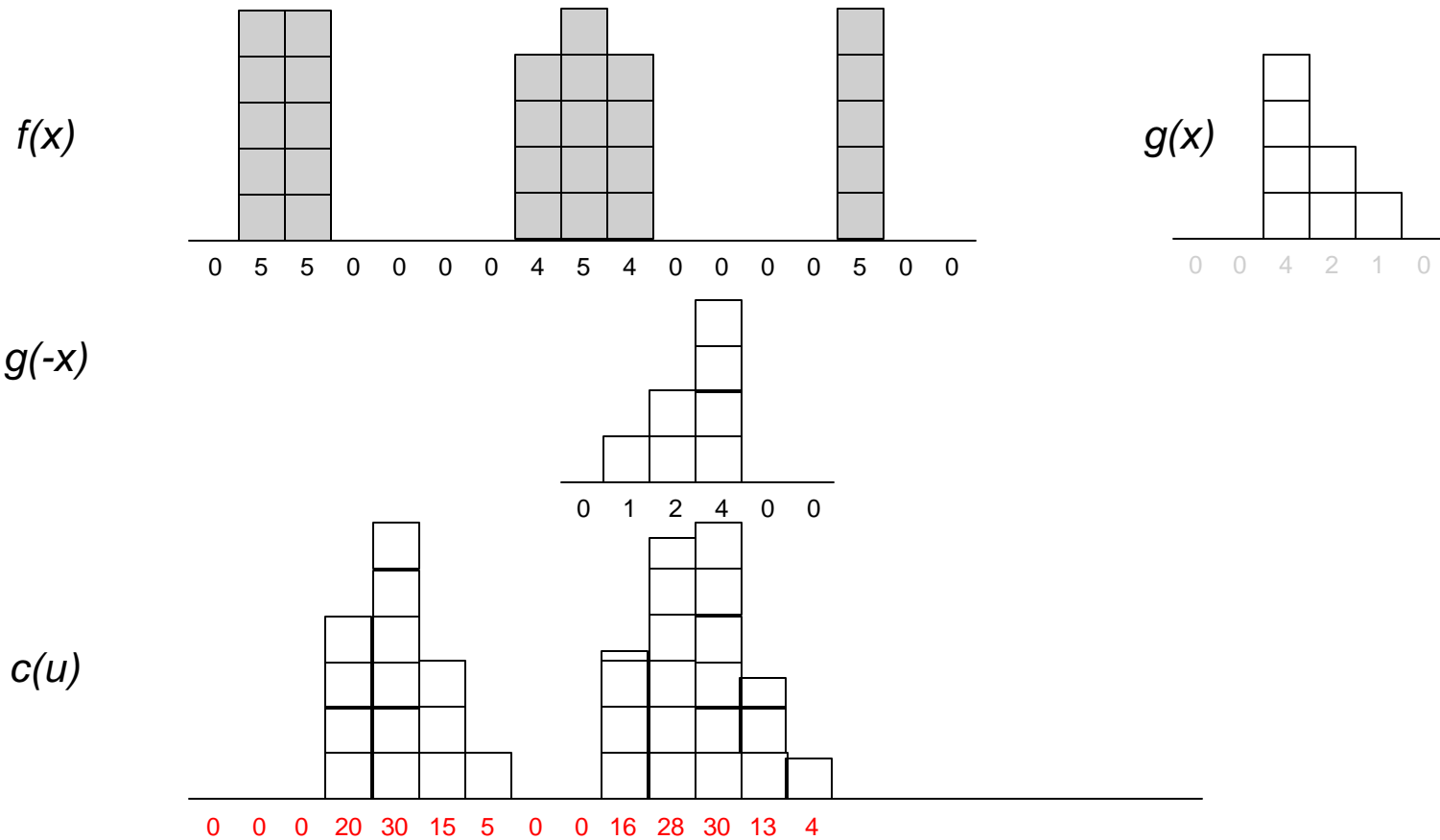


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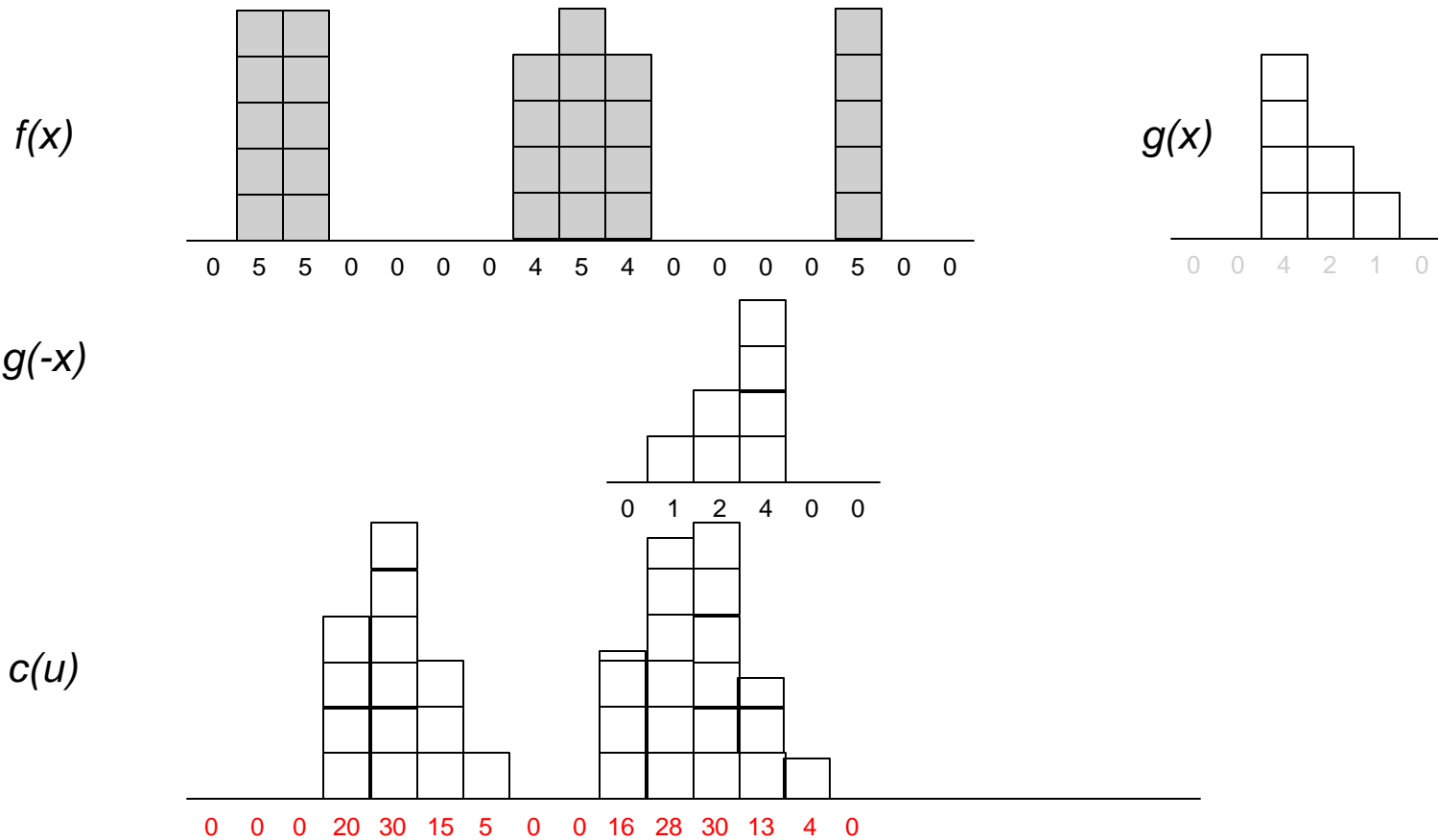


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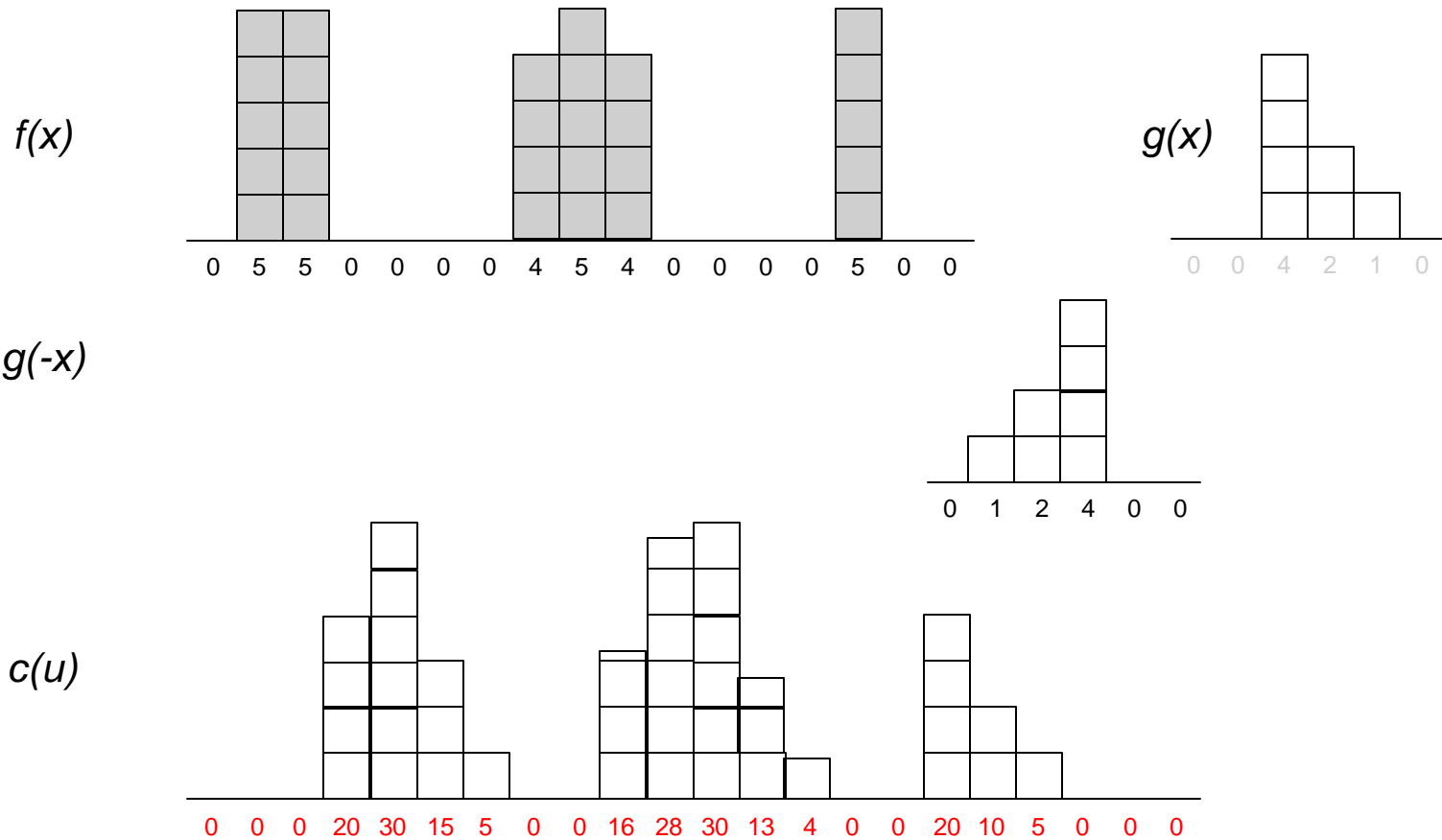


# III.C.6 Diffraction

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$$c(u) = f(x) * g(x)$$

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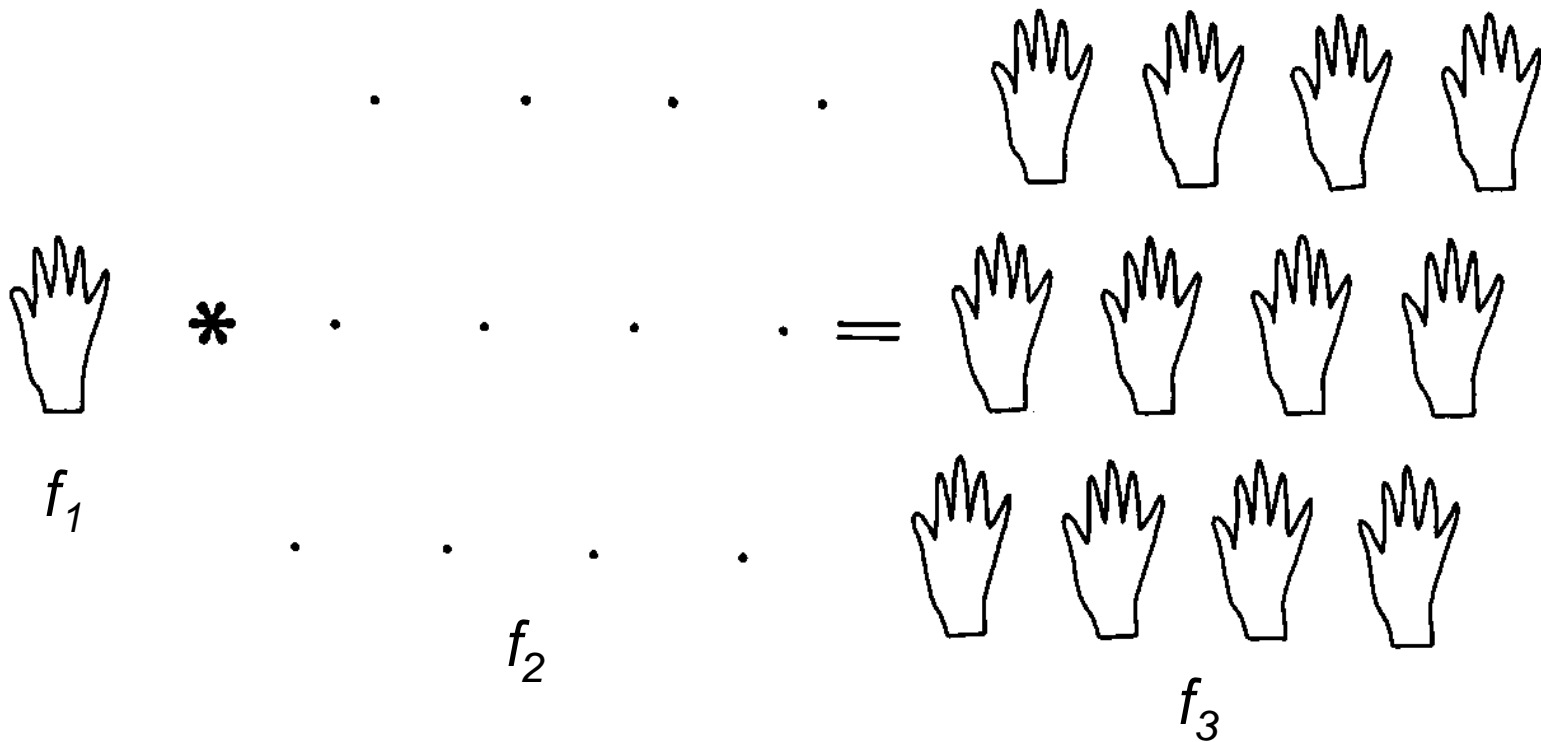




### III.C.6 Diffraction

#### III.C.6.g Convolution and Multiplication

**Convolution of hand and 2D lattice produces 2D crystal of hands**

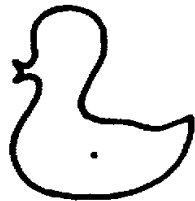


## III.C.6 Diffraction

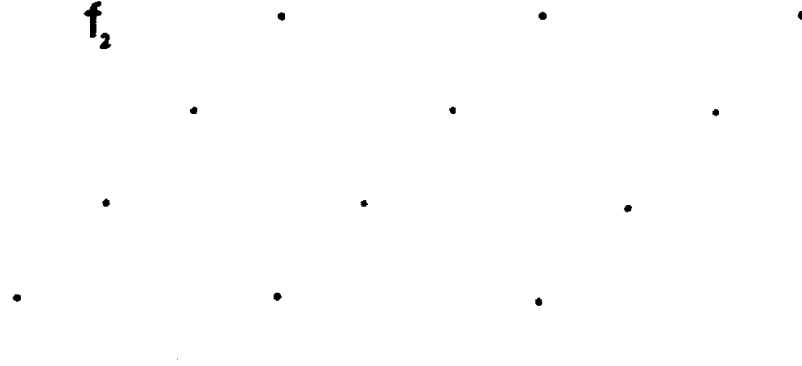
### III.C.6.g Convolution and Multiplication

# Convolution of Duck and 2D Lattice Produces 2D Crystal of Ducks

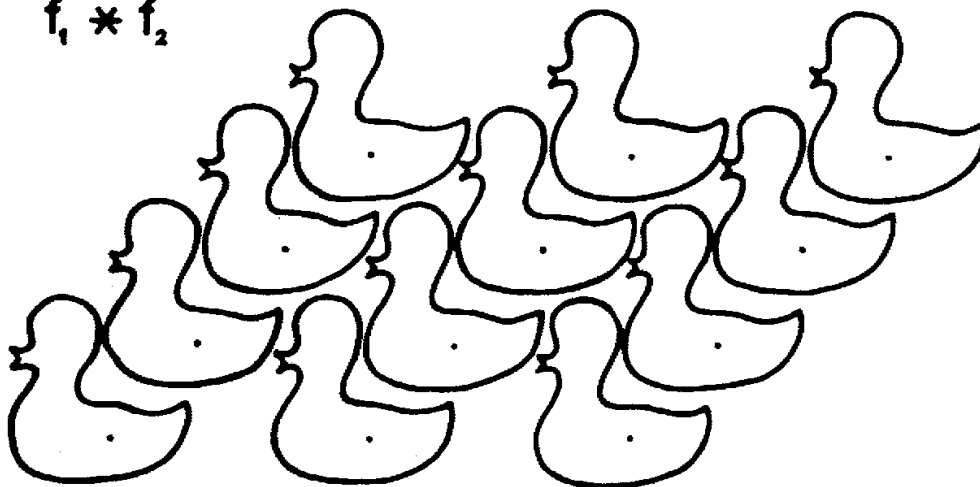
$f_1$



$f_2$



$f_1 * f_2$



## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

#### **Convolution Theorem:**

Provides a precise way to describe the relationship between objects (real space) and transforms (reciprocal space)

The Fourier transform of the **convolution** of two functions is the **product** of their Fourier transforms

$$T(f * g) = F \times G$$

**Symbols:** \* = **convolution** operation

X = **multiplication** operation

$f$  and  $g$  represent two separate functions

$F$  and  $G$  are the respective Fourier transforms

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

#### Convolution Theorem:

The Fourier transform of the **convolution** of two functions is the **product** of their Fourier transforms

$$T(f * g) = F \times G$$

The **converse** relationship also holds:

The Fourier transform of the **product** of two functions is equal to the **convolution** of the transforms of the individual functions

$$T(f \times g) = F * G$$

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

**Crystal Structure:**  $f_3 = f_1 * f_2$  ( $f_1$  = unit cell contents;  $f_2$  = real space lattice)  
**(real space)**

Equivalent to the convolution of the **contents** of the unit cell ( $f_1$ ) with a **finite lattice** ( $f_2$ )

The above equation can also be written as:

$$f_3 = T^{-1}(F_3)$$

$$f_3 = T^{-1}(F_1 \times F_2)$$

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

**Transform of Crystal Structure:  
(reciprocal space)**

$$\begin{aligned} F_3 &= F_1 \times F_2 \\ &= T(f_3) \\ &= T(f_1 * f_2) \end{aligned}$$

Equivalent to the transform of the unit cell **contents**,  $F_1$ , multiplied (sampled) by the transform of the crystal lattice,  $F_2$  (**reciprocal lattice**)

These examples are easy to conceptualize because, in each case, one of the functions ( $f_2$  or  $F_2$ ) is **“simple”** (*i.e.* an **array of points** or a lattice)

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

In the reciprocal lattice, the **sampling interval** is **reciprocally related** to the real space lattice repeat

$F_1$ , the transform of the contents of the unit cell, is a **continuous function**

$F_3$ , the transform of the crystal, is **discrete** (because  $F_2$  is discrete)

The **crystal** transform ( $F_3$ ) is the transform of the single unit cell "**sampled**" at the reciprocal lattice points

Values of the Fourier transform at the reciprocal lattice points are called the **structure factors** ( $F_{hkl}$ )

## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

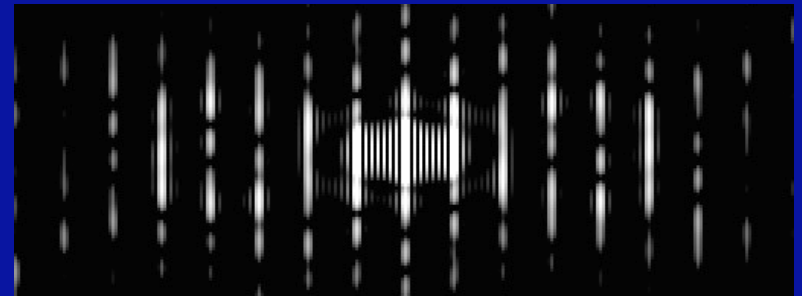
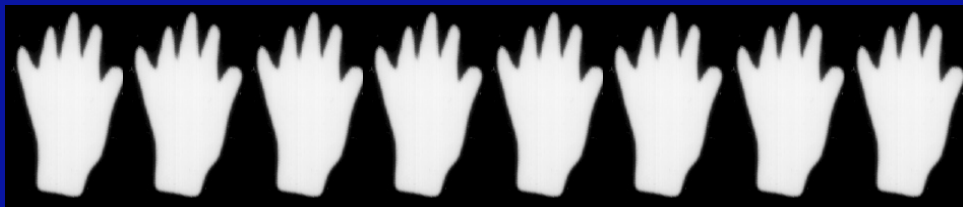
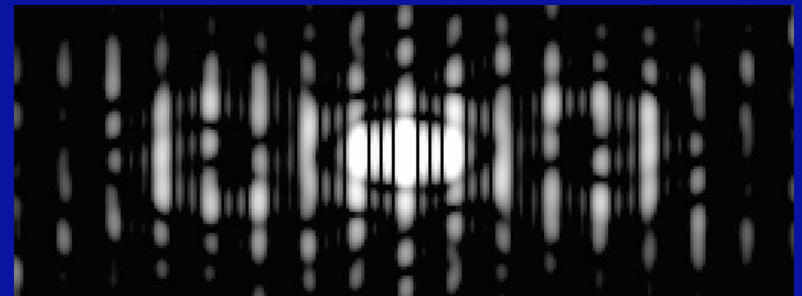
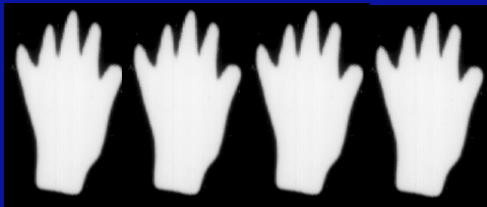
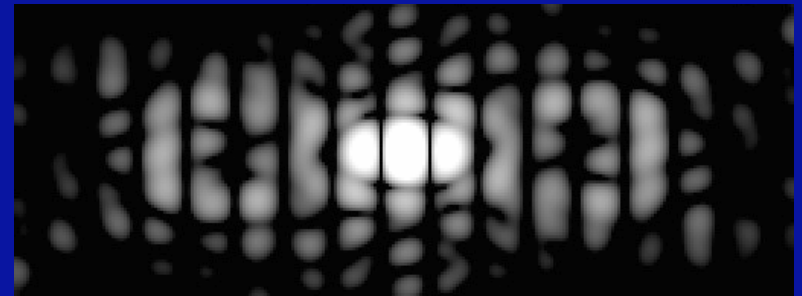
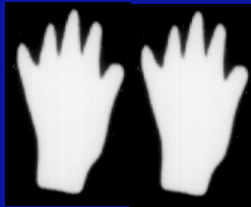
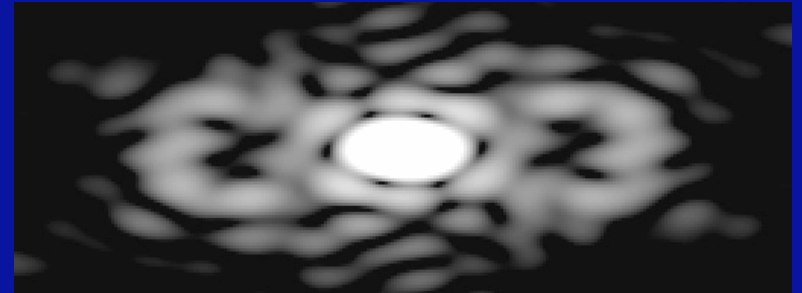
**1-D** lattices give rise to transforms sampled in only one direction



# Effect of Crystal Lattice on Transform (Transform Sampling)

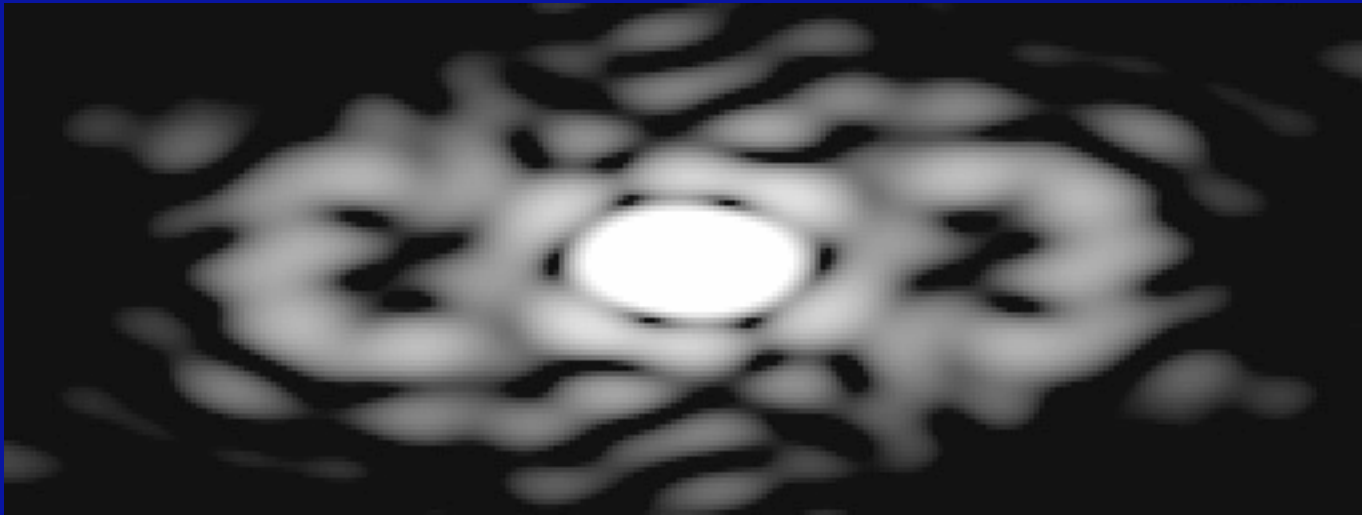


FT



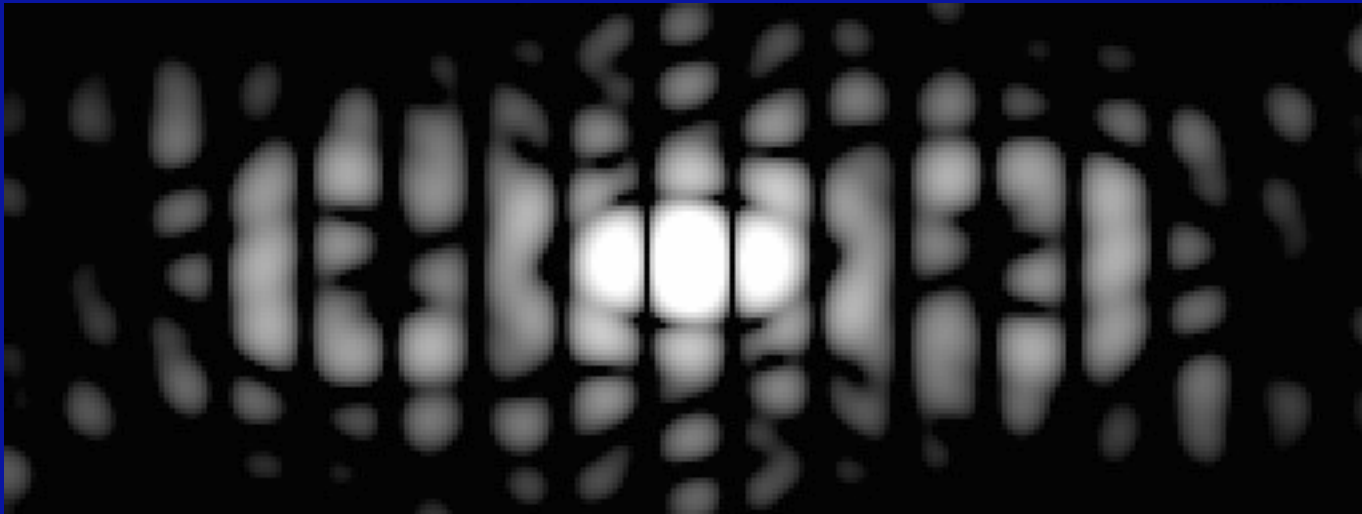
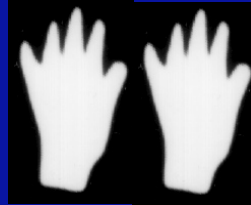
# Effect of Crystal Lattice on Transform (Transform Sampling)

1 hand



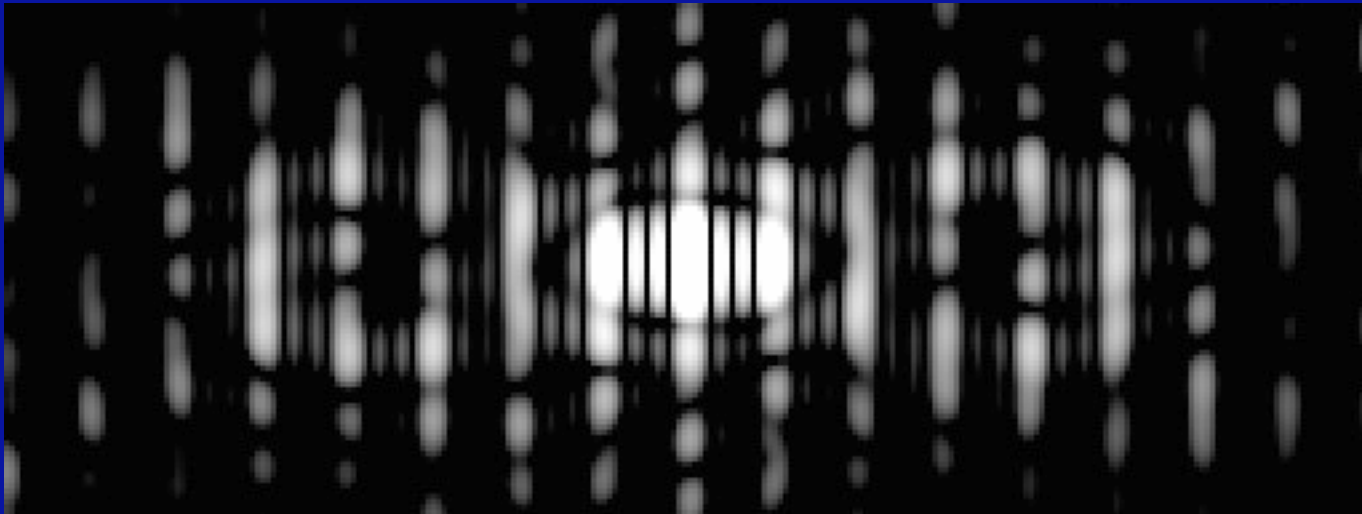
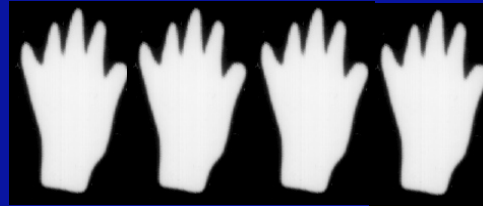
# Effect of Crystal Lattice on Transform (Transform Sampling)

2 hands



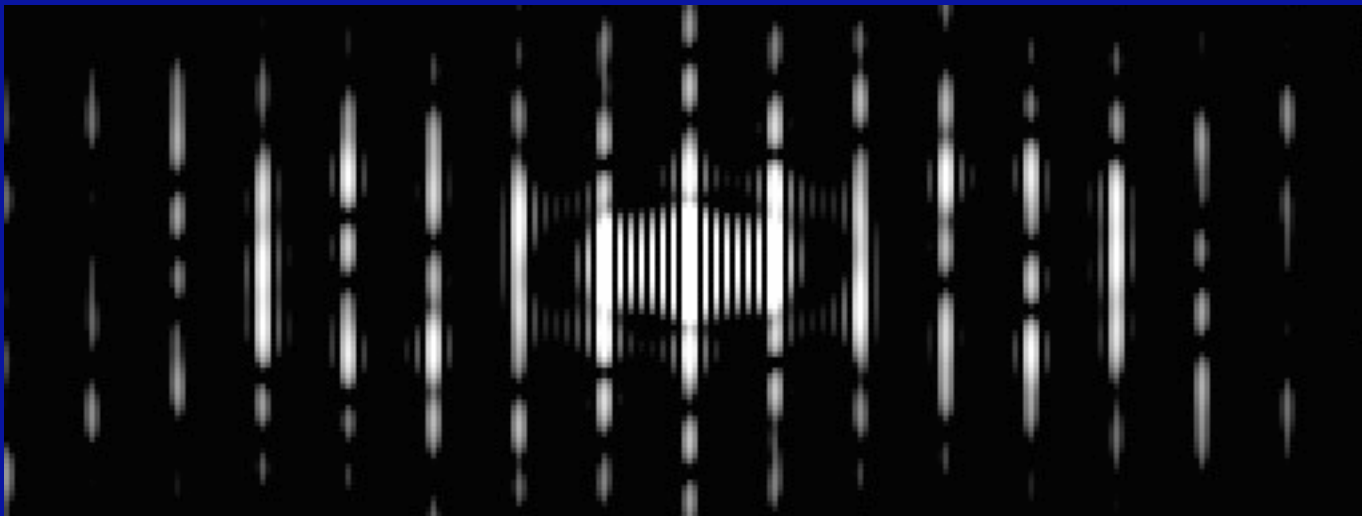
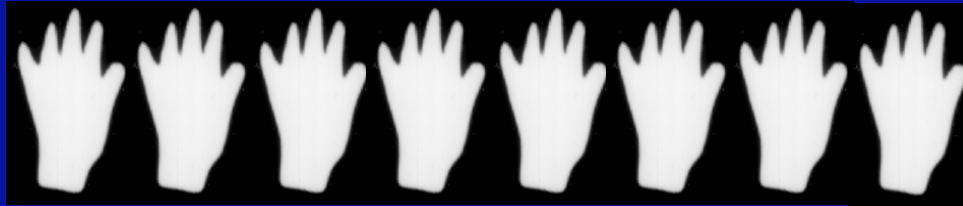
# Effect of Crystal Lattice on Transform (Transform Sampling)

4 hands



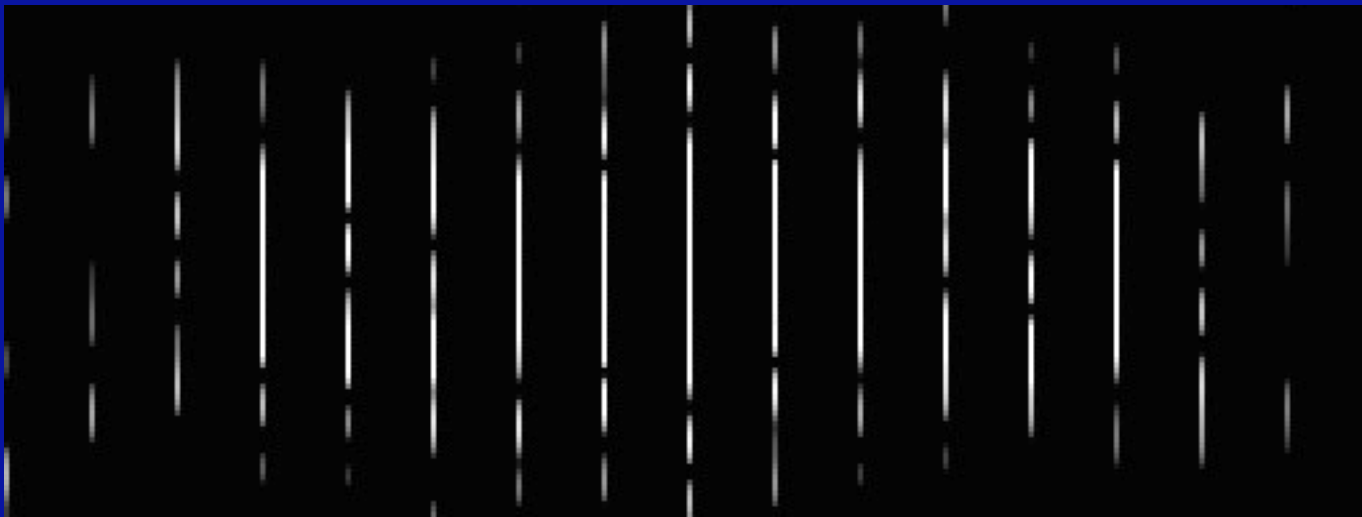
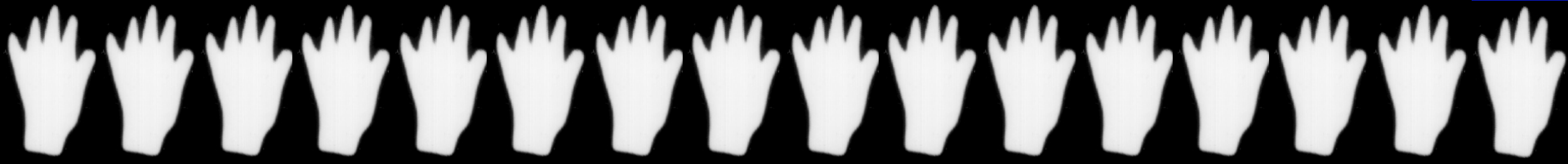
# Effect of Crystal Lattice on Transform (Transform Sampling)

8 hands



# Effect of Crystal Lattice on Transform (Transform Sampling)

16 hands



## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

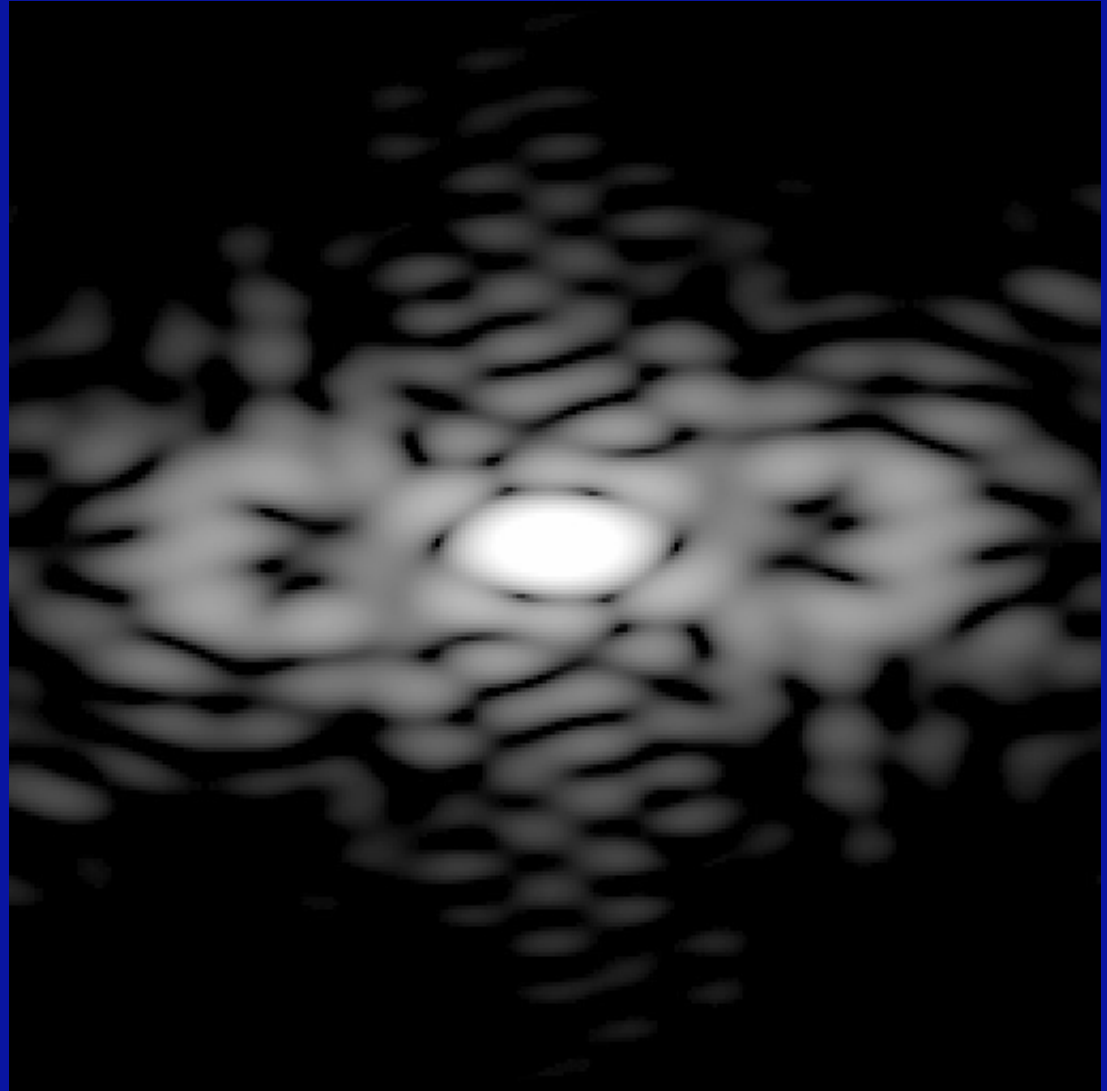
**1-D** lattices give rise to transforms sampled in only one direction

**2-D** lattices produce sampling on a 2-D grid or reciprocal lattice

**Example 1:** Orthogonal 2-D lattice

# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

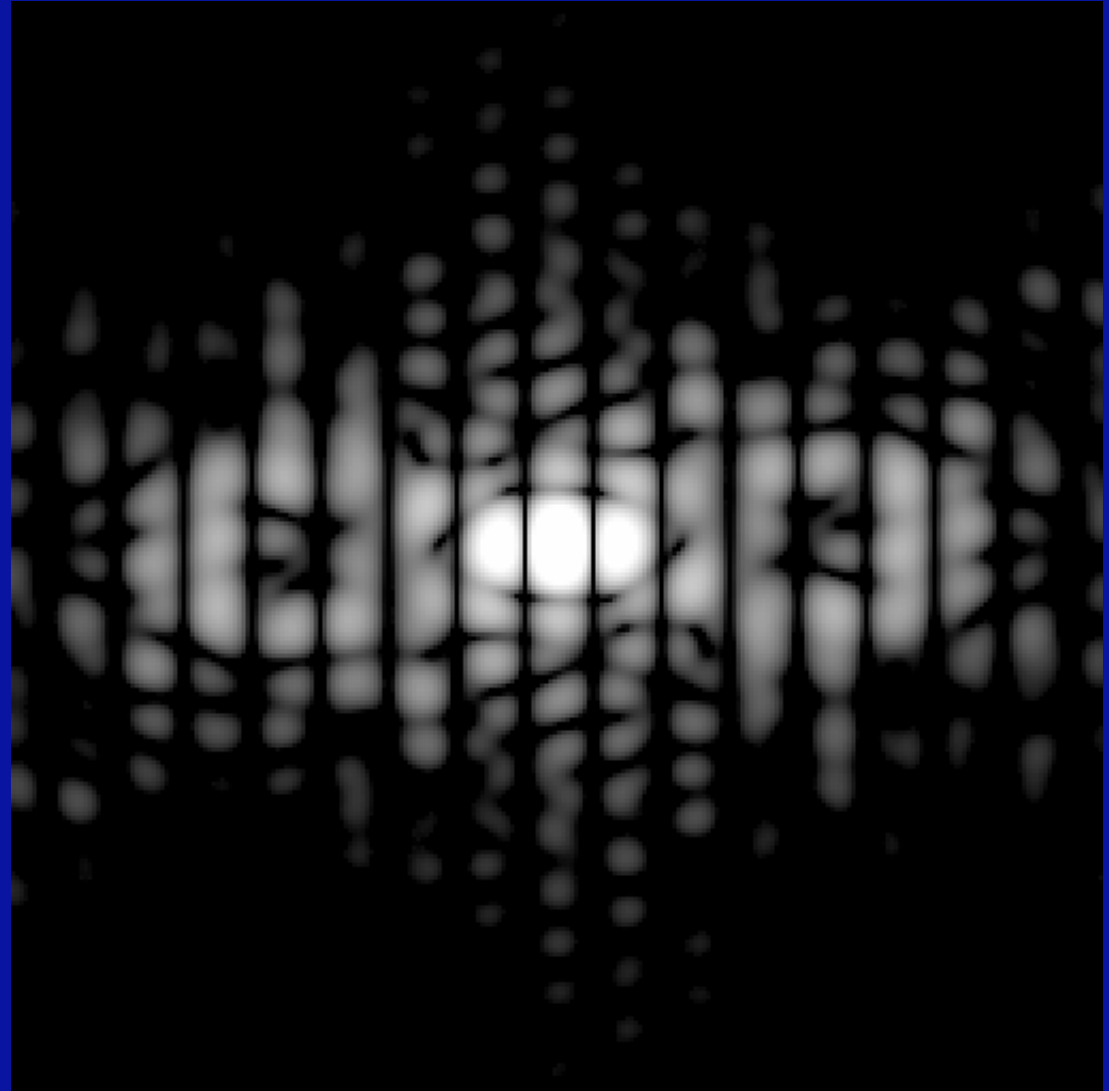
1 hand





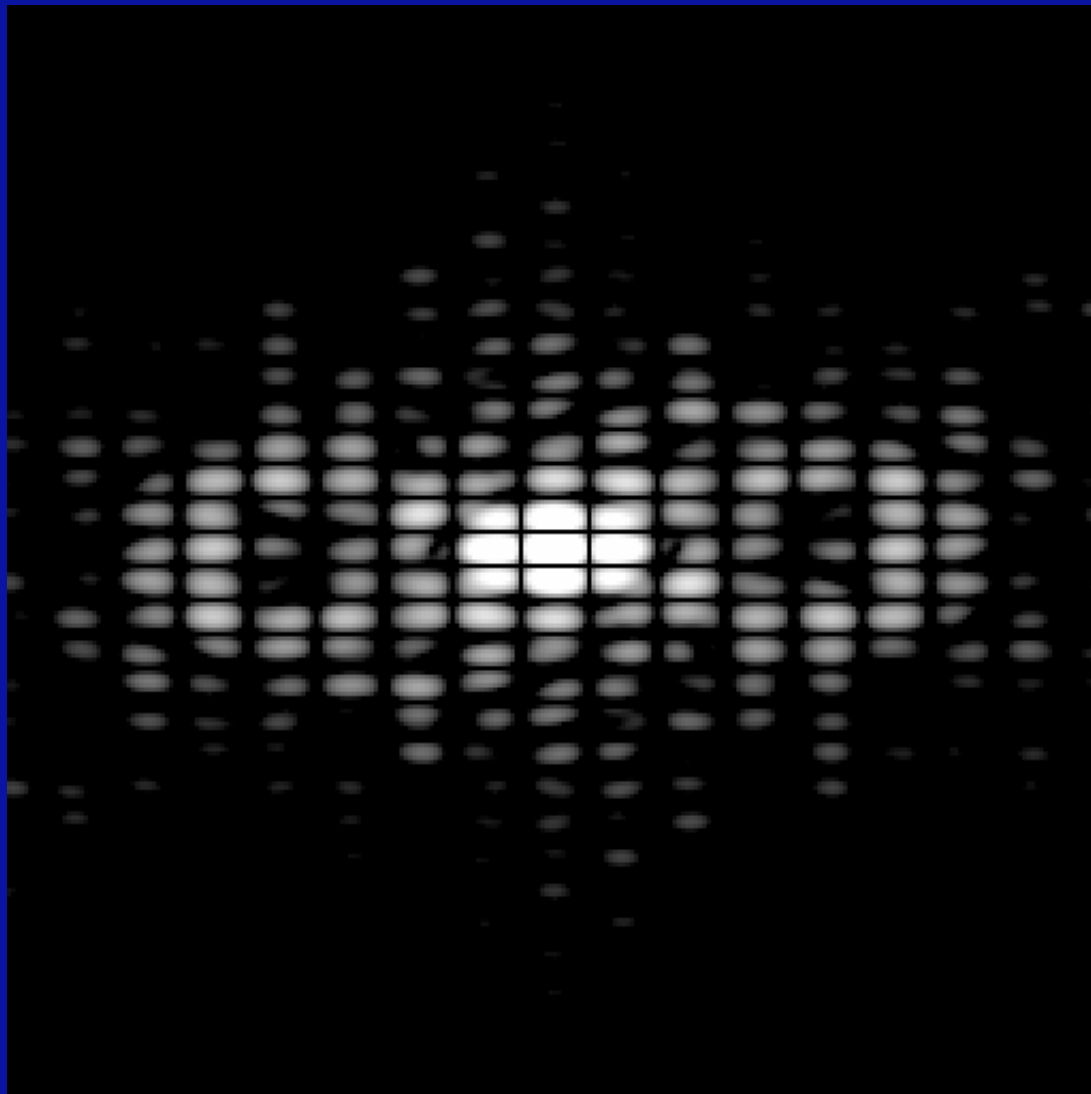
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

2 x 1 crystal



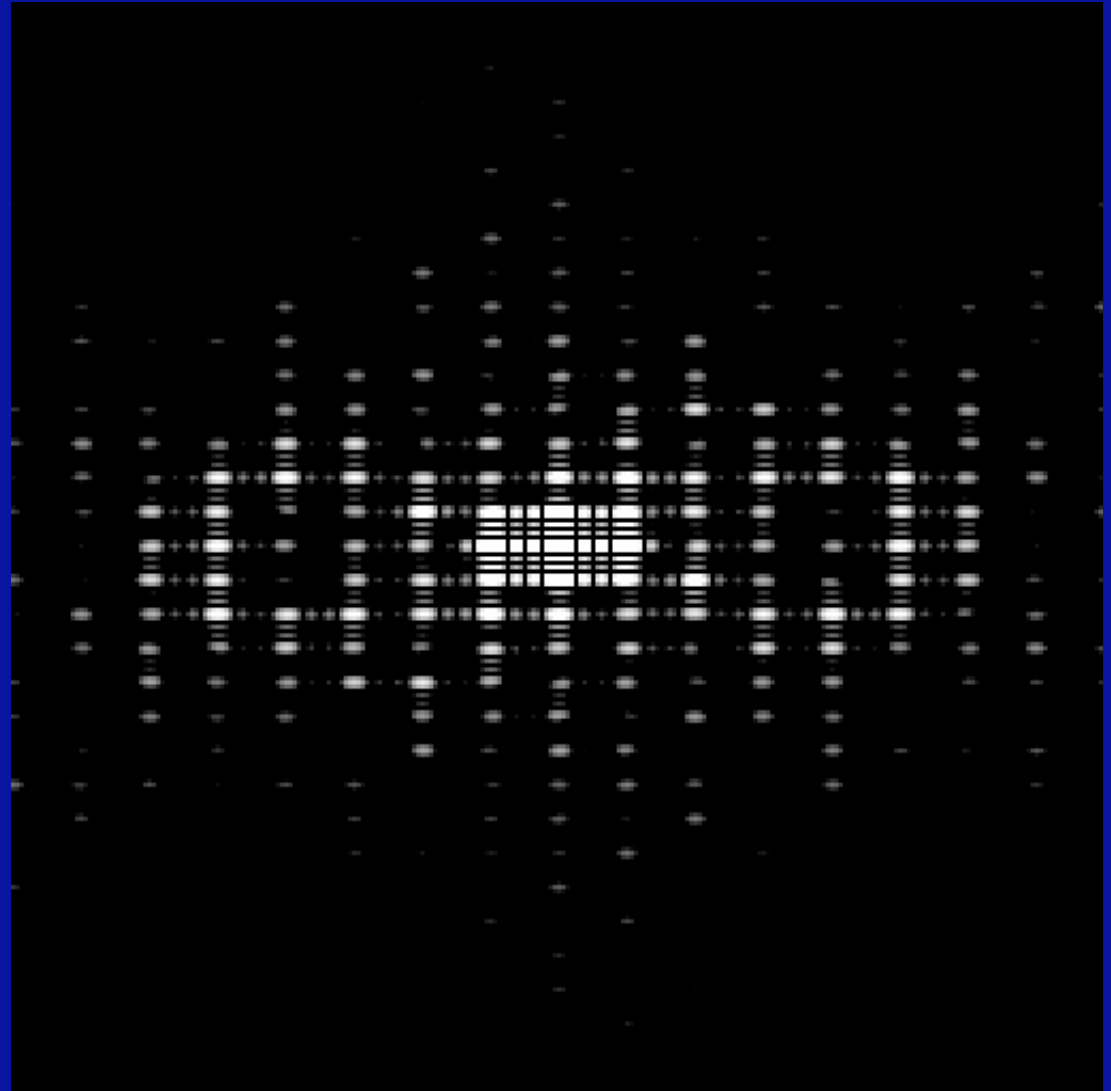
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

2 x 2 crystal



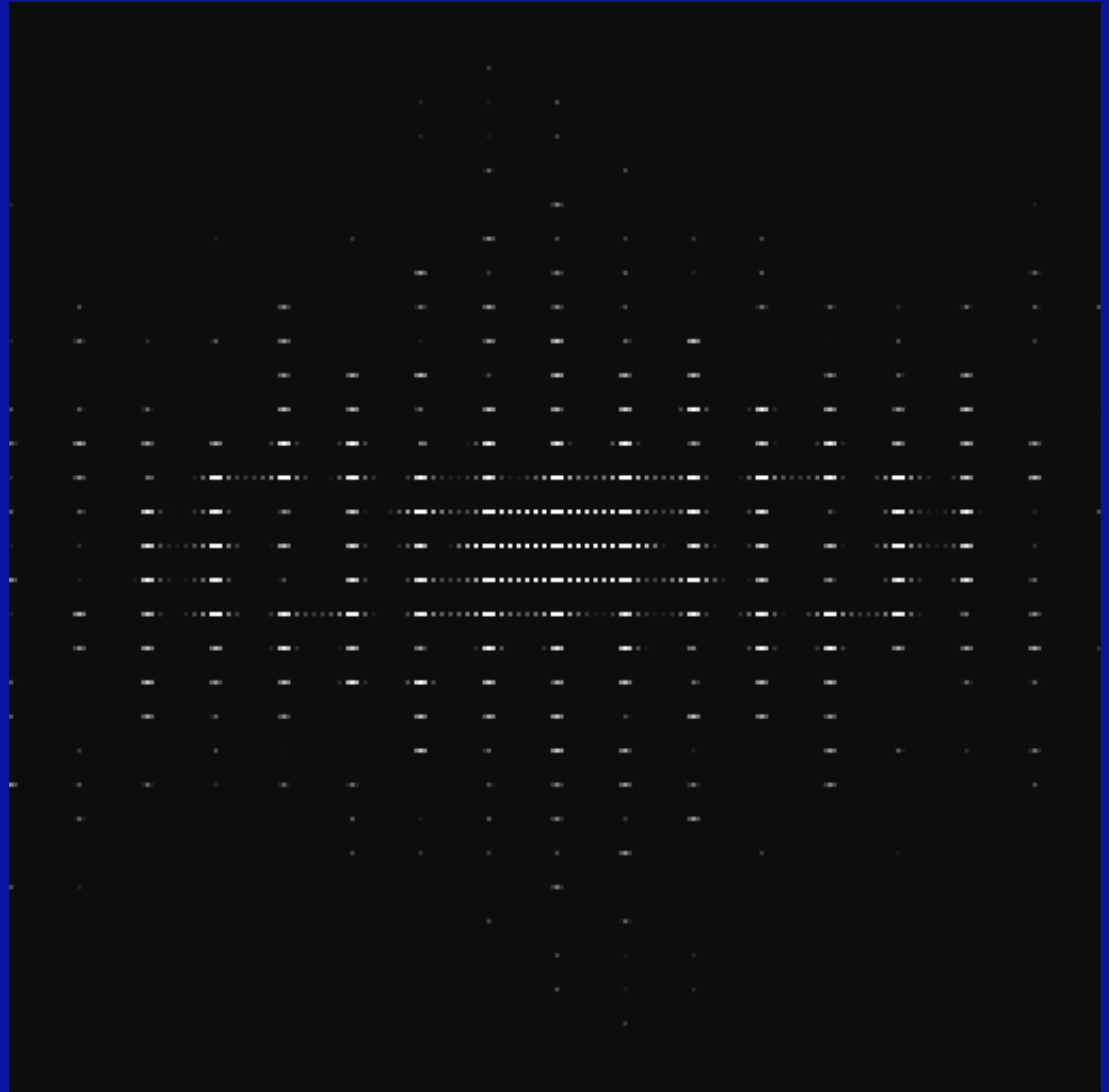
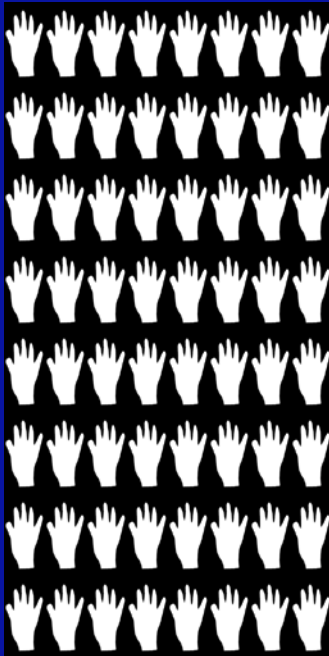
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

4 x 4 crystal



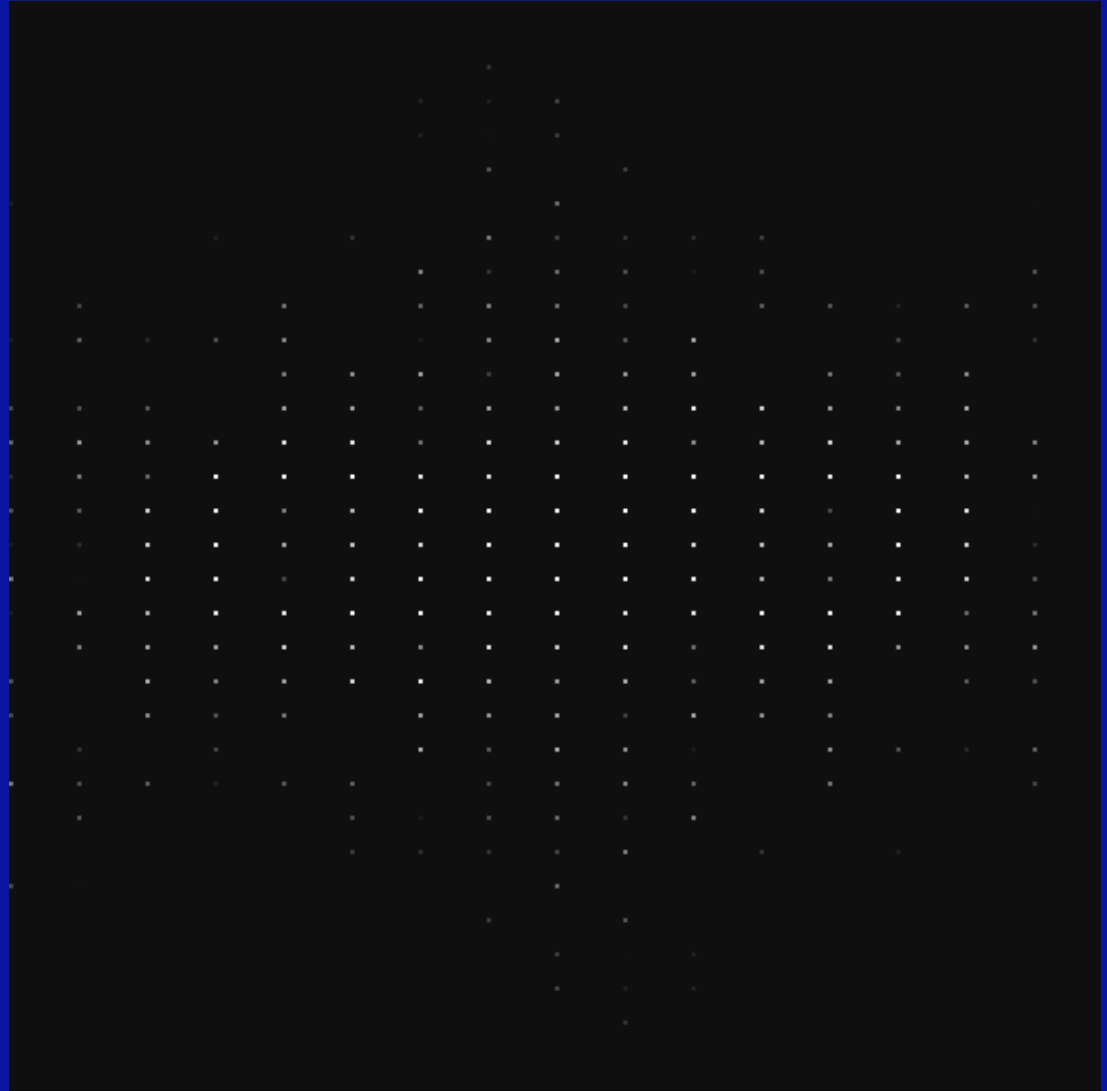
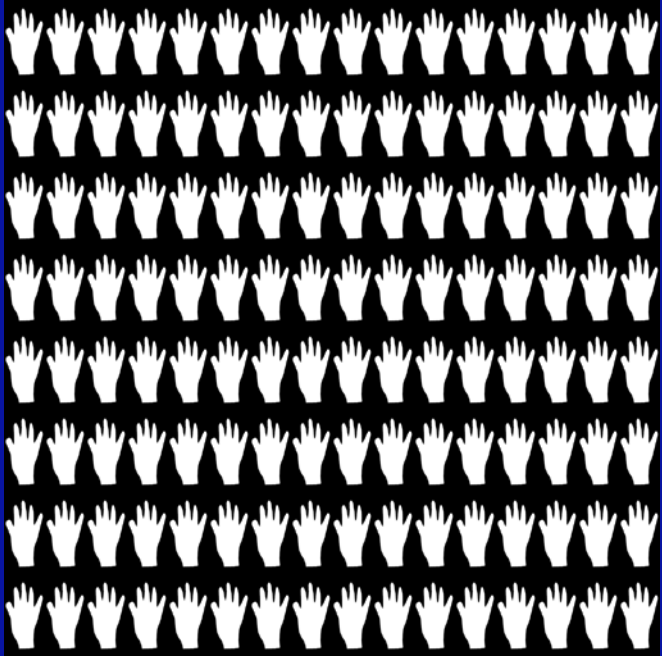
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

8 x 8 crystal



# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

16 x 8 crystal



## III.C.6 Diffraction

### III.C.6.g Convolution and Multiplication

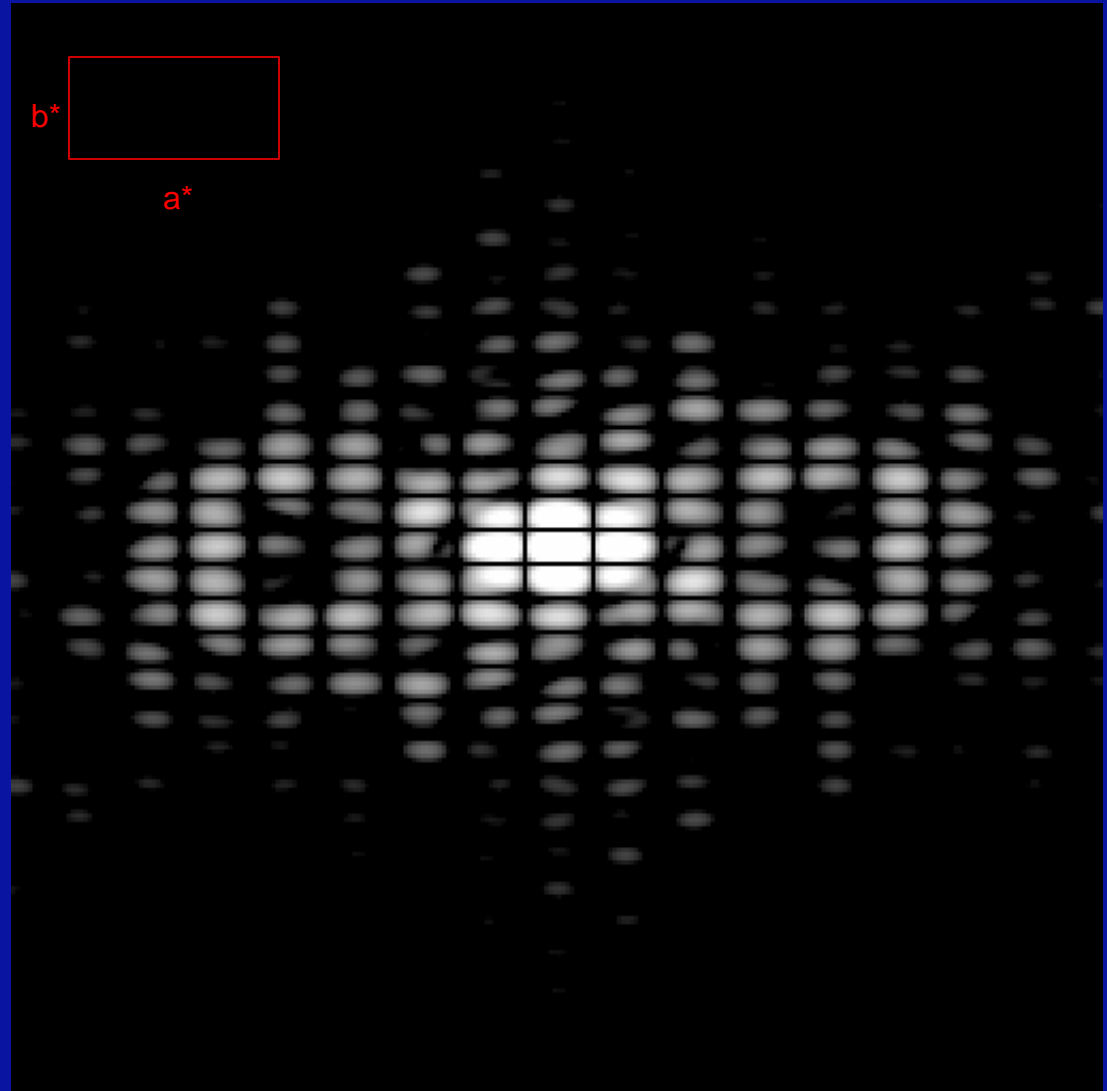
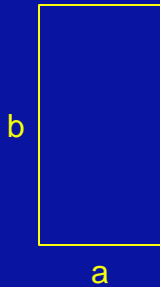
**1-D** lattices give rise to transforms sampled in only one direction

**2-D** lattices produce sampling on a 2-D grid or reciprocal lattice

**Example 2:** Non-orthogonal 2-D lattice

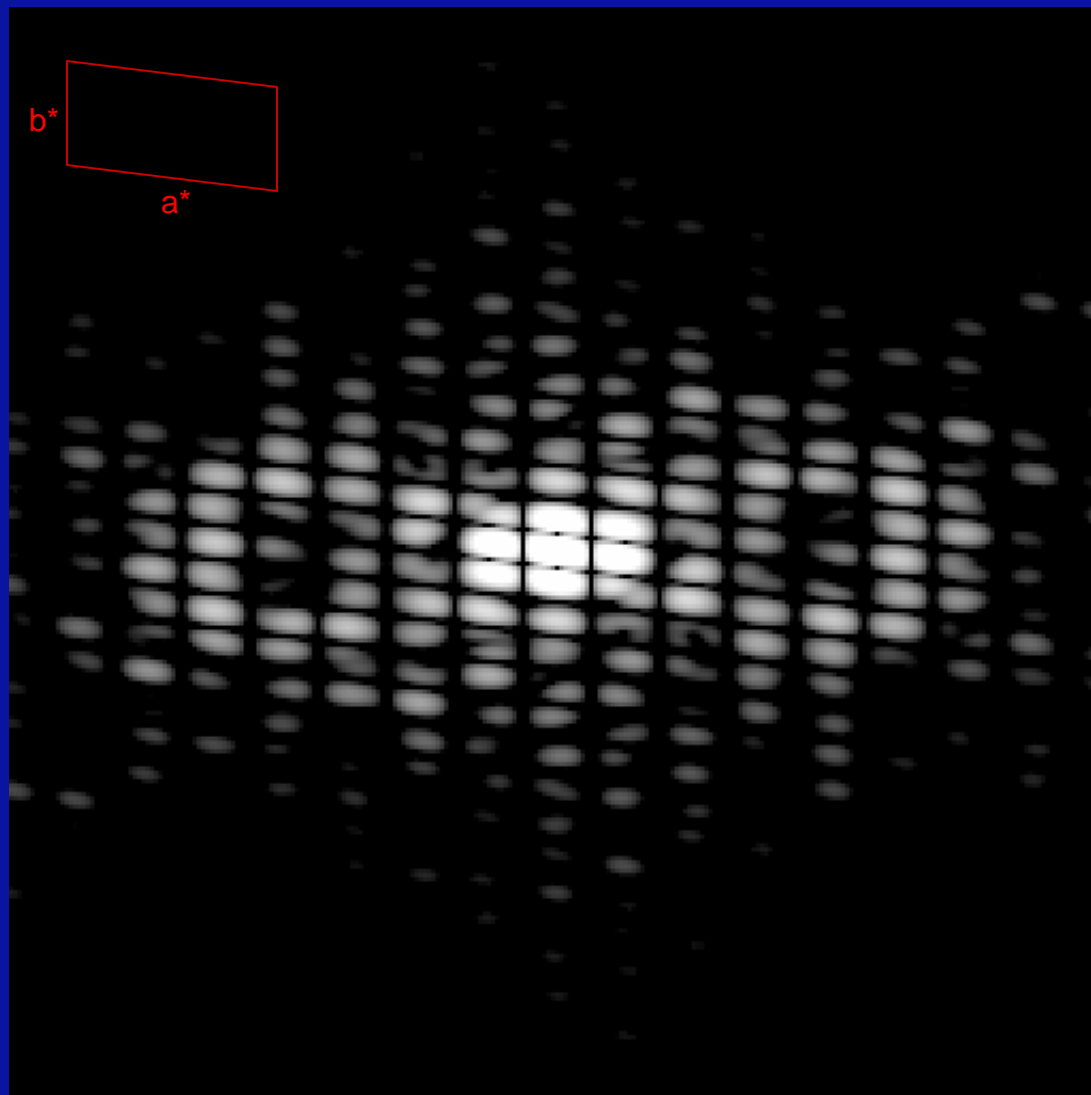
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

2 x 2 crystal



# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

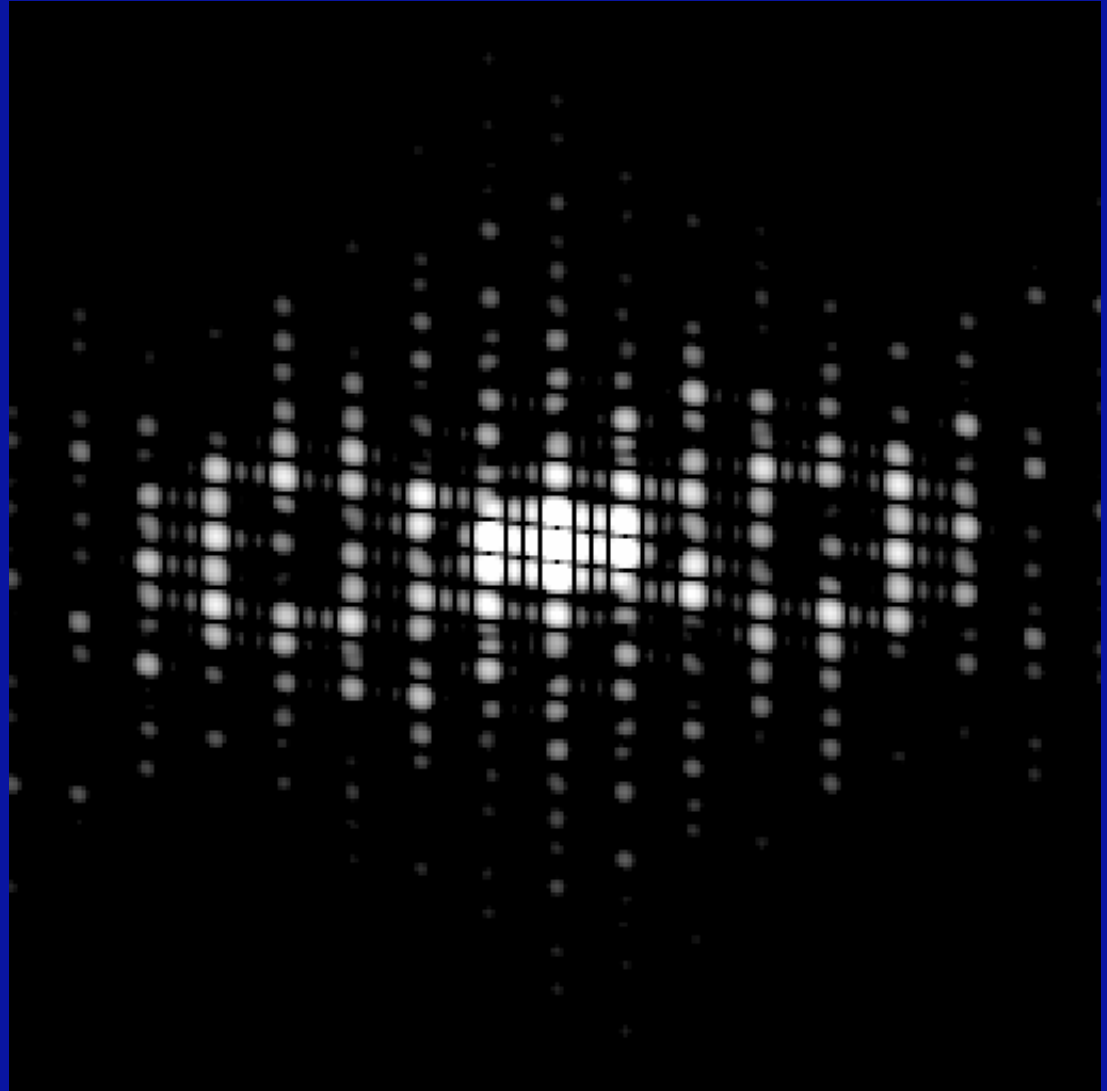
2 x 2 crystal





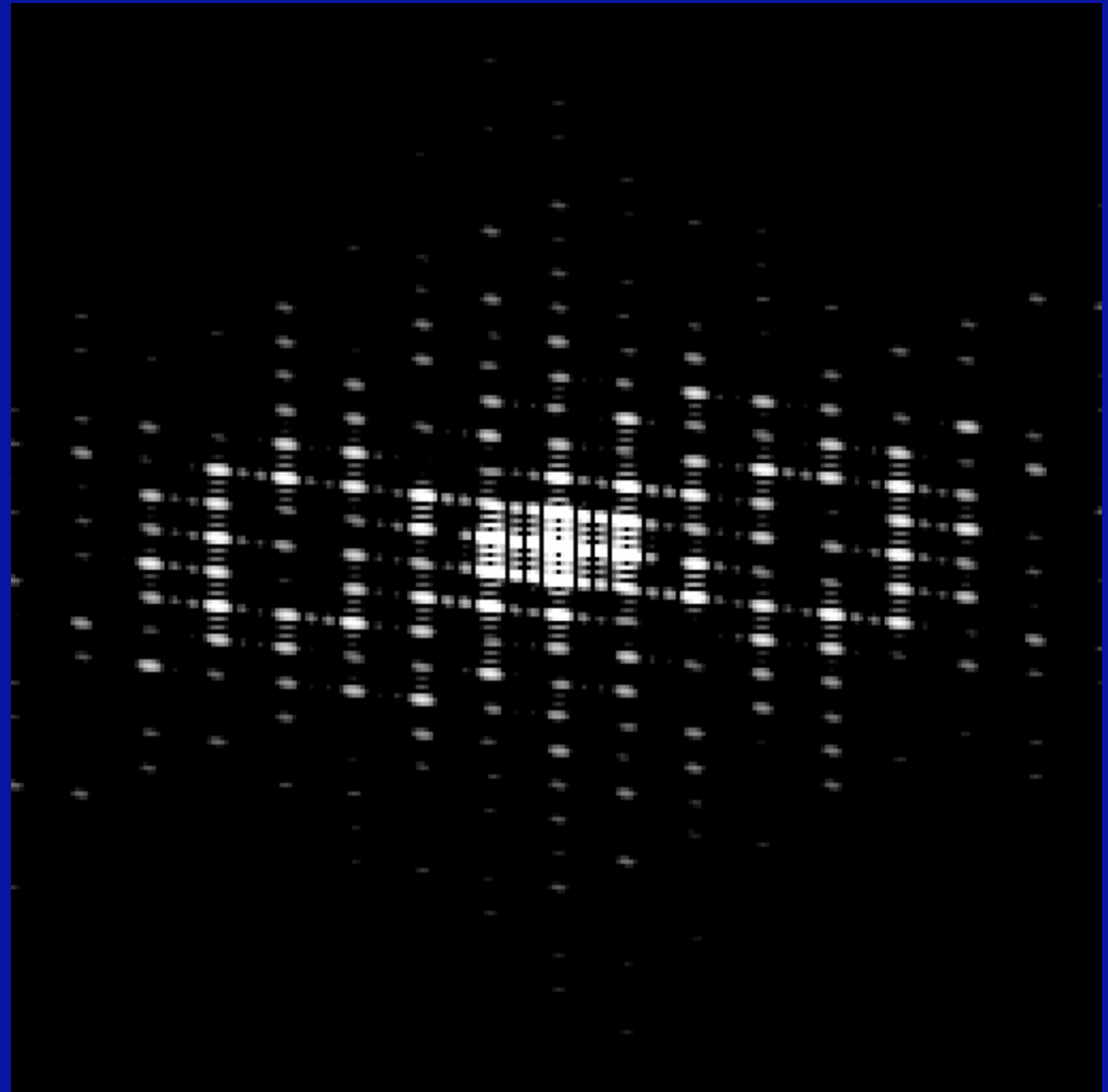
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

4 x 2 crystal



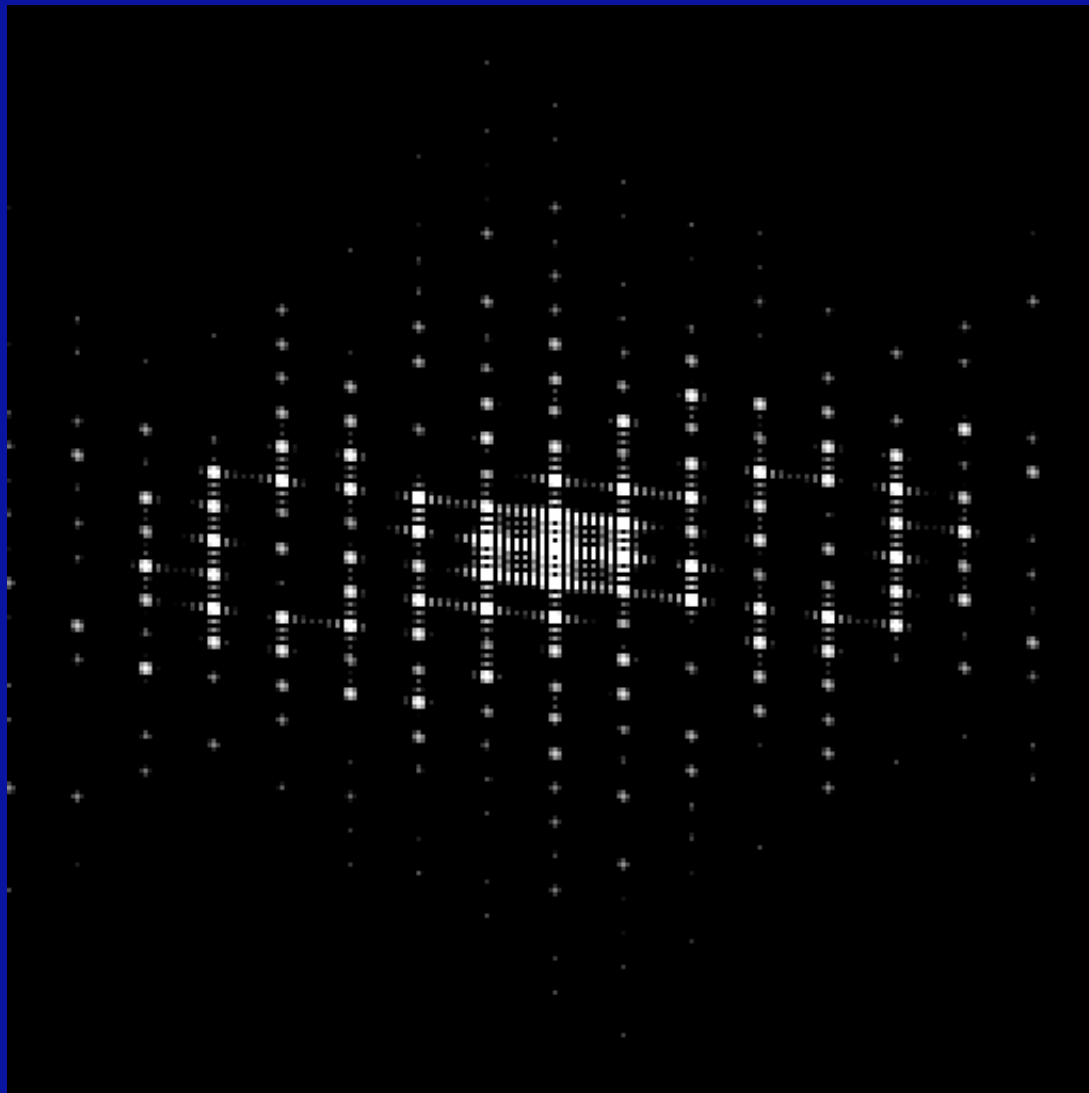
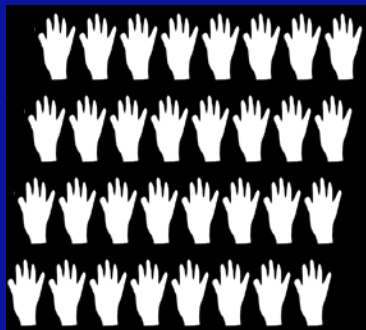
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

4 x 4 crystal



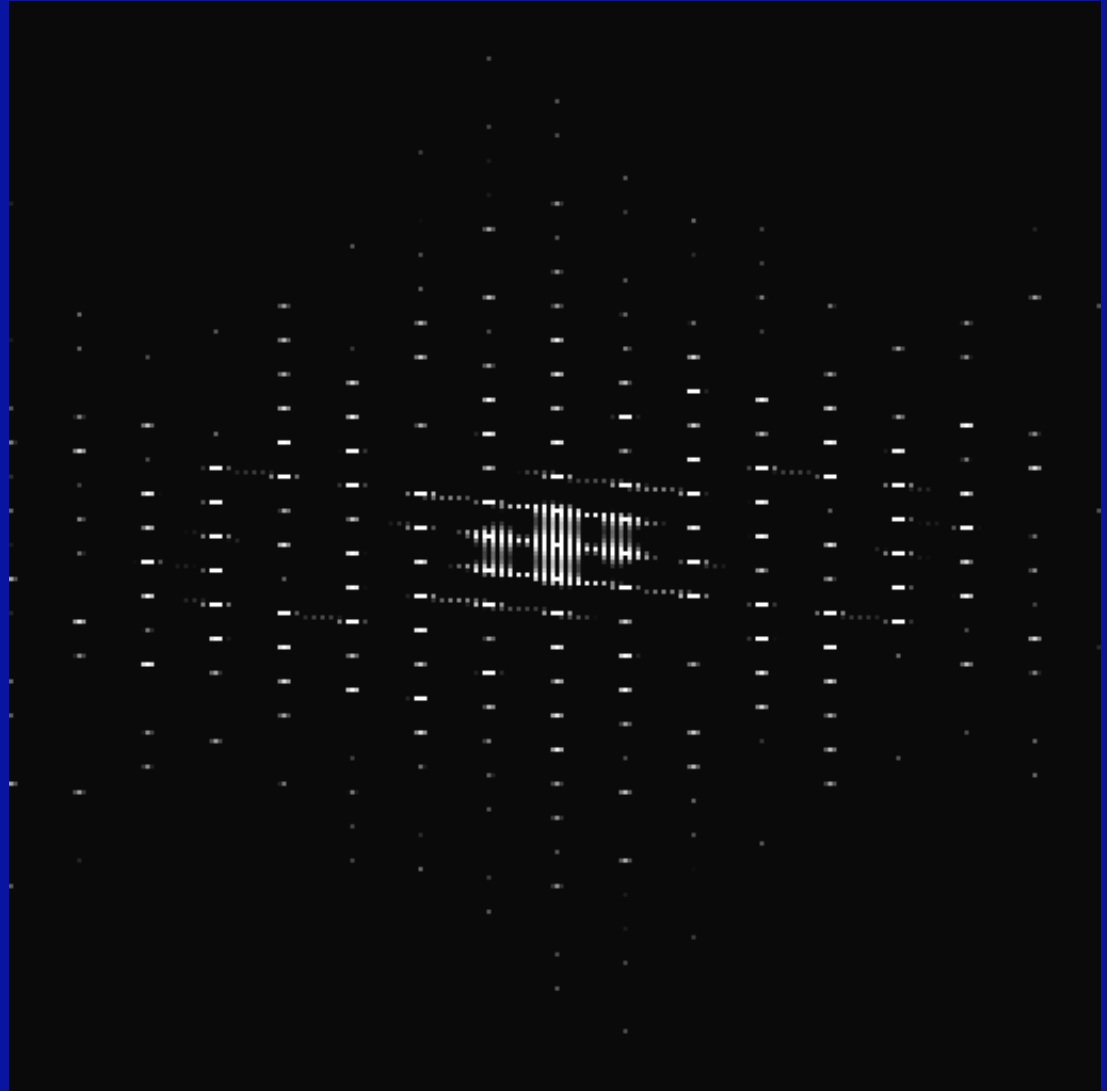
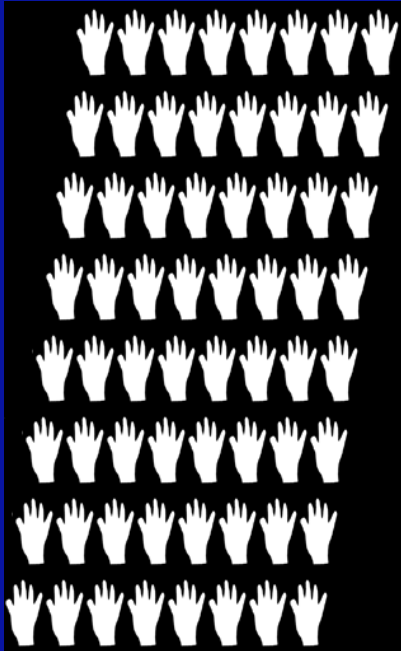
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

8 x 4 crystal



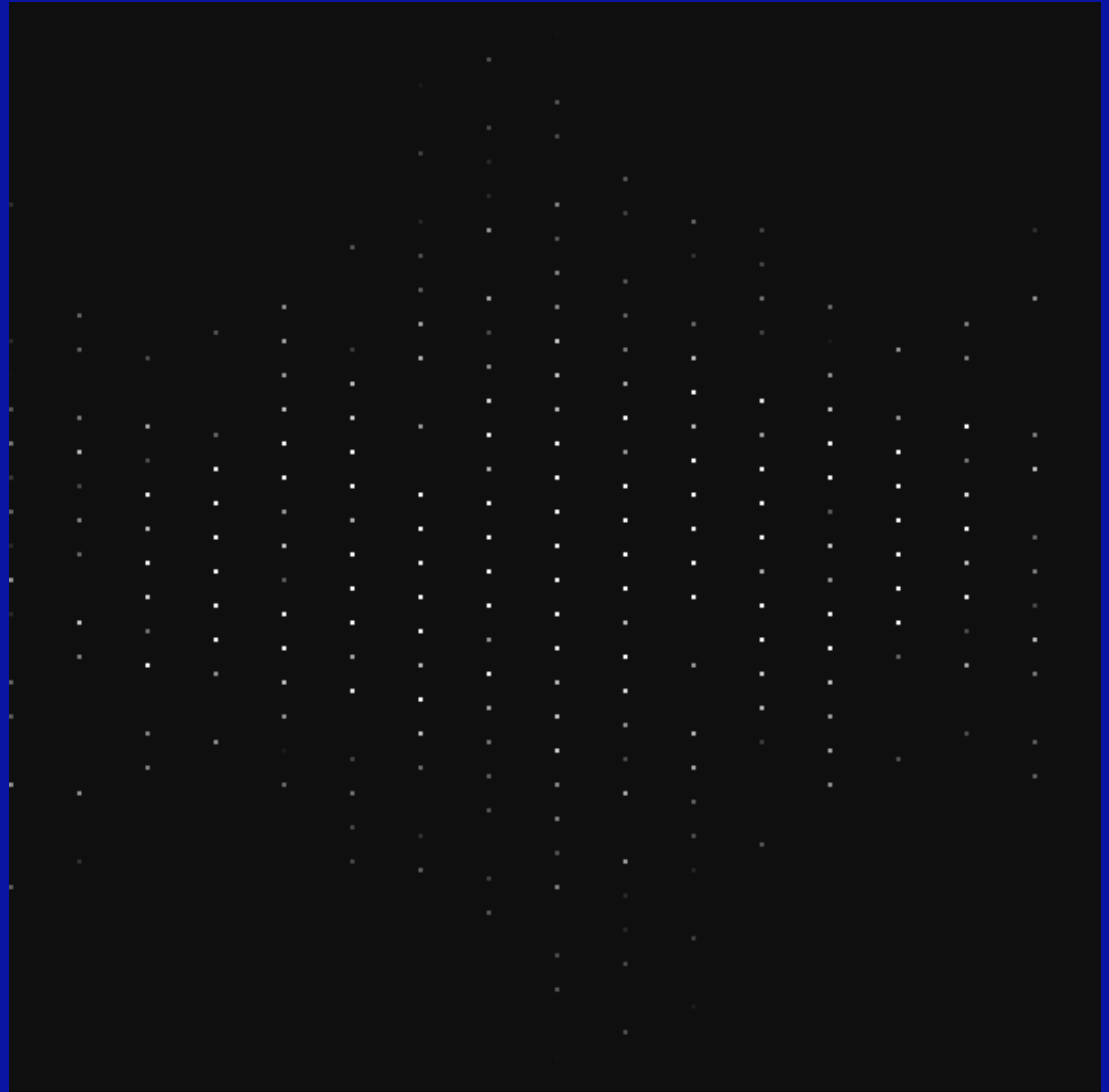
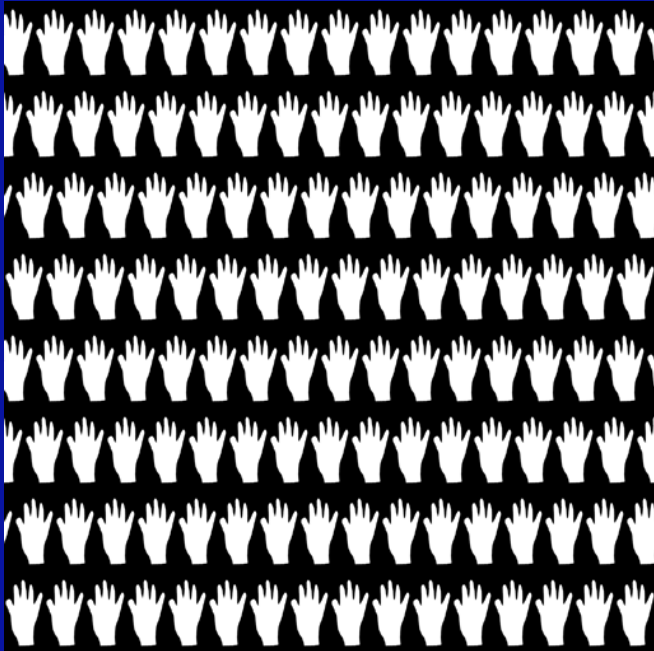
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

8 x 8 crystal



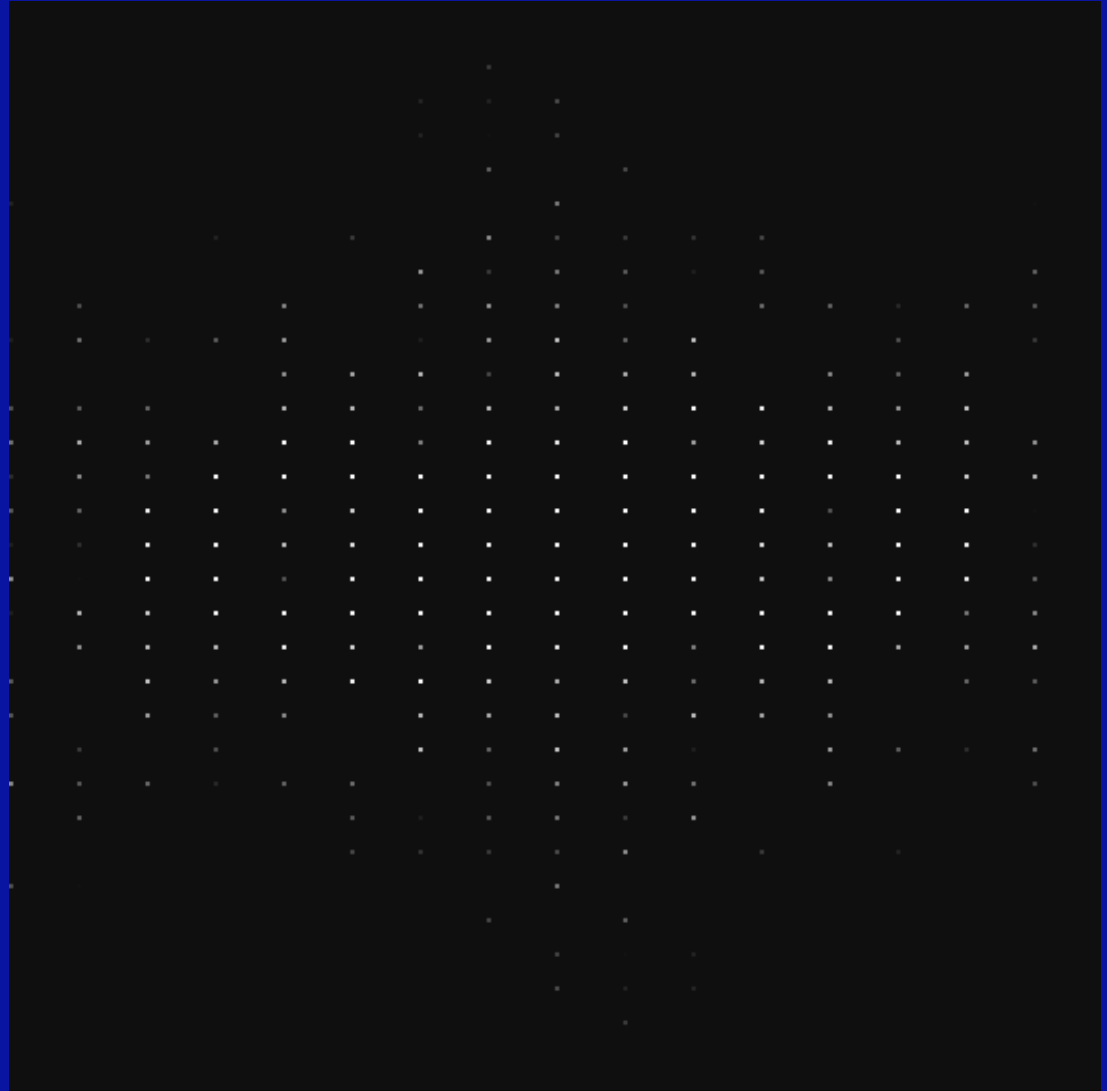
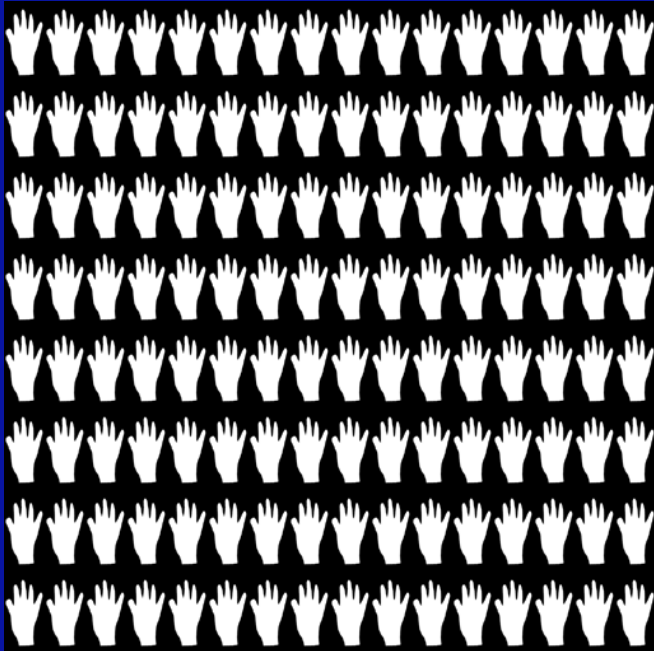
# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

16 x 8 crystal



# Effect of 2-D Crystal Lattice on Transform (Transform Sampling)

16 x 8 crystal



## III.C.6 Diffraction

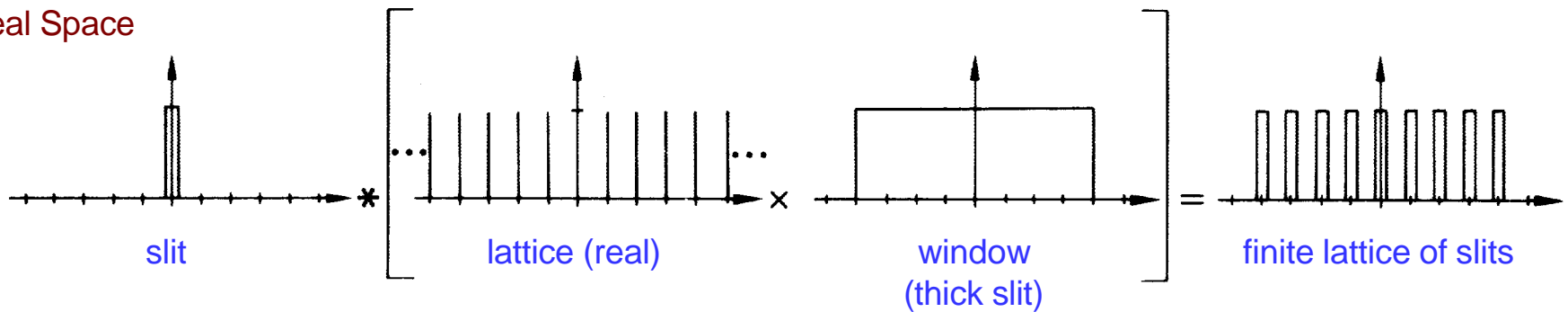
### III.C.6.g Convolution and Multiplication

If the phase and amplitude (structure factor) at each point  $hk$  in the 2-D reciprocal lattice can be obtained, the **crystal and motif structures** can be solved by **mathematical** Fourier synthesis (inverse Fourier transformation)

# Diffraction Pattern of N Wide Slits

(Fourier Transform and Convolution Relationships)

Real Space

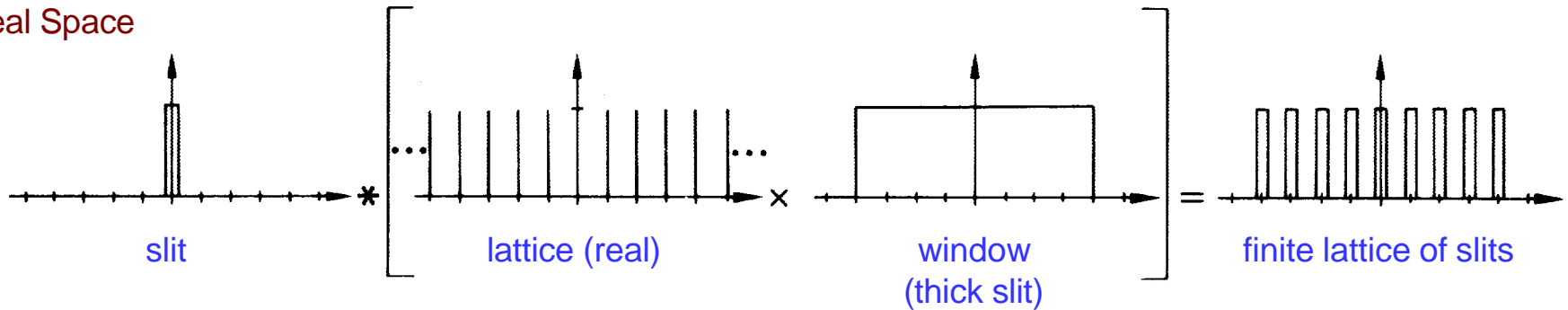




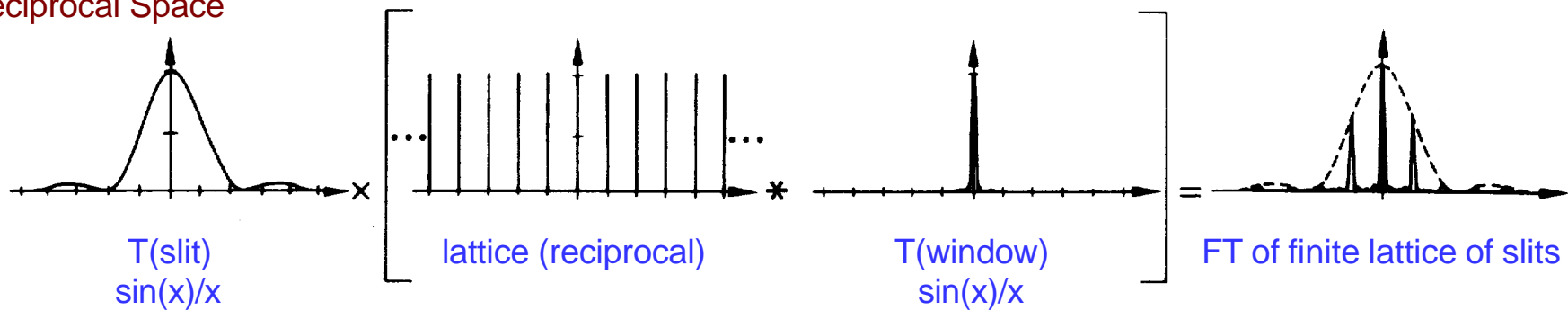
# Diffraction Pattern of N Wide Slits

(Fourier Transform and Convolution Relationships)

Real Space



Reciprocal Space



# III.C CRYSTALS, SYMMETRY AND DIFFRACTION

## III.C.6 Diffraction

### KEY CONCEPTS:

- Fourier transform
- Fourier Synthesis and Analysis
- Image formation is a double diffraction process
- Bragg's Law
- Structure factor and Argand diagram
- Convolution and multiplication

## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

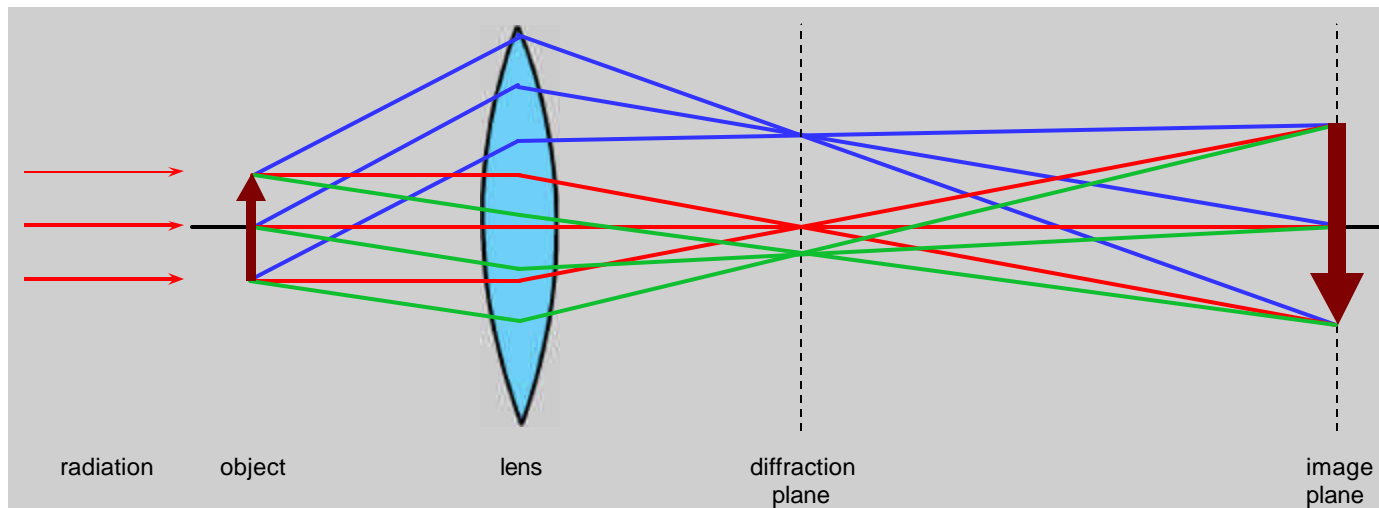
- 1) Analogy between OD and "Mathematical" FTs
- 2) Asymmetric / Symmetric Objects / Transforms
- 3) Reciprocity
- 4) Resolution
- 5) Sharpness of Diffraction Spots
- 6) Geometry, Intensity and Symmetry
- 7) Projection Theorem
- 8) Friedel's Law

## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### **Analogy between OD and "Mathematical" FTs**

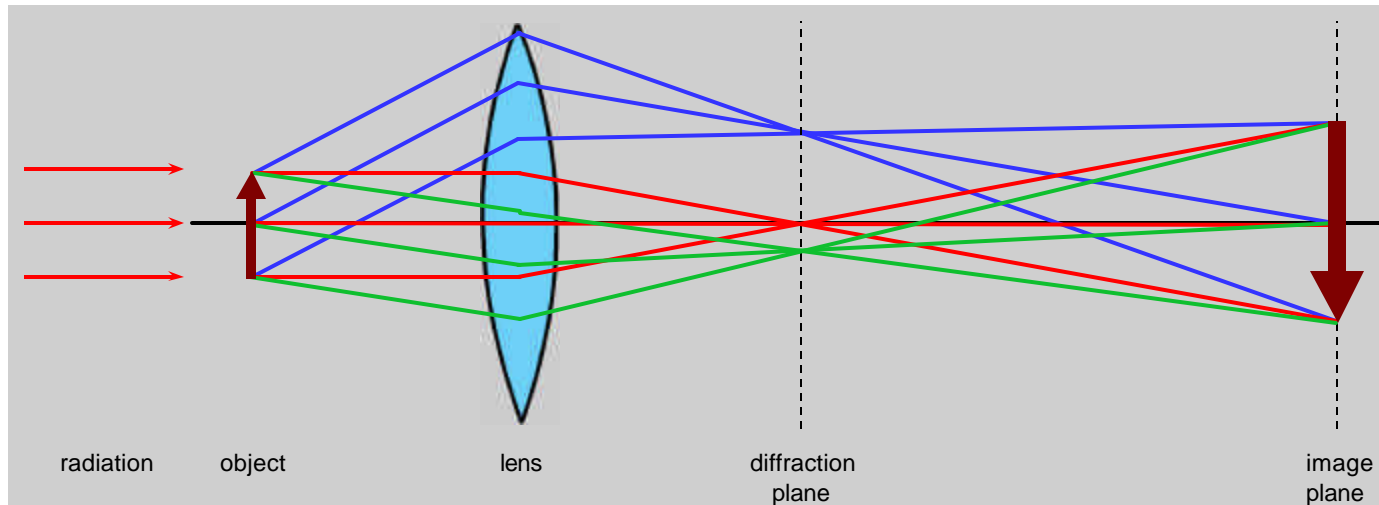
Optical bench is an excellent device for demonstrating properties of Fourier transforms and diffraction patterns



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Analogy between OD and "Mathematical" FTs



#### Optical Diffraction:

- Incident radiation is laser beam
- Diffraction grating (object) is transparency (e.g. EM micrograph) or mask

## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### **Asymmetric vs. Symmetric Objects and Their Transforms**

Simple, symmetric structures  $\Rightarrow$  simple, symmetric transforms

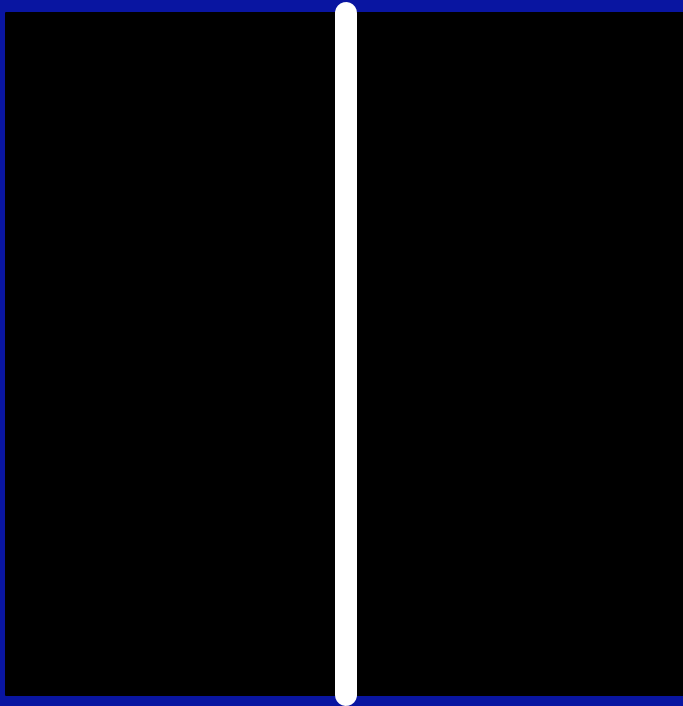
Asymmetric structures  $\Rightarrow$  complex transforms

Transforms are like **fingerprints**:

- Specific object features often give rise to **characteristic features** in the transform

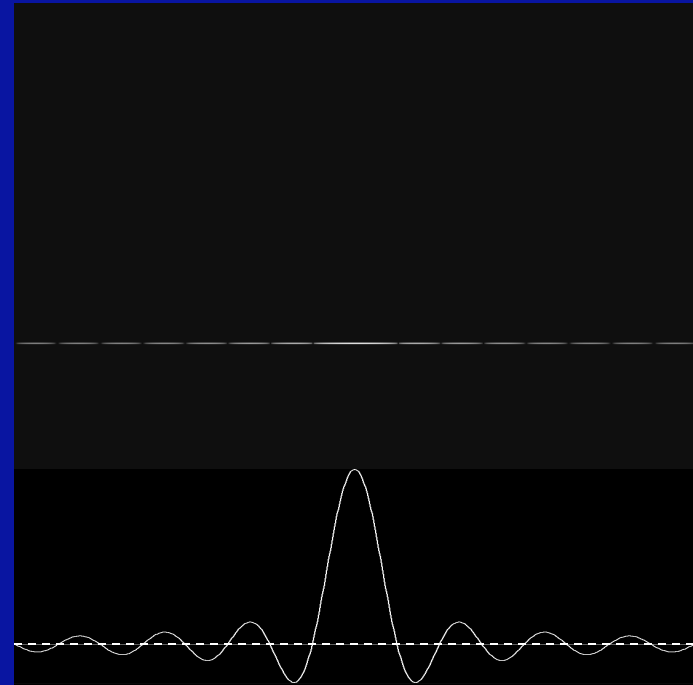
# Simple Objects and Their Transforms

Single Slit



FT

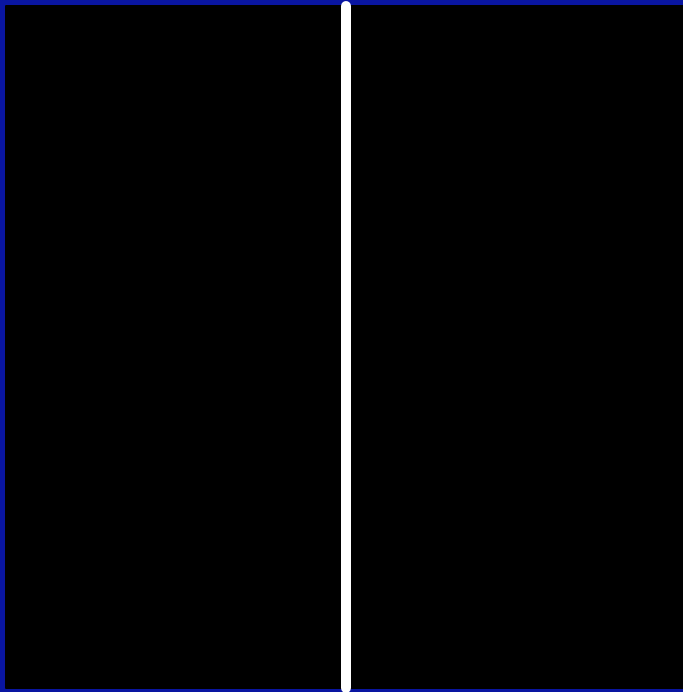
Slit Transform



$$\frac{\sin(x)}{x}$$

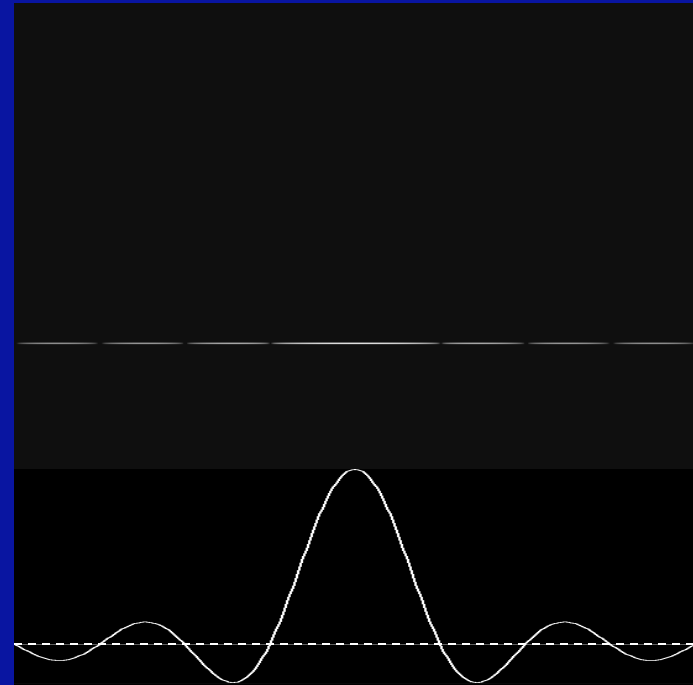
# Simple Objects and Their Transforms

Single Slit



FT

Slit Transform

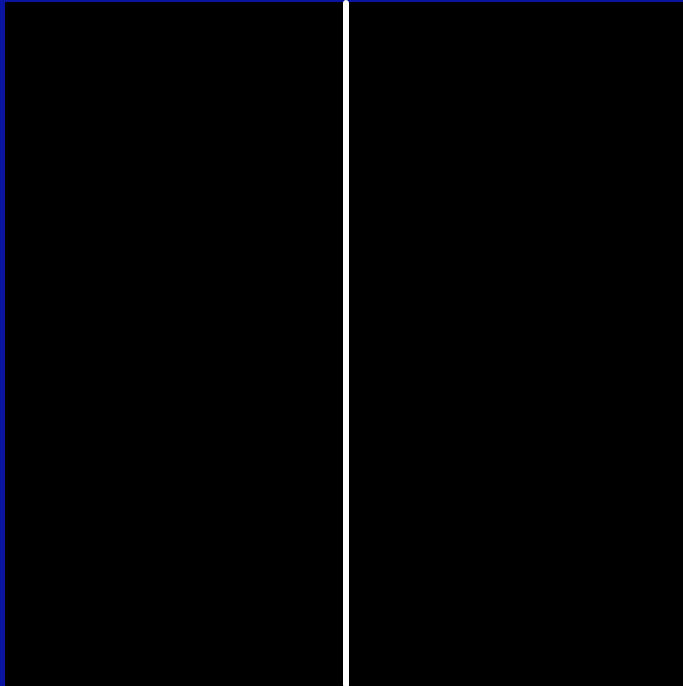


$$\frac{\sin(x)}{x}$$



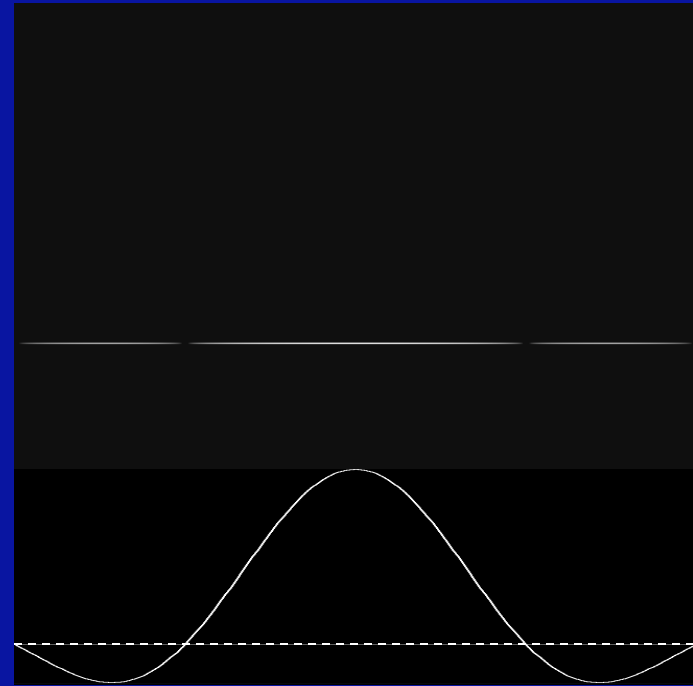
# Simple Objects and Their Transforms

Single Slit



FT

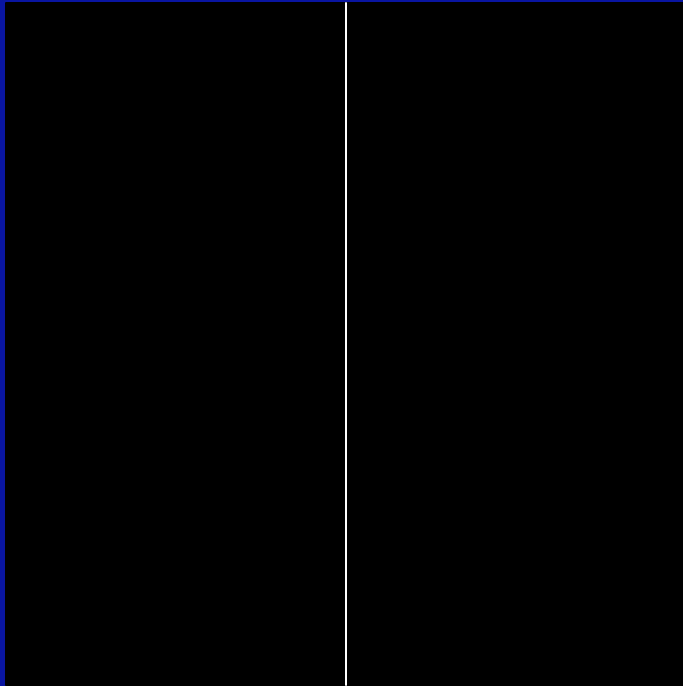
Slit Transform



$$\frac{\sin(x)}{x}$$

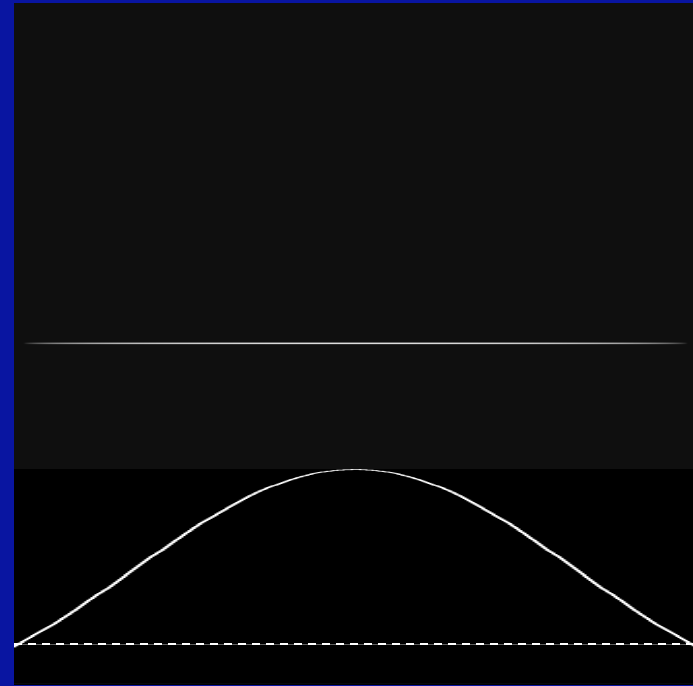
# Simple Objects and Their Transforms

Single Slit



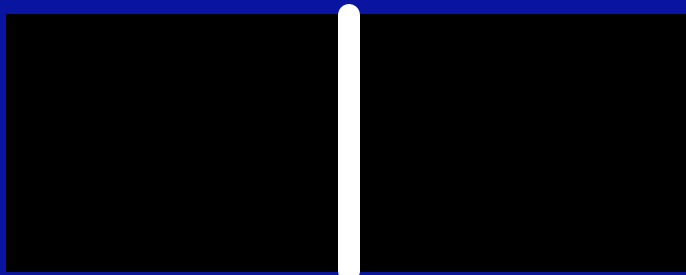
FT

Slit Transform

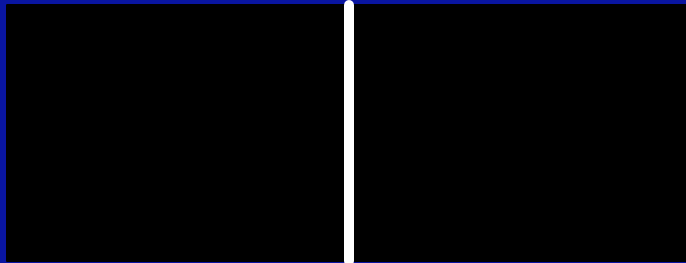
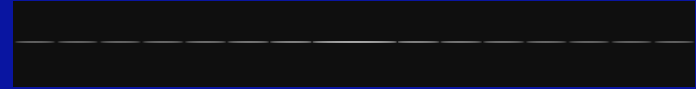


$$\frac{\sin(x)}{x}$$

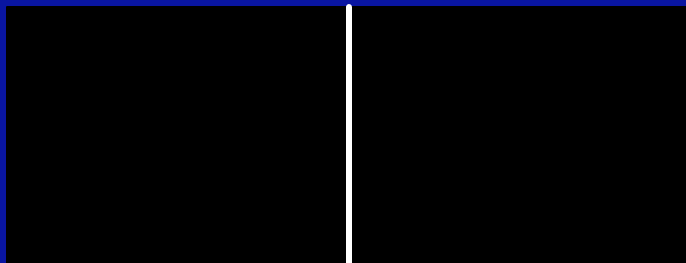
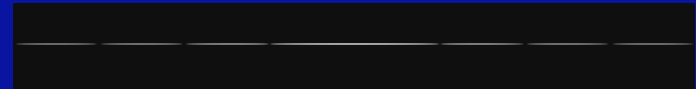
# Simple Objects and Their Transforms



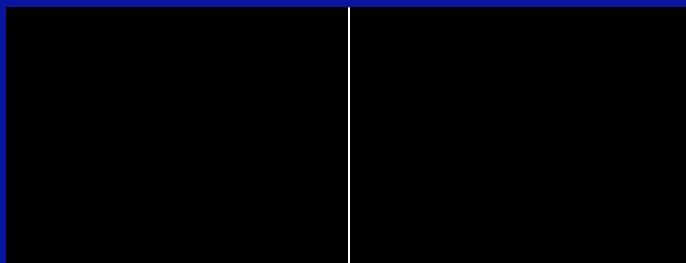
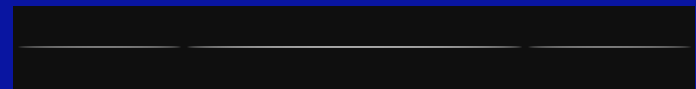
16



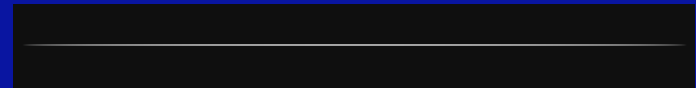
8



4

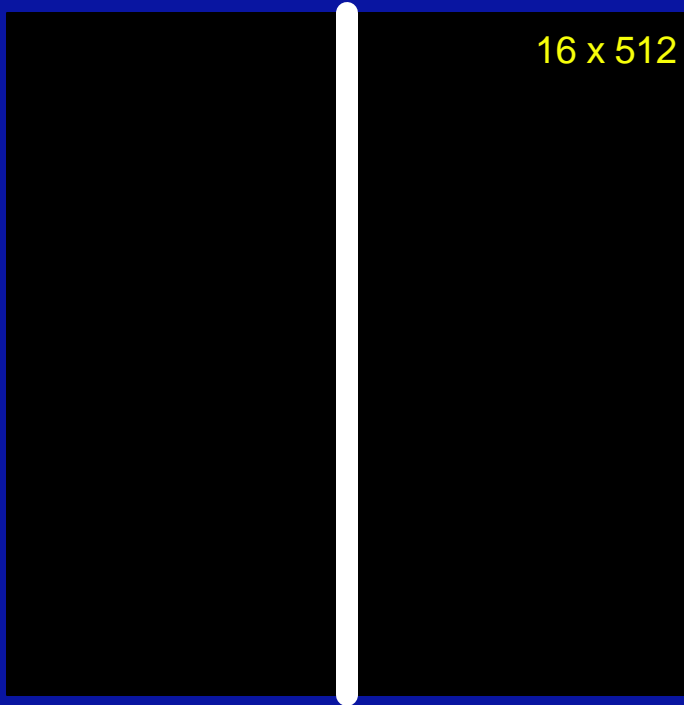


2



# Simple Objects and Their Transforms

Rectangle



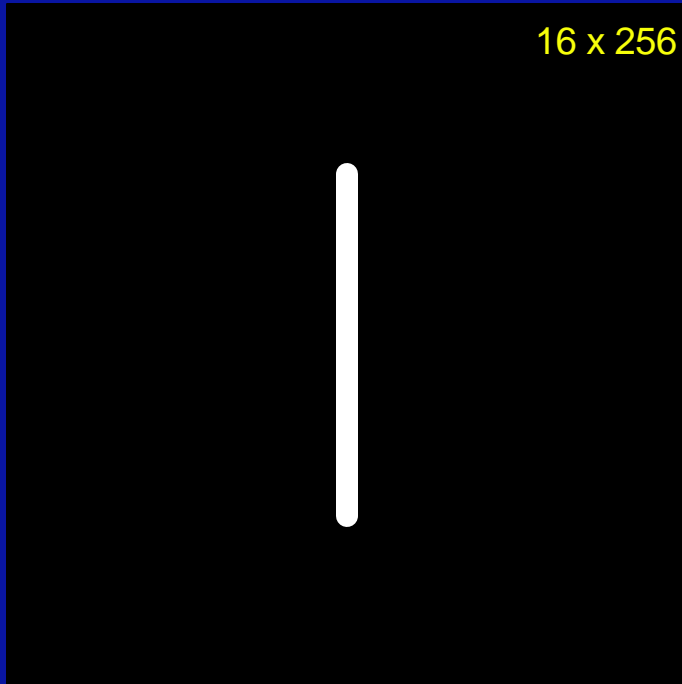
FT

Rectangle Transform



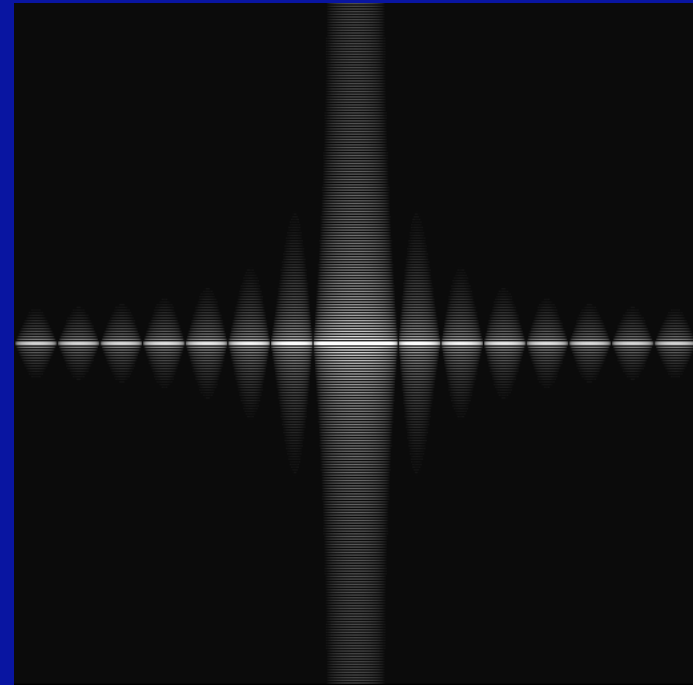
# Simple Objects and Their Transforms

Rectangle



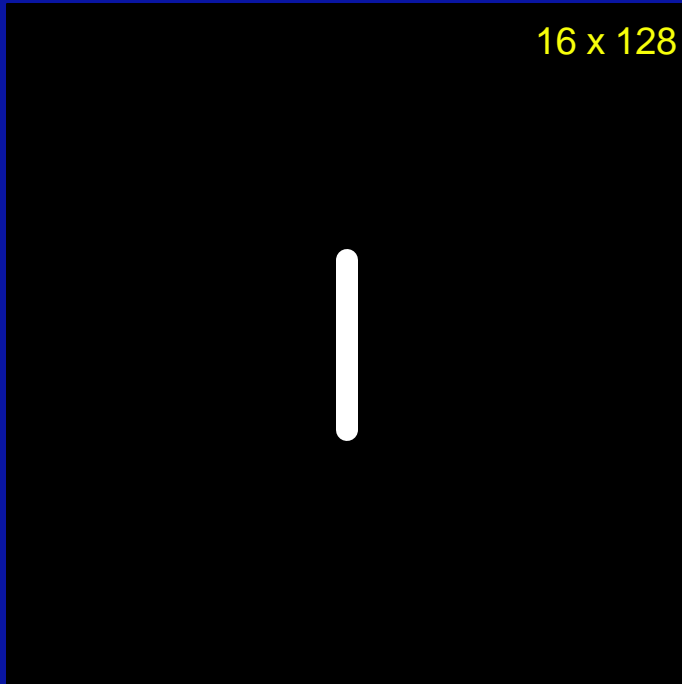
FT

Rectangle Transform



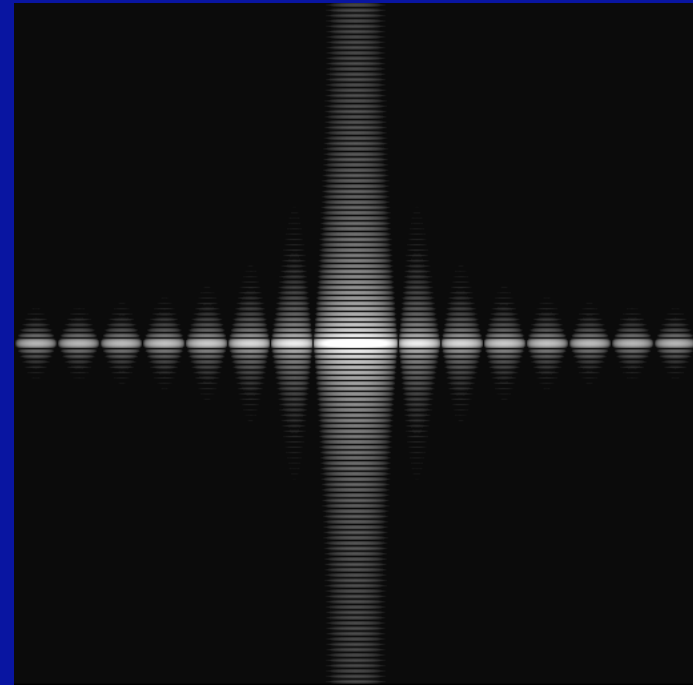
# Simple Objects and Their Transforms

Rectangle



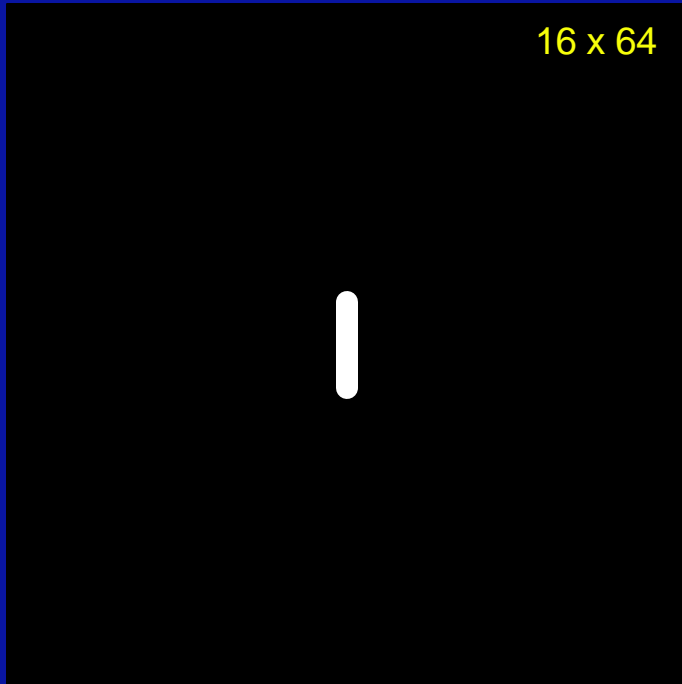
FT

Rectangle Transform



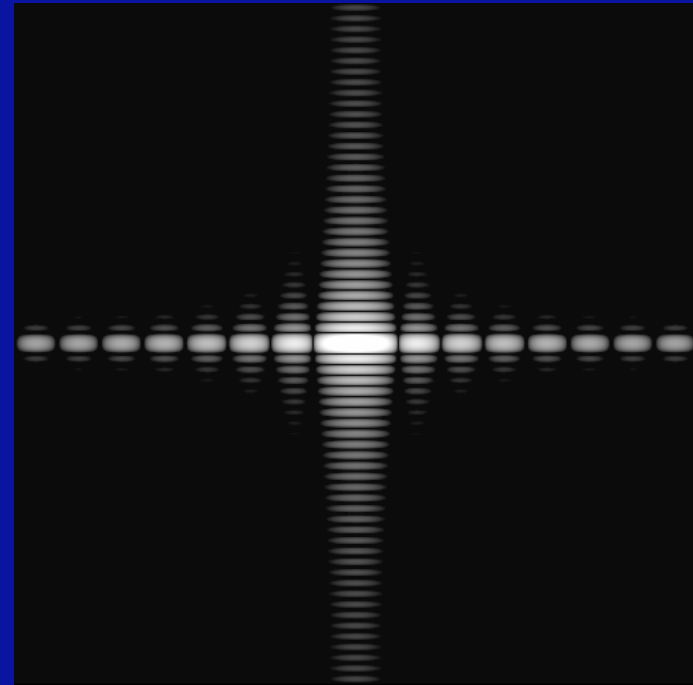
# Simple Objects and Their Transforms

Rectangle



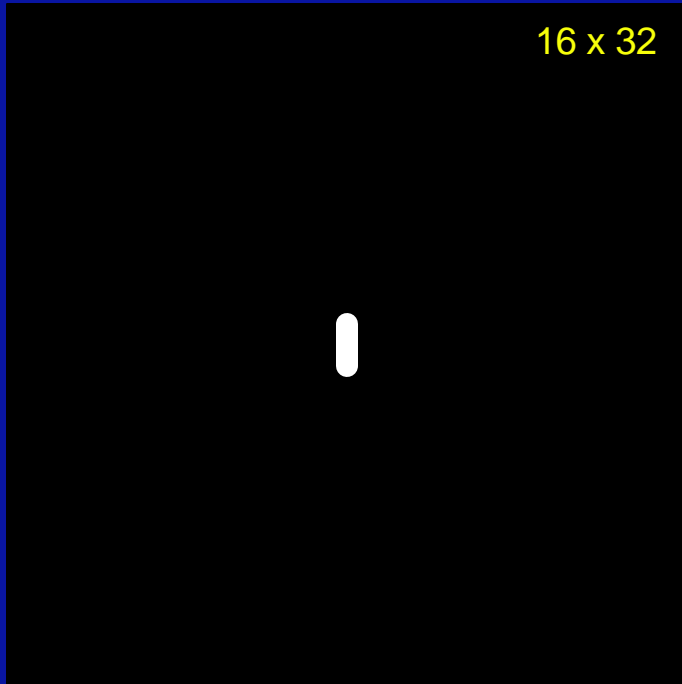
FT

Rectangle Transform



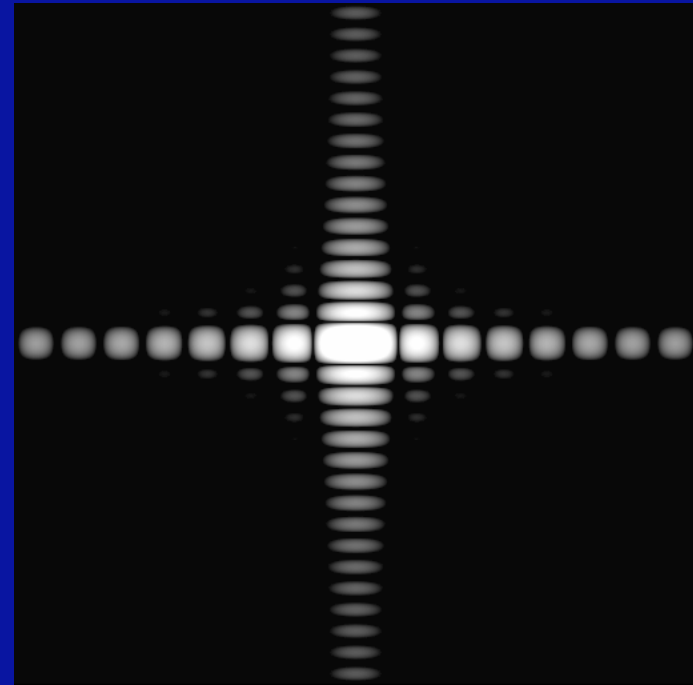
# Simple Objects and Their Transforms

Rectangle



FT

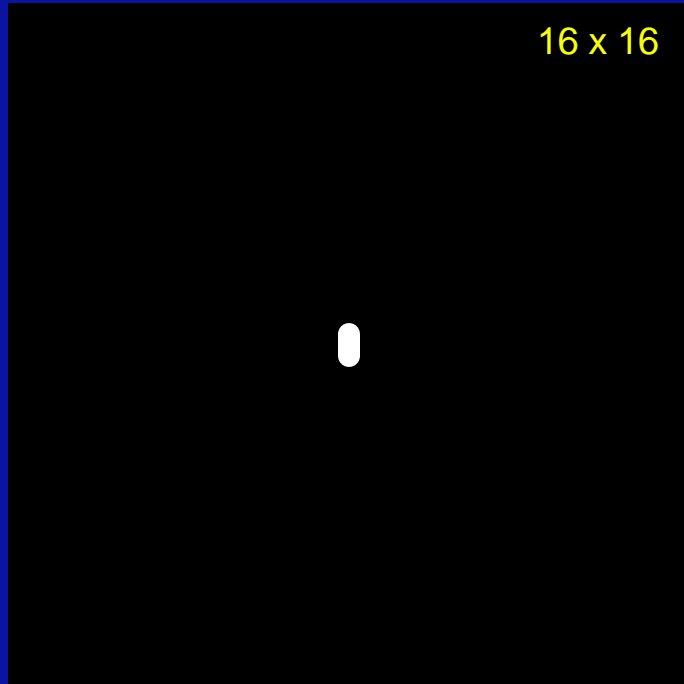
Rectangle Transform



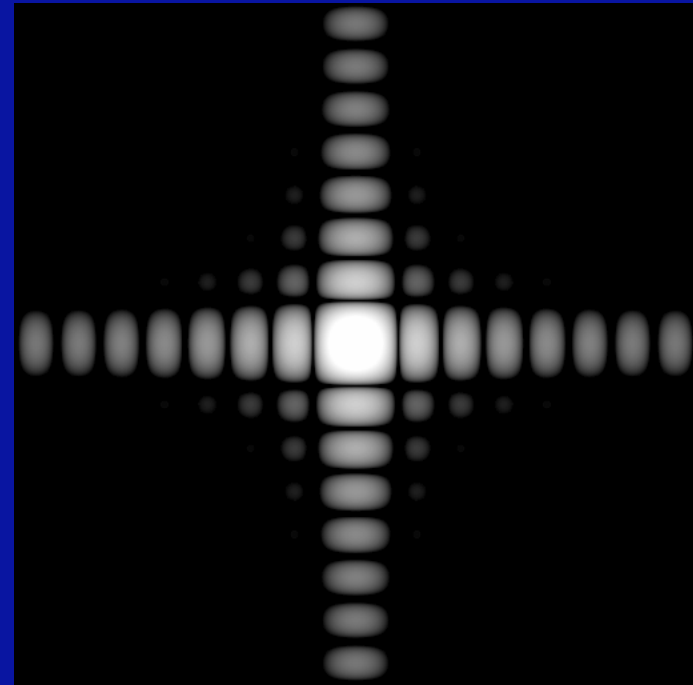


# Simple Objects and Their Transforms

“Rectangle”

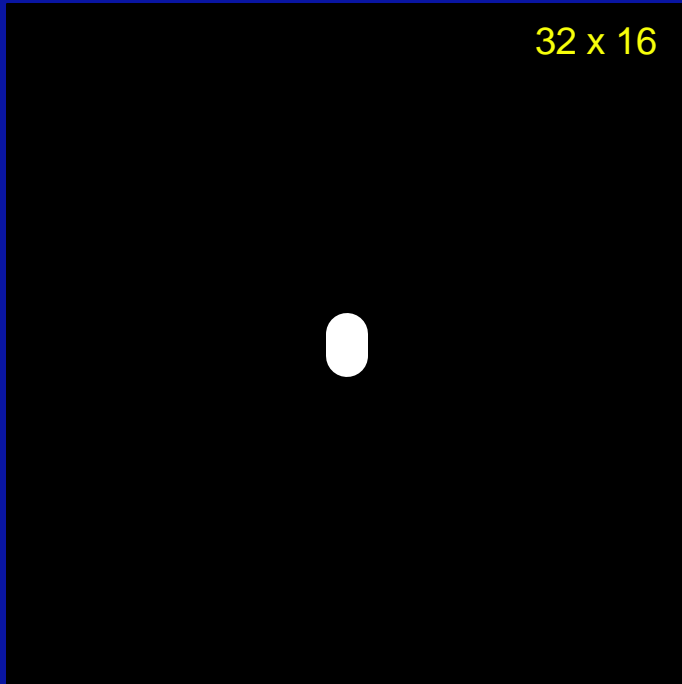


“Rectangle” Transform



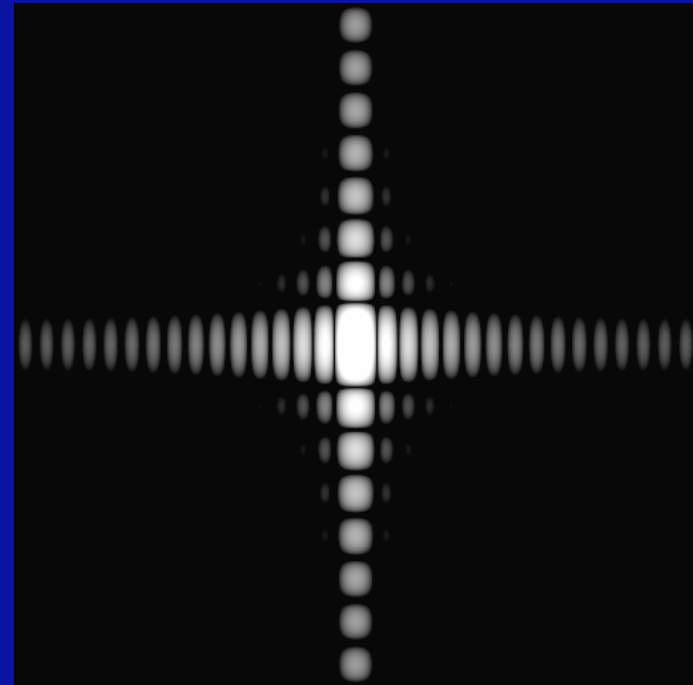
# Simple Objects and Their Transforms

Rectangle



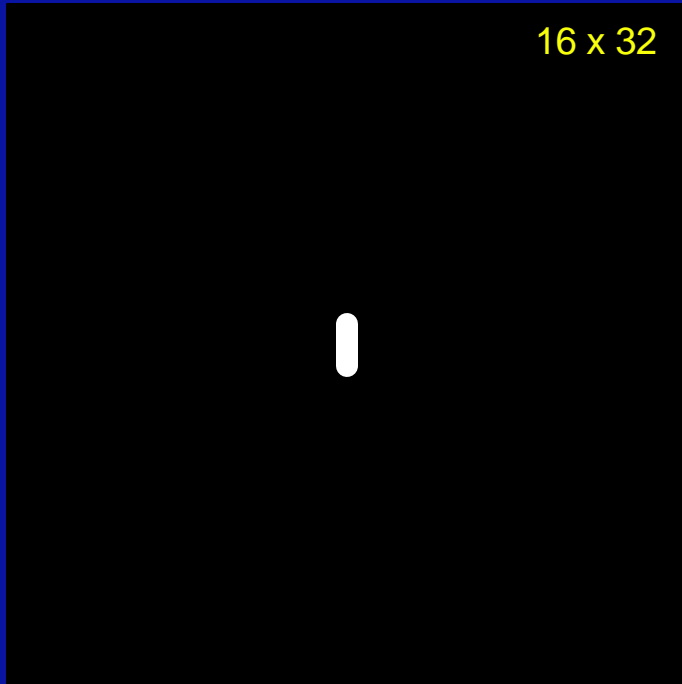
FT

Rectangle Transform



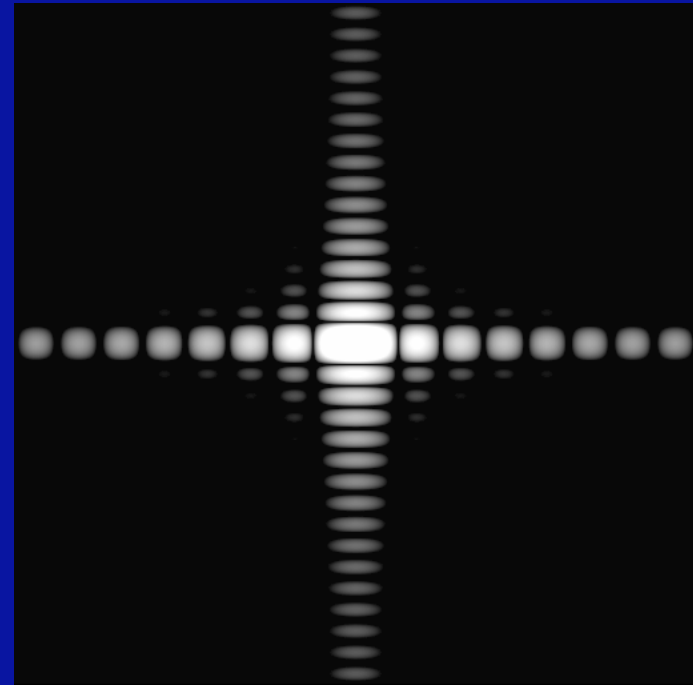
# Simple Objects and Their Transforms

Rectangle



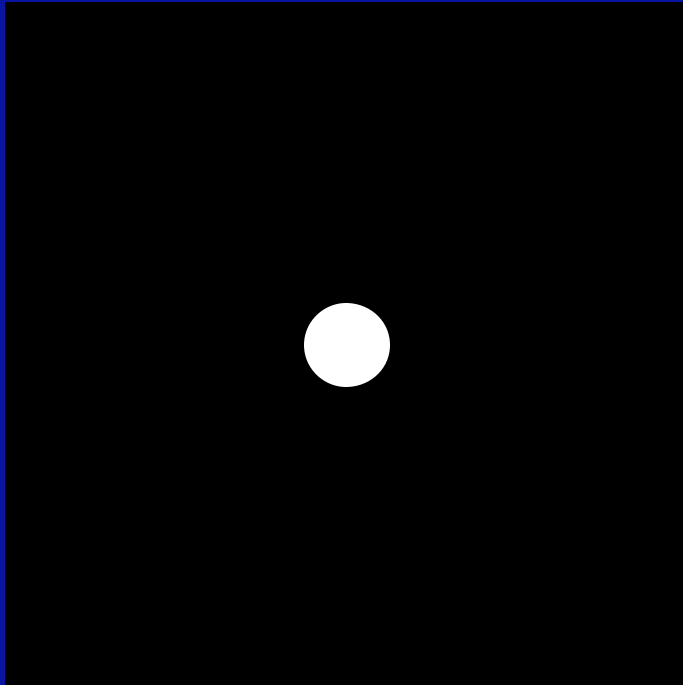
FT

Rectangle Transform



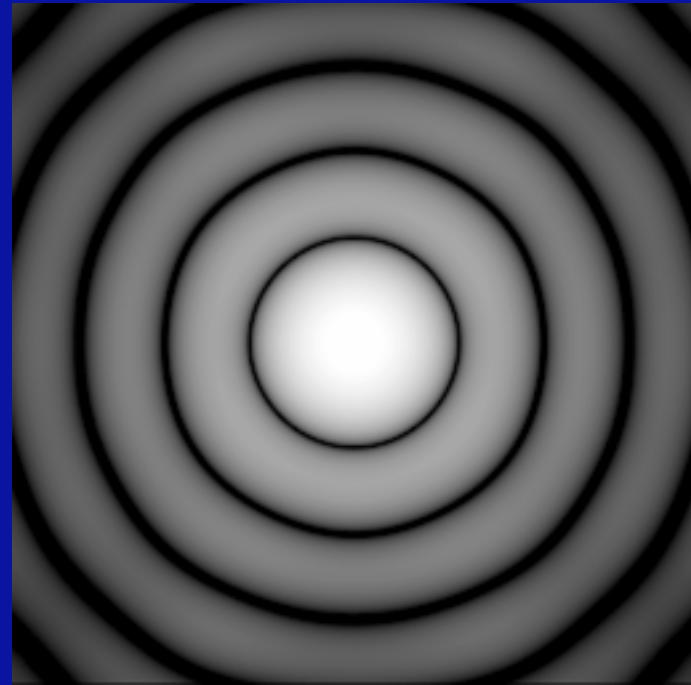
# Simple Objects and Their Transforms

Circle



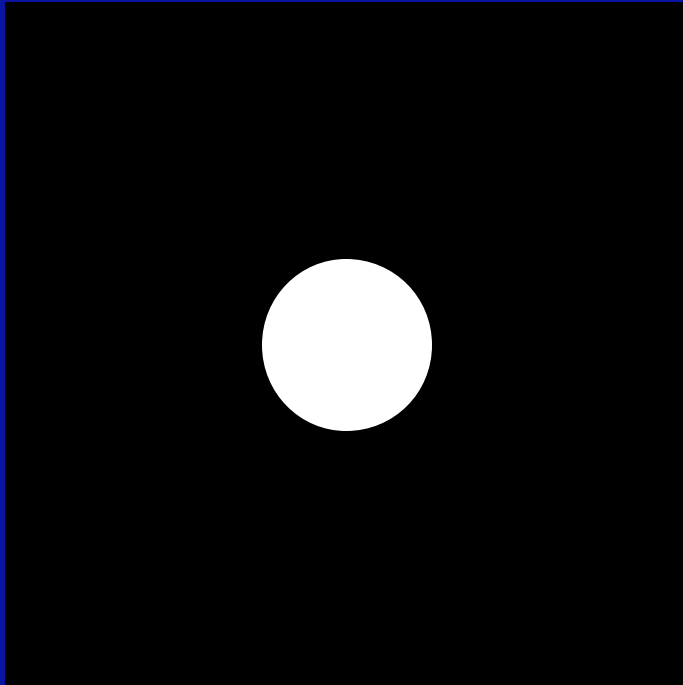
FT

Circle Transform



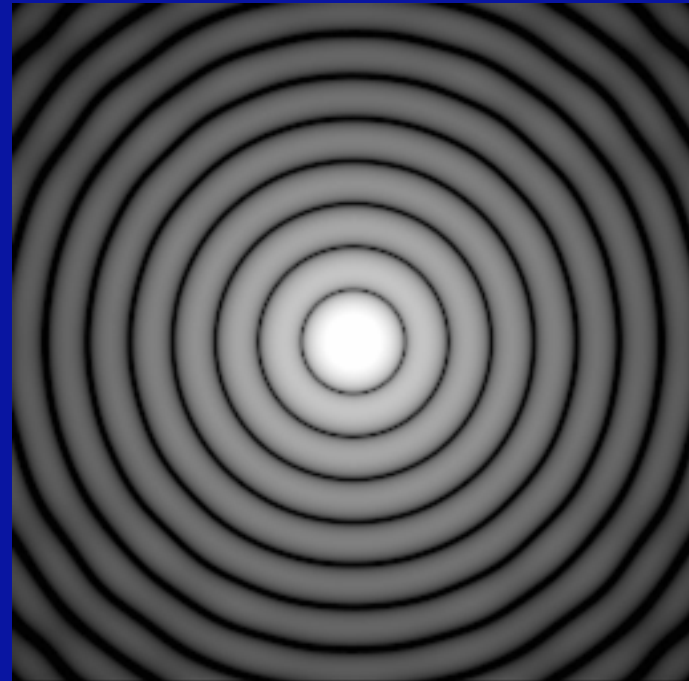
# Simple Objects and Their Transforms

Circle



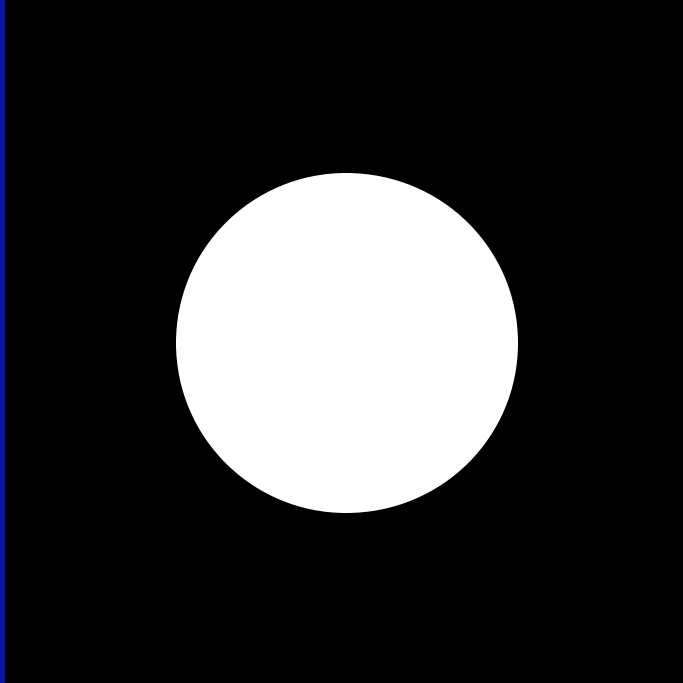
FT

Circle Transform



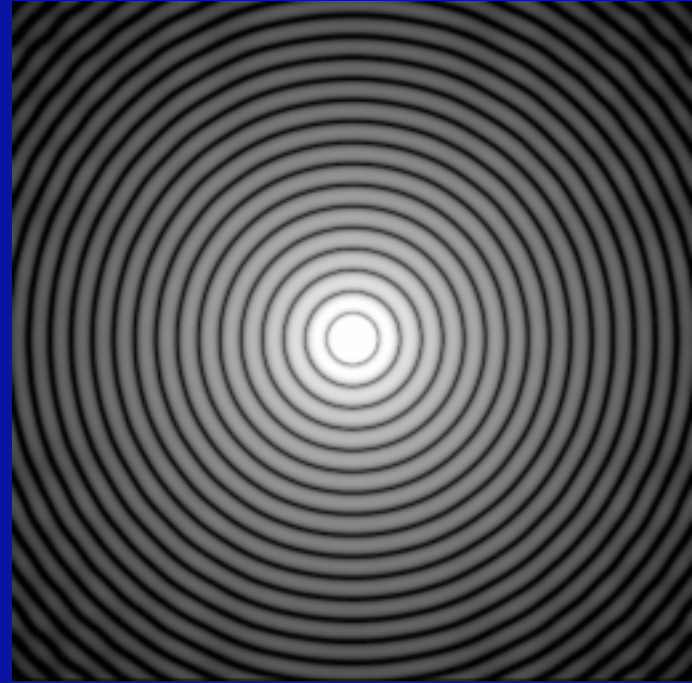
# Simple Objects and Their Transforms

Circle



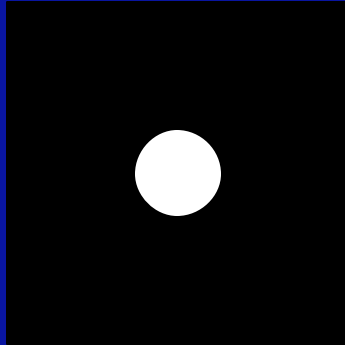
FT

Circle Transform



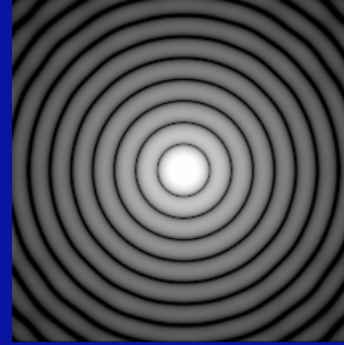
# Simple Objects and Their Transforms

Circle

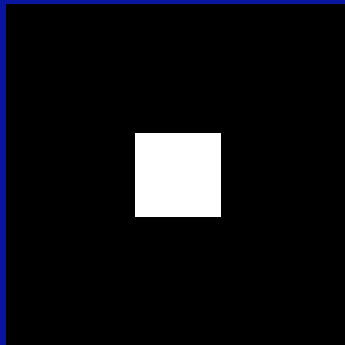


$\frac{\text{---}}{\text{FT}}$

Circle Transform

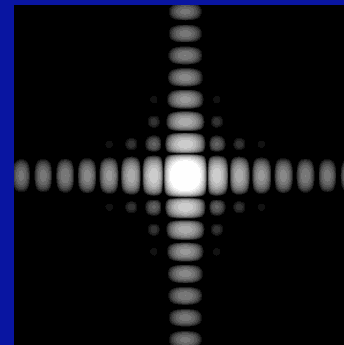


Square



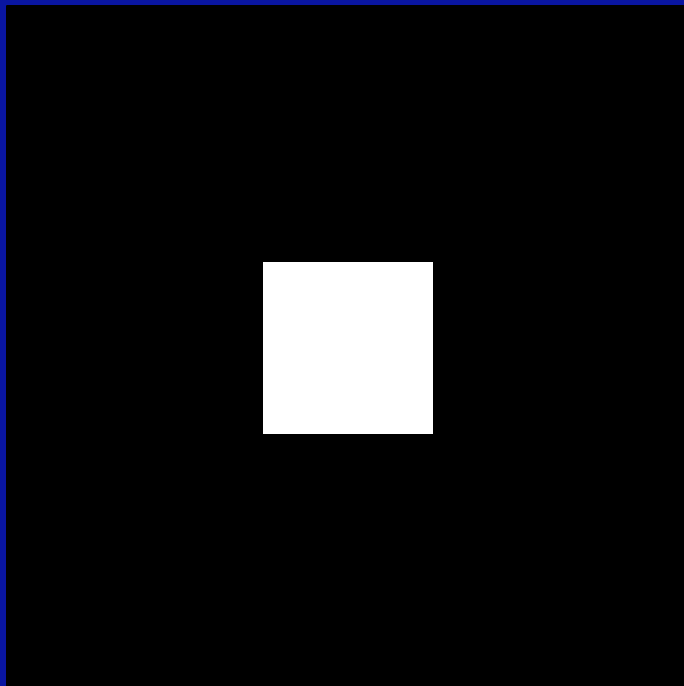
$\frac{\text{---}}{\text{FT}}$

Square Transform



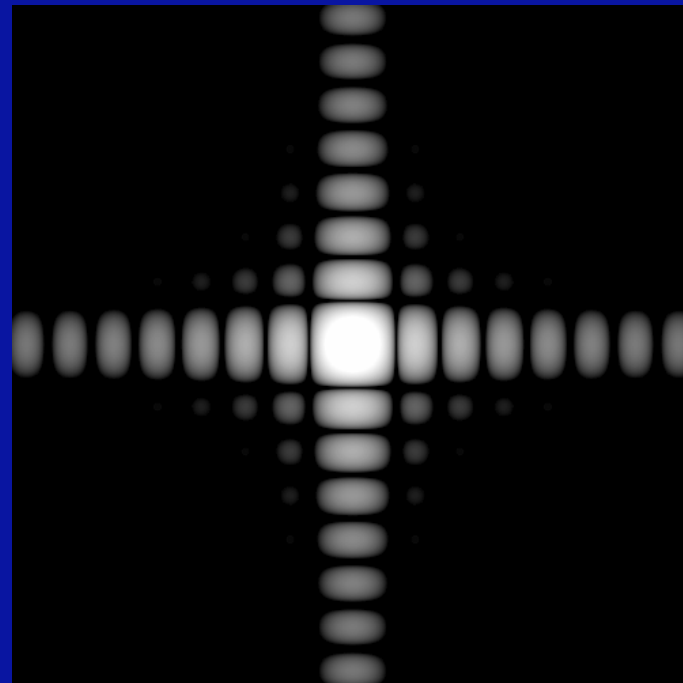
# Simple Objects and Their Transforms

Square



FT

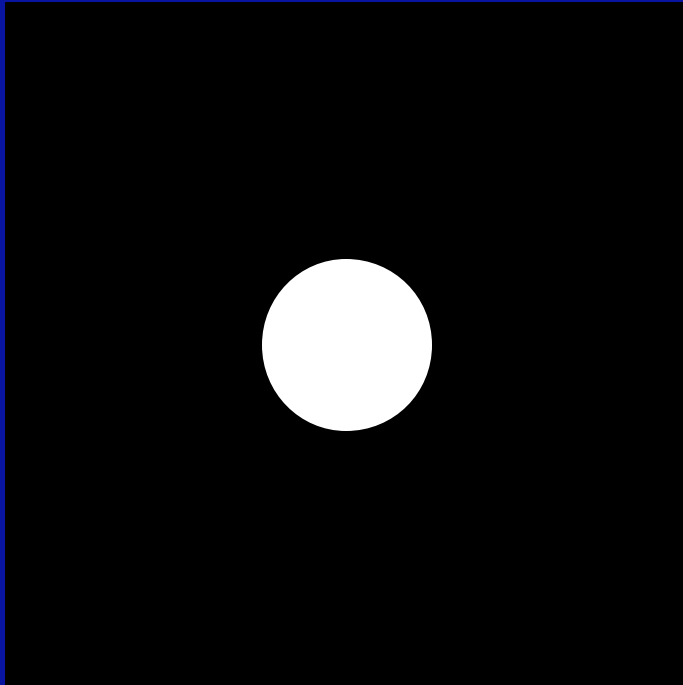
Square Transform





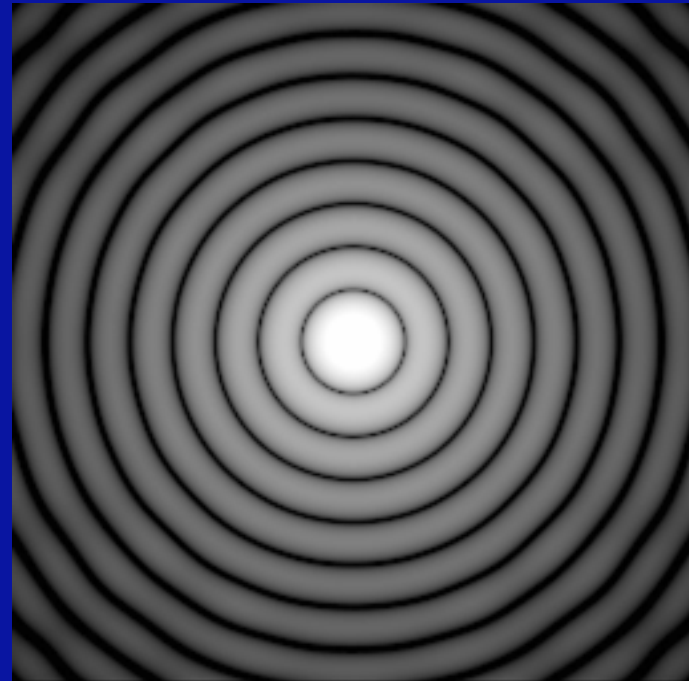
# Simple Objects and Their Transforms

Circle



FT

Circle Transform



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### **Asymmetric vs. Symmetric Objects and Their Transforms**

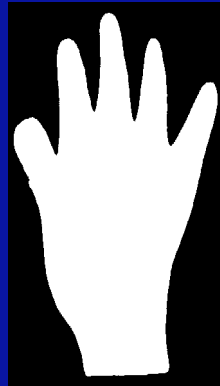
Simple, symmetric structures  $\Rightarrow$  simple, symmetric transforms

Asymmetric structures  $\Rightarrow$  complex transforms

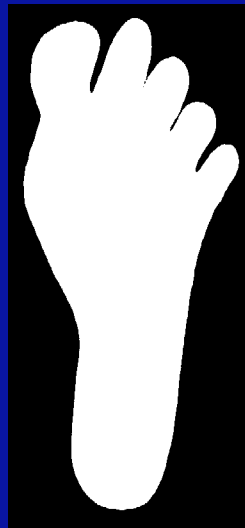
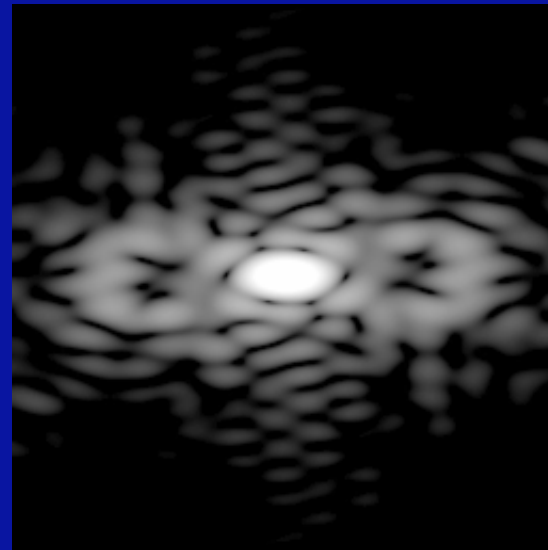
Transforms are like **fingerprints**:

- Specific object features often give rise to **characteristic features** in the transform

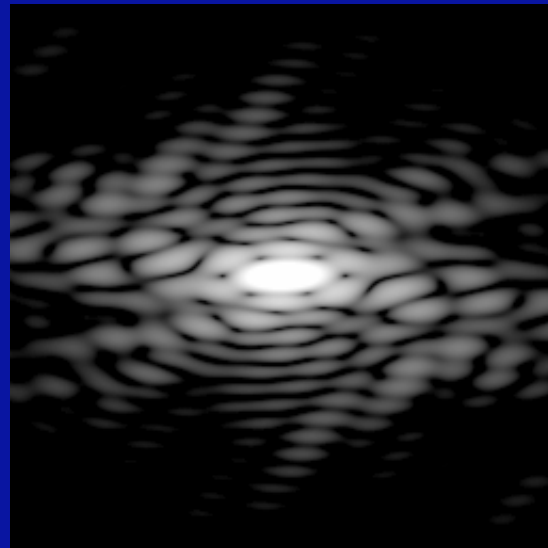
# Asymmetric Objects and Their Transforms



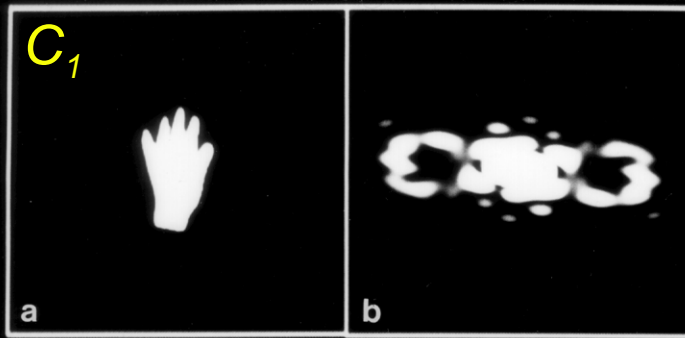
FT



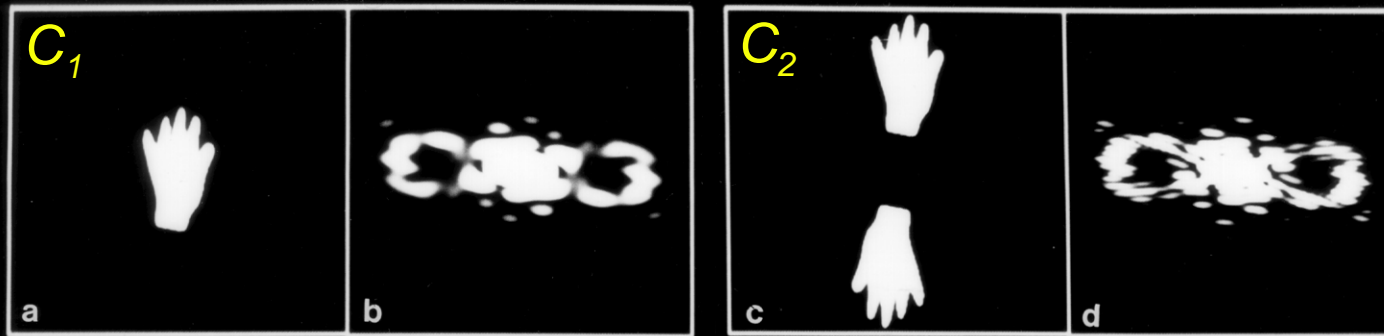
FT



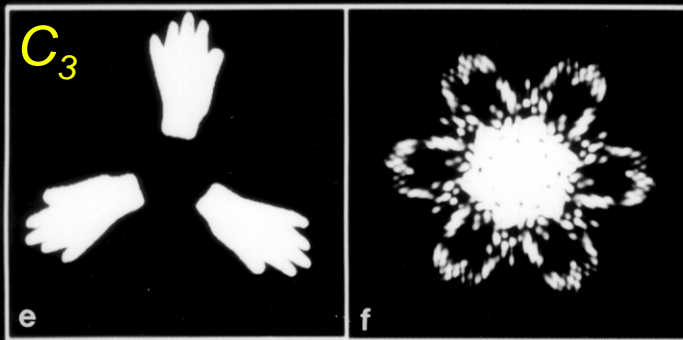
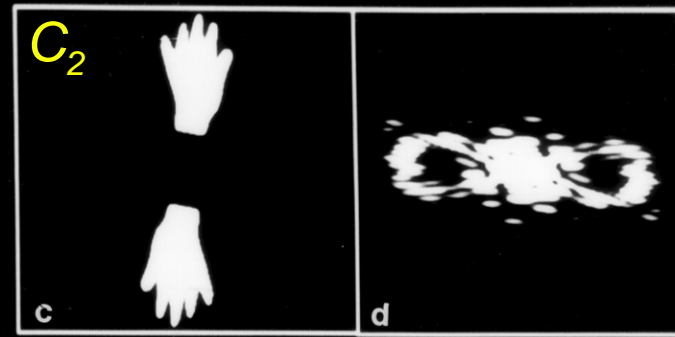
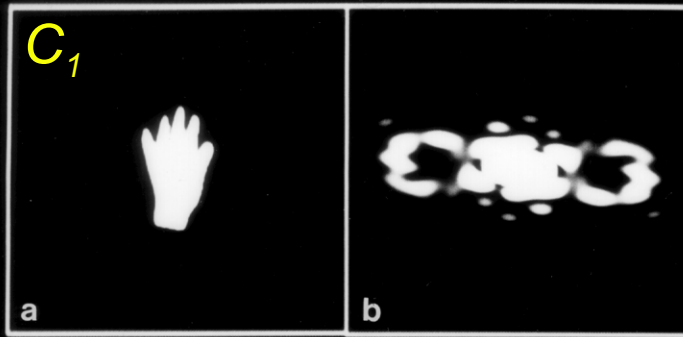
# Objects with Cyclic Symmetry and Their Transforms



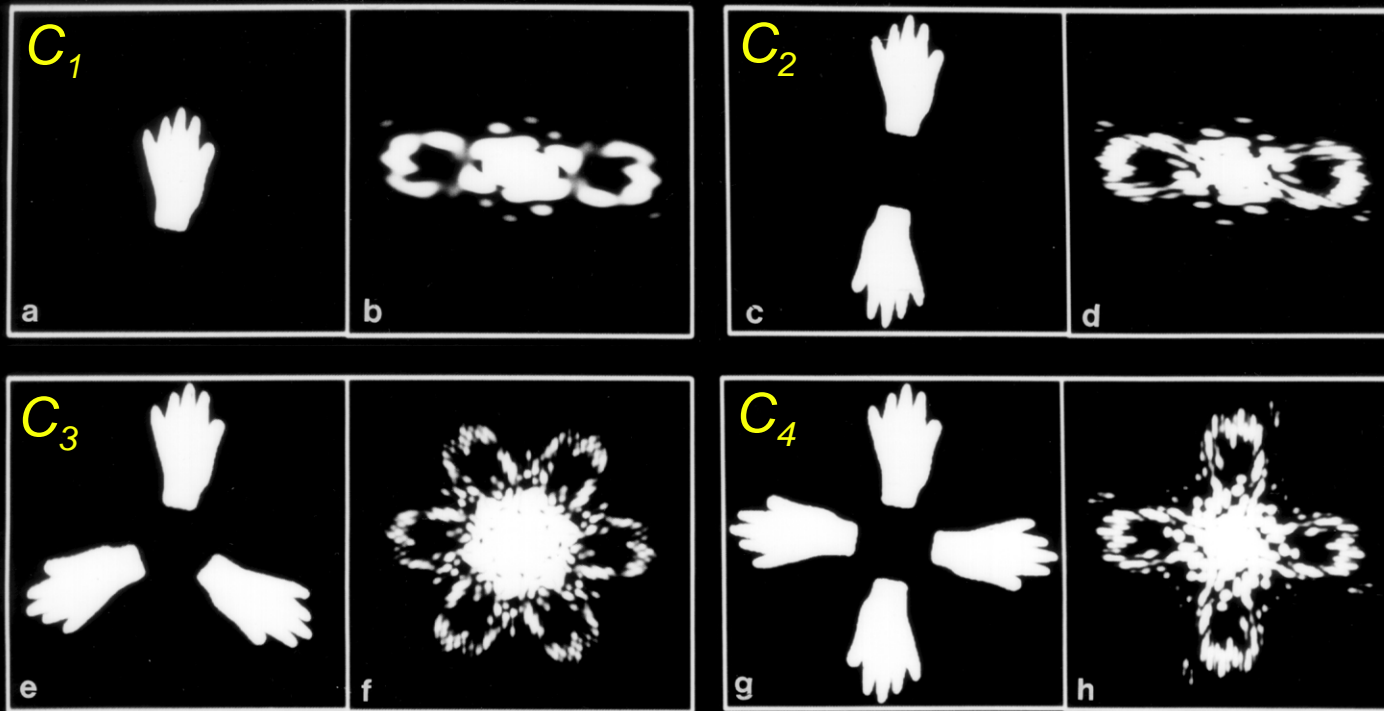
# Objects with Cyclic Symmetry and Their Transforms



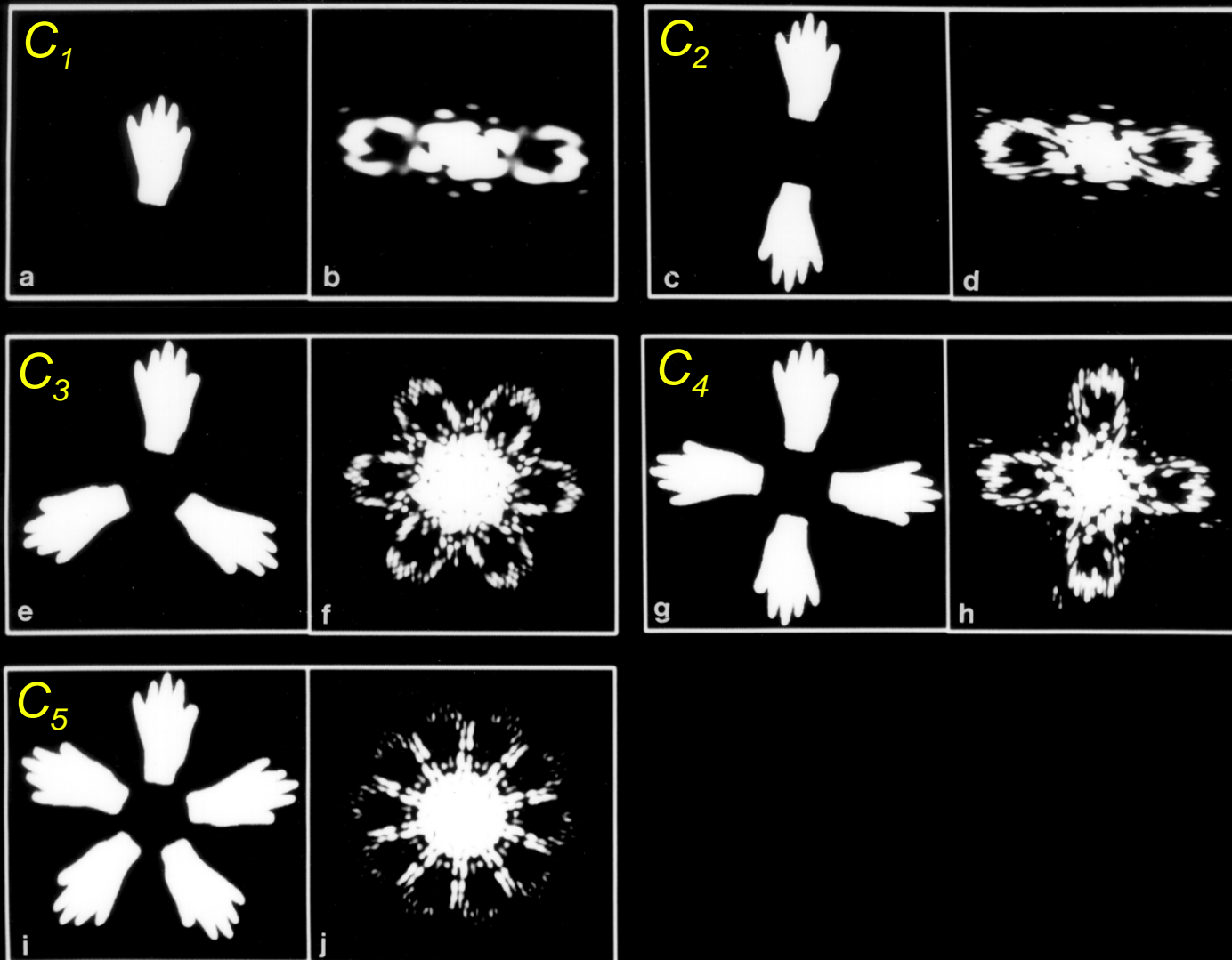
# Objects with Cyclic Symmetry and Their Transforms



# Objects with Cyclic Symmetry and Their Transforms

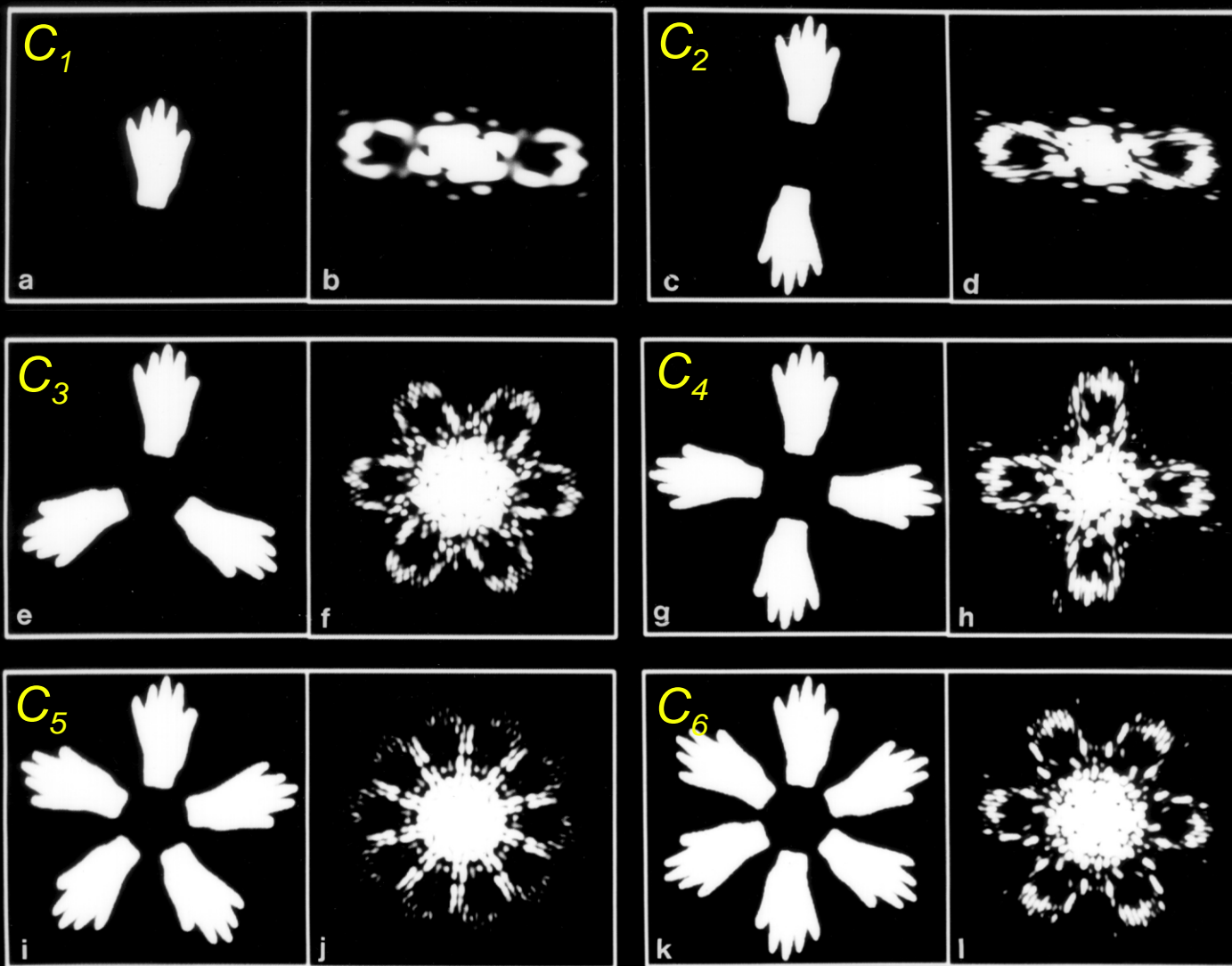


# Objects with Cyclic Symmetry and Their Transforms





# Objects with Cyclic Symmetry and Their Transforms



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### **Asymmetric vs. Symmetric Objects and Their Transforms**

Structure can be regenerated by back transformation **ONLY** if the **amplitudes and phases** at **ALL** points of the FT are available

May be accomplished for:

Visible light (optical reconstruction)

Electrons (electron microscopy)

Can only be achieved by **mathematical** computation for:

X-rays and neutrons (phases indirectly measured)

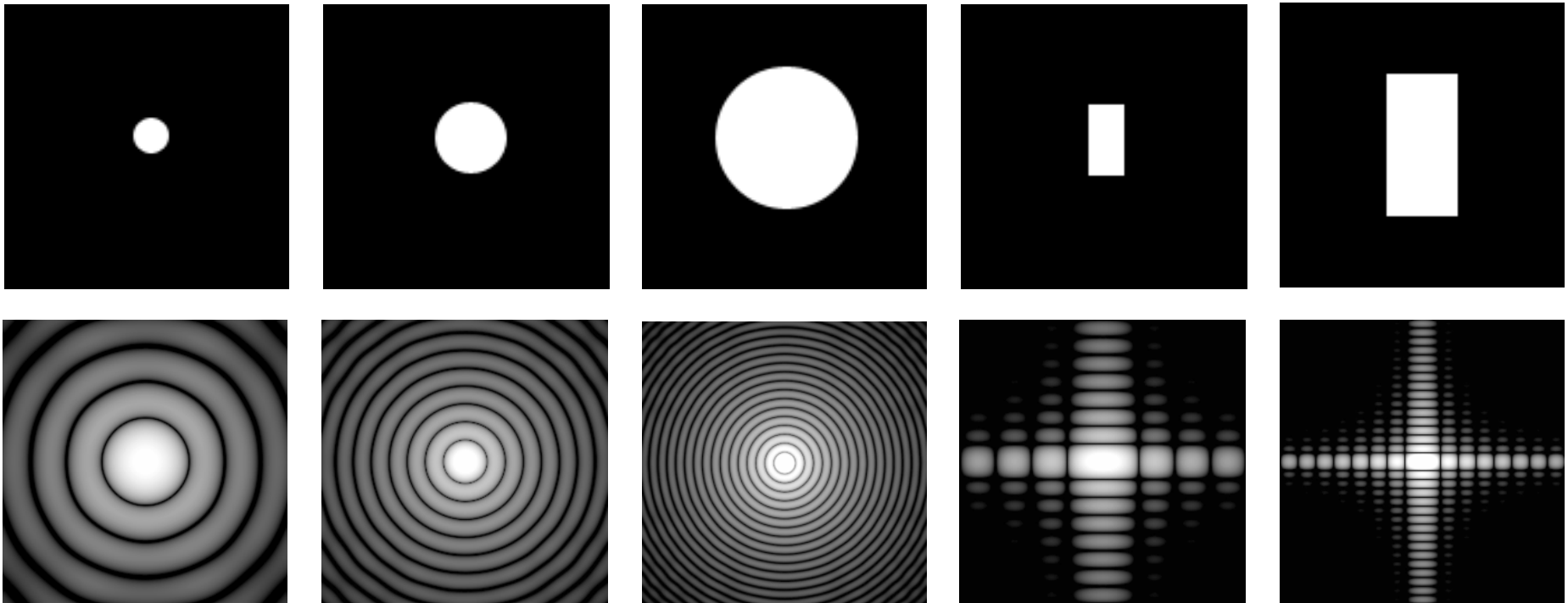
**Simple inspection** of most transforms does **NOT** directly lead to a unique determination of structure

## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Reciprocity

Dimensions in object (**real space**) are **inversely** related to dimensions in the transform (**reciprocal space**)

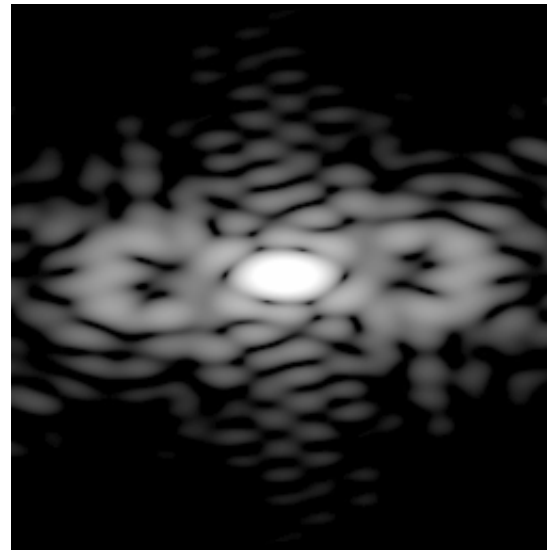


## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Reciprocity

**Small** spacings in object - represented by features spaced **far apart** in reciprocal space

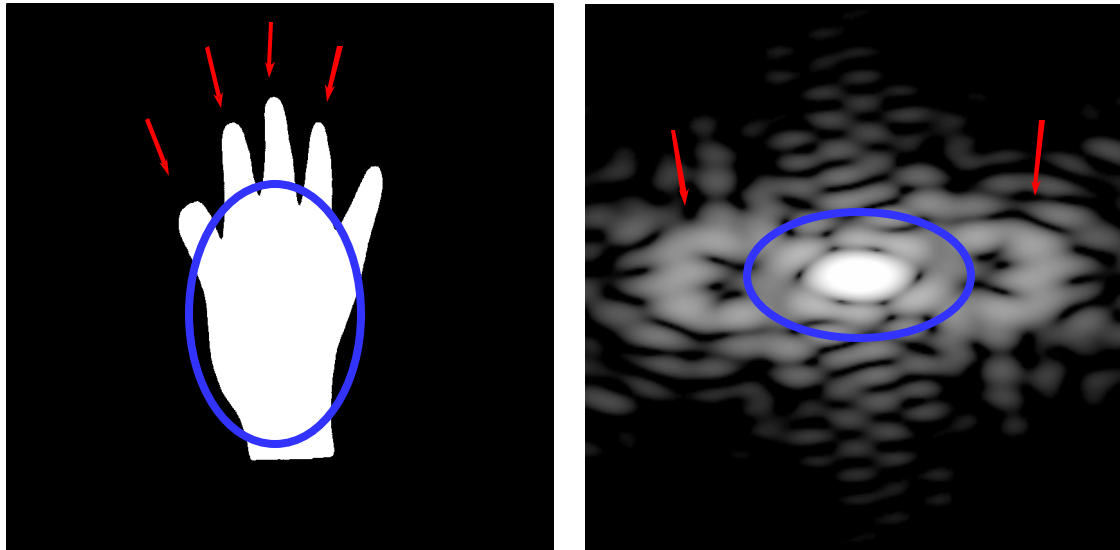


## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Resolution

**Outer regions** of FT arise from fine (**high resolution**) details in the object



Coarse (**low resolution**) object features contribute near the **central region** of the FT

## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Resolution

##### Low-pass/High-pass filtering

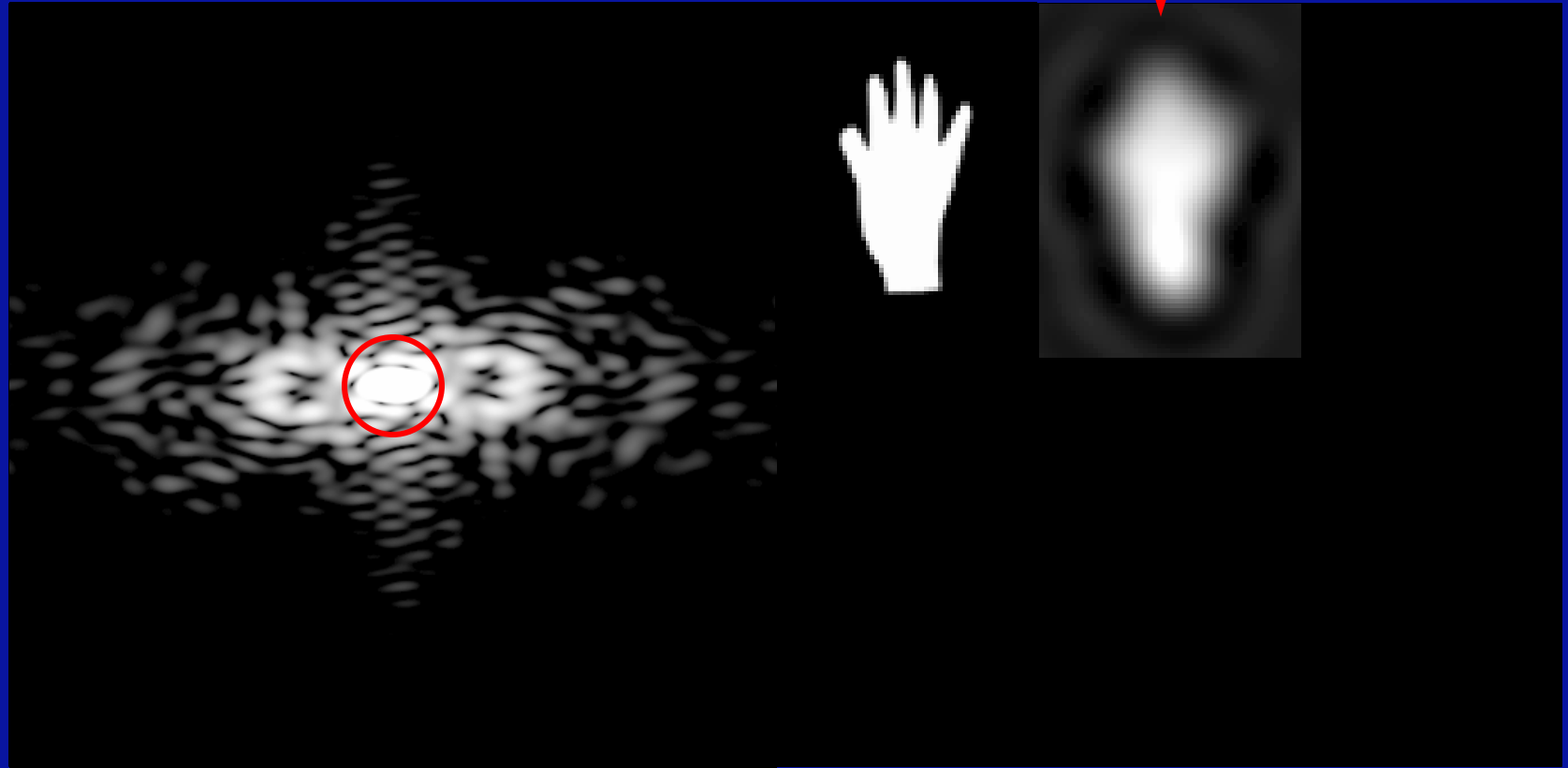
**Low-pass:** low-resolution features (near center of transform) are allowed to “pass” through filter and interfere (resynthesize) at image plane while high resolution features are removed

**High-pass:** low resolution Fourier components are **removed** (*i.e.* blocked by filter) while high resolution Fourier components are allowed to “pass” through filter and form an image (leads to accentuation of high resolution features such as edges)

# Fourier Transform Filtering

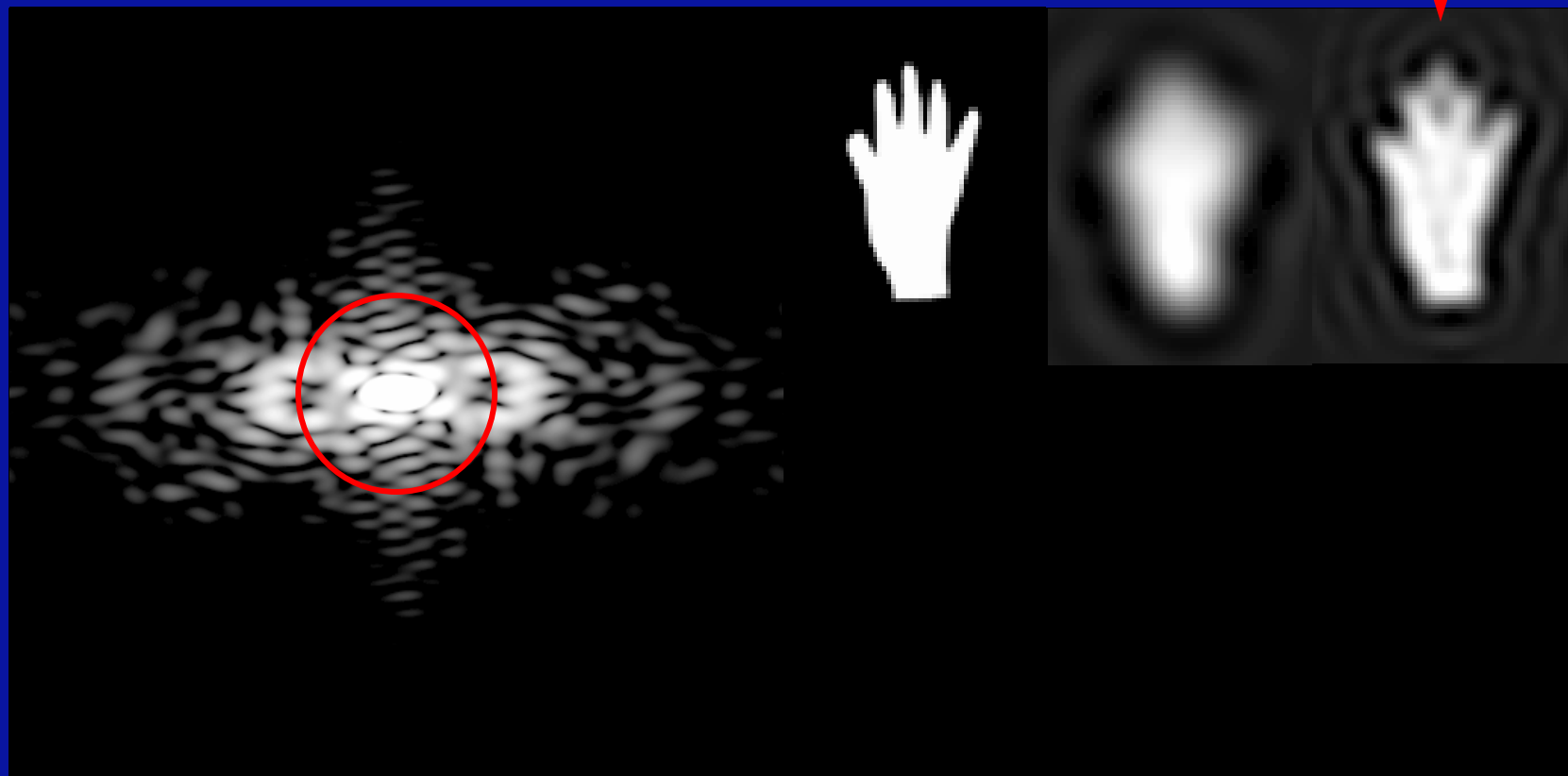


# Fourier Transform Filtering

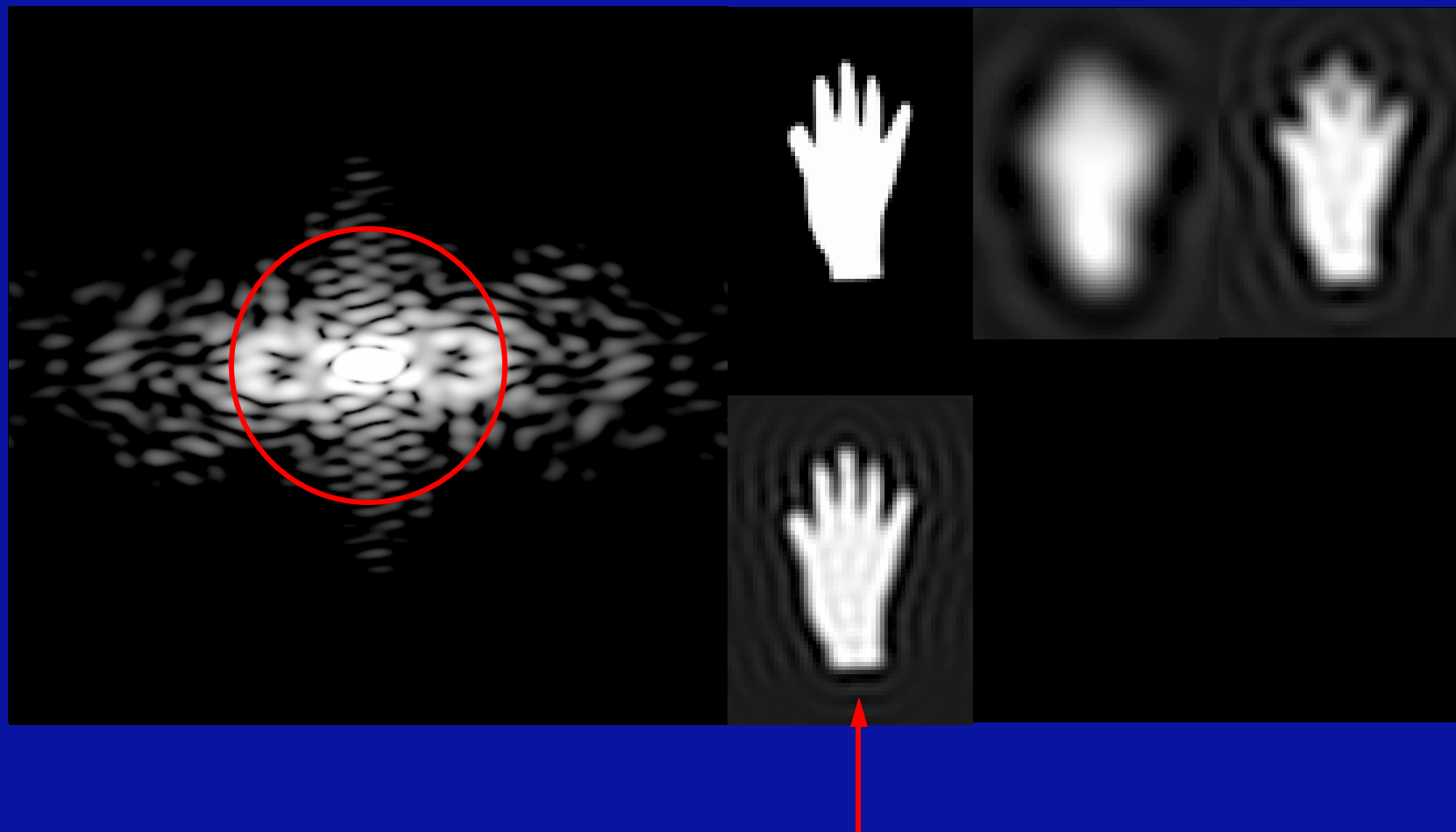




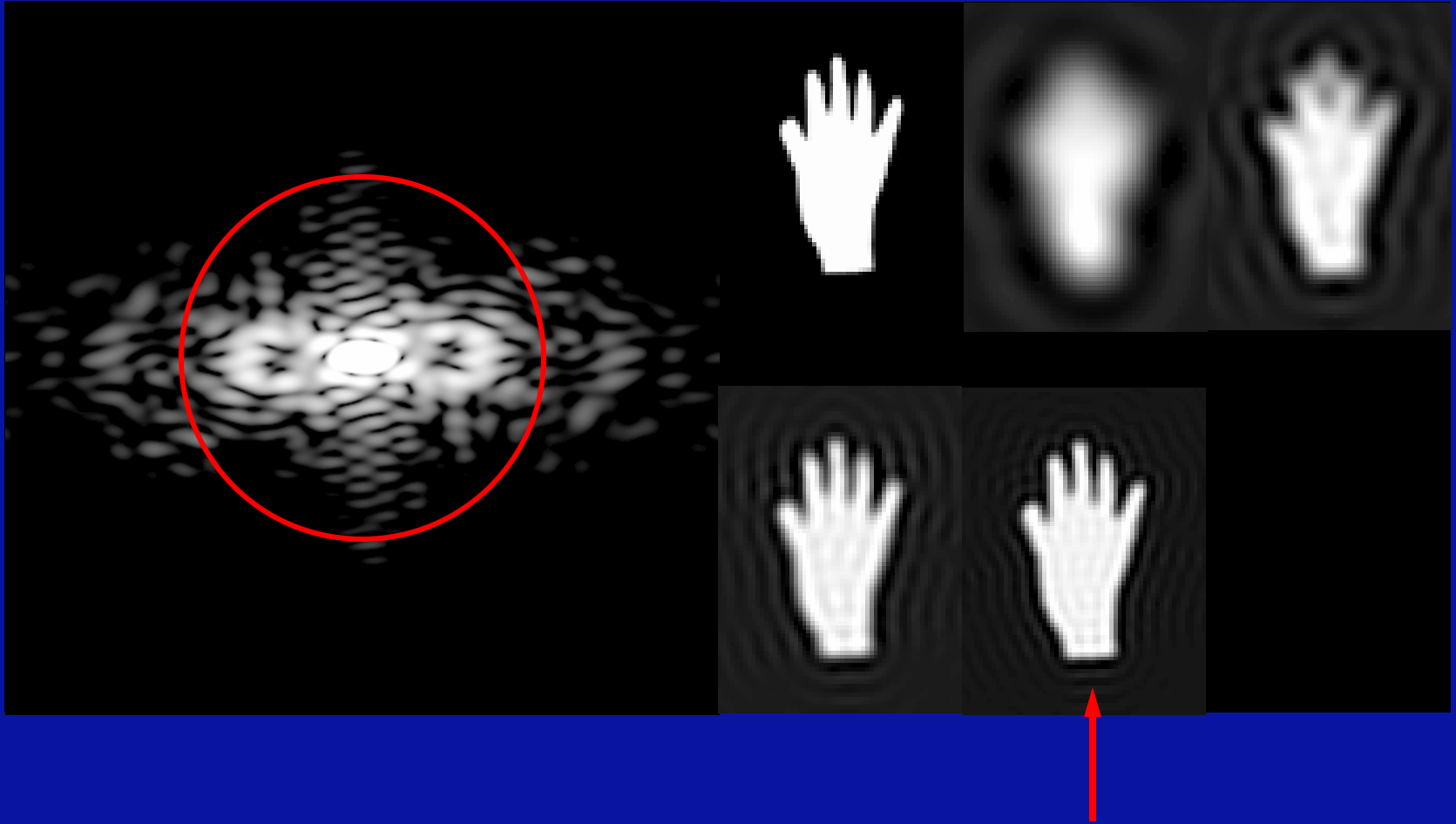
# Fourier Transform Filtering



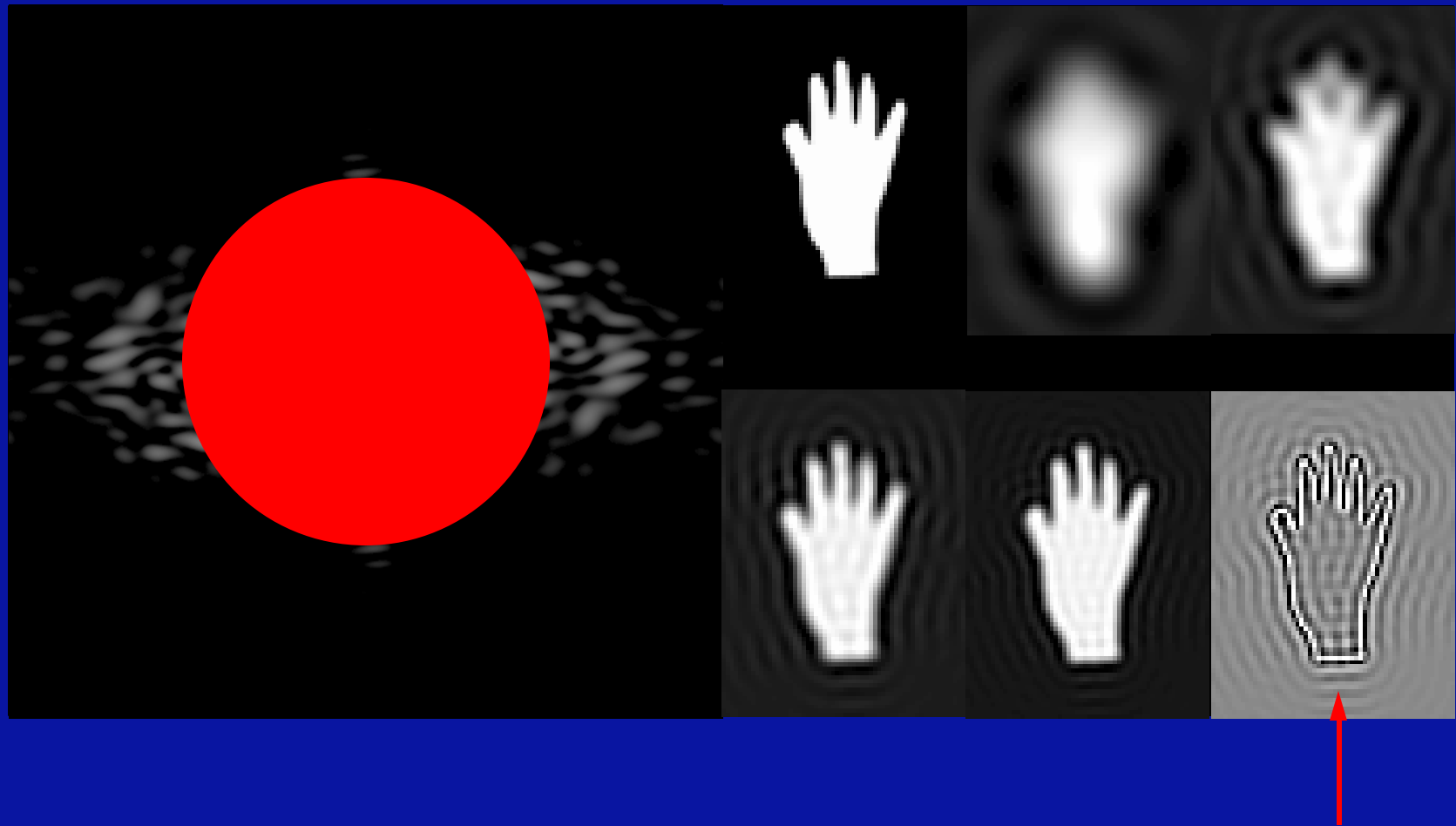
# Fourier Transform Filtering



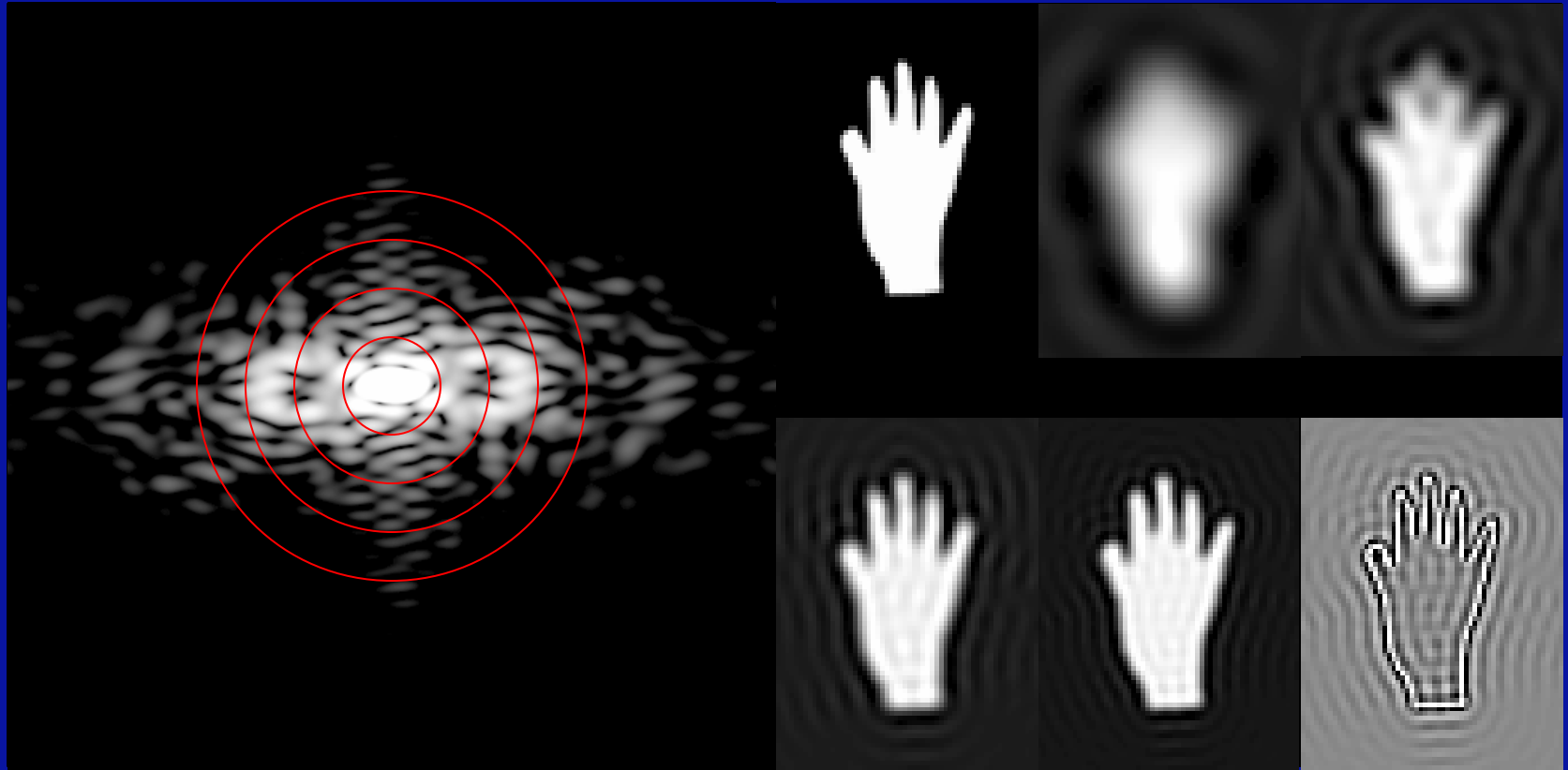
# Fourier Transform Filtering



# Fourier Transform Filtering



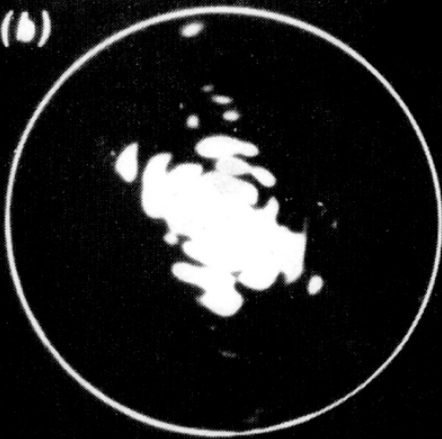
# Fourier Transform Filtering



(a)



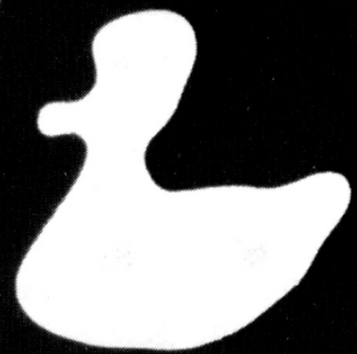
(b)



(c)



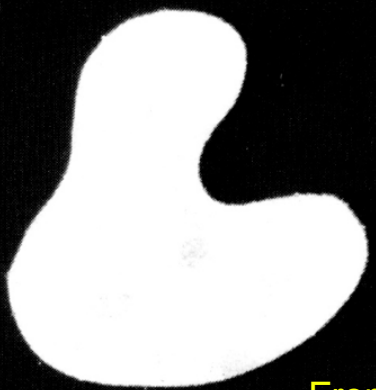
(d)



(e)



(f)



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

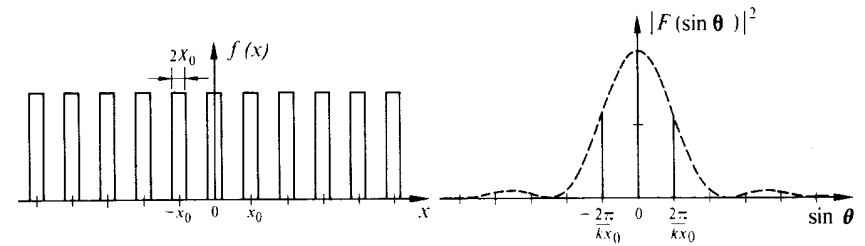
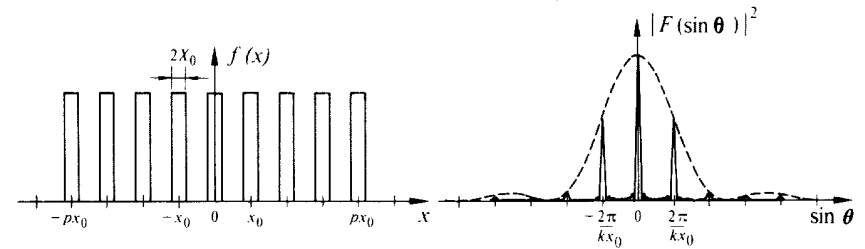
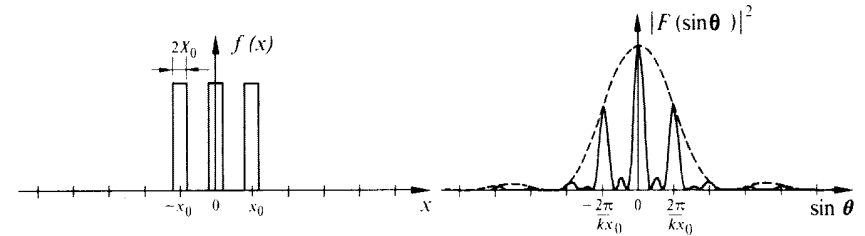
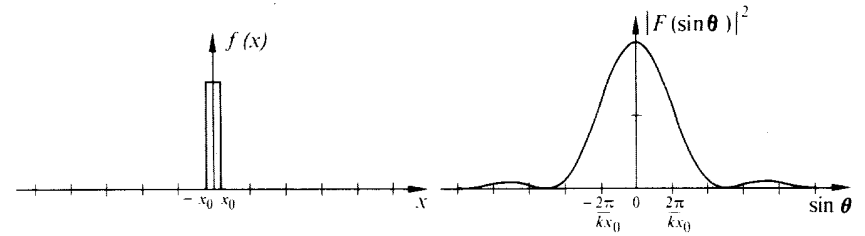
#### **Sharpness of Diffraction Spots**

Features in the diffraction pattern become **sharper** as the **number** of diffracting **objects** or the **distance between them increases**

Sharpening reflects a situation of more complete, destructive interference away from the reciprocal lattice positions

# Transform Sampling

Diffraction patterns of one, three, nine and 8 number of slits



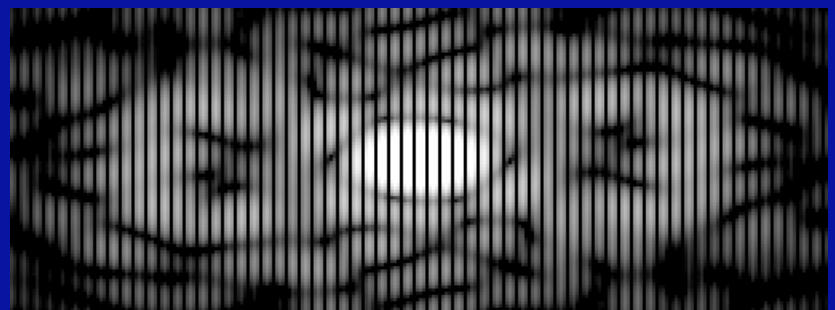
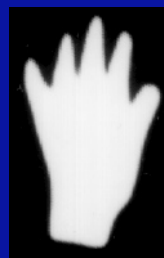
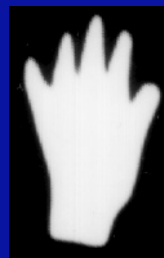
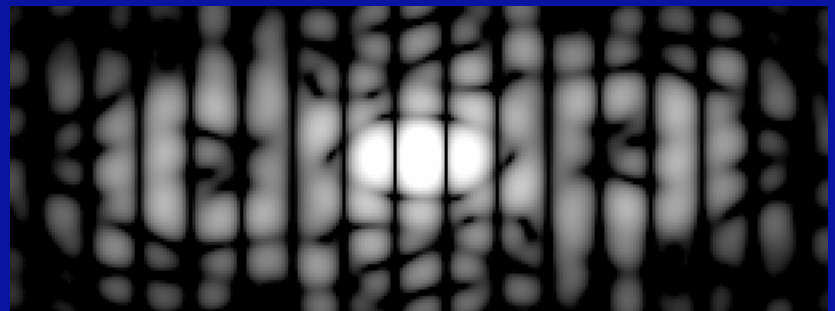
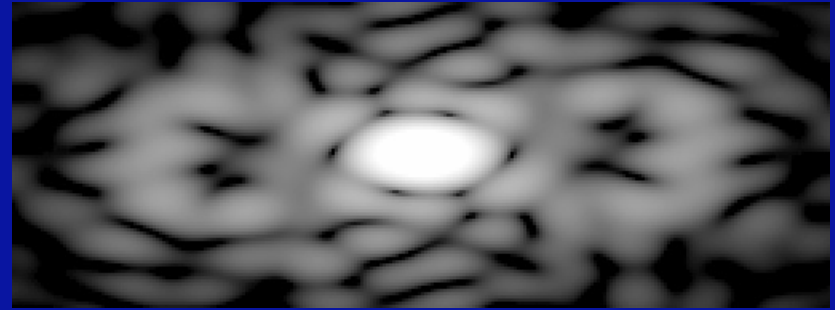
$$\frac{2\pi}{kX_0}$$

(a)

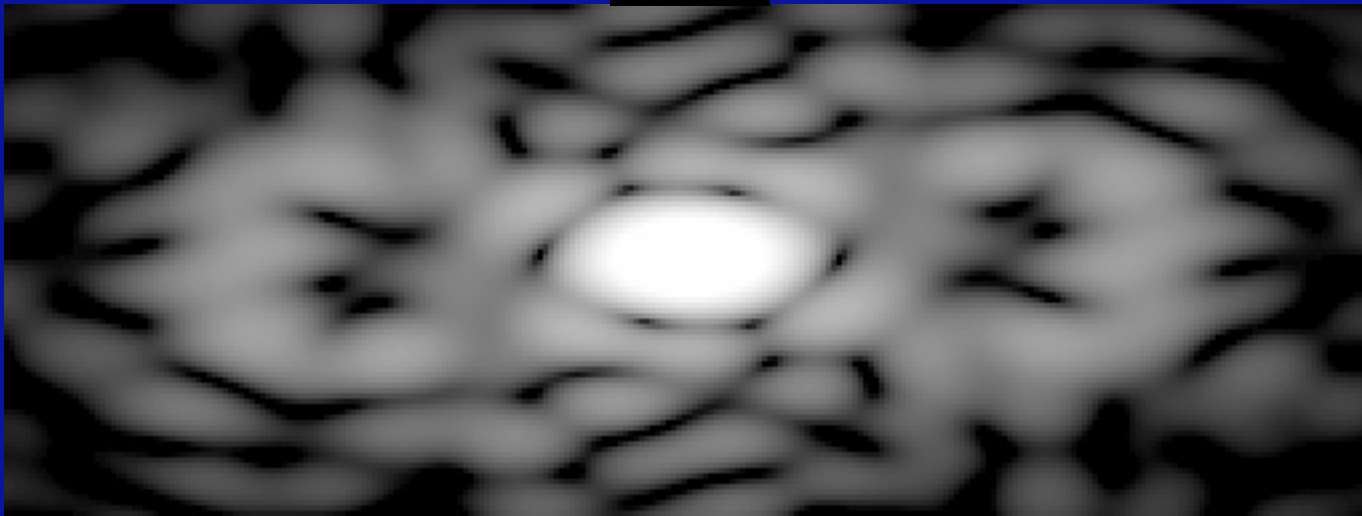
(b)



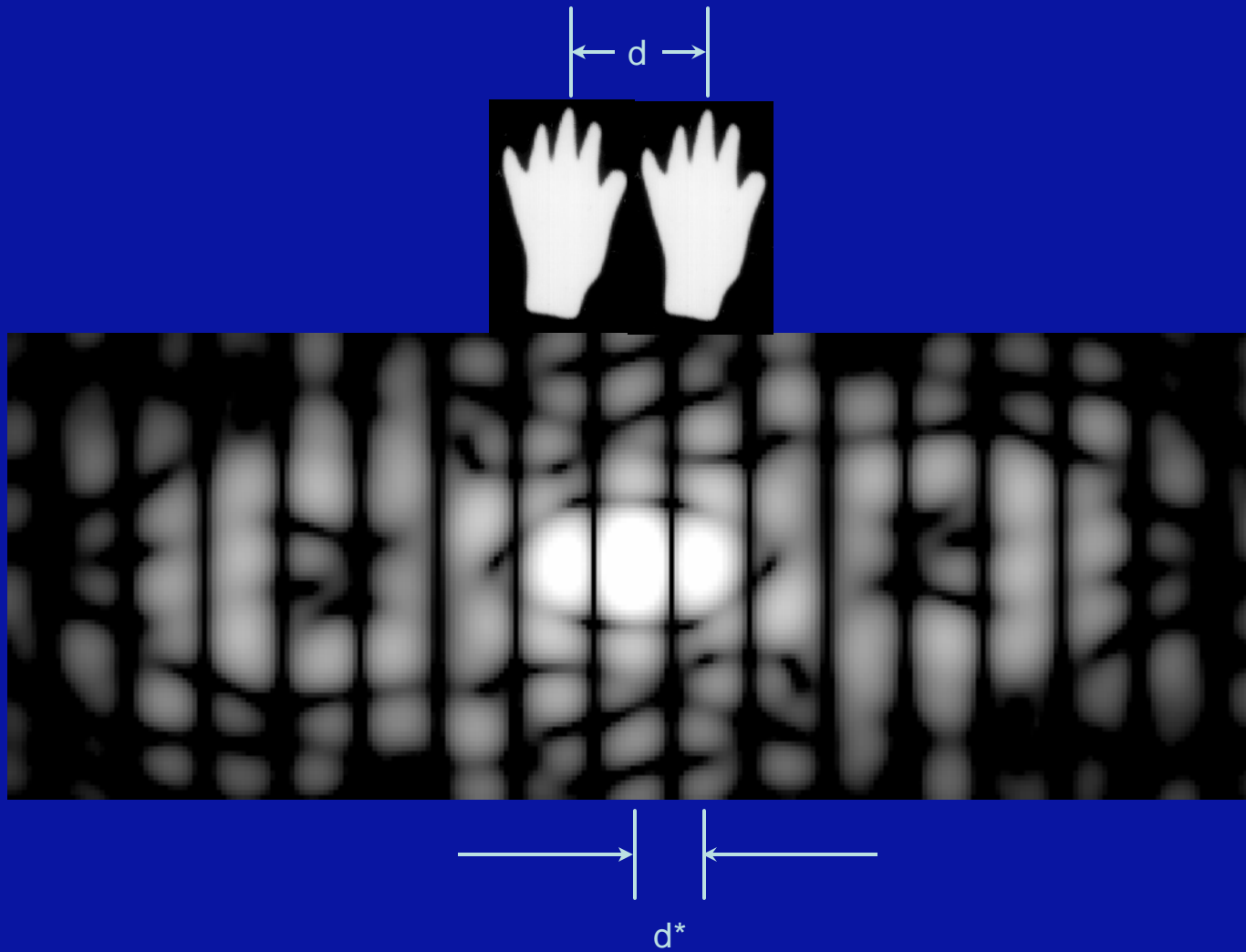
# Sharpening of Diffraction Features



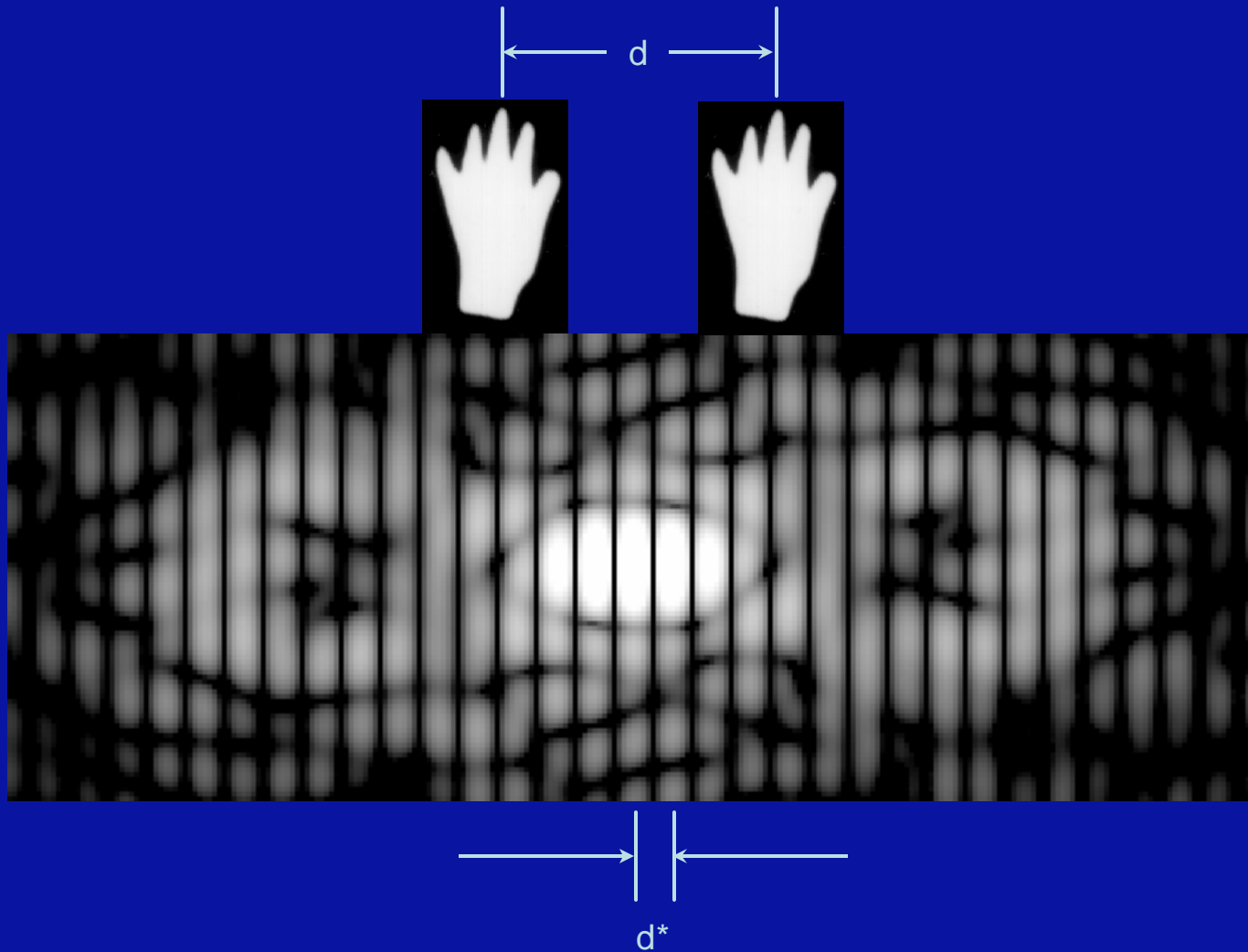
# Sharpening of Diffraction Features



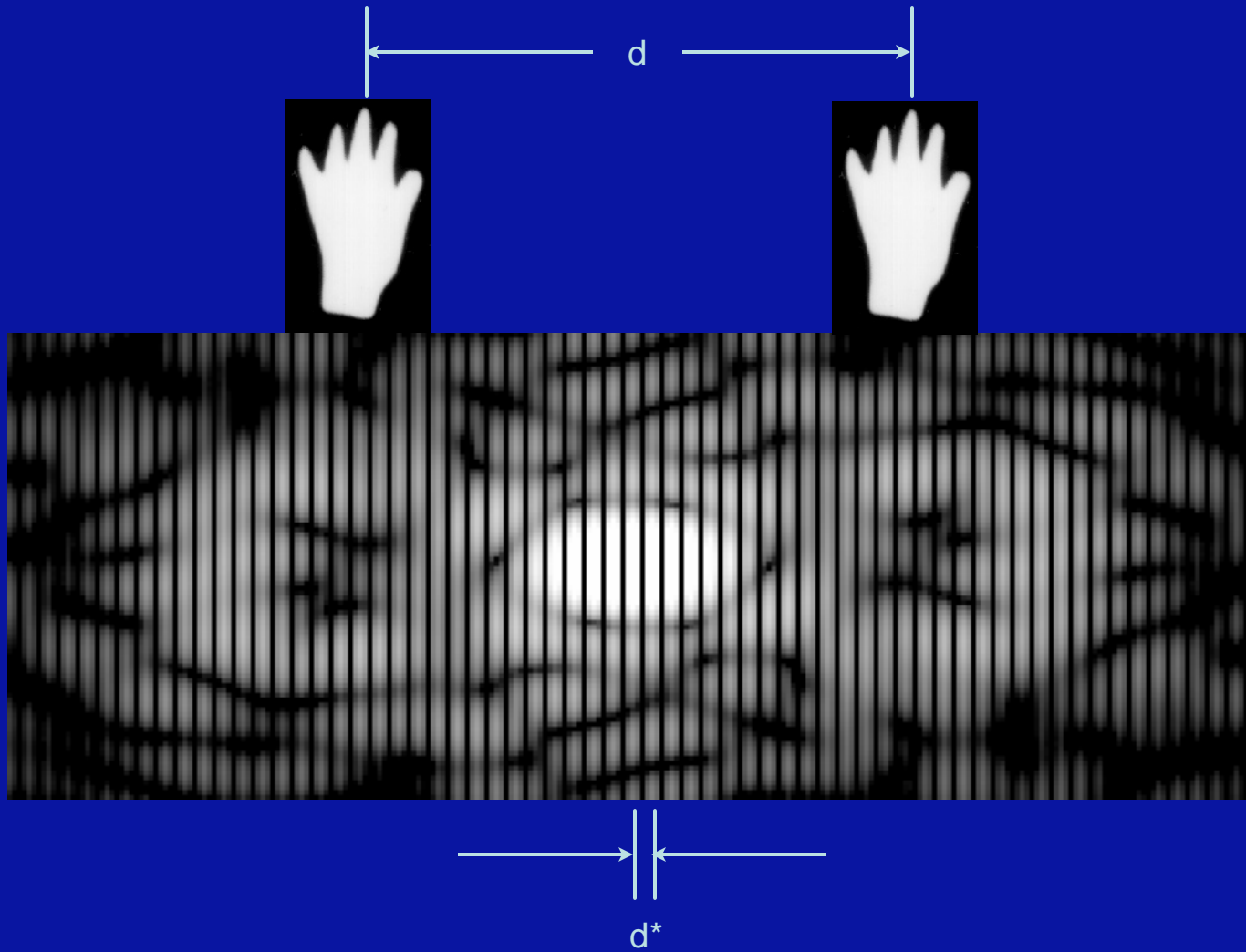
# Sharpening of Diffraction Features



# Sharpening of Diffraction Features



# Sharpening of Diffraction Features





## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

- 1) Analogy between OD and "Mathematical" FTs
- 2) Asymmetric / Symmetric Objects / Transforms
- 3) Reciprocity
- 4) Resolution
- 5) Sharpness of Diffraction Spots
- 6) Geometry, Intensity and Symmetry
- 7) Projection Theorem
- 8) Friedel's Law

## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

Transforms are like **fingerprints**

Asymmetric structures  $\Rightarrow$  complex transforms

Simple, symmetric structures  $\Rightarrow$  simple, symmetric transforms

**Simple inspection** of most transforms does **NOT** directly lead to a unique determination of structure

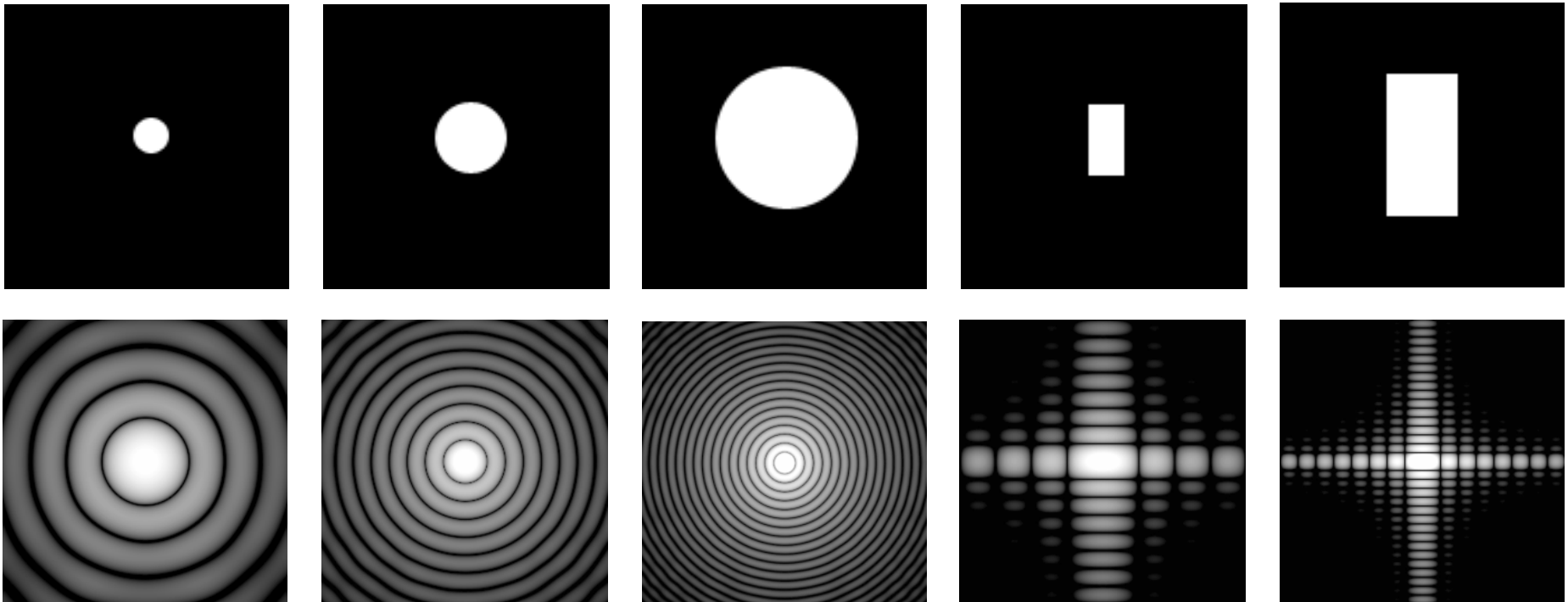


## III.C.6 Diffraction

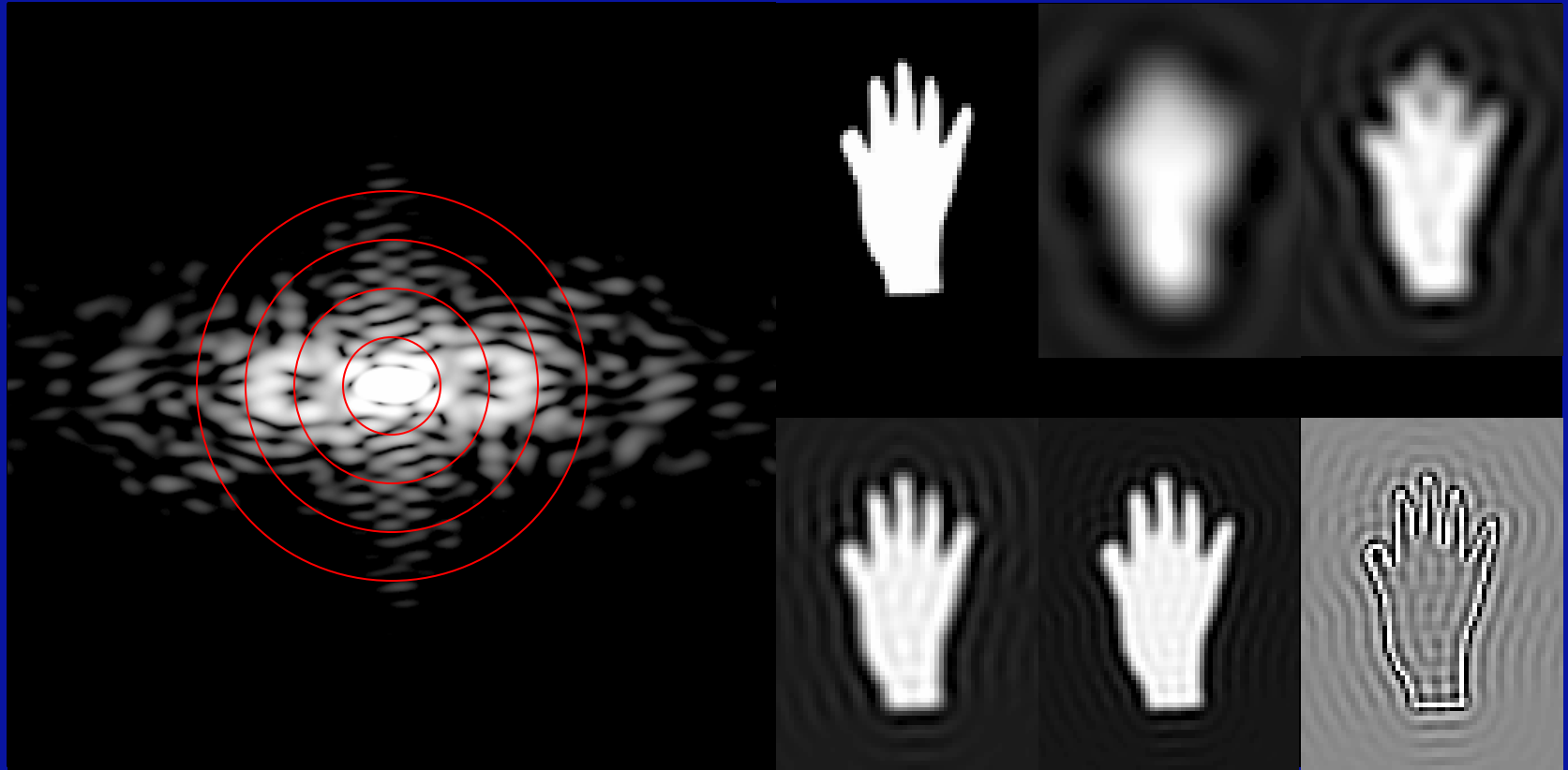
### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Reciprocity

Dimensions in object (**real space**) are **inversely** related to dimensions in the transform (**reciprocal space**)



# Fourier Transform Filtering



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### **Sharpness of Diffraction Spots**

Features in the diffraction pattern become **sharper** as the **number** of diffracting **objects** or the **distance between them increases**

Sharpening reflects a situation of more complete, destructive interference away from the reciprocal lattice positions

## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Geometry, Intensity and Symmetry

Geometry and spacings of the crystal and reciprocal lattices obey a reciprocal relationship

$$d^* = \frac{K}{d \sin g^*}$$

$$\text{and } g^* = 180 - g$$

$d$  = unit cell spacing ( $a$  or  $b$ )

$d^*$  = **reciprocal** lattice spacing ( $a^*$  or  $b^*$ )

$g$  = angle between unit cell axes

$g^*$  = angle between **reciprocal** lattice axes

$K$  = constant of diffraction ( $= \lambda L$ )

$\lambda$  = wavelength of monochromatic radiation

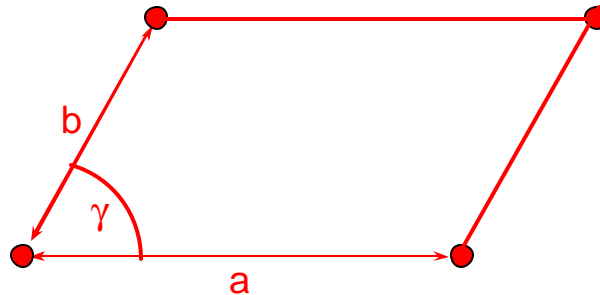
$L$  = camera length (distance from specimen to diffraction plane)

## III.C.6.h Other Properties of FTs and Diffraction Patterns

### Geometry, Intensity and Symmetry

$$d^* = \frac{K}{d \sin g^*}$$

$$g^* = 180 - g$$



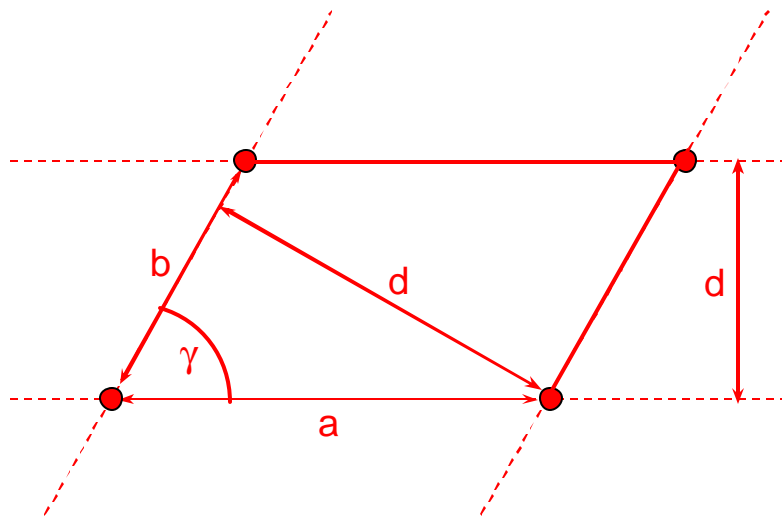
Real Lattice

### III.C.6.h Other Properties of FTs and Diffraction Patterns

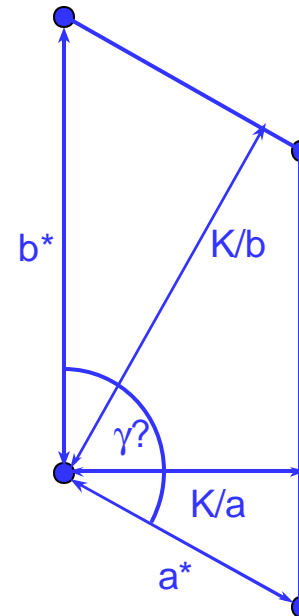
## Geometry, Intensity and Symmetry

$$d^* = \frac{K}{d \sin g^*}$$

$$g^* = 180 - g$$



Real Lattice

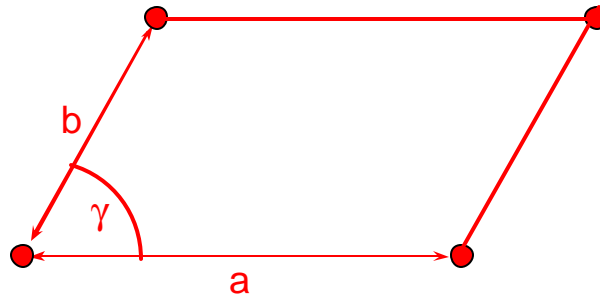


Reciprocal Lattice

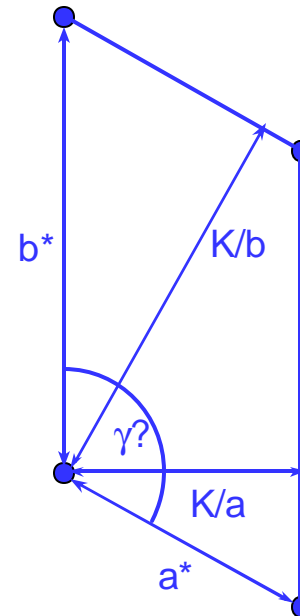
### III.C.6.h Other Properties of FTs and Diffraction Patterns

## Geometry, Intensity and Symmetry

The reciprocal lattice edges, of dimensions  $a^*$  and  $b^*$ , are respectively perpendicular to the cell edges  $b$  and  $a$



Real Lattice



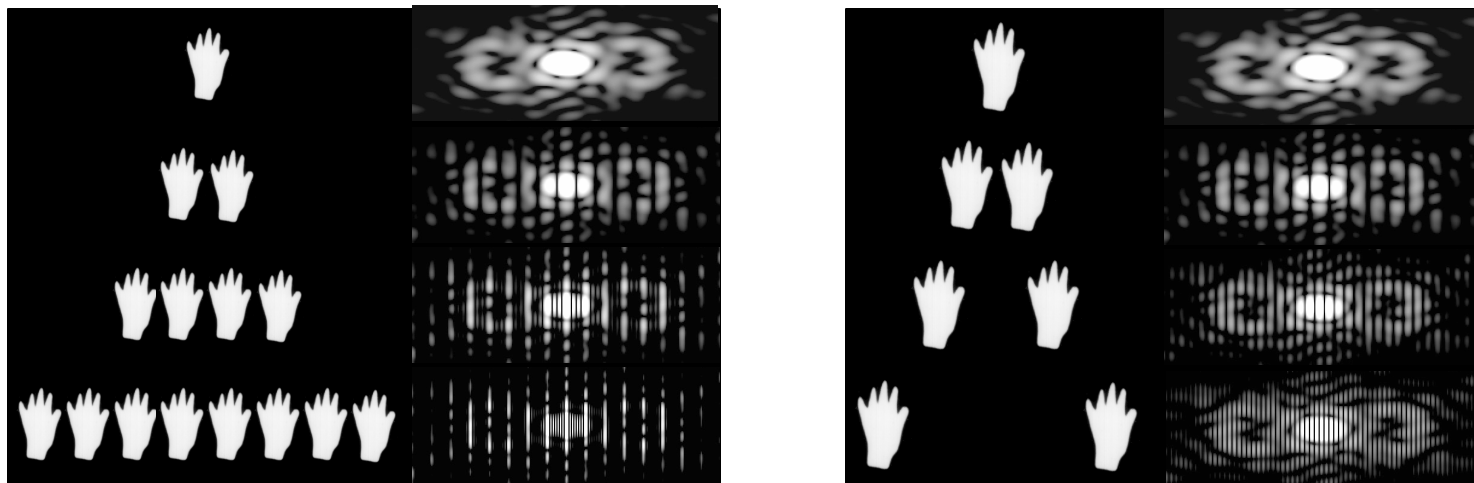
Reciprocal Lattice

## III.C.6.h Other Properties of FTs and Diffraction Patterns

### Geometry, Intensity and Symmetry

Each spot is indexed according to its position in the reciprocal lattice, and is considered to arise by diffraction from a set of density (Bragg) planes/lines in the 3-D/2-D crystal

**Motif structure, NOT** spacings or geometry of crystal lattice, determine the **intensity distribution** in transform



Spacings and geometry of crystal lattice only determine **where** the motif transform is **sampled**

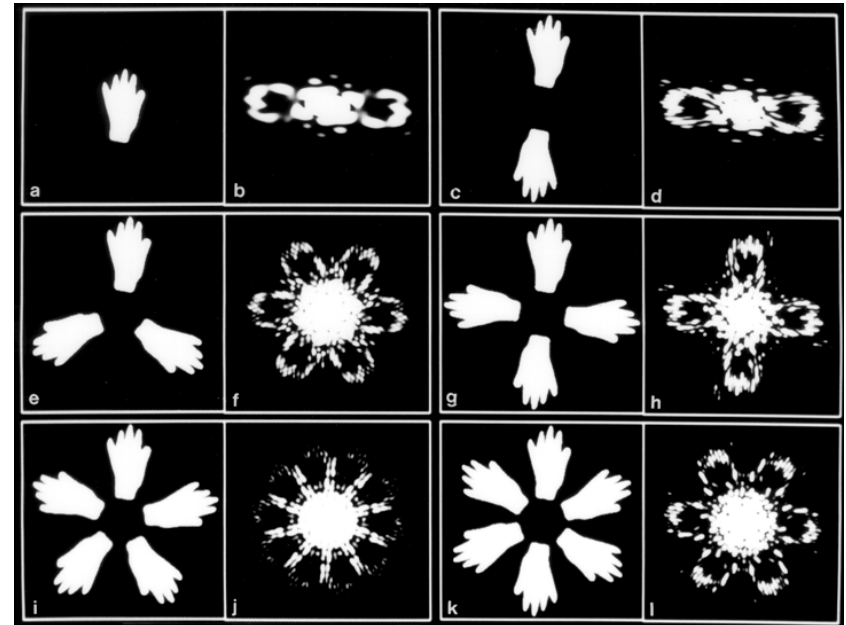


## III.C.6.h Other Properties of FTs and Diffraction Patterns

### Geometry, Intensity and Symmetry

Structural symmetry produces symmetrical intensity distributions in the transform (aside from Friedel symmetry)

Object rotational symmetry	Transform rotational symmetry
$n$ even	$n$
$n$ odd	$2n$



One of major reasons why OD is powerful method for diagnosing presence of symmetry in biological specimens

## III.C.6.h Other Properties of FTs and Diffraction Patterns

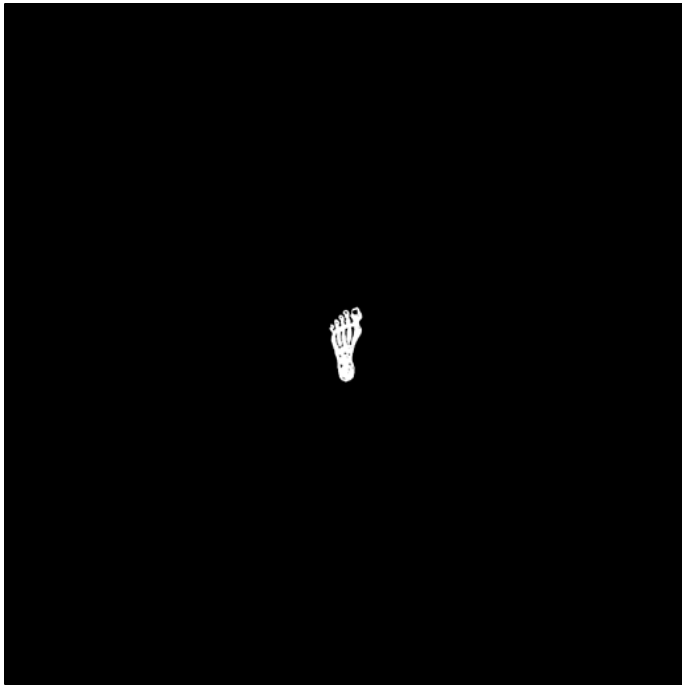
### **Geometry, Intensity and Symmetry**

**Screw-axis** symmetry in a crystal produces **systematic absences** in the transforms

# III.C.6.h Other Properties of FTs and Diffraction Patterns

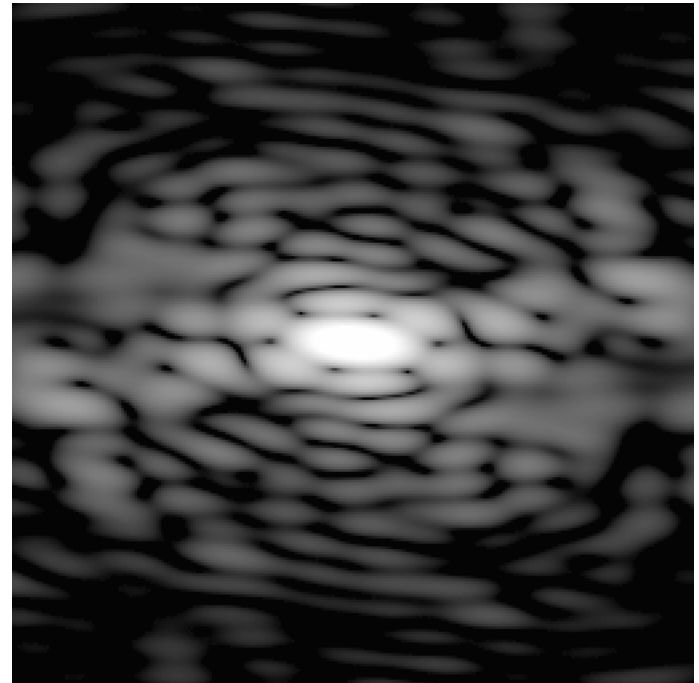
## Geometry, Intensity and Symmetry

Foot



FT

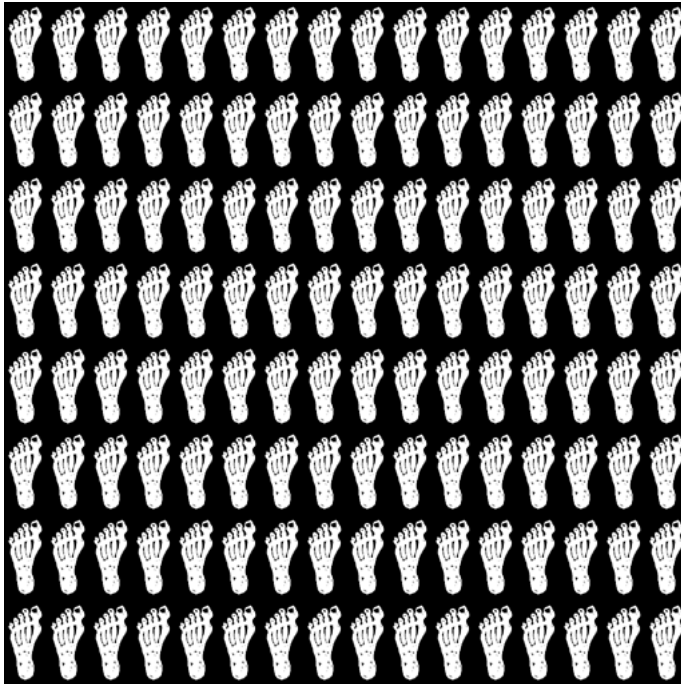
Foot Transform



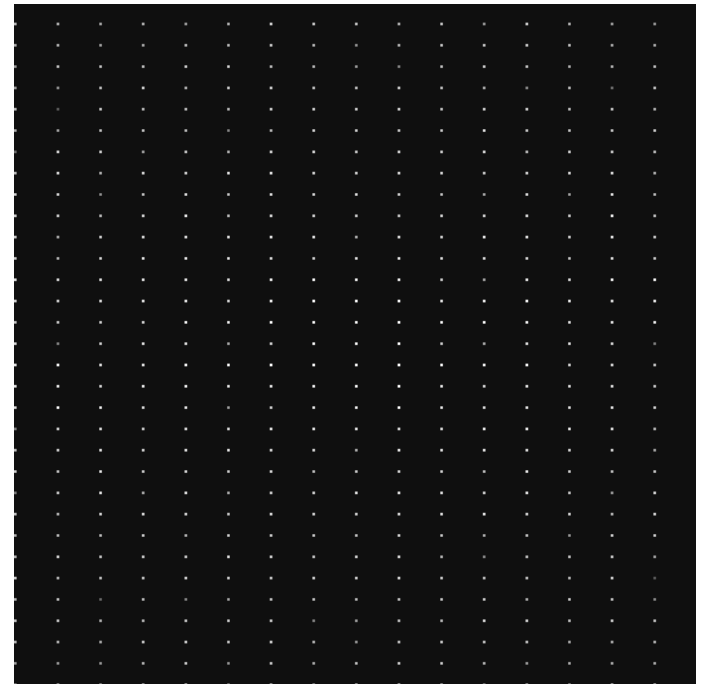
## III.C.6.h Other Properties of FTs and Diffraction Patterns

### Geometry, Intensity and Symmetry

Foot p1 Crystal



Foot p1 Crystal Transform

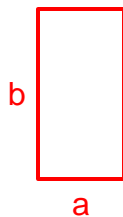


FT

# III.C.6.h Other Properties of FTs and Diffraction Patterns

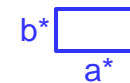
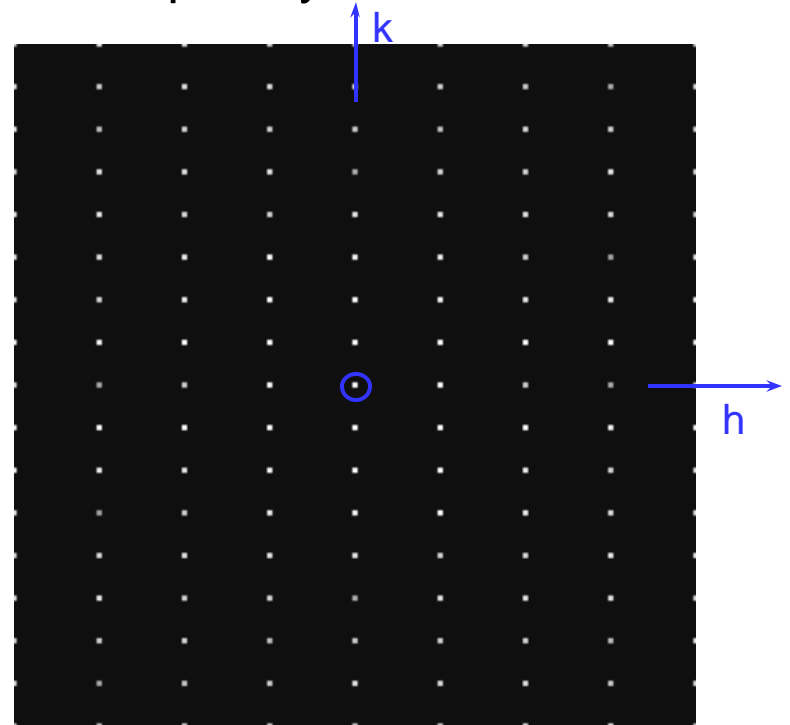
## Geometry, Intensity and Symmetry

Foot p1 Crystal



FT

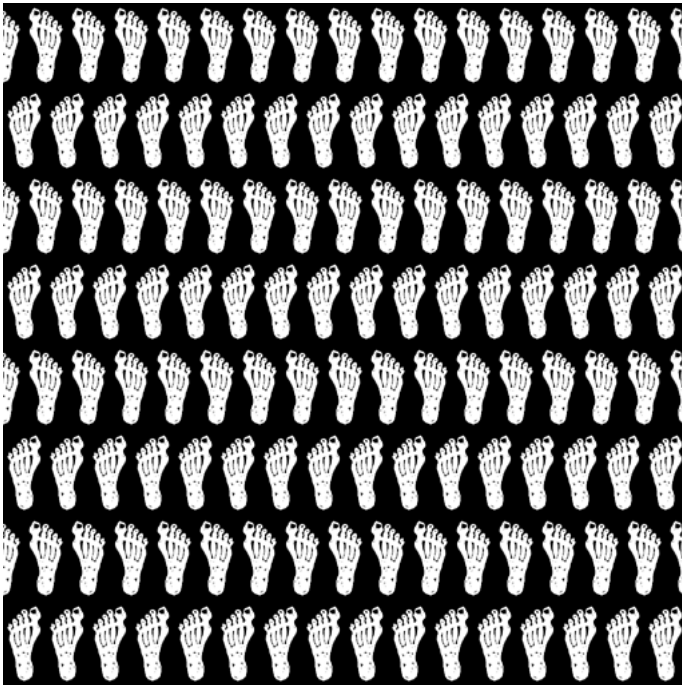
Foot p1 Crystal Transform



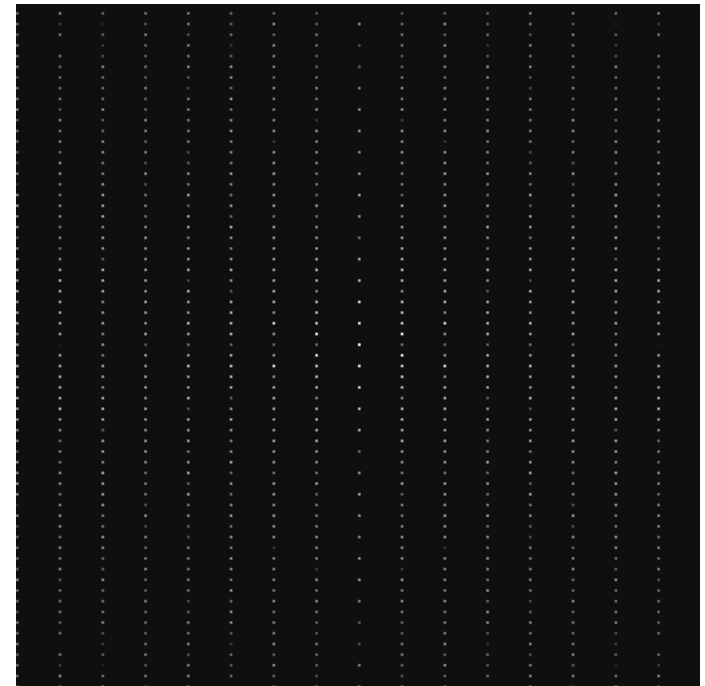
# III.C.6.h Other Properties of FTs and Diffraction Patterns

## Geometry, Intensity and Symmetry

Foot pg Crystal



Foot pg Crystal Transform



FT

# III.C.6.h Other Properties of FTs and Diffraction Patterns

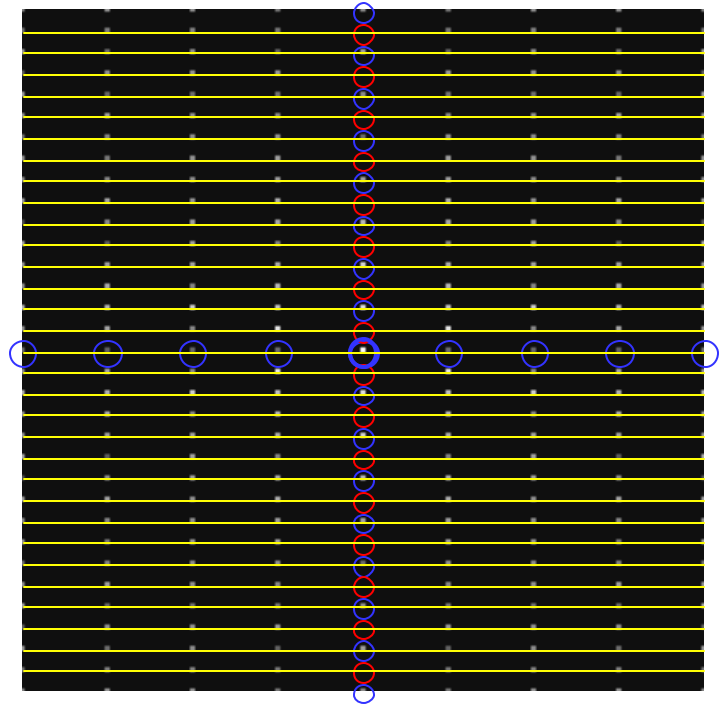
## Geometry, Intensity and Symmetry

Foot pg Crystal



FT

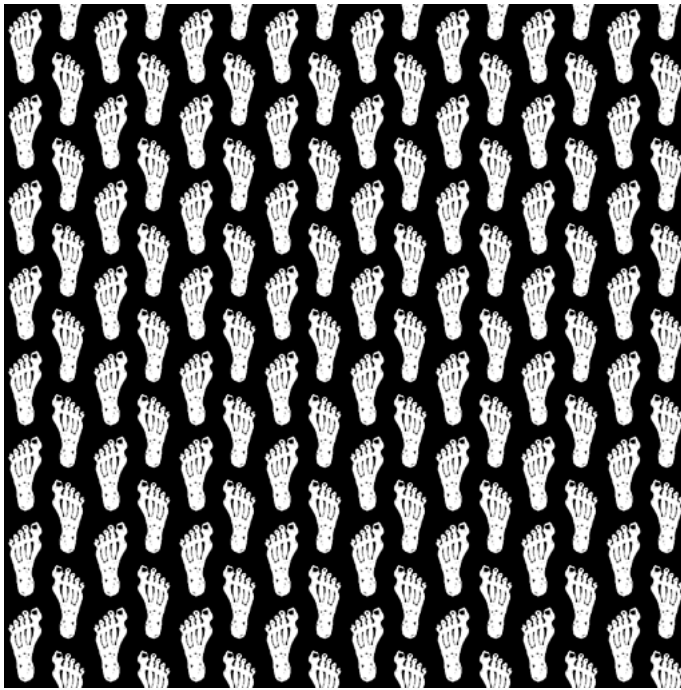
Foot pg Crystal Transform



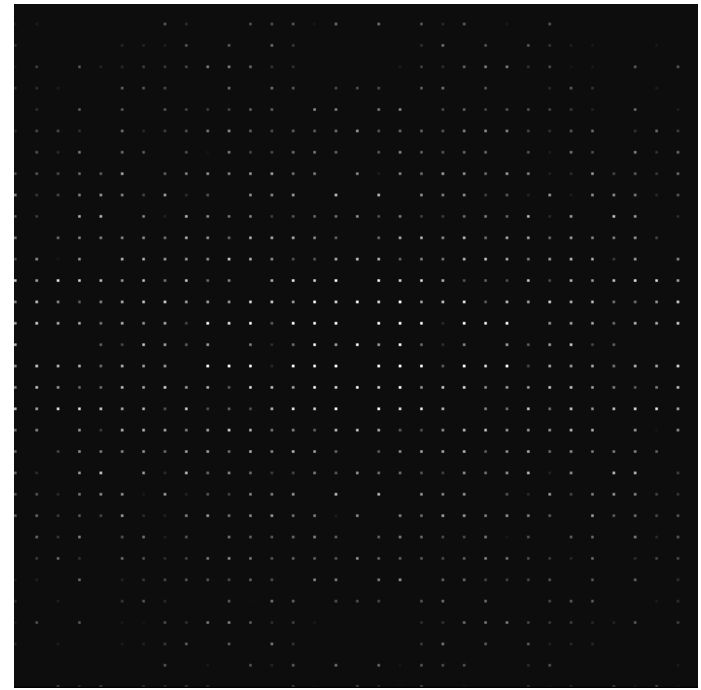
# III.C.6.h Other Properties of FTs and Diffraction Patterns

## Geometry, Intensity and Symmetry

Foot pg Crystal



Foot pg Crystal Transform



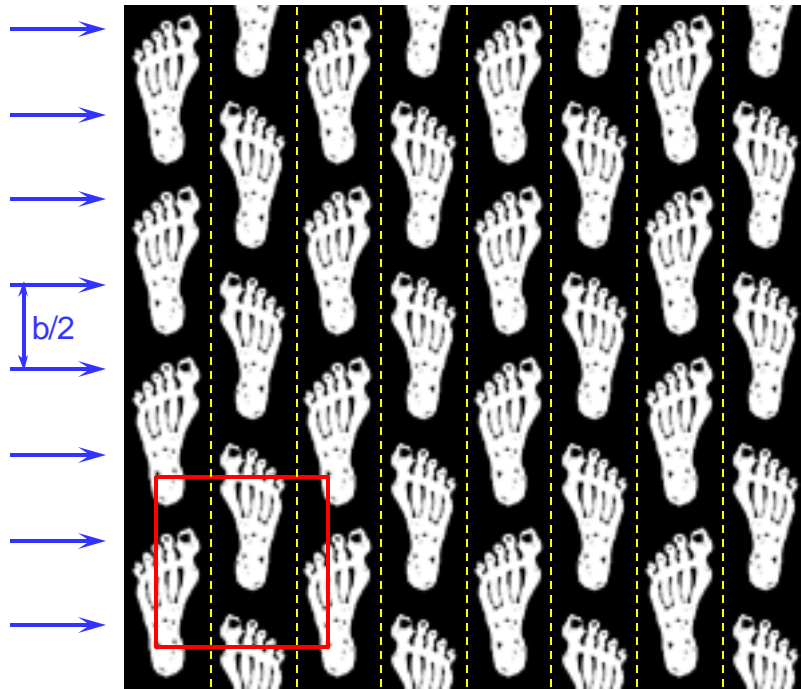
FT



### III.C.6.h Other Properties of FTs and Diffraction Patterns

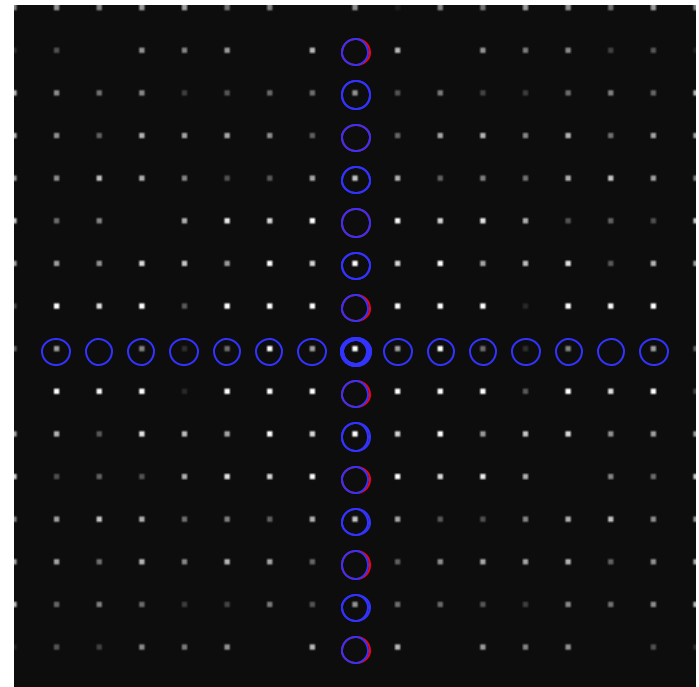
## Geometry, Intensity and Symmetry

Foot pg Crystal



FT

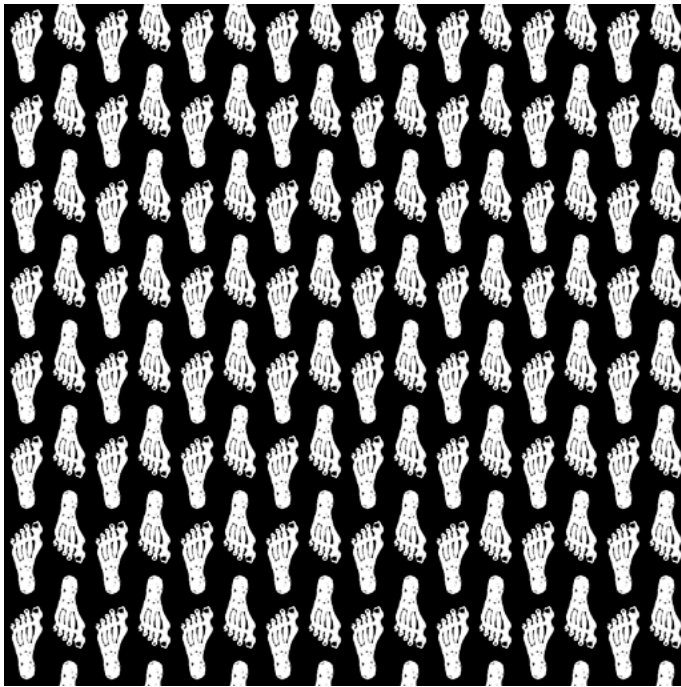
Foot pg Crystal Transform



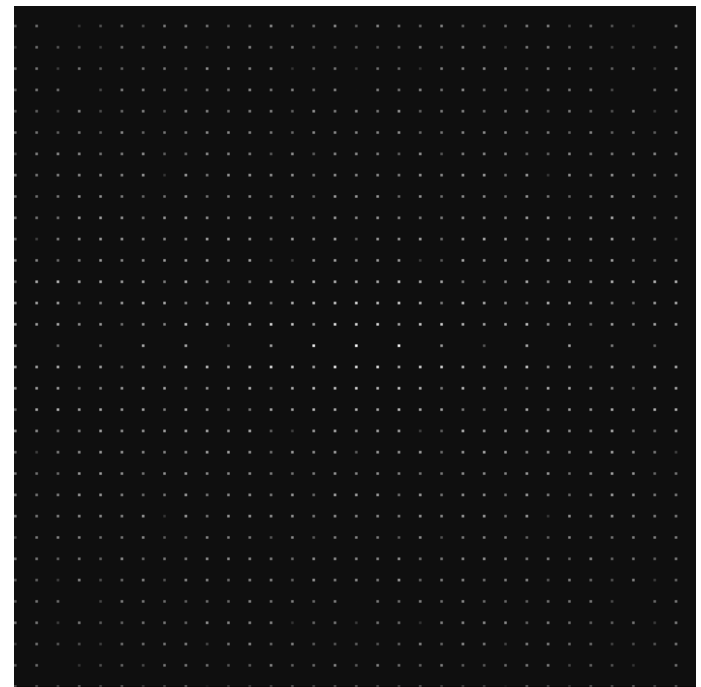
# III.C.6.h Other Properties of FTs and Diffraction Patterns

## Geometry, Intensity and Symmetry

Foot pg Crystal



Foot pg Crystal Transform

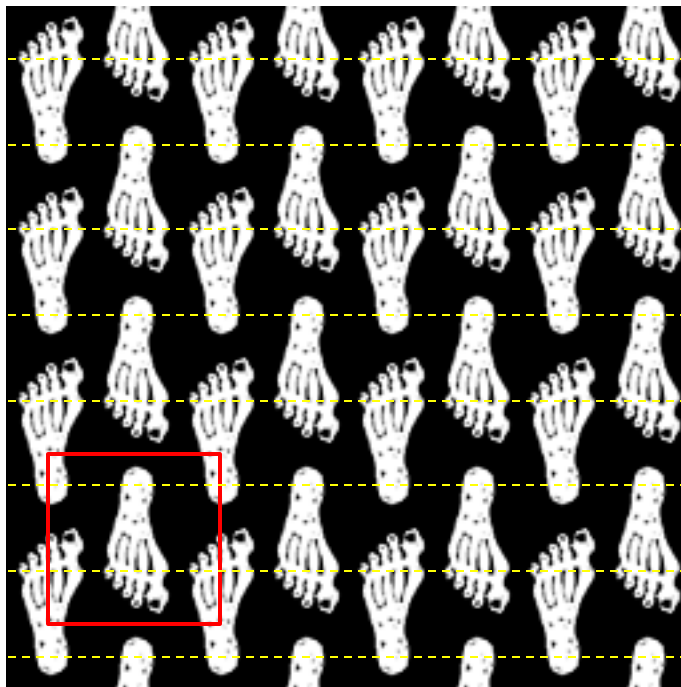


FT

### III.C.6.h Other Properties of FTs and Diffraction Patterns

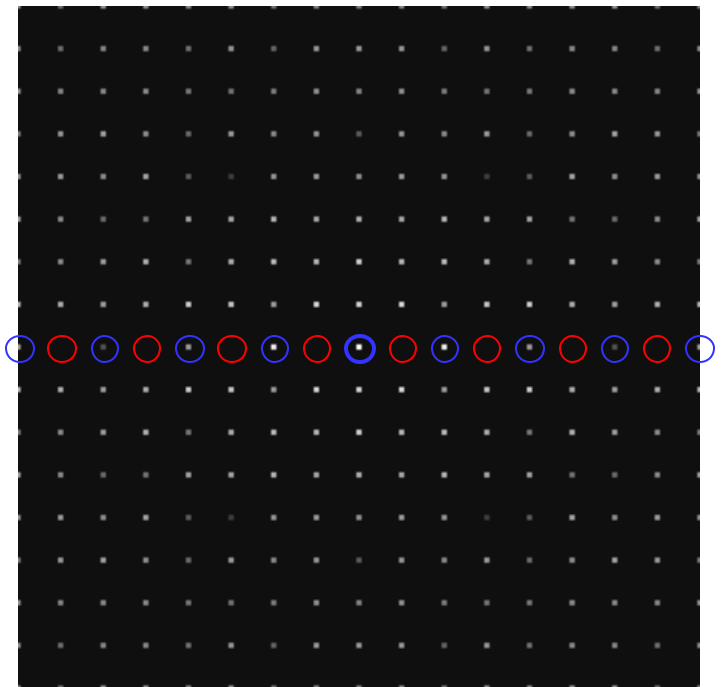
## Geometry, Intensity and Symmetry

Foot pg Crystal



FT

Foot pg Crystal Transform



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Projection Theorem

FT of the **projected** structure of a **3-D** object is equivalent to a **2-D central section** of the 3-D FT of the object

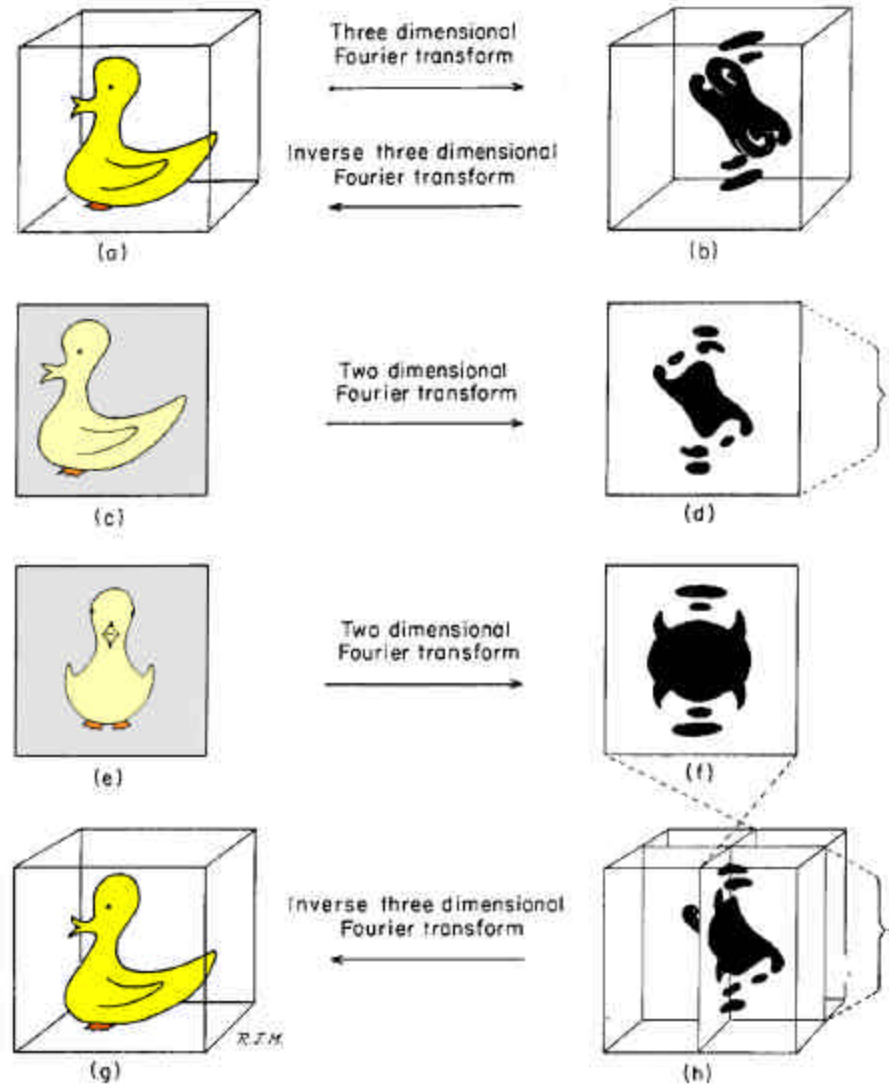
Central section **intersects the origin** of the 3-D transform and is perpendicular to the direction of projection

#### **Basis of 3D reconstruction by Fourier methods:**

- Several **independent views** of the projected structure are recorded and their 2-D transforms calculated to **build up a complete 3-D transform**
- 3-D structure is **reconstructed** from 2-D views by inverse Fourier transformation of 3-D FT

# III.C.6.h Other Properties of FTs and Diffraction Patterns

## Projection Theorem



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Friedel's Law

Diffraction pattern from the projected structure of a real object has an **inversion center** in the **intensity** distribution

**Amplitude** at any point in the pattern is identical at a point equidistant and opposite in direction from the transform origin:  $|F_{hkl}| = |F_{-h,-k,-l}|$

**Phases** at these two points are opposite:  $a_{hkl} = -a_{-h,-k,-l}$

For periodic specimens with periodic patterns consisting of discrete spots (reflections), Friedel related spots are called **Friedel pairs**

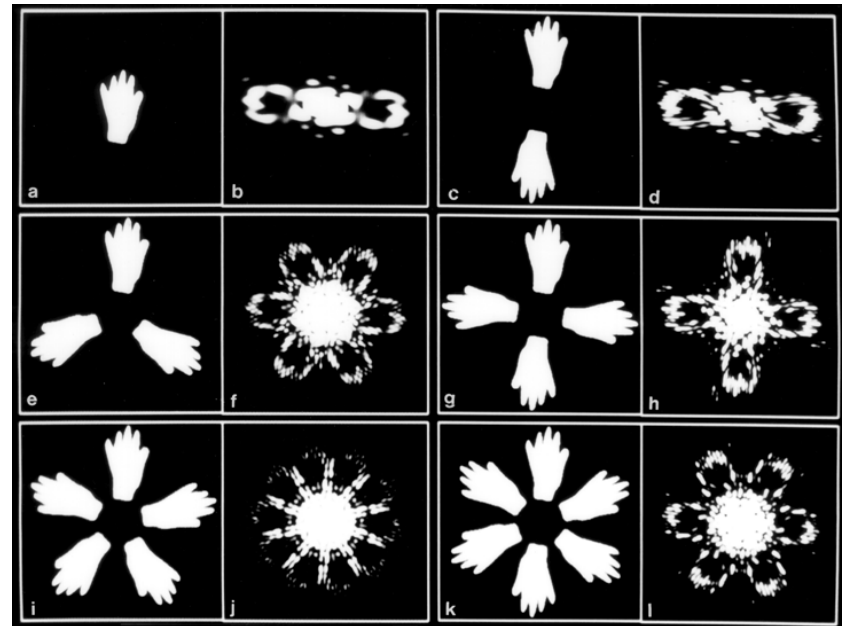
## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### Friedel's Law

Friedel symmetry causes the transform of **any real** object to display **2-fold** symmetry in the **intensity** distribution

Object rotational symmetry	Transform rotational symmetry
$n$ even	$n$
$n$ odd	$2n$



## III.C.6 Diffraction

### III.C.6.h Other Properties of FTs and Diffraction Patterns

#### **Friedel's Law**

##### **Optical diffraction:**

Friedel's law generally fails (pattern does **not** exhibit perfect inversion symmetry in intensity distribution) because the object is a photographic transparency which causes **irregular phase shifts** of the incident radiation (laser light) as it passes through the emulsion and backing of the film

##### **Mathematically computed diffraction patterns:**

Should have **PERFECT** Friedel symmetry (if software is bug-free of course!)



